ANNUAL STATEMENT

OF THE

American National Life Insurance Company of New York

TO THE

Insurance Department

OF THE

STATE OF

FOR THE YEAR ENDED
DECEMBER 31, 2020

[ ] LIFE, ACCIDENT AND HEALTH [ ] FRATERNAL BENEFIT SOCIETIES

2020

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LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2020

OF THE CONDITION AND AFFAIRS OF THE

American National Life Insurance Company of New York

NAIC Group Code 0408 (Current) 0408 (Prior) NAIC Company Code 63126 Employer's ID Number 14-1400831

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 10/20/1953 Commenced Business 01/20/1954

Statutory Home Office 344 Route 9W (Street and Number), Glenmont, NY, US 12077 (City or Town, State, Country and Zip Code)

Main Administrative Office One Moody Plaza (Street and Number)

Galveston, TX, US 77550 (City or Town, State, Country and Zip Code), (Area Code) (Telephone Number)

Mail Address P.O. Box 656 (Street and Number or P.O. Box), Albany, NY, US 12201-0656 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 344 Route 9W (Street and Number)

Glenmont, NY, US 12077 (City or Town, State, Country and Zip Code), (Area Code) (Telephone Number)

Internet Website Address www.americannational.com

Statutory Statement Contact Jennifer Jo Duncan (Name), 518-431-5201 (Area Code) (Telephone Number)

jennifer.duncan@americannational.com (E-mail Address), 518-431-5978 (FAX Number)

OFFICERS

President & Chief Marketing Officer	David Alan Behrens	Vice President, Controller & Treasurer	Michelle Annette Gage
Vice President, Corporate Secretary	John Mark Flippin	SVP, Actuary and CRO New York	Kathryn Lentivech FSA

OTHER

James Edward Pozzi, Chairman & CEO	Johnny David Johnson, EVP, Chief Admin. Officer & CIO	James Walter Pangburn, EVP, Spclty Mrkt Sales & Mrktg
John Frederick Simon, EVP, Chief Actuary	Shannon Lee Smith, EVP, Chief Agencies Officer, ML	James Patrick Stelling #, EVP, Health & Spclty Mrkts Ops
Timothy Allen Walsh, EVP & CFO	Dwain Allen Akins, SVP, Chief Compliance Officer	Michele Mackay Bartkowski, SVP, Finance
Scott Frank Brast, SVP, Chief Mortgage Loan & Real Estate Investment Officer	Scott Christopher Campbell, SVP, Chief Client Officer	Lee Chadwick Ferrell, SVP, IMG Marketing
James Lee Flinn, SVP, CRO and P&C Actuarial	Deborah Kay Janson, SVP, Corporate Office & Chief Business Planning Officer	Sara Liane Latham, SVP, Actuary
Anne Marie LeMire, SVP, Chief Securities Invtmt Officer	Bradley Wayne Manning, SVP, Life Claims & Cust. Svc Cntr	Michael Scott Marquis, SVP, Life Underwriting
Meredith Myron Mitchell, SVP, Deputy CIO	Michael Scott Nimmons, SVP, Internal Audit Services	Edward Bruce Pavelka, SVP, Life New Bus. & Admin
Ronald Clark Price, SVP, Career Life Agencies	Patrick Anthony Smith, SVP, Multiple Line Field Ops	

DIRECTORS OR TRUSTEES

David Alan Behrens	Irwin Max Herz Jr.	Johnny David Johnson
Ashild Ingrid Moody	Edward Joseph Muhl	Matthew Richard Ostiguy
Elvin Jerome Pederson	James Edward Pozzi	John Frederick Simon
Timothy Allen Walsh	James Daniel Yarbrough	

State of Texas
County of Galveston SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Alan Behrens	John Mark Flippin	Michelle Annette Gage
President & Chief Marketing Officer	Vice President, Corporate Secretary	Vice President, Controller & Treasurer

Subscribed and sworn to before me this 28th day of January, 2021

a. Is this an original filing? ..... Yes [X] No [ ]

b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

ALICE YBARRA
Notary Public, State of Texas
Comm. Expires 03-20-2021
Notary ID 125123427

ASSETS

		Current Year			Prior Year
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1.	Bonds (Schedule D)	2,078,385,441		2,078,385,441	2,081,294,482
2.	Stocks (Schedule D):				
	2.1 Preferred stocks	4,101,988		4,101,988	4,101,988
	2.2 Common stocks	45,870		45,870	61,261
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens	500,375,758	0	500,375,758	418,989,331
	3.2 Other than first liens			0	
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less $ 0 encumbrances)	4,993,304	0	4,993,304	5,400,281
	4.2 Properties held for the production of income (less $ 0 encumbrances)			0	0
	4.3 Properties held for sale (less $ 0 encumbrances)			0	
5.	Cash ($ 2,014,337 , Schedule E - Part 1), cash equivalents ($ 40,275,561 , Schedule E - Part 2) and short-term investments ($ 3,028,592 , Schedule DA)	45,318,490		45,318,490	140,321,487
6.	Contract loans (including $ premium notes)	35,694,142	0	35,694,142	37,002,704
7.	Derivatives (Schedule DB)	8,245,754		8,245,754	11,108,748
8.	Other invested assets (Schedule BA)	66,682,312		66,682,312	30,733,360
9.	Receivables for securities	177,496	0	177,496	60,220
10.	Securities lending reinvested collateral assets (Schedule DL)			0	
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	2,744,020,555	0	2,744,020,555	2,729,073,862
13.	Title plants less $ charged off (for Title insurers only)			0	
14.	Investment income due and accrued	23,592,165	0	23,592,165	23,132,835
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	668,763	74,389	594,374	564,763
	15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including $ earned but unbilled premiums)	13,268,008	0	13,268,008	13,366,495
	15.3 Accrued retrospective premiums ($) and contracts subject to redetermination ($)			0	
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers	246,322	0	246,322	583,659
	16.2 Funds held by or deposited with reinsured companies			0	
	16.3 Other amounts receivable under reinsurance contracts	19	0	19	2
17.	Amounts receivable relating to uninsured plans			0	
18.1	Current federal and foreign income tax recoverable and interest thereon			0	0
18.2	Net deferred tax asset	29,437,721	24,274,428	5,163,293	4,544,767
19.	Guaranty funds receivable or on deposit	16,615	0	16,615	17,464
20.	Electronic data processing equipment and software			0	
21.	Furniture and equipment, including health care delivery assets ($)	417,494	417,494	0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	
23.	Receivables from parent, subsidiaries and affiliates	1,248,578	0	1,248,578	7,476,081
24.	Health care ($) and other amounts receivable	512,607	512,607	0	0
25.	Aggregate write-ins for other than invested assets	2,334,806	1,659,142	675,664	447,667
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	2,815,763,654	26,938,060	2,788,825,594	2,779,207,596
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28.	Total (Lines 26 and 27)	2,815,763,654	26,938,060	2,788,825,594	2,779,207,596
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Other receivables	969,608	417,972	551,636	316,600
2502.	Prepaid state premium taxes	124,028		124,028	131,067
2503.	Prepaid pension cost	3,237,746	3,237,746	0	0
2598.	Summary of remaining write-ins for Line 25 from overflow page	(1,996,576)	(1,996,576)	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	2,334,806	1,659,142	675,664	447,667

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Year	2 Prior Year
1.	Aggregate reserve for life contracts $ 2,382,691,718 (Exh. 5, Line 9999999) less $ included in Line 6.3 (including $ Modco Reserve)	2,382,691,718	2,372,430,114
2.	Aggregate reserve for accident and health contracts (including $ 0 Modco Reserve)	14,470,979	14,319,422
3.	Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including $ Modco Reserve)	78,284,278	78,844,832
4.	Contract claims:		
	4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	16,003,031	8,944,655
	4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)	209,833	216,409
5.	Policyholders' dividends/refunds to members $ 2,704 and coupons $ due and unpaid (Exhibit 4, Line 10)	2,704	106,164
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including $ Modco)	7,122,779	7,151,009
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including $ Modco)		
	6.3 Coupons and similar benefits (including $ Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $ discount; including $ 42,893 accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)	236,052	199,926
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of $ accident and health experience rating refunds of which $ 0 is for medical loss ratio rebate per the Public Health Service Act		
	9.3 Other amounts payable on reinsurance, including $ assumed and $ 1,028,951 ceded	1,028,951	1,145,561
	9.4 Interest maintenance reserve (IMR, Line 6)	0	55,245
10.	Commissions to agents due or accrued-life and annuity contracts $ 27,454 accident and health $ 191,661 and deposit-type contract funds $	219,115	487,317
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	208,893	242,851
13.	Transfers to Separate Accounts due or accrued (net) (including $ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	249,339	315,102
15.1	Current federal and foreign income taxes, including $ 552,441 on realized capital gains (losses)	1,954,900	13,301,236
15.2	Net deferred tax liability		
16.	Unearned investment income	1,023,881	1,038,434
17.	Amounts withheld or retained by reporting entity as agent or trustee	2,820,266	3,232,590
18.	Amounts held for agents' account, including $ 23,708 agents' credit balances	23,708	64,677
19.	Remittances and items not allocated	1,439,533	2,049,490
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money $ and interest thereon $		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	33,251,490	30,173,187
	24.02 Reinsurance in unauthorized and certified ($ 0) companies	854,469	555,158
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates	1,645,050	1,672,532
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives	0	
	24.09 Payable for securities		109,250
	24.10 Payable for securities lending		
	24.11 Capital notes $ and interest thereon $		
25.	Aggregate write-ins for liabilities	10,827,496	13,654,397
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,554,568,465	2,550,309,558
27.	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	2,554,568,465	2,550,309,558
29.	Common capital stock	3,000,550	5,000,550
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds	0	0
32.	Surplus notes	0	
33.	Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	60,300,471	58,300,471
34.	Aggregate write-ins for special surplus funds	0	0
35.	Unassigned funds (surplus)	170,956,108	165,597,017
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 29 $)		
	36.2 shares preferred (value included in Line 30 $)		
37.	Surplus (Total Lines 31+32+33+34+35-36) (including $ in Separate Accounts Statement)	231,256,579	223,897,488
38.	Totals of Lines 29, 30 and 37 (Page 4, Line 55)	234,257,129	228,898,038
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	2,788,825,594	2,779,207,596
	DETAILS OF WRITE-INS		
2501.	Liability on derivative collateral	8,230,000	11,210,000
2502.	Uncashed check reserve	2,597,496	2,444,397
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	10,827,496	13,654,397
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page	0	0
3199.	Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)	0	0
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0
3499.	Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

		1 Current Year	2 Prior Year
1.	Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	89,065,139	437,799,103
2.	Considerations for supplementary contracts with life contingencies	498,400	1,966,035
3.	Net investment income (Exhibit of Net Investment Income, Line 17)	113,182,868	105,970,470
4.	Amortization of Interest Maintenance Reserve (IMR, Line 5)	110,623	268,517
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0	0
6.	Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)	582,741	621,550
7.	Reserve adjustments on reinsurance ceded	0	0
8.	Miscellaneous Income:		
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0
	8.2 Charges and fees for deposit-type contracts	0	0
	8.3 Aggregate write-ins for miscellaneous income	(34,326)	6,531
9.	Total (Lines 1 to 8.3)	203,405,445	546,632,206
10.	Death benefits	33,808,884	28,032,027
11.	Matured endowments (excluding guaranteed annual pure endowments)	168,776	260,937
12.	Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)	37,098,537	29,784,480
13.	Disability benefits and benefits under accident and health contracts	2,765,753	2,707,580
14.	Coupons, guaranteed annual pure endowments and similar benefits	0	0
15.	Surrender benefits and withdrawals for life contracts	70,699,736	56,977,549
16.	Group conversions	0	0
17.	Interest and adjustments on contract or deposit-type contract funds	2,661,013	2,357,852
18.	Payments on supplementary contracts with life contingencies	3,082,776	3,302,459
19.	Increase in aggregate reserves for life and accident and health contracts	10,451,900	403,662,111
20.	Totals (Lines 10 to 19)	160,737,376	527,084,995
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)	7,181,949	19,877,572
22.	Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)	0	
23.	General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6)	10,679,568	12,174,584
24.	Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	1,977,056	2,052,365
25.	Increase in loading on deferred and uncollected premiums	117,956	(60,862)
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0	0
27.	Aggregate write-ins for deductions	300	10,237
28.	Totals (Lines 20 to 27)	180,694,205	561,138,891
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	22,711,240	(14,506,685)
30.	Dividends to policyholders and refunds to members	6,837,546	6,804,096
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	15,873,694	(21,310,781)
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	3,223,853	6,932,434
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	12,649,841	(28,243,215)
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ 1,382,407 (excluding taxes of $ (112,504) transferred to the IMR)	(2,003,634)	72,965,577
35.	Net income (Line 33 plus Line 34)	10,646,207	44,722,362
	CAPITAL AND SURPLUS ACCOUNT		
36.	Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	228,898,038	237,048,296
37.	Net income (Line 35)	10,646,207	44,722,362
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ (378,492)	(1,605,800)	(47,259,133)
39.	Change in net unrealized foreign exchange capital gain (loss)		
40.	Change in net deferred income tax	763,611	11,061,472
41.	Change in nonadmitted assets	(165,312)	(14,702,789)
42.	Change in liability for reinsurance in unauthorized and certified companies	(299,312)	1,066,385
43.	Change in reserve on account of change in valuation basis, (increase) or decrease	0	0
44.	Change in asset valuation reserve	(3,078,303)	(4,435,749)
45.	Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)	0	0
46.	Surplus (contributed to) withdrawn from Separate Accounts during period		
47.	Other changes in surplus in Separate Accounts Statement		
48.	Change in surplus notes		
49.	Cumulative effect of changes in accounting principles		
50.	Capital changes:		
	50.1 Paid in		
	50.2 Transferred from surplus (Stock Dividend)		
	50.3 Transferred to surplus	(2,000,000)	
51.	Surplus adjustment:		
	51.1 Paid in		0
	51.2 Transferred to capital (Stock Dividend)		
	51.3 Transferred from capital	2,000,000	
	51.4 Change in surplus as a result of reinsurance		
52.	Dividends to stockholders		
53.	Aggregate write-ins for gains and losses in surplus	(902,000)	1,397,194
54.	Net change in capital and surplus for the year (Lines 37 through 53)	5,359,091	(8,150,258)
55.	Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	234,257,129	228,898,038
	DETAILS OF WRITE-INS		
08.301.	Miscellaneous income	6,679	6,531
08.302.	Loss on sale or disposal of F&E	(41,005)	0
08.303.			
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)	(34,326)	6,531
2701.	Fines and penalties to regulatory authorities	300	10,176
2702.	Miscellaneous	0	61
2703.			
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)	300	10,237
5301.	Change in pension and post retirement plans net of deferred tax	(802,782)	1,024,268
5302.	Change in deferred tax on non admitted items	(99,218)	372,926
5303.			
5398.	Summary of remaining write-ins for Line 53 from overflow page	0	0
5399.	Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)	(902,000)	1,397,194

CASH FLOW

		1 Current Year	2 Prior Year
	Cash from Operations		
1.	Premiums collected net of reinsurance	89,479,766	440,069,976
2.	Net investment income	115,282,384	104,180,222
3.	Miscellaneous income	548,398	628,093
4.	Total (Lines 1 through 3)	205,310,548	544,878,290
5.	Benefit and loss related payments	140,495,148	122,134,580
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	20,207,749	34,249,897
8.	Dividends paid to policyholders	6,969,236	9,649,340
9.	Federal and foreign income taxes paid (recovered) net of $ 10,320,534 tax on capital gains (losses)	15,840,092	11,424,011
10.	Total (Lines 5 through 9)	183,512,225	177,457,828
11.	Net cash from operations (Line 4 minus Line 10)	21,798,323	367,420,462
	Cash from Investments		
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	330,733,834	164,634,578
	12.2 Stocks	1,500,010	134,217,471
	12.3 Mortgage loans	39,421,407	44,141,980
	12.4 Real estate	0	1,752,703
	12.5 Other invested assets	6,841,319	3,002,725
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(279,912)	0
	12.7 Miscellaneous proceeds	3,677,281	828,687
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	381,893,939	348,578,144
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	334,644,868	452,874,540
	13.2 Stocks	1,500,000	599,415
	13.3 Mortgage loans	119,644,984	112,401,323
	13.4 Real estate	204,967	309,828
	13.5 Other invested assets	42,752,949	24,249,362
	13.6 Miscellaneous applications	226,526	88,770
	13.7 Total investments acquired (Lines 13.1 to 13.6)	498,974,293	590,523,238
14.	Net increase (decrease) in contract loans and premium notes	(1,308,562)	(15,768)
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(115,771,793)	(241,929,326)
	Cash from Financing and Miscellaneous Sources		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0
	16.3 Borrowed funds	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(3,055,915)	(168,686)
	16.5 Dividends to stockholders	0	0
	16.6 Other cash provided (applied)	2,026,388	6,293,746
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(1,029,527)	6,125,060
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(95,002,997)	131,616,196
19.	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	140,321,487	8,705,292
	19.2 End of year (Line 18 plus Line 19.1)	45,318,490	140,321,487

Note: Supplemental disclosures of cash flow information for non-cash transactions:

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

		1 Total	2 Individual Life	3 Group Life	4 Individual Annuities	5 Group Annuities	6 Accident and Health	7 Fraternal	8 Other Lines of Business	9 YRT Mortality Risk Only
1.	Premiums and annuity considerations for life and accident and health contracts	89,065,139	50,117,948	718,371	34,880,738	0	3,348,082			0
2.	Considerations for supplementary contracts with life contingencies	498,400	XXX	XXX	498,400		XXX	XXX		XXX
3.	Net investment income	113,182,868	38,489,785	17,649	73,467,149	317,539	890,745			0
4.	Amortization of Interest Maintenance Reserve (IMR)	110,623	39,811	4,880	64,663	403	866			0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0	0	0	0	XXX		0
6.	Commissions and expense allowances on reinsurance ceded	582,741	277,424	0	0	0	305,316	XXX	0	0
7.	Reserve adjustments on reinsurance ceded	0	0	0	0	0	0	XXX		0
8.	Miscellaneous Income:									
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0	0	0	0	XXX		0
	8.2 Charges and fees for deposit-type contracts	0	0	0	0	0	XXX	XXX		0
	8.3 Aggregate write-ins for miscellaneous income	(34,326)	(539)	10	(28,656)	9	(5,150)	0	0	0
9.	Totals (Lines 1 to 8.3)	203,405,445	88,924,430	740,910	108,882,294	317,951	4,539,860	0	0	0
10.	Death benefits	33,808,884	33,366,022	442,862	0	0	XXX	XXX		0
11.	Matured endowments (excluding guaranteed annual pure endowments)	168,776	168,776	0	0	0	XXX	XXX		0
12.	Annuity benefits	37,098,537	XXX	XXX	37,098,537	0	XXX	XXX		XXX
13.	Disability benefits and benefits under accident and health contracts	2,765,753	570,848	0	0	0	2,194,905	XXX		0
14.	Coupons, guaranteed annual pure endowments and similar benefits	0	0	0	0	0	0	XXX		0
15.	Surrender benefits and withdrawals for life contracts	70,699,736	12,875,889	0	57,823,847	0	XXX	XXX		0
16.	Group conversions	0	0	0	0	0	0	XXX		0
17.	Interest and adjustments on contract or deposit-type contract funds	2,661,013	1,037,986	0	1,387,721	235,302	4	XXX		0
18.	Payments on supplementary contracts with life contingencies	3,082,776	0	0	3,082,776	0	XXX	XXX		0
19.	Increase in aggregate reserves for life and accident and health contracts	10,451,900	19,971,357	7,579	(9,717,331)	0	190,295	XXX		0
20.	Totals (Lines 10 to 19)	160,737,375	67,990,877	450,441	89,675,550	235,302	2,385,204	XXX	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	7,181,949	4,761,088	(59,294)	1,897,961	2,369	579,825	0	0	XXX
22.	Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	XXX	0	0
23.	General insurance expenses and fraternal expenses	10,679,568	7,311,335	48,521	3,000,741	7,899	311,071			0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,977,055	1,522,460	21,615	294,497	5,826	132,658			0
25.	Increase in loading on deferred and uncollected premiums	117,956	117,956	0	0	0	0	XXX		0
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0	0	0	0	XXX		0
27.	Aggregate write-ins for deductions	301	301	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	180,694,205	81,704,017	461,284	94,868,750	251,396	3,408,758	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	22,711,240	7,220,413	279,625	14,013,545	66,555	1,131,102	0	0	0
30.	Dividends to policyholders and refunds to members	6,837,546	6,837,546	0	0	0	0	XXX		0
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	15,873,693	382,866	279,625	14,013,545	66,555	1,131,102	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	3,223,853	77,758	56,790	2,846,068	13,517	229,720			0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	12,649,840	305,108	222,835	11,167,477	53,038	901,382	0	0	0
34.	Policies/certificates in force end of year	93,075	63,490	3,622	19,078	12	6,873	XXX		0
	DETAILS OF WRITE-INS									
08.301.	Miscellaneous income	6,679	6,253	33	150	9	234			
08.302.	Loss on sale or disposal of F&E	(41,005)	(6,792)	(23)	(28,806)		(5,384)			
08.303.										
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	(34,326)	(539)	10	(28,656)	9	(5,150)	0	0	0
2701.	Fines and penalties to regulatory authorities	301	301							
2702.										
2703.										
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	301	301	0	0	0	0	0	0	0

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

		1 Total	2 Industrial Life	3 Whole Life	4 Term Life	5 Indexed Life	6 Universal Life	7 Universal Life With Secondary Guarantees	8 Variable Life	9 Variable Universal Life	10 Credit Life (c)	11 Other Individual Life	12 YRT Mortality Risk Only
1.	Premiums for life contracts (a)	50,117,948	0	24,759,777	14,115,634	1,979,278	3,178,256	6,085,003	0	0	0	0	0
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	38,489,785	0	24,040,545	2,670,241	73,223	7,862,638	3,843,138	0	0	0	0	0
4.	Amortization of Interest Maintenance Reserve (IMR)	39,811	0	24,013	2,879	13	9,251	3,655	0	0	0	0	0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0											
6.	Commissions and expense allowances on reinsurance ceded	277,424	0	259	277,110	0	0	56	0	0	0	0	0
7.	Reserve adjustments on reinsurance ceded	0											
8.	Miscellaneous Income:												
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0											
	8.2 Charges and fees for deposit-type contracts	0											
	8.3 Aggregate write-ins for miscellaneous income	(539)	0	1,528	(1,102)	(129)	(403)	(433)	0	0	0	0	0
9.	Totals (Lines 1 to 8.3)	88,924,430	0	48,826,122	17,064,762	2,052,385	11,049,742	9,931,419	0	0	0	0	0
10.	Death benefits	33,366,022	0	15,186,703	8,670,797	0	8,184,069	1,324,452	0	0	0	0	0
11.	Matured endowments (excluding guaranteed annual pure endowments)	168,776	0	168,776	0	0	0	0	0	0	0	0	0
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	570,848	0	231,479	128,980	0	210,389	0	0	0	0	0	0
14.	Coupons, guaranteed annual pure endowments and similar benefits	0											
15.	Surrender benefits and withdrawals for life contracts	12,875,889	0	8,877,980	0	23,503	3,288,830	685,576	0	0	0	0	0
16.	Group conversions	0											
17.	Interest and adjustments on contract or deposit-type contract funds	1,037,986	0	985,298	18,158	0	28,512	6,018	0	0	0	0	0
18.	Payments on supplementary contracts with life contingencies	0											
19.	Increase in aggregate reserves for life and accident and health contracts	19,971,357	0	8,967,936	4,709,048	985,968	(3,090,274)	8,398,679	0	0	0	0	0
20.	Totals (Lines 10 to 19)	67,990,877	0	34,418,172	13,526,983	1,009,471	8,621,526	10,414,725	0	0	0	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	4,761,088	0	1,792,027	831,203	720,377	110,495	1,306,986	0	0	0	0	XXX
22.	Commissions and expense allowances on reinsurance assumed	0	0		0	0	0	0	0	0	0	0	0
23.	General insurance expenses	7,311,335	0	4,586,651	1,076,553	276,785	332,607	1,038,740	0	0	0	0	0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,522,460	0	674,575	484,609	62,206	94,657	206,413	0	0	0	0	0
25.	Increase in loading on deferred and uncollected premiums	117,956	0	50,753	67,203	0	0	0	0	0	0	0	0
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0											
27.	Aggregate write-ins for deductions	301	0	301	0	0	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	81,704,017	0	41,522,479	15,986,552	2,068,839	9,159,284	12,966,864	0	0	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	7,220,413	0	7,303,643	1,078,210	(16,454)	1,890,458	(3,035,444)	0	0	0	0	0
30.	Dividends to policyholders and refunds to members	6,837,546	0	6,827,770	9,776	0	0	0	0	0	0	0	0
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	382,866	0	475,872	1,068,434	(16,454)	1,890,458	(3,035,444)	0	0	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	77,758	0	96,647	216,993	(3,342)	383,941	(616,481)	0	0	0	0	0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	305,108	0	379,225	851,441	(13,112)	1,506,517	(2,418,963)	0	0	0	0	0
34.	Policies/certificates in force end of year	63,490		38,570	16,149	809	6,258	1,704					
	DETAILS OF WRITE-INS												
08.301.	Miscellaneous income	6,253		5,027	736	72	186	232			0		
08.302.	Loss on sale or disposal of F&E	(6,792)		(3,499)	(1,838)	(201)	(589)	(665)					
08.303.													
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	(539)	0	1,528	(1,102)	(129)	(403)	(433)	0	0	0	0	0
2701.	Fines and penalties to regulatory authorities	301		301									
2702.													
2703.													
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	301	0	301	0	0	0	0	0	0	0	0	0

(a) Include premium amounts for preneed plans included in Line 1

(b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP LIFE INSURANCE (c)

		1 Total	2 Whole Life	3 Term Life	4 Universal Life	5 Variable Life	6 Variable Universal Life	7 Credit Life (d)	8 Other Group Life (a)	9 YRT Mortality Risk Only
1.	Premiums for life contracts (b)	718,371	0	0	0	0	0	718,371	0	0
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	17,649	0	0	0	0	0	17,649	0	0
4.	Amortization of Interest Maintenance Reserve (IMR)	4,880	0	0	0	0	0	4,880	0	0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0								
6.	Commissions and expense allowances on reinsurance ceded	0								
7.	Reserve adjustments on reinsurance ceded	0								
8.	Miscellaneous Income:									
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0								
	8.2 Charges and fees for deposit-type contracts	0								
	8.3 Aggregate write-ins for miscellaneous income	10	0	0	0	0	0	10	0	0
9.	Totals (Lines 1 to 8.3)	740,910	0	0	0	0	0	740,910	0	0
10.	Death benefits	442,862	0	0	0	0	0	442,862	0	0
11.	Matured endowments (excluding guaranteed annual pure endowments)	0								
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	0								
14.	Coupons, guaranteed annual pure endowments and similar benefits	0								
15.	Surrender benefits and withdrawals for life contracts	0								
16.	Group conversions	0								
17.	Interest and adjustments on contract or deposit-type contract funds	0								
18.	Payments on supplementary contracts with life contingencies	0								
19.	Increase in aggregate reserves for life and accident and health contracts	7,579	0	0	0	0	0	7,579	0	0
20.	Totals (Lines 10 to 19)	450,441	0	0	0	0	0	450,441	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	(59,294)	0	0	0	0	0	(59,294)	0	XXX
22.	Commissions and expense allowances on reinsurance assumed	0								
23.	General insurance expenses	48,521	0	0	0	0	0	48,521	0	0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	21,615	0	0	0	0	0	21,615	0	0
25.	Increase in loading on deferred and uncollected premiums	0								
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0								
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	461,284	0	0	0	0	0	461,284	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	279,625	0	0	0	0	0	279,625	0	0
30.	Dividends to policyholders and refunds to members	0								
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	279,625	0	0	0	0	0	279,625	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	56,790	0	0	0	0	0	56,790	0	0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	222,835	0	0	0	0	0	222,835	0	0
34.	Policies/certificates in force end of year	3,622						3,622		
	DETAILS OF WRITE-INS									
08.301.	Miscellaneous income	33						33		
08.302.	Loss on sale or disposal of F&E	(23)						(23)		
08.303.										
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	10	0	0	0	0	0	10	0	0
2701.										
2702.										
2703.										
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0	0	0

(a) Includes the following amounts for FEGLI/SGLI: Line 1 ..... , Line 10 ..... , Line 16 ..... , Line 23 ..... , Line 24 .....

(b) Include premium amounts for preneed plans included in Line 1 .....

(c) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. .....

(d) Individual and Group Credit Life are combined and included on ..... page. (Indicate whether included with Individual or Group.)

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL ANNUITIES [a]

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees		Other Annuities
1.	Premiums for individual annuity contracts	34,880,738	16,091,546	10,360,029	0	0	8,429,164	0
2.	Considerations for supplementary contracts with life contingencies	498,400	XXX	XXX	XXX	XXX	498,400	XXX
3.	Net investment income	73,467,149	48,765,988	17,214,112	0	0	7,487,050	
4.	Amortization of Interest Maintenance Reserve (IMR)	64,663	44,309	13,398	0	0	6,955	
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0						
6.	Commissions and expense allowances on reinsurance ceded	0						
7.	Reserve adjustments on reinsurance ceded	0						
8.	Miscellaneous Income:							
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0						
	8.2 Charges and fees for deposit-type contracts	0						
	8.3 Aggregate write-ins for miscellaneous income	(28,656)	(25,206)	(2,856)	0	0	(594)	0
9.	Totals (Lines 1 to 8.3)	108,882,294	64,876,637	27,584,683	0	0	16,420,975	0
10.	Death benefits	0						
11.	Matured endowments (excluding guaranteed annual pure endowments)	0						
12.	Annuity benefits	37,098,537	22,802,790	4,511,350	0	0	9,784,397	0
13.	Disability benefits and benefits under accident and health contracts	0						
14.	Coupons, guaranteed annual pure endowments and similar benefits	0						
15.	Surrender benefits and withdrawals for life contracts	57,823,847	44,390,165	13,433,682	0	0	0	0
16.	Group conversions	0						
17.	Interest and adjustments on contract or deposit-type contract funds	1,387,721	86,195	5,495	0	0	1,296,031	
18.	Payments on supplementary contracts with life contingencies	3,082,776	0	0	0	0	3,082,776	0
19.	Increase in aggregate reserves for life and accident and health contracts	(9,717,331)	(15,440,695)	5,198,872	0	0	524,492	0
20.	Totals (Lines 10 to 19)	89,675,550	51,838,456	23,149,399	0	0	14,687,696	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	1,897,961	469,075	1,078,825	0	0	350,061	
22.	Commissions and expense allowances on reinsurance assumed	0						
23.	General insurance expenses	3,000,741	2,310,721	587,489	0	0	102,532	
24.	Insurance taxes, licenses and fees, excluding federal income taxes	294,497	165,876	67,230	0	0	61,391	
25.	Increase in loading on deferred and uncollected premiums	0						
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0						
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	94,868,750	54,784,128	24,882,942	0	0	15,201,680	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	14,013,545	10,092,509	2,701,740	0	0	1,219,295	0
30.	Dividends to policyholders and refunds to members	0						
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	14,013,545	10,092,509	2,701,740	0	0	1,219,295	0
32.	Federal income taxes incurred (excluding tax on capital gains)	2,846,068	2,049,729	548,707	0	0	247,632	
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	11,167,477	8,042,780	2,153,033	0	0	971,663	0
34.	Policies/certificates in force end of year	19,078	13,154	3,843			2,081	
	DETAILS OF WRITE-INS							
08.301.	Miscellaneous income	150	87	44			19	
08.302.	Loss on sale or disposal of F&E	(28,806)	(25,293)	(2,900)			(613)	
08.303.								
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	(28,656)	(25,206)	(2,856)	0	0	(594)	0
2701.								
2702.								
2703.								
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP ANNUITIES (a)

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees		Other Annuities
1.	Premiums for group annuity contracts	0						
2.	Considerations for supplementary contracts with life contingencies	0	XXX	XXX	XXX	XXX		XXX
3.	Net investment income	317,539	317,539	0	0	0	0	0
4.	Amortization of Interest Maintenance Reserve (IMR)	403	403	0	0	0	0	0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0						
6.	Commissions and expense allowances on reinsurance ceded	0						
7.	Reserve adjustments on reinsurance ceded	0						
8.	Miscellaneous Income:							
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0						
	8.2 Charges and fees for deposit-type contracts	0						
	8.3 Aggregate write-ins for miscellaneous income	9	9	0	0	0	0	0
9.	Totals (Lines 1 to 8.3)	317,951	317,951	0	0	0	0	0
10.	Death benefits	0						
11.	Matured endowments (excluding guaranteed annual pure endowments)	0						
12.	Annuity benefits	0	0	0	0	0	0	0
13.	Disability benefits and benefits under accident and health contracts	0						
14.	Coupons, guaranteed annual pure endowments and similar benefits	0						
15.	Surrender benefits and withdrawals for life contracts	0						
16.	Group conversions	0						
17.	Interest and adjustments on contract or deposit-type contract funds	235,302	235,302	0	0	0	0	0
18.	Payments on supplementary contracts with life contingencies	0						
19.	Increase in aggregate reserves for life and accident and health contracts	0						
20.	Totals (Lines 10 to 19)	235,302	235,302	0	0	0	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	2,369	2,369	0	0	0	0	0
22.	Commissions and expense allowances on reinsurance assumed	0						
23.	General insurance expenses	7,899	7,899	0	0	0	0	0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	5,826	5,826	0	0	0	0	0
25.	Increase in loading on deferred and uncollected premiums	0						
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0						
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	251,396	251,396	0	0	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	66,555	66,555	0	0	0	0	0
30.	Dividends to policyholders and refunds to members	0						
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	66,555	66,555	0	0	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	13,517	13,517	0	0	0	0	0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	53,038	53,038	0	0	0	0	0
34.	Policies/certificates in force end of year	12	12					
	DETAILS OF WRITE-INS							
08.301.	Miscellaneous income	9	9					
08.302.								
08.303.								
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	9	9	0	0	0	0	0
2701.								
2702.								
2703.								
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - ACCIDENT AND HEALTH (a)

		1	Comprehensive		4	5	6	7	8	9	10	11	12	13
			2	3				Federal Employees Health						
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
1.	Premiums for accident and health contracts	3,348,082	0	0	0	0	0	0	0	0	825,960	2,522,122	0	0
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	890,745	0	0	0	0	0	0	0	0	34,352	856,393	0	0
4.	Amortization of Interest Maintenance Reserve (IMR)	866	0	0	0	0	0	0	0	0	21	845	0	0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0												
6.	Commissions and expense allowances on reinsurance ceded	305,316	0	0	0	0	0	0	0	0	0	305,316	0	0
7.	Reserve adjustments on reinsurance ceded	0												
8.	Miscellaneous Income:													
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0												
	8.2 Charges and fees for deposit-type contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	8.3 Aggregate write-ins for miscellaneous income	(5,150)	0	0	0	0	0	0	0	0	(177)	(4,973)	0	0
9.	Totals (Lines 1 to 8.3)	4,539,860	0	0	0	0	0	0	0	0	860,156	3,679,703	0	0
10.	Death benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Matured endowments (excluding guaranteed annual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	2,194,905	0	0	0	0	0	0	0	0	237,738	1,957,168	0	0
14.	Coupons, guaranteed annual pure endowments and similar benefits	0												
15.	Surrender benefits and withdrawals for life contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
16.	Group conversions	0												
17.	Interest and adjustments on contract or deposit-type contract funds	4	0	0	0	0	0	0	0	0	0	4	0	0
18.	Payments on supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
19.	Increase in aggregate reserves for life and accident and health contracts	190,295	0	0	0	0	0	0	0	0	32,996	157,299	0	0
20.	Totals (Lines 10 to 19)	2,385,204	0	0	0	0	0	0	0	0	270,734	2,114,470	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	579,825	0	0	0	0	0	0	0	0	269,769	310,056	0	0
22.	Commissions and expense allowances on reinsurance assumed	0									0			
23.	General insurance expenses	311,071	0	0	0	0	0	0	0	0	52,904	258,167	0	0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	132,658	0	0	0	0	0	0	0	0	25,365	107,293	0	0
25.	Increase in loading on deferred and uncollected premiums	0												
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0												
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	3,408,758	0	0	0	0	0	0	0	0	618,772	2,789,986	0	0
29.	Net gain from operations before dividends to policyholders, and refunds to members and federal income taxes (Line 9 minus Line 28)	1,131,102	0	0	0	0	0	0	0	0	241,384	889,718	0	0
30.	Dividends to policyholders and refunds to members	0												
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	1,131,102	0	0	0	0	0	0	0	0	241,384	889,718	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	229,720	0	0	0	0	0	0	0	0	49,024	180,696	0	0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	901,382	0	0	0	0	0	0	0	0	192,360	709,022	0	0
34.	Policies/certificates in force end of year	6,873									3,265	3,608		
	DETAILS OF WRITE-INS													
08.301.	Miscellaneous income	234									211	23		
08.302.	Loss on sale or disposal of F&E	(5,384)									(388)	(4,996)		
08.303.														
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	(5,150)	0	0	0	0	0	0	0	0	(177)	(4,973)	0	0
2701.														
2702.														
2703.														
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. Major Medical block is included in column 11.

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

	1 Total	2 Industrial Life	3 Whole Life	4 Term Life	5 Indexed Life	6 Universal Life	7 Universal Life With Secondary Guarantees	8 Variable Life	9 Variable Universal Life	10 Credit Life (b) (N/A Fraternal)	11 Other Individual Life	12 YRT Mortality Risk Only
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)												
1. Reserve December 31 of prior year	757,101,609	0	436,805,076	70,920,507	1,299,053	226,542,226	21,534,747	0	0	0	0	0
2. Tabular net premiums or considerations	55,108,350		21,454,207	21,772,111	1,848,115	3,057,618	6,976,299					
3. Present value of disability claims incurred	78,679		66,776	11,903								
4. Tabular interest	32,470,378		17,886,347	2,991,646	76,912	10,591,483	923,990					
5. Tabular less actual reserve released	477,633		50,792	249,467		177,374						
6. Increase in reserve on account of change in valuation basis	0											
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve	0	XXX								XXX		
7. Other increases (net)	2,873,741				(2,508)		2,876,249					
8. Totals (Lines 1 to 7)	848,110,390	0	476,263,198	95,945,634	3,221,572	240,368,701	32,311,285	0	0	0	0	0
9. Tabular cost	43,826,231		18,804,008	15,938,768	887,838	6,602,593	1,593,024					
10. Reserves released by death	15,622,322		8,777,151	270,463		6,380,594	194,114					
11. Reserves released by other terminations (net)	11,018,025		4,025,671	2,629,518	48,730	3,890,003	424,103					
12. Annuity, supplementary contract and disability payments involving life contingencies	570,848		231,479	128,980		210,389						
13. Net transfers to or (from) Separate Accounts	0											
14. Total Deductions (Lines 9 to 13)	71,037,426	0	31,838,309	18,967,729	936,568	17,083,579	2,211,241	0	0	0	0	0
15. Reserve December 31 of current year	777,072,964	0	444,424,889	76,977,905	2,285,004	223,285,122	30,100,044	0	0	0	0	0
Cash Surrender Value and Policy Loans												
16. CSV Ending balance December 31, current year	575,079,068		377,294,487		1,236,853	191,617,379	4,930,349					
17. Amount Available for Policy Loans Based upon Line 16 CSV	572,360,885		377,294,487		1,236,853	188,899,196	4,930,349					

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP LIFE INSURANCE [a]

(N/A Fraternal)

		1 Total	2 Whole Life	3 Term Life	4 Variable Life	5 Universal Life	6 Variable Universal Life	7 Credit Life [b]	8 Other Group Life	9 YRT Mortality Risk Only
	Involving Life or Disability Contingencies (Reserves)									
	(Net of Reinsurance Ceded)									
1.	Reserve December 31 of prior year	424,183	0	0	0	0	0	424,183	0	0
2.	Tabular net premiums or considerations	359,186						359,186		
3.	Present value of disability claims incurred	0								
4.	Tabular interest	22,642						22,642		
5.	Tabular less actual reserve released	0								
6.	Increase in reserve on account of change in valuation basis	0								
7.	Other increases (net)	0								
8.	Totals (Lines 1 to 7)	806,011	0	0	0	0	0	806,011	0	0
9.	Tabular cost	369,820						369,820		
10.	Reserves released by death	4,429						4,429		
11.	Reserves released by other terminations (net)	0								
12.	Annuity, supplementary contract and disability payments involving life contingencies	0								
13.	Net transfers to or (from) Separate Accounts	0								
14.	Total Deductions (Lines 9 to 13)	374,249	0	0	0	0	0	374,249	0	0
15.	Reserve December 31 of current year	431,762	0	0	0	0	0	431,762	0	0
	Cash Surrender Value and Policy Loans									
16.	CSV Ending balance December 31, current year	0								
17.	Amount Available for Policy Loans Based upon Line 16 CSV	0								

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL ANNUITIES (a)

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	Other Annuities
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities without Guarantees		
	Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1.	Reserve December 31 of prior year	1,614,904,323	1,100,563,954	391,589,422	0	0	122,750,947	0
2.	Tabular net premiums or considerations	35,379,139	16,091,546	10,360,029			8,927,564	
3.	Present value of disability claims incurred	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4.	Tabular interest	52,769,836	35,547,470	12,663,791			4,558,575	
5.	Tabular less actual reserve released	(94,473)					(94,473)	
6.	Increase in reserve on account of change in valuation basis	0						
7.	Other increases (net)	233,327	(2,886,756)	120,083			3,000,000	
8.	Totals (Lines 1 to 7)	1,703,192,152	1,149,316,214	414,733,325	0	0	139,142,613	0
9.	Tabular cost	0						
10.	Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Reserves released by other terminations (net)	57,823,847	44,390,165	13,433,682				
12.	Annuity, supplementary contract and disability payments involving life contingencies	40,181,313	22,802,790	4,511,350			12,867,173	
13.	Net transfers to or (from) Separate Accounts	0						
14.	Total Deductions (Lines 9 to 13)	98,005,160	67,192,955	17,945,032	0	0	12,867,173	0
15.	Reserve December 31 of current year	1,605,186,992	1,082,123,259	396,788,293	0	0	126,275,440	0
	Cash Surrender Value and Policy Loans							
16.	CSV Ending balance December 31, current year	1,426,146,969	1,039,774,116	386,372,853				
17.	Amount Available for Policy Loans Based upon Line 16 CSV	0						

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP ANNUITIES (a)

(N/A Fraternal)

		1	Deferred				6	7
			2	3	4	5		
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities without Guarantees	Life Contingent Payout (Immediate and Annuitizations)	Other Annuities
	Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1.	Reserve December 31 of prior year							
2.	Tabular net premiums or considerations							
3.	Present value of disability claims incurred	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4.	Tabular interest							
5.	Tabular less actual reserve released							
6.	Increase in reserve on account of change in valuation basis							
7.	Other increases (net)							
8.	Totals (Lines 1 to 7)							
9.	Tabular cost							
10.	Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Reserves released by other terminations (net)							
12.	Annuity, supplementary contract and disability payments involving life contingencies							
13.	Net transfers to or (from) Separate Accounts							
14.	Total Deductions (Lines 9 to 13)							
15.	Reserve December 31 of current year							
	Cash Surrender Value and Policy Loans							
16.	CSV Ending balance December 31, current year							
17.	Amount Available for Policy Loans Based upon Line 16 CSV							

NONE

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

EXHIBIT OF NET INVESTMENT INCOME

		1 Collected During Year	2 Earned During Year
1.	U.S. Government bonds	(a) 532,507	531,673
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a) 82,666,614	83,174,234
1.3	Bonds of affiliates	(a) 0	0
2.1	Preferred stocks (unaffiliated)	(b) 261,750	261,750
2.11	Preferred stocks of affiliates	(b) 0	0
2.2	Common stocks (unaffiliated)	2,462	2,462
2.21	Common stocks of affiliates	0	0
3.	Mortgage loans	(c) 24,795,028	24,795,028
4.	Real estate	(d) 2,207,713	2,207,713
5	Contract loans	2,494,498	2,479,814
6	Cash, cash equivalents and short-term investments	(e) 499,948	608,810
7	Derivative instruments	(f) 0	0
8.	Other invested assets	2,805,862	2,805,862
9.	Aggregate write-ins for investment income	0	0
10.	Total gross investment income	116,266,382	116,867,346
11.	Investment expenses		(g) 2,763,793
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g) 308,740
13.	Interest expense		(h) 0
14.	Depreciation on real estate and other invested assets		(i) 611,945
15.	Aggregate write-ins for deductions from investment income		0
16.	Total deductions (Lines 11 through 15)		3,684,478
17.	Net investment income (Line 10 minus Line 16)		113,182,868
	DETAILS OF WRITE-INS		
0901.			
0902.			
0903.			
0998.	Summary of remaining write-ins for Line 9 from overflow page	0	0
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.			
1502.			
1503.			
1598.	Summary of remaining write-ins for Line 15 from overflow page		0
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

(a) Includes $ 2,042,203 accrual of discount less $ 5,207,749 amortization of premium and less $ 1,754,743 paid for accrued interest on purchases.

(b) Includes $ 0 accrual of discount less $ 0 amortization of premium and less $ 0 paid for accrued dividends on purchases.

(c) Includes $ 0 accrual of discount less $ (1,162,849) amortization of premium and less $ 0 paid for accrued interest on purchases.

(d) Includes $ 291,168 for company's occupancy of its own buildings; and excludes $ 0 interest on encumbrances.

(e) Includes $ 408,108 accrual of discount less $ (10,171) amortization of premium and less $ 0 paid for accrued interest on purchases.

(f) Includes $ 0 accrual of discount less $ 0 amortization of premium.

(g) Includes $ 0 investment expenses and $ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.

(h) Includes $ 0 interest on surplus notes and $ interest on capital notes.

(i) Includes $ 611,945 depreciation on real estate and $ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds	0	0	0	0	0
1.1	Bonds exempt from U.S. tax			0		
1.2	Other bonds (unaffiliated)	30,879	(3,576,547)	(3,545,668)	(108,850)	0
1.3	Bonds of affiliates	0	0	0	0	0
2.1	Preferred stocks (unaffiliated)	0	0	0	0	0
2.11	Preferred stocks of affiliates	0	0	0	0	0
2.2	Common stocks (unaffiliated)	(36)	0	(36)	(15,355)	0
2.21	Common stocks of affiliates	0	0	0	0	0
3.	Mortgage loans	0	0	0	0	0
4.	Real estate		0	0		0
5.	Contract loans			0		
6.	Cash, cash equivalents and short-term investments			0	(279,912)	
7.	Derivative instruments	2,388,741		2,388,741	(1,574,454)	
8.	Other invested assets	0	0	0	(5,722)	0
9.	Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10.	Total capital gains (losses)	2,419,584	(3,576,547)	(1,156,963)	(1,984,293)	0
	DETAILS OF WRITE-INS					
0901.				0		
0902.						
0903.						
0998.	Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

		Insurance										
	1	2	Ordinary		5	Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
FIRST YEAR (other than single)												
1. Uncollected	(4,418)	0	(4,418)	0	0	0	0	0	0	0	0	
2. Deferred and accrued	518,770	0	518,770	0	0	0	0	0	0	0	0	
3. Deferred , accrued and uncollected:												
3.1 Direct	524,235	0	524,235	0	0	0	0	0	0	0	0	
3.2 Reinsurance assumed	0											
3.3 Reinsurance ceded	9,883	0	9,883	0	0	0	0	0	0	0	0	
3.4 Net (Line 1 + Line 2)	514,352	0	514,352	0	0	0	0	0	0	0	0	0
4. Advance	2,813	0	2,813	0	0	0	0	0	0	0	0	
5. Line 3.4 - Line 4	511,539	0	511,539	0	0	0	0	0	0	0	0	0
6. Collected during year:												
6.1 Direct	8,114,490	0	3,743,210	4,372,965	0	0	0	0	0	(1,685)	0	
6.2 Reinsurance assumed	0											
6.3 Reinsurance ceded	88,802	0	86,755	0	0	0	0	0	0	2,047	0	
6.4 Net	8,025,688	0	3,656,455	4,372,965	0	0	0	0	0	(3,732)	0	0
7. Line 5 + Line 6.4	8,537,227	0	4,167,994	4,372,965	0	0	0	0	0	(3,732)	0	0
8. Prior year (uncollected + deferred and accrued - advance)	521,157	0	521,155	0	0	0	0	0	0	2	0	0
9. First year premiums and considerations:												
9.1 Direct	8,107,412	0	3,736,134	4,372,965	0	0	0	0	0	(1,687)	0	
9.2 Reinsurance assumed	0											
9.3 Reinsurance ceded	91,342	0	89,295	0	0	0	0	0	0	2,047	0	
9.4 Net (Line 7 - Line 8)	8,016,070	0	3,646,839	4,372,965	0	0	0	0	0	(3,734)	0	0
SINGLE												
10. Single premiums and considerations:												
10.1 Direct	35,648,611	0	5,045,745	29,058,535	718,371	0	0	0	825,960	0	0	
10.2 Reinsurance assumed	0											
10.3 Reinsurance ceded	0											
10.4 Net	35,648,611	0	5,045,745	29,058,535	718,371	0	0	0	825,960	0	0	0
RENEWAL												
11. Uncollected	543,009	0	477,607	0	0	0	0	0	0	65,402	0	
12. Deferred and accrued	10,903,220	0	10,903,220	0	0	0	0	0	0	0	0	
13. Deferred, accrued and uncollected:												
13.1 Direct	12,653,048	0	12,587,646	0	0	0	0	0	0	65,402	0	
13.2 Reinsurance assumed	0											
13.3 Reinsurance ceded	1,206,821	0	1,206,821	0	0	0	0	0	0	0	0	
13.4 Net (Line 11 + Line 12)	11,446,227	0	11,380,825	0	0	0	0	0	0	65,402	0	0
14. Advance	685,256	0	190,346	0	0	0	0	0	0	494,910	0	
15. Line 13.4 - Line 14	10,760,971	0	11,190,479	0	0	0	0	0	0	(429,508)	0	0
16. Collected during year:												
16.1 Direct	51,571,870	0	46,303,213	1,449,238	0	0	0	0	0	3,819,419	0	
16.2 Reinsurance assumed	0											
16.3 Reinsurance ceded	6,303,540	0	4,974,464	0	0	0	0	0	0	1,329,076	0	
16.4 Net	45,268,330	0	41,328,749	1,449,238	0	0	0	0	0	2,490,343	0	0
17. Line 15 + Line 16.4	56,029,301	0	52,519,228	1,449,238	0	0	0	0	0	2,060,835	0	0
18. Prior year (uncollected + deferred and accrued - advance)	10,628,841	0	11,093,865	0	0	0	0	0	0	(465,024)	0	0
19. Renewal premiums and considerations:												
19.1 Direct	51,666,415	0	46,362,245	1,449,238	0	0	0	0	0	3,854,932	0	
19.2 Reinsurance assumed	0											
19.3 Reinsurance ceded	6,265,956	0	4,936,880	0	0	0	0	0	0	1,329,076	0	
19.4 Net (Line 17 - Line 18)	45,400,459	0	41,425,365	1,449,238	0	0	0	0	0	2,525,856	0	0
TOTAL												
20. Total premiums and annuity considerations:												
20.1 Direct	95,422,438	0	55,144,124	34,880,738	718,371	0	0	0	825,960	3,853,245	0	0
20.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
20.3 Reinsurance ceded	6,357,298	0	5,026,175	0	0	0	0	0	0	1,331,123	0	0
20.4 Net (Lines 9.4 + 10.4 + 19.4)	89,065,140	0	50,117,949	34,880,738	718,371	0	0	0	825,960	2,522,122	0	0

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

		Insurance										
		1	2	Ordinary		5	Group		Accident and Health			11	12
				3	4		6	7	8	9	10		
		Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
	POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21.	To pay renewal premiums	1,254,324	0	1,254,324	0	0	0	0	0	0	0	0	
22.	All other	4,846,079	0	4,846,079	0	0	0	0	0	0	0	0	
	REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23.	First year (other than single):												
	23.1 Reinsurance ceded	1,545		167							1,378		
	23.2 Reinsurance assumed	0											
	23.3 Net ceded less assumed	1,545	0	167	0	0	0	0	0	0	1,378	0	0
24.	Single:												
	24.1 Reinsurance ceded	0											
	24.2 Reinsurance assumed	0											
	24.3 Net ceded less assumed	0	0	0	0	0	0	0	0	0	0	0	0
25.	Renewal:												
	25.1 Reinsurance ceded	581,197		277,258							303,939		
	25.2 Reinsurance assumed	0											
	25.3 Net ceded less assumed	581,197	0	277,258	0	0	0	0	0	0	303,939	0	0
26.	Totals:												
	26.1 Reinsurance ceded (Page 6, Line 6)	582,742	0	277,425	0	0	0	0	0	0	305,317	0	0
	26.2 Reinsurance assumed (Page 6, Line 22)	0	0	0	0	0	0	0	0	0	0	0	0
	26.3 Net ceded less assumed	582,742	0	277,425	0	0	0	0	0	0	305,317	0	0
	COMMISSIONS INCURRED (direct business only)												
27.	First year (other than single)	3,234,964	0	2,552,067	681,978	0	0	0	0	0	919	0	
28.	Single	867,919	0	0	657,444	(59,294)	0	0	0	269,769	0	0	
29.	Renewal	3,018,370	0	2,209,021	500,212	0	0		0	0	309,137	0	
30.	Deposit-type contract funds	59,599			57,230			2,369					
31.	Totals (to agree with Page 6, Line 21)	7,180,852	0	4,761,088	1,896,864	(59,294)	0	2,369	0	269,769	310,056	0	0

EXHIBIT 2 - GENERAL EXPENSES

		Insurance				5	6	7
		1	Accident and Health		4			
		Life	2 Cost Containment	3 All Other	All Other Lines of Business	Investment	Fraternal	Total
1.	Rent	254,148	0	38,266	0	502		292,916
2.	Salaries and wages	5,022,821	0	178,771	0	662,334		5,863,926
3.11	Contributions for benefit plans for employees	872,011	0	23,506	0	90,991	0	986,508
3.12	Contributions for benefit plans for agents	0	0	0	0	0	0	0
3.21	Payments to employees under non-funded benefit plans	0	0	0	0	0	0	0
3.22	Payments to agents under non-funded benefit plans	0	0	0	0	0	0	0
3.31	Other employee welfare	5,570	0	0	0	0	0	5,570
3.32	Other agent welfare	0	0	0	0	0	0	0
4.1	Legal fees and expenses	278,589	0	22,873	0	31,402	0	332,864
4.2	Medical examination fees	302,047	0	22	0	0	0	302,069
4.3	Inspection report fees	47,491	0	0	0	0	0	47,491
4.4	Fees of public accountants and consulting actuaries	62,016	0	6,198	0	(3,146)	0	65,068
4.5	Expense of investigation and settlement of policy claims	64,951	0	6	0	0	0	64,957
5.1	Traveling expenses	34,420	0	1,655	0	943	0	37,018
5.2	Advertising	316,264	0	77	0	0	0	316,341
5.3	Postage, express, telegraph and telephone	60,202	0	964	0	(530)	0	60,636
5.4	Printing and stationery	135,915	0	10,913	0	4,007	0	150,835
5.5	Cost or depreciation of furniture and equipment	30,884	0	2,950	0	1,771	0	35,605
5.6	Rental of equipment	364,771	0	1,689	0	0	0	366,460
5.7	Cost or depreciation of EDP equipment and software	1,458,110	0	6,853	0	0	0	1,464,963
6.1	Books and periodicals	2,525	0	88	0	5,086	0	7,699
6.2	Bureau and association fees	86,920	0	8,231	0	325	0	95,476
6.3	Insurance, except on real estate	140	0	7	0	32	0	179
6.4	Miscellaneous losses	(5,800)	0	62	0	(99)	0	(5,837)
6.5	Collection and bank service charges	0	0	6	0	81,671	0	81,677
6.6	Sundry general expenses	143,775	0	2,688	0	47,670	0	194,133
6.7	Group service and administration fees	1,073	0	0	0	0	0	1,073
6.8	Reimbursements by uninsured plans	0	0	0	0	0	0	0
7.1	Agency expense allowance	0	0	0	0	0	0	0
7.2	Agents' balances charged off (less $ 693 recovered)	273,864	0	1,461	0	0	0	275,325
7.3	Agency conferences other than local meetings	459,273	0	10	0	0	0	459,283
8.1	Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
8.2	Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
9.1	Real estate expenses	0	0	0	0	1,447,597	0	1,447,597
9.2	Investment expenses not included elsewhere	0	0	0	0	389,703	0	389,703
9.3	Aggregate write-ins for expenses	96,515	0	3,777	0	3,532	0	103,824
10.	General expenses incurred	10,368,495	0	311,073	0	2,763,791	(b) 0	(a) 13,443,359
11.	General expenses unpaid Dec. 31, prior year	188,522	0	5,754	0	48,575	0	242,851
12.	General expenses unpaid Dec. 31, current year	161,114	0	4,834	0	42,946	0	208,894
13.	Amounts receivable relating to uninsured plans, prior year	0	0	0	0	0	0	0
14.	Amounts receivable relating to uninsured plans, current year	0	0	0	0	0	0	0
15.	General expenses paid during year (Lines 10+11-12-13+14)	10,395,903	0	311,993	0	2,769,420	0	13,477,316
	DETAILS OF WRITE-INS							
09.301.	Special Service Fees	96,515	0	3,777	0	3,532	0	103,824
09.302.								
09.303.								
09.398.	Summary of remaining write-ins for Line 9.3 from overflow page	0	0	0	0	0	0	0
09.399.	Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	96,515	0	3,777	0	3,532	0	103,824

(a) Includes management fees of $ 1,470,293 to affiliates and $ 0 to non-affiliates.

(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):
1. Charitable $ 0 ; 2. Institutional $ 0 ; 3. Recreational and Health $ 0 ; 4. Educational $ 0
5. Religious $ 0 ; 6. Membership $ 0 ; 7. Other $ 0 ; 8. Total $ 0

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5	6
		1 Life	2 Accident and Health	3 All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes	0	0	0	293,344	0	293,344
2.	State insurance department licenses and fees	382,295	14,498	0	19	0	396,812
3.	State taxes on premiums	1,170,566	104,594	0	0	0	1,275,160
4.	Other state taxes, including $ 0 for employee benefits	19,654	104	0	240	0	19,998
5.	U.S. Social Security taxes	260,870	12,729	0	14,924	0	288,523
6.	All other taxes	11,014	732	0	214	0	11,960
7.	Taxes, licenses and fees incurred	1,844,399	132,657	0	308,741	0	2,285,797
8.	Taxes, licenses and fees unpaid Dec. 31, prior year	268,511	20,344	0	26,247	0	315,102
9.	Taxes, licenses and fees unpaid Dec. 31, current year	201,191	14,469	0	33,678	0	249,338
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	1,911,719	138,532	0	301,310	0	2,351,561

EXHIBIT 4 - DIVIDENDS OR REFUNDS

		1 Life	2 Accident and Health
1.	Applied to pay renewal premiums	1,386,013	0
2.	Applied to shorten the endowment or premium-paying period		
3.	Applied to provide paid-up additions	4,846,079	
4.	Applied to provide paid-up annuities		
5.	Total Lines 1 through 4	6,232,092	0
6.	Paid in cash	198,025	
7.	Left on deposit	538,997	
8.	Aggregate write-ins for dividend or refund options	0	0
9.	Total Lines 5 through 8	6,969,114	0
10.	Amount due and unpaid	2,704	
11.	Provision for dividends or refunds payable in the following calendar year	7,017,056	
12.	Terminal dividends	105,723	
13.	Provision for deferred dividend contracts		
14.	Amount provisionally held for deferred dividend contracts not included in Line 13		
15.	Total Lines 10 through 14	7,125,483	0
16.	Total from prior year	7,257,173	0
17.	Total dividends or refunds (Lines 9 + 15 - 16)	6,837,424	0
	DETAILS OF WRITE-INS		
0801.			
0802.			
0803.			
0898.	Summary of remaining write-ins for Line 8 from overflow page	0	0
0899.	Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)	0	0

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

	1 Valuation Standard	2 Total [a]	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0100001.	1941 CSO ANB 2-1/2% CRVM 1954-1961 CRF	3,671,213		3,671,213		
0100002.	1941 CSO ANB 2-1/2% NL 1954-1961 CRF	1,784,929		1,784,929		
0100003.	1958 CET ANB 3% NL 1961-1980 CRF	1,436,805		1,436,805		
0100004.	1958 CET ANB 3-1/2% NL 1968-1981 CRF	122,416		122,416		
0100005.	1958 CET ANB 4-1/2% NL 1981-1987 CRF	971,988		971,988		
0100006.	1958 CSO ANB 3% MOD 1961-1980 CRF	36,767,599		36,767,599		
0100007.	1958 CSO ANB 3% NL 1961-1980 CRF	13,309,578		13,309,578		
0100008.	1958 CSO ANB 3-1/2% MOD 1968-1980 CRF	1,243,124		1,243,124		
0100009.	1958 CSO ANB 3-1/2% NL 1968-1980 CRF	1,121,215		1,121,215		
0100010.	1958 CSO ANB 4-1/2% CRVM 1981-1987 CRF	30,726,090		30,726,090		
0100011.	1958 CSO ANB 4-1/2% NL 1981-1987 CRF	15,591,302		15,591,302		
0100012.	1971 IAM ANB 3-1/2% 1961 - 1974 CRF	119,229		119,229		
0100013.	1971 IAM ANB 6% 1975 - 1978 CRF	2,418		2,418		
0100014.	1980 CET ANB 4% NL 1994-2007 CRF	2,059,506		2,059,506		
0100015.	1980 CET ANB 4-1/2% NL 1988 - 1994 CRF	1,972,532		1,972,532		
0100016.	1980 CSO ANB 4% CRVM 1994-2007 CRF	95,807,132		95,807,132		
0100017.	1980 CSO ANB 4% CRVM 2006-2008 CNF ISL	7,409,865		7,409,865		
0100018.	1980 CSO ANB 4% NL 1994-2007 CNF	1,938,497		1,938,497		
0100019.	1980 CSO ANB 4% NL 1994-2007 CRF	26,413,317		26,413,317		
0100020.	1980 CSO ANB 4-1/2% CRVM 1987-1994 CRF	81,932,182		81,932,182		
0100021.	1980 CSO ANB 4-1/2% CRVM 1995-2005 CNF ISL	41,634,192		41,634,192		
0100022.	1980 CSO ANB 4-1/2% CRVM 1996-2005 CNF	6,717,572		6,717,572		
0100023.	1980 CSO ANB 4-1/2% NL 1987-1994 CRF	26,990,955		26,990,955		
0100024.	1980 CSO ANB 4-1/2% NL 1994-2005 CNF	8,676,887		8,676,887		
0100025.	1980 CSO ANB 5% NL 1990 CRF	77,802		77,802		
0100026.	1980 CSO ANB 5%-5-1/4% CRVM 1993-1994,1998-1999 CNF ISL	8,282,070		8,282,070		
0100027.	1980 CSO ANB 5-1/2% -5-3/4% CRVM 1987-97 (no 95) CNF ISL	34,659,200		34,659,200		
0100028.	1980 CSO ANB 5-1/2% NL 1990-1991 CRF	51,192		51,192		
0100029.	1980 CSO ANB 6% - 6-1/4% CRVM 1983-86, 92, 95 CNF ISL	33,275,737		33,275,737		
0100030.	1980 CSO ANB 6-1/2% - 6-3/4% CRVM 1990-1991 CNF ISL	614,326		614,326		
0100031.	1980 CSO ANB 7% CRVM 1988-1989 CNF ISL	389,762		389,762		
0100032.	2001 CSO ANB 4% CRVM 2006-2012 CNF ISL	40,809,219		40,809,219		
0100033.	2001 CSO ANB 3-1/2% CRVM 2013-2019 CNF ISL	44,480,508		44,480,508		
0100034.	2001 CSO ALB 3-1/2% CRVM 2013-2019 CNF ISL	9,268,104		9,268,104		
0100035.	2001 CSO ALB 4% CRVM 2012 CNF ISL	786,542		786,542		
0100036.	2001 CSO ANB 3-1/2% CRVM 2013- CNF	7,758,110		7,758,110		
0100037.	2001 CSO ANB 4% CRVM 2006-2007, 2011-2012 CNF	1,375,180		1,375,180		
0100038.	2001 CSO ALB 3-1/2%-3-3/4% CRVM 2013- CNF	1,768,814		1,337,052	431,762	
0100039.	2001 CSO ALB 4% CRVM 2011-2012 CNF	266,080		266,080		
0100040.	2001 CSO ANB 4% CRVM 2006-2012 CRF	52,382,161		52,382,161		
0100041.	2001 CSO ANB 4% NL 2007-2012 CRF	4,815,152		4,815,152		
0100042.	2001 CSO ANB 4-1/2% CRVM 2005 CRF	914,929		914,929		
0100043.	2001 CSO Preferred Class Structure ANB 4% CRVM 2007-2012 CRF	15,179,799		15,179,799		
0100044.	2001 CSO Preferred Class Structure ANB 4% NL 2007-2012 CRF	3,440,588		3,440,588		
0100045.	2001 CSO ANB 3-1/2% CRVM 2012- CRF	36,814,658		36,814,658		
0100046.	2001 CSO Preferred Class Structure ANB 3-1/2% CRVM 2013- CRF	21,158,516		21,158,516		
0100047.	2001 CSO Preferred Class Structure ANB 3-1/2% NL 2013- CRF	3,226,099		3,226,099		
0100048.	2001 CSO ANB 3-1/2% NL 2013- CRF	2,525,152		2,525,152		
0100049.	2017 CSO ANB 3-1/2% CRVM 2018- CRF	457,706		457,706		
0100050.	2017 CSO ANB 3-1/2% NL 2018- CRF	599,909		599,909		
0100051.	2017 CSO ANB 3-1/2% CRVM 2019- CNF	870,834		870,834		
0100052.	2017 CSO ANB 3-1/2% CRVM 2019- CNF ISL	734,769		734,769		
0100053.	2017 CSO ALB 3-1/2% CRVM 2020 CNF ISL	123,506		123,506		
0199997.	Totals (Gross)	735,526,967	0	735,095,205	431,762	0
0199998.	Reinsurance ceded	20,859,685		20,859,685		
0199999.	Life Insurance: Totals (Net)	714,667,282	0	714,235,520	431,762	0
0200001.	2012 IAR ANB 1-1/4% 2020 IMM FI	227,327	XXX	227,327	XXX	
0200002.	2012 IAR ANB 1-1/2% - 1-3/4% 2020 IMM FI	923,303	XXX	923,303	XXX	
0200003.	2012 IAR ANB 2% - 2-1/4% 2020 IMM FI	1,824,351	XXX	1,824,351	XXX	
0200004.	2012 IAR ANB 2-1/2% - 2-3/4% 2020 IMM FI	1,918,499	XXX	1,918,499	XXX	
0200005.	2012 IAR ANB 3% 2020 IMM FI	3,025,563	XXX	3,025,563	XXX	
0200006.	2012 IAR ANB 3-1/2% - 3-3/4% 2015, 2017-2019 IMM FI	52,055,879	XXX	52,055,879	XXX	
0200007.	2012 IAR ANB 4% 1982-2016 IMM FI	17,136,808	XXX	17,136,808	XXX	
0200008.	a-2000 ANB 3-3/4% 2013 IMM FI	7,401,519	XXX	7,401,519	XXX	
0200009.	a-2000 ANB 4%-4-1/4% 2012,2014 IMM FI	10,714,490	XXX	10,714,490	XXX	
0200010.	a-2000 ANB 4-3/4% 2011 IMM FI	2,408,891	XXX	2,408,891	XXX	
0200011.	a-2000 ANB 5% 2010 IMM FI	288,058	XXX	288,058	XXX	
0200012.	2012 IAR ANB 3-1/4% 2020 DEF FI	20,817,295	XXX	20,817,295	XXX	
0200013.	2012 IAR ANB 3-1/2% - 3-3/4% 2015-2019 DEF FI	1,141,736,781	XXX	1,141,736,781	XXX	
0200014.	a 2000 ANB 3-1/2% - 3-3/4% 2012-2014 DEF FI	27,961,004	XXX	27,961,004	XXX	
0200015.	a-2000 ANB 4%-4-1/4% 2010-2011,2014 DEF FI	38,907,370	XXX	38,907,370	XXX	
0200016.	a-2000 ANB 4-1/2% 2010 DEF FI	2,059,642	XXX	2,059,642	XXX	
0200017.	NONLIFE 2-3/4% 1974-1976, 1979-1980 DEF FI	33,302	XXX	33,302	XXX	
0200018.	NONLIFE 3-1/2% - 3-3/4% 2012-2013 DEF FI	14,146,151	XXX	14,146,151	XXX	
0200019.	NONLIFE 4-1/4% 2010-2011 DEF FI	11,119,391	XXX	11,119,391	XXX	
0200020.	NONLIFE 4-1/2% - 4-3/4% 2003-2008,2010 DEF FI	38,787,284	XXX	38,787,284	XXX	
0200021.	NONLIFE 5% - 5- 1/4% 1994,1996-1999,2001-2003,2009 DEF FI	90,452,711	XXX	90,452,711	XXX	
0200022.	NONLIFE 5-1/2% - 5-3/4% 1993-1997,2000-2002 DEF FI	24,045,049	XXX	24,045,049	XXX	

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1 Valuation Standard	2 Total [a]	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0200023. NONLIFE 6% - 6-1/4% 1976-1979,1987,1990-1992,1995 DEF FI	38,912,789	XXX	38,912,789	XXX	
0200024. NONLIFE 6-1/2% - 6-3/4% 1986,1988-1989 DEF FI	10,347,594	XXX	10,347,594	XXX	
0200025. NONLIFE 7-1/2% 1980-1982 DEF FI	7,935,574	XXX	7,935,574	XXX	
0200026. NONLIFE 8% - 8-1/4% 1983- 1985 DEF FI	5,649,614	XXX	5,649,614	XXX	
0299997. Totals (Gross)	1,570,836,239	XXX	1,570,836,239	XXX	0
0299998. Reinsurance ceded	0	XXX		XXX	
0299999. Annuities: Totals (Net)	1,570,836,239	XXX	1,570,836,239	XXX	0
0300001. 2012 IAR ANB 1-3/4% 2020 IMM FI	100,080		100,080		
0300002. 2012 IAR ANB 2% - 2-1/4% 2020 IMM FI	374,178		374,178		
0300003. 2012 IAR ANB 3% 2020 IMM FI	108,499		108,499		
0300004. 2012 IAR ANB 3-1/2% - 3-3/4% 1962-1981, 2015, 2017-2019 IMM FI	4,015,067		4,015,067		
0300005. 2012 IAR ANB 4% 1982-2016 IMM FI	19,152,929		19,152,929		
0399997. Totals (Gross)	23,750,753	0	23,750,753	0	0
0399998. Reinsurance ceded	0				
0399999. SCWLC: Totals (Net)	23,750,753	0	23,750,753	0	0
0400001. 1958 ADB / 1958 CSO 3% 1961-1987	980		980		
0400002. 1958 ADB / 2001 CSO 4% 2007-2012	15,791		15,791		
0400003. 1958 ADB / 2001 CSO 3-1/2% 2013-	14,345		14,345		
0499997. Totals (Gross)	31,116	0	31,116	0	0
0499998. Reinsurance ceded	0				
0499999. Accidental Death Benefits: Totals (Net)	31,116	0	31,116	0	0
0500001. 1952 DIS Study / 1958 CSO 3% 1961-2007, 2013-	718,136		718,136		
0500002. 1952 DIS Study / 2001 CSO 4.5% 2005	73,611		73,611		
0500003. 1952 DIS Study / 2001 CSO 4% 2007 -	874,609		874,609		
0500004. 1952 DIS Study / 2017 CSO 3.5% 2018-	1,821		1,821		
0599997. Totals (Gross)	1,668,177	0	1,668,177	0	0
0599998. Reinsurance ceded	0				
0599999. Disability-Active Lives: Totals (Net)	1,668,177	0	1,668,177	0	0
0600001. 150% CLASS(3)/1941 CSO 2.5%	628		628		
0600002. 1952 DIS STUDY/1958 CSO 3%	3,415,686		3,415,686		
0600003. Incurred But Not Reported	111,934		111,934		
0699997. Totals (Gross)	3,528,248	0	3,528,248	0	0
0699998. Reinsurance ceded	0				
0699999. Disability-Disabled Lives: Totals (Net)	3,528,248	0	3,528,248	0	0
0700001. Imm. Payment Claims 1941 CSO ANB 2-1/2% CRVM 1954-1961 CRF	45,890		45,890		
0700002. Imm. Payment Claims 1941 CSO ANB 2-1/2% NL 1954-1961 CRF	22,312		22,312		
0700003. Imm. Payment Claims 1958 CET ANB 3% NL 1961-1980 CRF	21,552		21,552		
0700004. Imm. Payment Claims 1958 CET ANB 3-1/2% NL 1968-1981 CRF	2,142		2,142		
0700005. Imm. Payment Claims 1958 CET ANB 4-1/2% NL 1981-1987 CRF	21,870		21,870		
0700006. Imm. Payment Claims 1958 CSO ANB 3% MOD 1961-1980 CRF	551,514		551,514		
0700007. Imm. Payment Claims 1958 CSO ANB 3% NL 1961-1980 CRF	199,638		199,638		
0700008. Imm. Payment Claims 1958 CSO ANB 3-1/2% MOD 1968-1980 CRF	21,755		21,755		
0700009. Imm. Payment Claims 1958 CSO ANB 3-1/2% NL 1968-1980 CRF	19,621		19,621		
0700010. Imm. Payment Claims 1958 CSO ANB 4-1/2% CRVM 1981-1987 CRF	691,337		691,337		
0700011. Imm. Payment Claims 1958 CSO ANB 4-1/2% NL 1981-1987 CRF	350,804		350,804		
0700012. Imm. Payment Claims 1980 CET ANB 4% NL 1994-2007 CRF	41,190		41,190		
0700013. Imm. Payment Claims 1980 CET ANB 4-1/2% NL 1988 - 1994 CRF	44,382		44,382		
0700014. Imm. Payment Claims 1980 CSO ANB 4% CRVM 1994-2007 CRF	1,916,143		1,916,143		
0700015. Imm. Payment Claims 1980 CSO ANB 4% NL 1994-2007 CRF	528,266		528,266		
0700016. Imm. Payment Claims 1980 CSO ANB 4-1/2% CRVM 1987-1994 CRF	1,843,474		1,843,474		
0700017. Imm. Payment Claims 1980 CSO ANB 4-1/2% NL 1987-1994 CRF	607,296		607,296		
0700018. Imm. Payment Claims 1980 CSO ANB 5% NL 1990 CRF	1,945		1,945		
0700019. Imm. Payment Claims 1980 CSO ANB 5-1/2% NL 1990-1991 CRF	1,408		1,408		
0700020. Imm. Payment Claims 2001 CSO ANB 4% CRVM 2006-2012 CRF	1,058,395		1,058,395		
0700021. Imm. Payment Claims 2001 CSO ANB 4% NL 2007-2012 CRF	96,301		96,301		
0700022. Imm. Payment Claims 2001 CSO ANB 4-1/2% CRVM 2005 CRF	20,586		20,586		
0700023. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 4% CRVM 2007-2012 CRF	312,151		312,151		
0700024. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 4% NL 2007-2012 CRF	68,812		68,812		
0700025. Imm. Payment Claims 2001 CSO ANB 3-1/2% CRVM 2012- CRF	649,194		649,194		
0700026. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 3-1/2% CRVM 2013- CRF	406,974		406,974		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1 Valuation Standard	2 Total [a]	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0700027. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 3-1/2% NL 2013- CRF	56,457		56,457		
0700028. Imm. Payment Claims 2001 CSO ANB 3-1/2% NL 2013- CRF	44,190		44,190		
0700029. Imm. Payment Claims 1971 IAM ANB 3-1/2% 1961 - 1974 CRF	2,087		2,087		
0700030. Imm. Payment Claims 1971 IAM ANB 6% 1975 - 1978 CRF	73		73		
0700031. Imm. Payment Claims 2017 CSO ANB 3-1/2% CRVM 2018- CRF	8,112		8,112		
0700032. Imm. Payment Claims 2017 CSO ANB 3-1/2% NL 2018- CRF	10,499		10,499		
0700033. Guaranteed Insurability Rider 1963-	1,384,611		1,384,611		
0700034. Multiple Tables 1958 CSO ANB 3% NL 1964-1981 CRF	3,558		3,558		
0700035. Multiple Tables 1958 CSO ANB 3-1/2% NL 1968-1976 CRF	532		532		
0700036. Multiple Tables 1958 CSO ANB 4-1/2% NL 1982-1985 CRF	214		214		
0700037. Group Conversions 1966-	15,926		15,926		
0700038. For excess of valuation net premiums over corresponding gross premiums on respective policies, computed according to the standard of valuation required by the state	13,032,743		13,032,743		
0700039. For non-deduction of deferred fractional premiums or return of premiums at the death of the insured	2,003,315		2,003,315		
0700040. Additional actuarial reserves- asset/liability analysis	42,800,000		42,800,000		
0799997. Totals (Gross)	68,907,269	0	68,907,269	0	0
0799998. Reinsurance ceded	697,366		697,366		
0799999. Miscellaneous Reserves: Totals (Net)	68,209,903	0	68,209,903	0	0
9999999. Totals (Net) - Page 3, Line 1	2,382,691,718	0	2,382,259,956	431,762	0

(a) Included in the above table are amounts of deposit-type contracts that originally contained a mortality risk. Amounts of deposit-type contracts in Column 2 that no longer contain a mortality risk are Life Insurance $; Annuities $; Supplementary Contracts with Life Contingencies $; Accidental Death Benefits $; Disability - Active Lives $; Disability - Disabled Lives $; Miscellaneous Reserves $.

EXHIBIT 5 - INTERROGATORIES

1.1 Has the reporting entity ever issued both participating and non-participating contracts? ..... Yes [X] No [ ]

1.2 If not, state which kind is issued.

2.1 Does the reporting entity at present issue both participating and non-participating contracts? ..... Yes [X] No [ ]

2.2 If not, state which kind is issued.

3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements? ..... Yes [X] No [ ]
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

4. Has the reporting entity any assessment or stipulated premium contracts in force? Yes [ ] No [X]
If so, state:
4.1 Amount of insurance? ..... $
4.2 Amount of reserve? ..... $
4.3 Basis of reserve:
4.4 Basis of regular assessments:
4.5 Basis of special assessments:
4.6 Assessments collected during the year ..... $

5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? ..... Yes [ ] No [X]
6.1 If so, state the amount of reserve on such contracts on the basis actually held: ..... $
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: ..... $
Attach statement of methods employed in their valuation.

7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [X]
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements ..... $
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:
7.3 State the amount of reserves established for this business: ..... $
7.4 Identify where the reserves are reported in the blank:

8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [X]
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: ..... $
8.2 State the amount of reserves established for this business: ..... $
8.3 Identify where the reserves are reported in the blank:

9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? ..... Yes [ ] No [X]
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: ..... $
9.2 State the amount of reserves established for this business: ..... $
9.3 Identify where the reserves are reported in the blank:

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
	NONE		
9999999 - Total (Column 4, only)			

EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS (a)

		1	Comprehensive		4	5	6	7	8	9	10	11	12	13
			2	3				Federal Employees Health Benefits Plan						
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only		Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
	ACTIVE LIFE RESERVE													
1.	Unearned premium reserves	692,207									0	692,207		
2.	Additional contract reserves (b)	9,372,478									677,583	8,694,895		
3.	Additional actuarial reserves-Asset/Liability analysis	0												
4.	Reserve for future contingent benefits	0												
5.	Reserve for rate credits	0												
6.	Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
7.	Totals (Gross)	10,064,685	0	0	0	0	0	0	0	0	677,583	9,387,102	0	0
8.	Reinsurance ceded	3,163,571										3,163,571		
9.	Totals (Net)	6,901,114	0	0	0	0	0	0	0	0	677,583	6,223,531	0	0
	CLAIM RESERVE													
10.	Present value of amounts not yet due on claims	11,638,427									329,968	11,308,459		
11.	Additional actuarial reserves-Asset/Liability analysis	0												
12.	Reserve for future contingent benefits	0												
13.	Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
14.	Totals (Gross)	11,638,427	0	0	0	0	0	0	0	0	329,968	11,308,459	0	0
15.	Reinsurance ceded	4,068,562										4,068,562		
16.	Totals (Net)	7,569,865	0	0	0	0	0	0	0	0	329,968	7,239,897	0	0
17.	TOTAL (Net)	14,470,979	0	0	0	0	0	0	0	0	1,007,551	13,463,428	0	0
18.	TABULAR FUND INTEREST	0												
	DETAILS OF WRITE-INS													
0601.														
0602.														
0603.														
0698.	Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
0699.	TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0	0	0	0	0	0	0	0
1301.														
1302.														
1303.														
1398.	Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
1399.	TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. Major Medical block is included in Column 11.

(b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.

Valuation standard for the additional contract reserves on Line 2 is: Issues prior to 1993, midterminal reserves use 1964 CDT and 1958 CSO with 4% interest. 1993-2007 - 1985 CIDA and 1980 CSO with 4-4.5% int. 2008-2012 - 1985 CIDA and 2001 CSO with 4% int. 2013-current - 1985 CIDA and 2001 CSO with 3.5% int. Reserve method is 2 year prelim term.

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1 Total	2 Guaranteed Interest Contracts	3 Annuities Certain	4 Supplemental Contracts	5 Dividend Accumulations or Refunds	6 Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	78,844,832	0	17,293,610	23,647,495	32,729,810	5,173,918
2. Deposits received during the year	9,544,929	0	1,832,522	3,572,696	538,756	3,600,955
3. Investment earnings credited to the account	2,495,360	0	507,830	835,577	914,986	236,967
4. Other net change in reserves	0	0	0	0	0	0
5. Fees and other charges assessed	0	0	0	0	0	0
6. Surrender charges	0	0	0	0	0	0
7. Net surrender or withdrawal payments	12,600,843	0	3,248,291	5,059,890	1,977,559	2,315,103
8. Other net transfers to or (from) Separate Accounts	0	0	0	0	0	0
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	78,284,278	0	16,385,671	22,995,878	32,205,993	6,696,737
10. Reinsurance balance at the beginning of the year	0	0	0	0	0	0
11. Net change in reinsurance assumed	0	0	0	0	0	0
12. Net change in reinsurance ceded	0	0	0	0	0	0
13. Reinsurance balance at the end of the year (Lines 10+11-12)	0	0	0	0	0	0
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	78,284,278	0	16,385,671	22,995,878	32,205,993	6,696,737

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year

		1	2	Ordinary			6	Group		Accident and Health		
				3	4	5		7	8	9	10	11
		Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:												
	1.1 Direct	0										
	1.2 Reinsurance assumed	0										
	1.3 Reinsurance ceded	0										
	1.4 Net	0	0	0	0	0	0	0	0	0	0	0
2. In course of settlement:												
2.1 Resisted	2.11 Direct	19,636		19,636								
	2.12 Reinsurance assumed	0										
	2.13 Reinsurance ceded	0										
	2.14 Net	19,636	0	(b) 19,636	(b) 0	0	(b) 0	(b) 0	0	0	0	0
2.2 Other	2.21 Direct	16,353,672		6,713,995	9,426,395		80,510				20,363	112,409
	2.22 Reinsurance assumed	0										
	2.23 Reinsurance ceded	655,423		624,258								31,165
	2.24 Net	15,698,249	0	(b) 6,089,737	(b) 9,426,395	0	(b) 80,510	(b) 0	0	(b) 0	(b) 20,363	(b) 81,244
3. Incurred but unreported:												
	3.1 Direct	699,113		575,459			12,172				50,385	61,097
	3.2 Reinsurance assumed	0										
	3.3 Reinsurance ceded	204,134		200,878			0				0	3,256
	3.4 Net	494,979	0	(b) 374,581	(b) 0	0	(b) 12,172	(b) 0	0	(b) 0	(b) 50,385	(b) 57,841
4. TOTALS	4.1 Direct	17,072,421	0	7,309,090	9,426,395	0	92,682	0	0	0	70,748	173,506
	4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
	4.3 Reinsurance ceded	859,557	0	825,136	0	0	0	0	0	0	0	34,421
	4.4 Net	16,212,864	(a) 0	(a) 6,483,954	9,426,395	0	92,682	(a) 0	0	0	70,748	139,085

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to $ ..... in Column 2, $ ..... in Column 3 and $ ..... in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance $ 3,528,248 Individual Annuities $ ..... , Credit Life (Group and Individual) $ ..... , and Group Life $ ..... , are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health $ ..... Credit (Group and Individual) Accident and Health $ 329,968 , and Other Accident and Health $ 7,239,897 are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life (a)	Life Insurance (b)	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (c)	Annuities	Group	Credit (Group and Individual)	Other
1. Settlements During the Year:											
1.1 Direct	75,423,715		35,402,923	33,588,333	3,082,776	363,483				239,436	2,746,764
1.2 Reinsurance assumed	0										
1.3 Reinsurance ceded	5,888,127		5,112,571								775,556
1.4 Net	(d) 69,535,588	0	30,290,352	33,588,333	3,082,776	363,483	0	0	0	239,436	1,971,208
2. Liability December 31, current year from Part 1:											
2.1 Direct	17,072,421	0	7,309,090	9,426,395	0	92,682	0	0	0	70,748	173,506
2.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
2.3 Reinsurance ceded	859,557	0	825,136	0	0	0	0	0	0	0	34,421
2.4 Net	16,212,864	0	6,483,954	9,426,395	0	92,682	0	0	0	70,748	139,085
3. Amounts recoverable from reinsurers December 31, current year	246,322		43,500								202,822
4. Liability December 31, prior year:											
4.1 Direct	10,047,082	0	3,867,281	5,916,191	0	13,302	0	0	0	72,448	177,860
4.2 Reinsurance assumed	0										
4.3 Reinsurance ceded	886,017	0	852,120	0	0	0	0	0	0	0	33,897
4.4 Net	9,161,065	0	3,015,161	5,916,191	0	13,302	0	0	0	72,448	143,963
5. Amounts recoverable from reinsurers December 31, prior year	583,659		390,000								193,659
6. Incurred Benefits											
6.1 Direct	82,449,054	0	38,844,732	37,098,537	3,082,776	442,863	0	0	0	237,736	2,742,410
6.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
6.3 Reinsurance ceded	5,524,330	0	4,739,087	0	0	0	0	0	0	0	785,243
6.4 Net	76,924,724	0	34,105,645	37,098,537	3,082,776	442,863	0	0	0	237,736	1,957,167

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ ..... in Line 1.1, $ ..... in Line 1.4.
$ ..... in Line 6.1, and $ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ 168,776 in Line 1.1, $ 168,776 in Line 1.4.
$ 168,776 in Line 6.1, and $ 168,776 in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ ..... in Line 1.1, $ ..... in Line 1.4.
$ ..... in Line 6.1, and $ ..... in Line 6.4.

(d) Includes $ 704,770 premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

		1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1.	Bonds (Schedule D)			0
2.	Stocks (Schedule D):			
	2.1 Preferred stocks			0
	2.2 Common stocks			0
3.	Mortgage loans on real estate (Schedule B):			
	3.1 First liens	0		0
	3.2 Other than first liens			0
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company	0		0
	4.2 Properties held for the production of income			0
	4.3 Properties held for sale			0
5.	Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			0
6.	Contract loans	0		0
7.	Derivatives (Schedule DB)			0
8.	Other invested assets (Schedule BA)			0
9.	Receivables for securities	0		0
10.	Securities lending reinvested collateral assets (Schedule DL)			0
11.	Aggregate write-ins for invested assets	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13.	Title plants (for Title insurers only)			0
14.	Investment income due and accrued	0		0
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection	74,389	64,748	(9,641)
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0		0
	15.3 Accrued retrospective premiums and contracts subject to redetermination			0
16.	Reinsurance:			
	16.1 Amounts recoverable from reinsurers	0		0
	16.2 Funds held by or deposited with reinsured companies			0
	16.3 Other amounts receivable under reinsurance contracts	0		0
17.	Amounts receivable relating to uninsured plans			0
18.1	Current federal and foreign income tax recoverable and interest thereon			0
18.2	Net deferred tax asset	24,274,428	23,636,667	(637,761)
19.	Guaranty funds receivable or on deposit	0		0
20.	Electronic data processing equipment and software			0
21.	Furniture and equipment, including health care delivery assets	417,494	377,390	(40,104)
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0
23.	Receivables from parent, subsidiaries and affiliates	0		0
24.	Health care and other amounts receivable	512,607	920,934	408,327
25.	Aggregate write-ins for other than invested assets	1,659,142	1,773,009	113,867
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	26,938,060	26,772,748	(165,312)
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0
28.	Total (Lines 26 and 27)	26,938,060	26,772,748	(165,312)
	DETAILS OF WRITE-INS			
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501.	Prepaid pension cost	3,237,746	3,055,936	(181,810)
2502.	Disallowed interest maintainence reserve	478,609	0	(478,609)
2503.	Other receivables	417,972	104,595	(313,377)
2598.	Summary of remaining write-ins for Line 25 from overflow page	(2,475,185)	(1,387,522)	1,087,663
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	1,659,142	1,773,009	113,867

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of American National Insurance Company of New York ("the Company") are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the New York Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of New York.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices or permitted by the State of New York as of December 31, 2020 and December 31, 2019 is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	$ 10,646,207	$ 44,722,362
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	$ 10,646,207	$ 44,722,362
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	$ 234,257,129	$ 228,898,038
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	$ 234,257,129	$ 228,898,038

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Life premiums are recognized as income over the premium paying period of the related policies. Annuity considerations are recognized as revenue when received. Deposits on deposit-type contracts are entered directly as a liability when received. Health premiums are earned ratably over the terms of the related insurance and reinsurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

The amount of dividends to be paid to policyholders is determined annually by the Company's Board of Directors. The aggregate amount of policyholders' dividends is related to actual interest, mortality, morbidity, and expense experience for the year and judgment as to the appropriate level of statutory surplus to be retained by the Company.

In addition, the Company uses the following accounting policies:

1) Short-term investments, which consist of securities with maturity dates at date of purchase of less than one year, are carried at amortized cost.
2) The Company has no investment in mandatory convertible securities or SVO identified investments. Bonds not backed by other loans, with NAIC ratings of 6, are stated at the lower of amortized cost or SVO market value; all other NAIC ratings are stated at amortized cost using the modified scientific method.
3) Common stocks are carried at market.
4) Preferred stocks with NAIC designation of 4-6 are carried at the lower of cost or market. Preferred stocks with NAIC designation of 1-3 are carried at cost.
5) Mortgage loans on real estate are stated at the aggregate unpaid carrying value.
6) Loan-backed securities are carried at amortized cost using the retrospective method.
7) The Company has no investments in subsidiaries, or controlled or affiliated companies.
8) Joint ventures are carried at their underlying audited GAAP Equity basis.
9) All derivatives are stated at fair value.
10) The Company did not anticipate investment income as a factor in the premium deficiency calculation.
11) Periodically a study is done to develop a factor for unreported claims. This factor is applied to insurance inforce to provide an estimate of unreported claims. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
12) The Company depreciates all capitalized assets using the straight line method. The Company has not modified its capitalization policy from the prior year.
13) The Company does not have any pharmaceutical rebate receivables.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

A. Accounting Changes

INT 20-03: Troubled Debt Restructuring Due to COVID-19, was issued on April 15, 2020 by the NAIC's Statutory Accounting Principles Working Group. This interpretation clarifies that a modification of mortgage loan or bank loan terms in response to novel coronavirus disease (COVID-19) shall follow the provisions detailed in the April 7, 2020 "Interagency Statement on Loan Modifications and Reporting for Financial Institutions Working with Customers Affected by the Coronavirus", and the provisions of the Coronavirus Aid, Relief and Economic Security Act (CARES Act) in determining whether the modification shall be reported as a troubled debt restructuring within SSAP No. 36, Troubled Debt Restructuring.

The Company has elected to apply the guidance of this interpretation for COVID-19 related commercial loan modifications that would otherwise have been subject to the troubled debt restructuring guidance noted above. The interpretation is only applicable for the term of the loan modification, but solely with respect to any modification, including a forbearance arrangement, interest rate modification, a repayment plan, or other similar arrangement that defers or delays the payment of principal or interest for a loan that was not more than 30 days past due as of December 31, 2019.

This interpretation has been extended under the Consolidated Appropriations Act signed by the President on December 27, 2020. It was applicable for the period beginning on March 1, 2020 and ending on the earlier of January 1, 2022, or the date that is 60 days after the date on which the national emergency concerning the COVID–19 outbreak declared by the President on March 13, 2020 under the National Emergencies Act (50 U.S.C. 1601 et seq.) terminates. This interpretation will automatically expire on January 2, 2022.

B. Corrections of Errors

In May 2020, the Company reclassified the $2,000,000 value of the American National Life Insurance Company of New York common capital stock prior to the merger with Farm Family Life Insurance Company in 2018 to gross paid in and contributed surplus. This reclassification was recorded in the Company's 2019 audited financial statements.

NOTES TO FINANCIAL STATEMENTS

NOTE 3 Business Combinations and Goodwill

A. Statutory Purchase Method

There were no business combinations accounted for under the statutory purchase method.

B. Statutory Merger

There were no business combinations that took the form of a statutory merger.

C. Assumption Reinsurance

The Company completed no assumption reinsurance agreements during the reporting periods.

D. Impairment Loss

The Company did not recognize an impairment loss on any of the types of transactions described above.

NOTE 4 Discontinued Operations

The Company did not have any discontinued operations during the reporting periods.

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

(1) The maximum and minimum lending rates for new mortgage loans during 2020 were 4.5% and 3.6% and during 2019 were 7.0% and 3.5%.

(2) The maximum percentage of any one loan to the value of the security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 93% in 2020 and 95% in 2019.

	Current Year	Prior Year
(3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total		$ -

(4) Age Analysis of Mortgage Loans and Identification of Mortgage Loans in Which the Insurer is a Participant or Co-lender in a Mortgage Loan Agreement:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Recorded Investment (All)							
(a) Current					$ 487,407,860		$ 487,407,860
(b) 30 - 59 Days Past Due					$ 12,967,898		$ 12,967,898
(c) 60 - 89 Days Past Due							$ -
(d) 90 - 179 Days Past Due							$ -
(e) 180+ Days Past Due							$ -
2. Accruing Interest 90 - 179 Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
4. Interest Reduced							
(a) Recorded Investment							$ -
(b) Number of Loans							$ -
(c) Percent Reduced	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded Investment							$ -
b. Prior Year							
1. Recorded Investment (All)							
(a) Current					$ 414,867,512		$ 414,867,512
(b) 30 - 59 Days Past Due							$ -
(c) 60 - 89 Days Past Due							$ -
(d) 90 - 179 Days Past Due					$ 4,121,819		$ 4,121,819
(e) 180+ Days Past Due							$ -
2. Accruing Interest 90 - 179 Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
4. Interest Reduced							
(a) Recorded Investment							$ -
(b) Number of Loans							$ -
(c) Percent Reduced	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded Investment							$ -

(5) Investment in Impaired Loans With or Without Allowance for Credit Losses and Impaired Loans Subject to a Participant or Co-lender Mortgage Loan Agreement for Which the Reporting Entity is Restricted from Unilaterally Foreclosing on the Mortgage Loan:Agreement:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. With Allowance for Credit Losses							$ -
2. No Allowance for Credit Losses							$ -
3. Total (1 + 2)	$ -	$ -	$ -	$ -	$ -	$ -	$ -
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan							$ -
b. Prior Year							
1. With Allowance for Credit Losses							$ -
2. No Allowance for Credit Losses							$ -
3. Total (1 + 2)	$ -	$ -	$ -	$ -	$ -	$ -	$ -
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan							$ -

NOTES TO FINANCIAL STATEMENTS

(6) Investment in Impaired Loans – Average Recorded Investment, Interest Income Recognized, Recorded Investment on Nonaccrual Status and Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Average Recorded Investment							$ -
2. Interest Income Recognized							$ -
3. Recorded Investments on Nonaccrual Status							$ -
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting							$ -
b. Prior Year							
1. Average Recorded Investment							$ -
2. Interest Income Recognized							$ -
3. Recorded Investments on Nonaccrual Status					$ 4,121,819		$ 4,121,819
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting							$ -

(7) Allowance for credit losses:

	Current Year	Prior Year
a) Balance at beginning of period	$ -	$ -
b) Additions charged to operations		
c) Direct write-downs charged against the allowances		
d) Recoveries of amounts previously charged off		
e) Balance at end of period	$ -	$ -

(8) Mortgage Loans Derecognized as a Result of Foreclosure:

	Current Year
a) Aggregate amount of mortgage loans derecognized	
b) Real estate collateral recognized	
c) Other collateral recognized	
d) Receivables recognized from a government guarantee of the foreclosed mortgage loan	

(9) Cash receipts on impaired loans are recorded in accordance with the loan agreement as a reduction of principal and/ or as interest income. However, interest ceases to accrue for loans on which interest is more than 60 days past due and/ or when the collection of interest is not considered probable.

B. Debt Restructuring

The Company is not a creditor for any restructured debt.

C. Reverse Mortgages

The company had no investments in reverse mortgages as of December 31, 2020 and December 31, 2019.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from independent third party pricing services or internal estimates.

(2) At December 31, 2020, the Company did not have any securities within the scope of SSAP No. 43R, Revised Statutory Accounting for Loan-backed and Structured Securities, with a recognized other-than-temporary impairment due to the intent to sell or an inability or lack of intent to retain the security for a period of time sufficient to recover the amortized cost basis.

(3) At December 31, 2020, the Company did not hold any loan-backed and structured securities with a recognized credit-related other-than-temporary impairment.

(4) Unrealized loss/ fair value information:

a) The aggregate amount of unrealized losses:	
1. Less than 12 Months	
2. 12 Months or Longer	$ (129,103)
b)The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	
2. 12 Months or Longer	$ 717,508

(5) All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. As of December 31, 2020, the Company believes it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. Although the investment securities above did not meet management's criteria for other-than-temporary impairment at this time, it is possible that future events or information could cause them to conclude that declines in value are other-than-temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

The Company has no repurchase agreements or securities lending transactions.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has no repurchase agreements transactions accounted for as secured borrowing.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company has no repurchase agreements transactions accounted for as a sale.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company has no reverse repurchase agreements transactions accounted for as a sale.

J. Real Estate

(1) The Company has no investment in impaired real estate.

(2) The Company has no real estate classified as held for sale during the reporting periods.

(3) The Company does not engage in retail land sales.

(4) The Company holds no real estate investments with participating mortgage loan features.

K. Low Income Housing tax Credits (LIHTC)

The Company has no investment in low- income housing tax credits.

NOTES TO FINANCIAL STATEMENTS

L. Restricted Assets

1. Restricted Assets (Including Pledged)

	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
Restricted Asset Category	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown					$ -	$ -	$ -
b. Collateral held under security lending agreements					$ -	$ -	$ -
c. Subject to repurchase agreements					$ -	$ -	$ -
d. Subject to reverse repurchase agreements					$ -	$ -	$ -
e. Subject to dollar repurchase agreements					$ -	$ -	$ -
f. Subject to dollar reverse repurchase agreements					$ -	$ -	$ -
g. Placed under option contracts					$ -	$ -	$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock					$ -	$ -	$ -
i. FHLB capital stock					$ -	$ -	$ -
j. On deposit with states	$ 1,295,803				$ 1,295,803	$ 1,293,744	$ 2,059
k. On deposit with other regulatory bodies					$ -	$ -	$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)					$ -	$ -	$ -
m. Pledged as collateral not captured in other categories					$ -	$ -	$ -
n. Other restricted assets					$ -	$ -	$ -
o. Total Restricted Assets	$ 1,295,803	$ -	$ -	$ -	$ 1,295,803	$ 1,293,744	$ 2,059

(a) Subset of Column 1
(b) Subset of Column 3

	Current Year			
	8	9	Percentage	
			10	11
	Total Non-admitted	Total Admitted Restricted	Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown		$ -	0.000%	0.000%
b. Collateral held under security lending agreements		$ -	0.000%	0.000%
c. Subject to repurchase agreements		$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements		$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements		$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements		$ -	0.000%	0.000%
g. Placed under option contracts		$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock		$ -	0.000%	0.000%
i. FHLB capital stock		$ -	0.000%	0.000%
j. On deposit with states		$ 1,295,803	0.046%	0.046%
k. On deposit with other regulatory bodies		$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)		$ -	0.000%	0.000%
m. Pledged as collateral not captured in other categories		$ -	0.000%	0.000%
n. Other restricted assets		$ -	0.000%	0.000%
o. Total Restricted Assets	$ -	$ 1,295,803	0.046%	0.046%

(c) Column 5 divided by Asset Page, Column 1, Line 28
(d) Column 9 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

	Gross (Admitted & Nonadmitted) Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
Description of Assets	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross (Admitted & Non-admitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
Total (c)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	0.000%	0.000%

(a) Subset of column 1
(b) Subset of column 3
(c) Total Line for Columns 1 through 7 should equal 5L(1)m Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)m Columns 9 through 11 respectively.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

	Gross (Admitted & Nonadmitted) Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
Description of Assets	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross (Admitted & Non-admitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
Total (c)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	0.000%	0.000%

(a) Subset of column 1
(b) Subset of column 3
(c) Total Line for Columns 1 through 7 should equal 5L(1)n Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)n Columns 9 through 11 respectively.

NOTES TO FINANCIAL STATEMENTS

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets **
General Account:				
a. Cash, Cash Equivalents and Short-Term Investments	$ 8,230,000	$ 8,230,000	0.292%	0.295%
b. Schedule D, Part 1			0.000%	0.000%
c. Schedule D, Part 2, Section 1			0.000%	0.000%
d. Schedule D, Part 2, Section 2			0.000%	0.000%
e. Schedule B			0.000%	0.000%
f. Schedule A			0.000%	0.000%
g. Schedule BA, Part 1			0.000%	0.000%
h. Schedule DL, Part 1			0.000%	0.000%
i. Other			0.000%	0.000%
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	$ 8,230,000	$ 8,230,000	0.292%	0.295%
Separate Account:				
k. Cash, Cash Equivalents and Short-Term Investments			0.000%	0.000%
l. Schedule D, Part 1			0.000%	0.000%
m. Schedule D, Part 2, Section 1			0.000%	0.000%
m. Schedule D, Part 2, Section 2			0.000%	0.000%
o. Schedule B			0.000%	0.000%
p. Schedule A			0.000%	0.000%
q. Schedule BA, Part 1			0.000%	0.000%
r. Schedule DL, Part 1			0.000%	0.000%
s. Other			0.000%	0.000%
t. Total Collateral Assets (k+l+m+n+o+p+q+r+s)	$ -	$ -	0.000%	0.000%

* j = Column 1 divided by Asset Page, Line 26 (Column 1)
t = Column 1 divided by Asset Page, Line 27 (Column 1)
** j = Column 1 divided by Asset Page, Line 26 (Column 3)
t = Column 1 divided by Asset Page, Line 27 (Column 3)

	1 Amount	2 % of Liability to Total Liabilities *
u. Recognized Obligation to Return Collateral Asset (General Account)	$ 8,230,000	0.322%
v. Recognized Obligation to Return Collateral Asset (Separate Account)		0.000%

* u = Column 1 divided by Liability Page, Line 26 (Column 1)
v = Column 1 divided by Liability Page, Line 27 (Column 1)

M. Working Capital Finance Investments

The Company has no working capital finance investments.

N. Offsetting and Netting of Assets and Liabilities

The Company has not offset or netted assets and liabilities in accordance with SSAP No. 64, Offsetting and Netting of Assets and Liabilities.

O. 5GI Securities

The Company has no 5GI securities.

P. Short Sales

The Company has no short sales.

Q. Prepayment Penalty and Acceleration Fees

	General Account	Separate Account
1. Number of CUSIPs	29	
2. Aggregate Amount of Investment Income	$ 2,094,674	

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

NOTE 7 Investment Income

A. Due and accrued income was excluded from surplus for investment income amounts over 90 days past due.

B. There was no investment income excluded from surplus during the reporting period.

NOTE 8 Derivative Instruments

A. Derivatives under SSAP No. 86—Derivatives
The Company issues indexed deferred annuities, which credit returns based on the performance of underlying equity indexes. However, the indexed credits cannot be less than minimum guarantees. The Company issues policies one a week and purchases options at the same time, thereby closely matching the timing and other characteristics of the option assets to the indexed-related liabilities. The Company purchases the options from a number of different well-regarded parties and further mitigates credit risk by negotiating collateral deposit agreements with the counter-parties. All options are stated at fair value net of collateral and are reported on the Derivatives line on the Asset page. Changes in the values of the options are recorded as changes in unrealized gains.

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees
The Company has no derivatives hedging variable annuity guarantees under SSAP No. 108.

NOTE 9 Income Taxes

A. The components of the net deferred tax asset/(liability) at the end of current period are as follows:

1.

	As of End of Current Period			12/31/2019			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	$ 34,372,233	$ 856,825	$ 35,229,058	$ 34,972,139	$ 82,970	$ 35,055,109	$ (599,906)	$ 772,855	$ 173,949
(b) Statutory Valuation Allowance Adjustment			$ -			$ -	$ -	$ -	$ -
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	$ 34,372,233	$ 856,825	$ 35,229,058	$ 34,972,139	$ 82,970	$ 35,055,109	$ (599,906)	$ 772,855	$ 173,949
(d) Deferred Tax Assets Nonadmitted	$ 24,014,948	$ 259,480	$ 24,274,428	$ 23,636,667		$ 23,636,667	$ 378,281	$ 259,480	$ 637,761
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	$ 10,357,285	$ 597,345	$ 10,954,630	$ 11,335,472	$ 82,970	$ 11,418,442	$ (978,187)	$ 514,375	$ (463,812)
(f) Deferred Tax Liabilities	$ 5,264,310	$ 527,027	$ 5,791,337	$ 5,964,820	$ 908,855	$ 6,873,675	$ (700,510)	$ (381,828)	$ (1,082,338)
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	$ 5,092,975	$ 70,318	$ 5,163,293	$ 5,370,652	$ (825,885)	$ 4,544,767	$ (277,677)	$ 896,203	$ 618,526

NOTES TO FINANCIAL STATEMENTS

2.

	As of End of Current Period			12/31/2019			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
Admission Calculation Components SSAP No. 101									
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks			$ -	$ -	$ -	$ -	$ -	$ -	$ -
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	$ 5,092,975	$ 70,318	$ 5,163,293	$ 5,370,652	$ 82,970	$ 5,453,622	$ (277,677)	$ (12,652)	$ (290,329)
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	$ 5,092,975	$ 70,318	$ 5,163,293	$ 5,370,652	$ 82,970	$ 5,453,622	$ (277,677)	$ (12,652)	$ (290,329)
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	$ 34,364,075	XXX	XXX	$ 33,652,991	XXX	XXX	$ 711,084
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	$ 5,264,310	$ 527,027	$ 5,791,337	$ 5,964,820	$ -	$ 5,964,820	$ (700,510)	$ 527,027	$ (173,483)
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	$ 10,357,285	$ 597,345	$ 10,954,630	$ 11,335,472	$ 82,970	$ 11,418,442	$ (978,187)	$ 514,375	$ (463,812)

3.

	2020	2019
a. Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	660.829%	656.131%
b. Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation In 2(b)2 Above.	$ 229,093,836	$ 224,353,271

4.

	As of End of Current Period		12/31/2019		Change	
	(1) Ordinary	(2) Capital	(3) Ordinary	(4) Capital	(5) (Col. 1 - 3) Ordinary	(6) (Col. 2 - 4) Capital
Impact of Tax Planning Strategies:						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.						
1. Adjusted Gross DTAs amount from Note 9A1(c)	$ 34,372,233	$ 856,825	$ 34,972,139	$ 82,970	$ (599,906)	$ 773,855
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	$ 10,357,285	$ 597,345	$ 11,335,472	$ 82,970	$ (978,187)	$ 514,375
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%

b. Do the Company's tax-planning strategies include the use of reinsurance? Yes [ ] No [X]

B. As of December 31, 2020, the Company had no unrecognized deferred tax liabilities.

NOTES TO FINANCIAL STATEMENTS

C. Current income taxes incurred consist of the following major components:

	(1) As of End of Current Period	(2) 12/31/2019	(3) (Col. 1 - 2) Change
1. Current Income Tax			
(a) Federal	$ 3,223,853	$ 6,895,269	$ (3,671,416)
(b) Foreign		$ 37,165	$ (37,165)
(c) Subtotal	$ 3,223,853	$ 6,932,434	$ (3,708,581)
(d) Federal income tax on net capital gains	$ 1,269,903	$ 18,001,540	$ (16,731,637)
(e) Utilization of capital loss carry-forwards			$ -
(f) Other			$ -
(g) Federal and foreign income taxes incurred	$ 4,493,756	$ 24,933,974	$ (20,440,218)
2. Deferred Tax Assets:			
(a) Ordinary:			
(1) Discounting of unpaid losses			$ -
(2) Unearned premium reserve			$ -
(3) Policyholder reserves	$ 24,264,771	$ 23,666,725	$ 598,046
(4) Investments	$ 1,025,058	$ 2,239,621	$ (1,214,563)
(5) Deferred acquisition costs	$ 6,863,009	$ 6,857,226	$ 5,783
(6) Policyholder dividends accrual	$ 1,495,784	$ 1,501,712	$ (5,928)
(7) Fixed Assets	$ 164,248		$ 164,248
(8) Compensation and benefits accrual			$ -
(9) Pension accrual			$ -
(10) Receivables - nonadmitted	$ 559,363	$ 658,577	$ (99,214)
(11) Net operating loss carry-forward			$ -
(12) Tax credit carry-forward			$ -
(13) Other (including items <5% of total ordinary tax assets)		$ 48,278	$ (48,278)
(99) Subtotal	$ 34,372,233	$ 34,972,139	$ (599,906)
(b) Statutory valuation allowance adjustment			$ -
(c) Nonadmitted	$ 24,014,948	$ 23,636,667	$ 378,281
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	$ 10,357,285	$ 11,335,472	$ (978,187)
(e) Capital:			
(1) Investments	$ 856,825	$ 82,970	$ 773,855
(2) Net capital loss carry-forward			$ -
(3) Real estate			$ -
(4) Other (including items <5% of total ordinary tax assets)			$ -
(99) Subtotal	$ 856,825	$ 82,970	$ 773,855
(f) Statutory valuation allowance adjustment			$ -
(g) Nonadmitted	$ 259,480		$ 259,480
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	$ 597,345	$ 82,970	$ 514,375
(i) Admitted deferred tax assets (2d + 2h)	$ 10,954,630	$ 11,418,442	$ (463,812)
3. Deferred Tax Liabilities:			
(a) Ordinary:			
(1) Investments	$ 2,218,093	$ 1,570,685	$ 647,408
(2) Fixed Assets		$ 1,079,741	$ (1,079,741)
(3) Deferred and uncollected premium	$ 2,821,039	$ 2,820,631	$ 408
(4) Policyholder reserves			$ -
(5) Other (including items <5% of total ordinary tax liabilities)	$ 225,178	$ 493,763	$ (268,585)
(99) Subtotal	$ 5,264,310	$ 5,964,820	$ (700,510)
(b) Capital:			
(1) Investments	$ 527,027	$ 908,855	$ (381,828)
(2) Real estate			$ -
(3) Other (including items <5% of total capital tax liabilities)			$ -
(99) Subtotal	$ 527,027	$ 908,855	$ (381,828)
(c) Deferred tax liabilities (3a99 + 3b99)	$ 5,791,337	$ 6,873,675	$ (1,082,338)
4. Net deferred tax assets/liabilities (2i - 3c)	$ 5,163,293	$ 4,544,767	$ 618,526

D. Additional Information

1. The change in deferred income taxes reported in surplus is comprised of the following components:

	(1) As of End of Current Period	(2) 12/31/2019	(3) (Col. 1 - 2) Change
Adjusted Gross Deferred Tax Assets	$ 35,229,058	$ 35,055,109	$ 173,949
Deferred Tax Liabilities	5,791,337	6,873,675	(1,082,338)
Net Deferred Tax Asset (Liability)	$ 29,437,721	$ 28,181,434	$ 1,256,287
Tax Effect On Change in Unaffiliated Unrealized Gains (Losses)			(378,492)
Tax Effect On Change in Previously Untaxed Nonadmitted Assets			99,218
Tax Effect On Change in Statutory Pension Obligation			(213,402)
Change in Deferred Income Taxes In Surplus			$ 763,611

2. The provision for federal and foreign income taxes incurred is different from that which would be obtained by applying the statutory Federal income tax rate to income before income taxes. The significant items causing this difference are as follows:

	(1) As of End of Current Period	(2) Effective Tax Rate
Gain (loss) from operations	$ 15,873,693	
Capital gains (losses)	(1,156,959)	
Reported income (loss)	$ 14,716,734	
Federal statutory rate	21%	
Expected income tax at statutory rate	$ 3,090,514	21.0 %
Increase (decrease) in tax resulting from:		
Interest Maintenance Reserves	$ (23,231)	(0.2) %
Tax exempt interest	$ (378,187)	(2.6) %
Dividends received deduction	$ (19,421)	(0.1) %
Non-deductible expenses	$ 2,190	0.0 %
Tax Credits	$ -	0.0 %
Prior year adjustments	$ 1,058,280	7.2 %
Total income tax reported	$ 3,730,145	25.3 %
Current income taxes incurred	$ 4,493,756	30.5 %
Change in deferred income tax	$ (763,611)	(5.2) %
Total income tax reported	$ 3,730,145	25.3 %

E. Operating Loss Carry-Forward

1. As of December 31, 2020, the Company had no operating loss or tax credit carry-forwards available for tax purposes.
2. As of December 31, 2020, the Company had no federal income taxes available for recoupment.
3. As of December 31, 2020, the Company had no deposits under Code Section 6603 of the Internal Revenue Code to stop the running of interest on potential underpayments.

NOTES TO FINANCIAL STATEMENTS

F. Consolidated Federal Income Tax Return

1. The Company's federal income tax return is consolidated with American National Group, Inc. and its subsidiaries which are as follows:

Life Companies:
American National Insurance Company
American National Life Insurance Company of Texas
Standard Life and Accident Insurance Company
Garden State Life Insurance Company
American National Life Insurance Company of New York

Non Life Companies:
American National Propery and Casualty Company
American National General Insurance Company
Pacific Property and Casualty Company
ANPAC Louisiana Insurance Company
Farm Family Casualty Insurance Company
United Farm Family Insurance Company

Non Life Companies: (cont'd)
ANH2O, Inc.
American National Group, Inc.
American National Insurance Service Company
Alternative Benef its Management Inc.
American National Holdings, Inc.
American National Administrators, Inc.
American National Registered Investment Advisor, Inc.
ANICO Financial Services, Inc.
Standard Plus, Inc.
ANPAC Lloyds Insurance Management, Inc.

2. For purposes of calculating the earnings and profits of each of the members, the consolidated federal income tax liability of the affiliated group was apportioned among all the members in accordance with the method set forth in Code Section 1552 and Treasury Regulations Section 1.1552-1(a) and Treasury Regulations Section 1.1502-33(d)(2), the "wait and see" method.

The Company is included in the consolidated federal income tax return of its parent, American National Group, Inc. In accordance with the Company's tax sharing agreement, if the Company has taxable income, it pays its share of the consolidated federal income tax liability to its parent. However, if the Company incurs a tax loss, the tax benefit is recovered by decreasing subsequent year's federal income tax payments to its parent.

G. Tax Loss Contingencies

As of December 31, 2020, the Company had no liability for tax loss contingencies.

H. Repatriation Transition Tax (RTT)

As of December 31, 2020, the Company had no foreign repatriation transition tax.

I. Alternative Minimum Tax (AMT) Credit

As of December 31, 2020, the Company had no AMT credit carryforwards.

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-B, There were no dividends paid out in 2020 or 2019.

C. The Company had no transactions with related parties who are not reported on Schedule Y.

D. The Company reported $1,248,578 and $5,248,051 as amounts due from parent and affiliates at December 31, 2020 and 2019, respectively. The Company reported $1,645,050 and $1,663,983 as amounts due to parent and affiliates at December 31, 2020, and 2019, respectively. The terms of settlement require these amounts to be settled within 30 days of receipt of invoice or, as applicable under certain agreements, within 30 days of the end of the billing period.

E. American National Insurance Company provides administrative services to the Company through an administrative services agreement and has agreed to provide certain investment mortgage loan and real estate services to the Company. The Company has expense sharing agreements with its affiliates. Certain EDP equipment and software is rented to the Company by American National Holdings, Inc.

F. The Company has made no guarantees for the benefit of an affiliate or related party.

G. On July 1, 2020, the upstream indirect parent company, American National Insurance Company, a Texas insurance company ("ANICO"), completed its previously announced holding company reorganization. As a result of such reorganization, ANICO became a wholly owned subsidiary of American National Group, Inc., a Delaware corporation ("ANAT"), and ANAT replaced ANICO as the publicly held company. Consequently, all filings with the Securities and Exchange Commission from July 2, 2020 forward will be filed by ANAT under CIK No. 0001801075.

In addition, the directors and officers of ANICO became directors and officers of ANAT. There is no change in the ultimate ownership of the organization and business operations will continue from our current office locations and companies. All outstanding shares of the Company are now owned indirectly by ANAT.

H. No amount was deducted for the value of an upstream intermediate entity or ultimate parent.

I, J. The Company has no investment in Subsidiary, Controlled or Affiliated Companies ("SCA Investments").

K. The Company has no investment in foreign insurance subsidiaries.

L. The Company has no investments in a downstream noninsurance holding company.

M, N. The Company has no SCA investments during the reporting periods.

O. The Company has no SCA investments. The Company has no investments in joint ventures, partnerships, or limited liability companies where its share of losses exceeds its investment.

NOTE 11 Debt

A. As of December 31, 2020, the Company had a line of credit established with American National Insurance Company for up to $35,000,000 to meet short-term liquidity needs. Interest accrues on a 365 days accrual basis at a variable rate. The variable rate equals the prime rate published by the Wall Street Journal on the first business day of the month.

There were no outstanding borrowings on the lines of credit at December 31, 2020 and December 31, 2019.

The Company has no long-term debt and no other short-term borrowing arrangements.

B. FHLB (Federal Home Loan Bank) Agreements

The Company has no Federal Home Loan Bank (FHLB) agreements.

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and OtherPostretirement Benefit Plans

A. Defined Benefit Plan

The Company and Farm Family Casualty Insurance Company (the "sponsoring companies") sponsor a qualified noncontributory defined benefit pension plan for all full time employees hired prior to January 1, 1997. Effective January 1, 1997, the pension plan benefits were frozen. Due to a misstatement in the original actuarial report, the overfunded pension plan asset is understated by $800,000 in the financial statements and the offsetting nonadmitted asset is overstated by the same amount and will be corrected in the Q1 2021 financial statements. There is no impact to the net asset or surplus. The tables shown below reflect the corrected amounts.

The sponsoring companies sponsor a postretirement life insurance benefit plan for retired employees meeting certain age and length of service requirements. Effective December 31, 2002, eligibility for benefits was frozen to provide benefits only to employees who met certain age and service requirements as of December 31, 2002, and to retirees who were retired as of December 31, 2002. Benefits under the postretirement life insurance benefit plan are provided by a group term life insurance policy issued by American National Insurance Company.

The sponsoring companies sponsor postretirement medical and dental benefits plans for certain retirees. Eligibility for benefits under this plan was frozen effective December 31, 2002 to include only retirees receiving benefits under the plan as of December 31, 2002.

The Company accrues pension benefit cost and postretirement benefit cost in accordance with SSAP No. 102, and SSAP No. 92, respectively.

NOTES TO FINANCIAL STATEMENTS

A summary of assets, obligations and assumptions of the Pension and Other Postretirement Benefit Plans are as follows at December 31, 2020 and December 31, 2019:

(1) Change in benefit obligation

a. Pension Benefits

	Overfunded		Underfunded	
	2020	2019	2020	2019
1. Benefit obligation at beginning of year	$ 26,240,996	$ 26,143,596	$ -	$ -
2. Service cost	$ 62,028	$ 77,664		
3. Interest cost	$ 873,927	$ 1,070,235		
4. Contribution by plan participants				
5. Actuarial gain (loss)	$ 3,356,911	$ 1,299,256		
6. Foreign currency exchange rate changes				
7. Benefits paid	$ (2,224,495)	$ (2,349,755)		
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ 28,309,367	$ 26,240,996	$ -	$ -

b. Postretirement Benefits

	Overfunded		Underfunded	
	2020	2019	2020	2019
1. Benefit obligation at beginning of year	$ (85,985)	$ (91,087)	$ 1,089,653	$ 1,009,547
2. Service cost				
3. Interest cost	$ (4,393)	$ (3,844)	$ 34,661	$ 40,938
4. Contribution by plan participants				
5. Actuarial gain (loss)	$ (59,313)	$ (6,516)	$ 90,501	$ 58,168
6. Foreign currency exchange rate changes				
7. Benefits paid	$ 16,247	$ 15,462	$ (79,250)	$ (19,000)
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ (133,444)	$ (85,985)	$ 1,135,565	$ 1,089,653

c. Special or Contractual Benefits Per SSAP No. 11

	Overfunded		Underfunded	
	2020	2019	2020	2019
1. Benefit obligation at beginning of year	$ -	$ -	$ -	$ -
2. Service cost				
3. Interest cost				
4. Contribution by plan participants				
5. Actuarial gain (loss)				
6. Foreign currency exchange rate changes				
7. Benefits paid				
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ -	$ -	$ -	$ -

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2020	2019	2020	2019	2020	2019
(2) Change in plan assets						
a. Fair value of plan assets at beginning of year	$ 30,079,569	$ 26,632,554	$ -	$ -	$ -	$ -
b. Actual return on plan assets	$ 4,197,555	$ 5,795,776				
c. Foreign currency exchange rate changes						
d. Reporting entity contribution			$ 63,003	$ 3,538		
e. Plan participants' contributions						
f. Benefits paid	$ (2,250,250)	$ (2,348,761)	$ (63,003)	$ (3,538)		
g. Business combinations, divestitures and settlements						
h. Fair value of plan assets at end of year	$ 32,026,874	$ 30,079,569	$ -	$ -	$ -	$ -

(3) Funded status

	Pension Benefits		Postretirement Benefits	
	2020	2019	2020	2019
a. Components:				
1. Prepaid benefit costs	$ 8,094,364	$ 7,639,856		
2. Overfunded plan assets	$ (4,376,857)	$ (3,801,283)	$ 133,444	$ 85,985
3. Accrued benefit costs				
4. Liability for pension benefits			$ 1,135,565	$ 1,089,653
b. Assets and liabilities recognized:				
1. Assets (nonadmitted)	$ 3,717,507	$ 3,838,573	$ 133,444	$ 85,985
2. Liabilities recognized			$ 1,135,565	$ 1,089,653

c. Unrecognized liabilities

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2020	2019	2020	2019	2020	2019
(4) Components of net periodic benefit cost						
a. Service cost	$ 62,028	$ 77,664				
b. Interest cost	$ 873,927	$ 1,070,235	$ 30,268	$ 37,094		
c. Expected return on plan assets	$ (1,574,107)	$ (1,516,154)				
d. Transition asset or obligation	$ 87,809	$ 87,816				
e. Gains and losses	$ 95,835	$ 191,610	$ (3,486)	$ (4,023)		
f. Prior service cost or credit			$ (2,090)	$ (18,309)		
g. Gain or loss recognized due to a settlement or curtailment						
h. Total net periodic benefit cost	$ (454,508)	$ (88,829)	$ 24,692	$ 14,762	$ -	$ -

(5) Amounts in unassigned funds (surplus) recognized as components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	2020	2019	2020	2019
a. Items not yet recognized as a component of net periodic cost - prior year	$ (3,801,283)	$ (7,062,069)	$ (181,153)	$ (107,169)
b. Net transition asset or obligation recognized	$ 87,809	$ 87,816		
c. Net prior service cost or credit arising during the period				
d. Net prior service cost or credit recognized			$ (2,090)	$ (18,309)
e. Net gain and loss arising during the period	$ (759,218)	$ 2,981,360	$ (31,188)	$ (51,652)
f. Net gain and loss recognized	$ 95,835	$ 191,610	$ (3,486)	$ (4,023)
g. Items not yet recognized as a component of net periodic cost - current year	$ (4,376,857)	$ (3,801,283)	$ (217,917)	$ (181,153)

NOTES TO FINANCIAL STATEMENTS

(6) Amounts in unassigned funds (surplus) that have not yet been recognized as components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	2020	2019	2020	2019
a. Net transition asset or obligation		$ (87,809)		
b. Net prior service cost or credit				$ 2,090
c. Net recognized gains and losses	$ (4,376,857)	$ (3,713,474)	$ (217,917)	$ (183,243)

(7) Weighted-average assumptions used to determine net periodic benefit cost as of the end of current period:

	2020	2019
a. Weighted average discount rate	3.370%	4.380%
b. Expected long-term rate of return on plan assets	5.500%	6.000%
c. Rate of compensation increase	0.000%	0.000%
d. Interest crediting rates (for cash balance plans and other plans with promised interest crediting rates)	0.000%	0.000%

Weighted average assumptions used to determine projected benefit obligations as of end of current period:

	2020	2019
e. Weighted average discount rate	2.220%	3.370%
f. Rate of compensation increase	0.000%	0.000%
g. Interest crediting rates (for cash balance plans and other plans with promised interest crediting rates)	0.000%	0.000%

A measurement date of December 31, 2020 was used to determine the above.

The Company's share of certain components of the defined benefit plans is as follows:

	Pension Benefits		Postretirement Benefits	
	2020	2019	2020	2019
Employer contributions	$ -	$ -	$ (3,011)	$ 3,895
Net periodic benefit cost	$ (181,810)	$ (35,526)	$ (5,035)	$ (6,569)

(8) The amount of the accumulated benefit obligation for defined benefit pension plans was $28,309,367 for the current year and $26,240,996 for the prior year.

(9) The life insurance plan is non-contributory. The medical and dental plan is contributory, in that it allows retirees to purchase medical and dental insurance at the per-employee average cost for the year. The assumed annual health care trend rates for medical and dental expense calculations are as follows:

	Medical	Dental
2020	0.0%	7.7%
2021	5.6%	4.0%
2022	5.3%	4.0%
2023	5.1%	4.0%
2024+	5.0 - 3.7%	4.0 - 3.7%

(10) The following estimated future payments, which reflect expected future service, as appropriate, are expected to be paid in the years indicated:

	Amount
a. 2021	$ 2,838,203
b. 2022	$ 2,271,644
c. 2023	$ 2,078,628
d. 2024	$ 2,379,977
e. 2025	$ 2,248,810
f. 2026 through 20xx	$ 9,162,562

(11) There is no estimated contribution requirement to the Plans in 2021.

(12) Pension plan assets include a group annuity contract issued by by American National Life Insurance Company of New York. The group annuity contract is used to pay plan benefits. The fair value of the group annuity contract at December 31, 2020 and December 31, 2019 was $1,940,431 and $597,681, respectively.

(13) No alternative methods were used to amortize prior service amounts or unrecognized net gains and losses.

(14) No substantive commitments were used as the basis for accounting of a benefit obligation.

(15) There were no special or contractual termination benefits recognized during the period.

(16) There were no other significant changes in the benefit obligation or plan assets not otherwise apparent in the other disclosures required by SSAP No. 102 and SSAP No. 92.

(17) There is no surplus impact to reflect the full obligation as it is already recognized.

(18) The Defined Benefit pension plan was frozen as of January 1,1997. See note A above. The accumulated benefit obligation is disclosed above and is the same amount as the projected benefit obligation and/or the "benefit obligation". There is no surplus impact to reflect the full benefit obligation as it is already recognized. The Company did not elect the transitional recognition option in SSAP 102.

B. Defined Benefit Plan - Investment Policies and Strategies

The Farm Family Employee Retirement Plan ("the plan") is sponsored by American National Life Insurance Company of New York and Farm Family Casualty Insurance Company.

(1) The plan asset allocation as of the measurement dates, December 31, 2020, and December 31, 2019, and the target asset allocation, presented as a percentage of total plan assets, were as follows:

Plan Assets at December 31,	2020	2019	Target Allocation
Asset Category			
Debt Securities	32%	33%	35%
Equity Securities	60%	65%	50%
Real Estate	0%	0%	0%
Other	8%	2%	15%
Total	100%	100%	100%

(2) The investment policy guidelines for the plan are stated as a maximum guideline percentage of the total assets for each asset category. Equity securities are invested in mutual funds to achieve company and sector diversity. Debt securities guidelines permit up to 100% of assets to be invested in debt. Debt holdings of any one entity are limited to 5% of total plan assets, and must have an AA rating or better at the time of purchase. The plan also invests in bond mutual funds to diversify holdings across entities and market sectors. The plan does not invest in real estate or derivative instruments. The "Other" asset category includes a group deposit administration (GDA) contract issued by by American National Life Insurance Company of New York. The GDA is used to pay plan benefits.

C. The fair value of each class of plan assets

(1) Fair Value Measurements of Plan Assets at Reporting Date

Description for each class of plan assets	(Level 1)	(Level 2)	(Level 3)	Total
Short-term investments	$ 565,705			$ 565,705
Mutual Funds	$ 29,497,683			$ 29,497,683
Unallocated group annuity contract		$ 1,940,431		$ 1,940,431
Total Plan Assets	$ 30,063,388	$ 1,940,431	$ -	$ 32,003,819

The table above excludes other assets of $23,055.

(2) Information about pension plan assets:

The Plan follows ASC 820-10, Fair Value Measurements and Disclosures, (formerly referred to as Financial Accounting Standards No. 157, Fair Value Measurements). ASC 820-10 establishes a three-level hierarchy for disclosure of fair value measurements. The valuation hierarchy is based upon the transparency of inputs to the valuation of an asset or liability as of the measurement date. A financial instrument's categorization within the valuation hierarchy is based upon the lowest level of input that is significant to the value measurement.

NOTES TO FINANCIAL STATEMENTS

A complete description of the input levels is shown in note 20. A brief description is as follows:

• Level 1 – Quoted prices in active markets for identical assets

• Level 2 – Valuation techniques based on observable market data

• Level 3 – Valuation techniques incorporating information other than observable market data

All registered mutual fund investments are classified in Level 1 of the fair value hierarchy as quoted prices are readily available. The investments are valued at the net asset value (NAV) of shares held by the Plan at year end. The investments are redeemable daily. The unallocated group annuity contract is valued at contract value, which approximates fair value. Contract value represents contributions made under the contracts, plus interest at the contract rate, less funds used to pay retirement benefits.

D. The overall expected long-term rate-of-return-on-assets assumption is based upon a building block method, whereby the expected rate of return on each asset class is broken down into three components: (1) inflation, (2) the real risk-free rate of return, and (3) the risk premium for each asset class (i.e., the expected return in excess of the risk-free rate). All three components are based primarily on historical data, with modest adjustments to take into account additional relevant information that is currently available. For the inflation and risk-free return components, the most significant additional information is that provided by the market for nominal and inflation-indexed U.S. Treasury securities. That market provides implied forecasts of both the inflation rate and the risk-free rate for the period over which currently-available securities mature. The historical data on risk premiums for each asset class is adjusted to reflect any systemic changes that have occurred in the relevant markets; e.g., the higher current valuations for equities, as a multiple of earnings, relative to the longer-term average for such valuations. While the precise expected return derived using the above approach will fluctuate somewhat from year to year, our policy is to hold this long-term assumption constant as long as it remains within a reasonable tolerance from the

E. Defined Contribution Plan
The Parent Company, ANICO, sponsors a defined contribution savings plan under which the Company contributes 100% match of the first 4% contributed by the employee. The Company's incurred expense for the plan was $169,735 and $165,023, as of December 31, 2020 and December 31, 2019, respectively.

F. Multiemployer Plans

The Company does not participate in any multiemployer plans.

G. Consolidated/Holding Company Plans

The Company's employees do not participate in any plan other than the defined contribution savings plan described above sponsored by the Parent Company.

H. Postemployment Benefits and Compensated Absences
Post employment benefits are accrued and disclosed above in paragraph 12-A.

There were no significant nonroutine events, such as amendments, combinations, divestures, curtailments or settlements to the post employment benefit plans during the year.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

The Company's postretirement benefits plan is not impacted by the Medicare Modernization Act.

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

A. The Company has 61,000 shares authorized, 60,011 shares issued and outstanding. All shares are Class A shares with a par value of $50.

B. The Company has no preferred stock outstanding.

C. Without notification to and/ or prior approval of its domiciliary commissioner, dividends to shareholders are limited by the laws of the Company's State of Incorporation, New York, to $23,125,658 in 2021, an amount that is based on restrictions relating to statutory surplus. This amount must be paid out of "earned surplus", and is based on the greater of (1) 10% of statutory surplus as of December 31, 2020 and (2) the net gain from operations before realized capital gains during 2020 limited to 30% of statutory surplus as of December 31, 2020. Earned surplus is defined in Section 4207 of the New York Insurance Law as positive assigned funds, excluding 85% of the change in net unrealized capital gains or losses less capital gains tax, for the immediately preceding calendar year.

D. There were no dividends paid to American National Holdings, Inc. in 2020.

E. Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

Section 4219 of the New York Insurance Law provides a limit on the amount of participating policyholders' surplus that may be retained by a stock life insurance company that issues participating policies. As of December 31, 2020 and December 31, 2019, the Company's participating policyholders' surplus is within the Section 4219 limit.

F. There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

G. The Company is a stock life insurance company.

H. The Company has no stock held for special purposes.

I. The Company has no special surplus funds.

J. The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is $ 2,126,057

K. The Company has not issued any surplus debentures or similar obligations.

L,M. The Company did not participate in a quasi-reorganization.

NOTE 14 Liabilities, Contingencies and Assessments

A. Contingent Commitments
1) The Company had aggregate commitments at December 31, 2020, to purchase other invested assets of $39,836,173 of which $12,631,806 is expected to be funded in 2021. The remaining balance of $27,204,367 will be funded in 2022 and beyond.

2-3) The Company has made no guarantees under these commitments.

B. Assessments

(1) All states in which the Company does business have laws requiring solvent life insurance and annuity companies to pay assessments to state guaranty associations to protect the interests of policyholders of insolvent life insurance and annuity companies. The Company has an accrued liability of $5,978 for anticipated assessments as of December 31, 2020 and December 31, 2019. The Company expects to pay guaranty fund assessments in the period in which they are received and related premium tax credits would begin in and be recognized over the period allowed by each state.

(2) a. Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year-end	$	17,464
b. Decreases current year:		
Utilization and write-off tax offsets	$	3,924
c. Increases current year:		
Assessments capitalized during the year	$	3,075
d. Assets recognized from paid and accrued premium tax offsets and policy surcharges current year-end	$	16,615

The Company has recorded the above offsetting asset for future premium tax credits relating to insolvent life insurance and annuity companies.

(3) As of December 31, 2020, the Company does not have any guaranty fund liabilities or assets that have been discounted for companies that wrote long-term care insurance.

(4) The above amounts represent manaement's best estimates based on information received from states in which the Company writes business and may change due to may factors including the Company's share of the ultimate cost of current insolvencies.

C. Gain Contingencies
The Company did not recognize any gain contingencies during the reporting periods.

D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

The Company did not pay any amounts in the reporting period to settle claims related to extra contractual obligations or bad faith claims stemming from lawsuits.

E. Joint and Several Liabilities

The Company has no joint and several liability arrangements.

NOTES TO FINANCIAL STATEMENTS

F. All Other Contingencies

(1) Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

(2) The Company had no uncollectible amounts at the end of the reporting periods.

NOTE 15 Leases

A. Lessee Operating Lease:

The Company had no lessee lease agreements as of December 31, 2020.

B. Lessor Leases

(1) Operating leases

The Company leases office space in its home office to Farm Family Casualty Insurance Company. The current lease expired on December 31, 2020, was amended effective January 1, 2021 to extend the term for 3 additional years and now expires on December 31, 2023. Rental income was $1,916,545 and $1,864,711 for 2020 and 2019, respectively. The Company had no future minimum lease payment receivables under noncancelable leasing arrangements as of the end of the current period.

(2) Leveraged Leases

The Company has no leveraged leases.

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations ofCredit Risk

The Company had no financial instruments with off-balance sheet risk or with significant concentrations of credit risk.

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company had no sales, transfers or servicing of financial assets and extinguishment of liabilities during the reporting periods.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

The Company does not serve as an ASO or ASC administrator, nor does the Company participate in Medicare or similarly structured cost based reimbursement contracts.

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The Company has no direct premium written/produced by managing general agents or third party administrators.

NOTE 20 Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock- Unaffiliated	$ 45,870				$ 45,870
Bonds		$ 6,339,372			$ 6,339,372
Options			$ 8,245,754		$ 8,245,754
Total assets at fair value/NAV	$ 45,870	$ 6,339,372	$ 8,245,754	$ -	$ 14,630,996

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	$ -	$ -	$ -	$ -	$ -

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Beginning Balance at 01/01/2020	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2020
a. Assets										
Options	$ 11,108,748	$ -	$ -	$ 2,388,741	$ (1,574,454)	$ 5,510,780		$ (9,188,061)		$ 8,245,754
										$ -
Total Assets	$ 11,108,748	$ -	$ -	$ 2,388,741	$ (1,574,454)	$ 5,510,780	$ -	$ (9,188,061)	$ -	$ 8,245,754

Description	Beginning Balance at 01/01/2020	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2020
b. Liabilities										
Total Liabilities	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

(3) Transfers between levels, if any, are recognized at the beginning of the reporting period.

(4) The market values of equity and debt securities are obtained from various pricing services. There has been no change in the valuation techniques and related inputs.

(5) The fair value information for derivative assets is included in the above tables.

B. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability. A fair value hierarchy is used to determine fair value based on a hypothetical transaction at the measurement date from the perspective of a market participant. An asset or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. The input levels are defined as follows:

Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities. The Company defines active markets based on average trading volume for equity securities. The size of the bid/ask spread is used as an indicator of market activity for fixed maturity securities.

Level 2 - Quoted prices in markets that are not active or inputs that are observable directly or indirectly. Level 2 inputs include quoted prices for similar assets or liabilities other than quoted prices in Level 1; quoted prices in markets that are not active; or other inputs that are observable or can be derived principally from or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets or liabilities. Unobservable inputs reflect the Company's own assumptions about the assumptions that market participants would use in pricing the asset or liability. Level 3 assets and liabilities include financial instruments whose values are determined using pricing models and third-party evaluation, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

The Company has evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Based on the results of this evaluation and investment class analysis, each price was classified into Level 1, 2, or 3.

The pricing service utilizes market quotations for fixed maturity securities that have quoted prices in active markets. Since fixed maturities generally do not trade on a daily basis, the pricing service prepares estimates of fair value measurements for these securities using its proprietary pricing applications, which include available relevant market information, benchmark curves, benchmarking of like securities, sector groupings and matrix pricing. Additionally, the pricing service uses an Option Adjusted Spread model to develop prepayment and interest rate scenarios.

NOTES TO FINANCIAL STATEMENTS

The pricing service evaluates each asset class based on relevant market information, relevant credit information, perceived market movements and sector news. The market inputs utilized in the pricing evaluation, listed in the approximate order of priority, include benchmark yields, reported trades, broker/dealer quotes, issuer spreads, two-sided markets, benchmark securities, bids, offers, reference data, and economic events. The extent of the use of each market input depends on the asset class and the market conditions. Depending on the security, the priority of the use of inputs may change or some market inputs may not be relevant. For some securities additional inputs may be necessary.

The Company has reviewed the inputs and methodology used by the pricing service and the techniques applied by the pricing service to produce quotes that represent the fair value of a specific security. The review of the pricing service's methodology confirms the service is utilizing information from organized transactions or a technique that represents a market participant's assumptions. The Company does not adjust quotes received by the pricing service.

The pricing service utilized by the Company has indicated that they will only produce an estimate of fair value if there is objectively verifiable information available. If the pricing service discontinues pricing an investment, the Company would be required to produce an estimate of fair value using some of the same methodologies as the pricing service, but would have to make assumptions for market-based inputs that are unavailable due to market conditions.

The fair value estimates of most fixed maturity investments including municipal bonds are based on observable market information rather than market quotes. Accordingly, the estimates of fair value for such fixed maturities provided by the pricing service are included in the amount disclosed in Level 2 of the hierarchy.

Additionally, the Company holds a small amount of fixed maturities that have characteristics that make them unsuitable for matrix pricing. For these securities, a quote from a broker (typically a market maker) is obtained. Due to the disclaimers on these quotes that indicate that the price is indicative only, the Company includes these fair value estimates in Level 3. The pricing of certain private placement debt also includes significant non-observable inputs, the internally determined credit rating of the security and an externally provided credit spread, and are classified in Level 3.

For public common and preferred stocks, the Company receives prices from a nationally recognized pricing service that are based on observable market transactions and these securities are disclosed in Level 1. For certain preferred stock held, current market quotes in active markets are unavailable. In these instances, the Company receives an estimate of fair value from the pricing service that provides fair value estimates for the fixed maturity securities. The service utilizes some of the same methodologies to price the preferred stocks as it does for the fixed maturities. These estimates for equity securities are disclosed in Level 2.

The market value of derivative instruments is obtained by a pricing service. Due to the disclaimers that the prices is indicative only, the Company includes these fair value estimates in Level 3.

The fair value of surplus debentures is obtained from the SVO.

The fair value of mortgage loans is estimated using discounted cash flow analyses. Fair value is calculated on a loan by loan basis by applying a discount rate to expected cash flows from future installment and balloon payments. The discount rate takes into account general market trends and specific credit risk trends for the individual loan. Factors used to arrive at the discount rate include inputs from spreads based on U.S. Treasury notes and the loan's credit rating, region, property type, lien number, payment type and current status. The Company includes these fair values in Level 3.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.
The table below reflects the fair values and admitted values of all admitted assets that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in the Note 20A.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	$ 2,257,944,367	$ 2,078,385,441		$ 2,226,016,831	$ 31,927,536		
Common Stock-Unaffiliated	$ 45,870	$ 45,870	$ 45,870				
Preferred Stock	$ 4,406,425	$ 4,101,988	$ 4,406,425				
Options	$ 8,245,754	$ 8,245,754			$ 8,245,754		
Surplus Debentures/BA Assets	$ 5,906,283	$ 4,836,371		$ -	$ 5,906,283		
Mortgage Loans	$ 516,259,530	$ 500,375,758			$ 516,259,530		

D. Not Practicable to Estimate Fair Value

As of December 31, 2020, there were no financial instruments for which it is not practicable for the Company to estimate the fair value.

E. Investments measured using Net Asset Value

The Company had no investments measured using Net Asset Value.

NOTE 21 Other Items

A. Unusual or Infrequent Items

On March 11, 2020, the World Health Organization formally declared the outbreak of the novel coronavirus COVID-19 to be a pandemic. The spread of COVID-19 has caused illness, quarantines, cancellation of events and travel, business and school shutdowns, reduction in business activity, widespread unemployment, and overall economic and financial market instability. The wide-ranging social, economic and financial consequences of the COVID-19 pandemic and the possible effects of ongoing and future governmental action in response to COVID-19 compound this uncertainty.

Through December 31, 2020, the American National Family of Companies continues to be fully operational with the majority of our employees working remotely. We continue to monitor developments related to the COVID-19 pandemic to assess its impact on our business; however, due to the evolving and highly uncertain nature of this event, it currently is not possible to estimate with reasonable confidence the ultimate direct and indirect impact of COVID-19 on our business, results of operations, financial condition, or liquidity. To date, COVID-19 has impacted us most notable with economic uncertainty and volatility in the financial markets in which we invest and with the diminished ability of certain of our clients and borrowers to make timely payments to us. This has resulted in our taking protective measures to mitigate the impact of COVID-19 on performance and increase liquidity should a need arise.

We are monitoring our liquidity needs closely. As a result of the economic disruption caused by COVID-19, we expect to modify mortgage loans with an aggregate outstanding balance of $93.3 million. These modifications primarily relate to hotels, retail and parking operations. The terms of the modifications of these loans include forbearance of principal and interest payments for a period of up to six months, extensions of maturity dates, and/or provision of interest only payments.

Sales growth in our operating segments was negatively impacted by stay-at-home orders and the increased economic uncertainty caused by COVID-19.

Also, as a result of the impacts of COVID-19, state insurance departments across the country had issued regulations that required us not to cancel policies for non-payment for varying amounts of time but generally for at least 90-day periods which began in March and early April 2020. The cancellation and grace periods have been lifted in most states. At this time, however, our liquidity requirements have been and are expected to continue to be met by funds from operations.

B. Troubled Debt Restructuring: Debtors

The Company had no troubled debt restructuring.

C. Other Disclosures

Assets in the amount of $1,295,803 and $1,293,744 at December 31, 2020, and December 31, 2019, respectively, were on deposit with government authorities or trustees as required by law.

D. Business Interruption Insurance Recoveries

The Company had no business interruption losses during the reporting periods.

E. State Transferable and Non-transferable Tax Credits

The Company has no unused state transferable or non-transferable tax credits.

NOTES TO FINANCIAL STATEMENTS

F. Subprime Mortgage Related Risk Exposure

(1) The Company has no exposure to unrealized or realized losses regarding subprime mortgage related risk. The Company considered exposure to subprime mortgage related risks through the following sources, to the extent applicable.

- Direct investments in subprime mortgage loans;
- Direct investments in securities with underlying subprime exposure, such as residential mortgage backed securities, commercial mortgage backed securities, collateralized debt obligations, structured securities (including principal protected notes), hedge funds, credit default swaps, and special investment vehicles;
- Equity investments in subsidiary, controlled or affiliated entities with significant subprime related risk exposure; and
- Underwriting risk on policies issued for Mortgage Guaranty or Financial Guaranty insurance coverage.

(2) The Company does not directly hold any subprime mortgage loans.

(3) The Company does not have any direct exposure to subprime mortgage related risks through other investments.

(4) The Company does not write Mortgage Guaranty or Financial Guaranty insurance.

G. Retained Assets

The Company does not offer retained asset accounts.

H. Insurance-Linked Securities (ILS) Contracts

The Company has no insurance-linked securities.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Controlthe Policy

The Company is not the owner and beneficiary of any life insurance policies.

NOTE 22 Events Subsequent

Subsequent events have been considered through February 26th, 2021 for these statutory financial statements which are to be issued February 26th, 2021. There were no recognized events occurring subsequent to the end of the twelve months ended December 31, 2020.

The Company did not write any health insurance premium that is subject to Section 9010 of the Federal Affordable Care Act.

NOTE 23 Reinsurance

A. Ceded Reinsurance Report

Section 1 - General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes ( ) No (X) If yes, give full details.

(2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes ( ) No (X) If yes, give full details.

Section 2 - Ceded Reinsurance Report - Part A

(1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes ( ) No (X)

a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.

b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of liability, for these agreements in this statement?

(2) Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ( ) No (X)

Section 3 - Ceded Reinsurance Report - Part B

(1) What is the estimated amount of the aggregate reduction in surplus for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above of termination of all reinsurance agreements, by either party, as of the date this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. $0

(2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the company as of the effective date of the agreement? Yes ( ) No (X) If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

B. Uncollectible Reinsurance

The Company had no uncollectible reinsurance during the year.

C. Commutation of Reinsurance Reflected in Income and Expenses.

The Company had no commutation of reinsurance reflected in the financial statements during the year.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

(1) The Company did not have any reinsurance contracts with certified reinsurers.

(2) The Company is not a certified reinsurer.

E. Variable Annuity with an Affiliated Captive Reinsurer

The Company has no variable annuity contracts.

F. Reinsurance Agreements with Captive Reinsurers

The Company does not have any reinsurance agreements with captive reinsurers.

G. Ceding Entities That Utilize Captive Reinsurers to Assume Reserves Subject to the XXX/ AXXX Captive Framework

The Company does not have any reinsurance agreements with captive reinsurers.

H. Reinsurance Credit

(1-3) The Company has no reinsurance contracts that include a provision which limits the reinsurer's assumption of significant risks or contain features which result in delays in payment.

(4) The Company has not reflected reinsurance accounting credit for any contracts not subject to A-791 and not yearly renewable term which meet the risk transfer requirements of SSAP No. 61R, Life, Deposit-Type and Accident and Health Reinsurance.

(5-6) The Company has not accounted for a contract as reinsurance under statutory accounting principles and as a deposit under generally accepted accounting principles or vice-versa for any reinsuance contracts.

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company had no retrospectively rated contracts or contracts subject to redetermination during the reporting periods.

NOTES TO FINANCIAL STATEMENTS

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

A. Claim reserves on accident and health contracts as of December 31, 2019 were $7.5 million. As of December 31, 2020, $1.9 million has been paid for claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $6.4 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on disability and credit lines of insurance. Therefore, there has been a $0.8 million unfavorable prior-year development from December 31, 2019 to December 31, 2020. The increase in claim reserves is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. There were no significant changes in methods or assumptions.

NOTE 26 Intercompany Pooling Arrangements

The Company had no intercompany pooling arrangements.

NOTE 27 Structured Settlements

The Company has not purchased any annuities with a claimant as payee in order to release reserves for contingent liabilities.

NOTE 28 Health Care Receivables

The Company has no pharmaceutical rebate or risk sharing receivables.

NOTE 29 Participating Policies

For the reporting years ended 2020 and 2019, premiums under participating policies were $35,494,460 and $37,805,869, respectively, or 39.9% and 8.6% of total premiums. The Company accounts for its policyholder dividends based upon the guidance of SSAP No. 51, Life Contracts. The Company paid dividends in the amount of $6,969,114 in 2020, and $9,649,396 in 2019, to policyholders. In addition, $4,006,476 and $4,122,844 of excess interest and mortality spreads, in 2020 and 2019, respectively, were allocated to participating policyholders.

NOTE 30 Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	$ 372,905
2. Date of the most recent evaluation of this liability	12/31/2020
3. Was anticipated investment income utilized in the calculation?	Yes [ ] No [X]

NOTE 31 Reserves for Life Contracts and Annuity Contracts

(1) The Company waives deduction of deferred fractional premiums upon death of insured and returns any portion of the final premium beyond the date of death. Surrender values are not promised in excess of the legally computed reserves.

(2) For policy forms issued by the former company 13762, extra premiums for substandard lives are based on appropriate multiples of standard mortality. Mean reserves are calculated from tables based on 150% of standard mortality. In addition, one-half of the premiums, if any, in excess of that for 250% mortality is added to the reserve. For policy forms issued by the original company 63126 and currently sold traditional life, a flat extra reserve equal to one half the gross extra premium is held. For table extras the reserve on the appropriate multiple table is computed on a net level basis and the excess over the standard reserve, if any, is held.

(3) As of December 31, 2020 and December 31, 2019, the Company had $884,880,335 and $917,672,830, respectively, of insurance in force for policies for which the gross premiums are less than the net premiums according to the standard valuation set by the State of New York. Reserves to cover the above insurance totaled the gross amount of $13,032,743 and $10,694,597 at year-end 2020 and 2019, respectively, and are reported in Exhibit 5, Aggregate Reserve for Life Contracts – Miscellaneous Reserves section.

(4) The Tabular Interest, Tabular Less Actual Reserve Released, and Tabular Cost have been determined by formula as described in the instructions.

(5) Tabular interest on funds not involving life contingencies was calculated as the balancing item.

(6) The Company had no other reserve changes.

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

A. INDIVIDUAL ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	$ 1,702,440			$ 1,702,440	0.1%
b. At book value less current surrender charge of 5% or more	$ 963,288,457			$ 963,288,457	60.4%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ 964,990,897	$ -	$ -	$ 964,990,897	60.5%
e. At book value without adjustment (minimal or no charge or adjustment)	$ 509,623,095			$ 509,623,095	32.0%
(2) Not subject to discretionary withdrawal	$ 119,973,000			$ 119,973,000	7.5%
(3) Total (gross: direct + assumed)	$ 1,594,586,992	$ -	$ -	$ 1,594,586,992	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ 1,594,586,992	$ -	$ -	$ 1,594,586,992	
(6) Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date:	$ 171,068,438			$ 171,068,438	

* Reconciliation of total annuity actuarial reserves and deposit fund liabilities.

B. GROUP ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment				$ -	0.0%
b. At book value less current surrender charge of 5% or more				$ -	0.0%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ -	$ -	$ -	$ -	0.0%
e. At book value without adjustment (minimal or no charge or adjustment)				$ -	0.0%
(2) Not subject to discretionary withdrawal				$ -	0.0%
(3) Total (gross: direct + assumed)	$ -	$ -	$ -	$ -	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ -	$ -	$ -	$ -	
(6) Amount included in B(1)b above that will move to B(1)e for the first time within the year after the statement date:				$ -	

NOTES TO FINANCIAL STATEMENTS

C. DEPOSIT-TYPE CONTRACTS (no life contingencies):

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	$ 7,918,210			$ 7,918,210	10.1%
b. At book value less current surrender charge of 5% or more				$ -	0.0%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ 7,918,210	$ -	$ -	$ 7,918,210	10.1%
e. At book value without adjustment (minimal or no charge or adjustment)	$ 61,898,607			$ 61,898,607	79.1%
(2) Not subject to discretionary withdrawal	$ 8,467,461			$ 8,467,461	10.8%
(3) Total (gross: direct + assumed)	$ 78,284,278	$ -	$ -	$ 78,284,278	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ 78,284,278	$ -	$ -	$ 78,284,278	
(6) Amount included in C(1)b above that will move to C(1)e for the first time within the year after the statement date:				$ -	

D. Life & Accident & Health Annual Statement:

	Amount
(1) Exhibit 5, Annuities Section, Total (net)	$ 1,570,836,239
(2) Exhibit 5, Supplementary Contracts with Life Contingencies Section, Total (net)	$ 23,750,753
(3) Exhibit 7, Deposit-Type Contracts, Line 14, Column 1	$ 78,284,278
(4) Subtotal	$ 1,672,871,270
Separate Accounts Annual Statement:	
(5) Exhibit 3, Line 0299999, Column 2	
(6) Exhibit 3, Line 0399999, Column 2	
(7) Policyholder dividend and coupon accumulations	
(8) Policyholder premiums	
(9) Guaranteed interest contracts	
(10) Other contract deposit funds	
(11) Subtotal	$ -
(12) Combined Total	$ 1,672,871,270

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

	Account Value	Cash Value	Reserve
A. General Account			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value		$ 7,327,425	$ 7,348,123
b. Universal Life	$ 195,023,235	$ 191,617,379	$ 198,482,588
c. Universal Life with Secondary Guarantees	$ 9,128,969	$ 4,930,358	$ 21,699,248
d. Indexed Universal Life	$ 3,113,728	$ 1,236,849	$ 2,285,963
e. Indexed Universal Life with Secondary Guarantees			
f. Indexed Life			
g. Other Permanent Cash Value Life Insurance		$ 386,614,468	$ 412,517,982
h. Variable Life			
i. Variable Universal Life			
j. Miscellaneous Reserves			
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	$ 93,193,062
b. Accidental Death Benefits	XXX	XXX	$ 31,116
c. Disability - Active Lives	XXX	XXX	$ 1,668,177
d. Disability - Disabled Lives	XXX	XXX	$ 3,528,248
e. Miscellaneous Reserves	XXX	XXX	$ 68,907,269
(3) Total (gross: direct + assumed)	$ 207,265,932	$ 591,726,479	$ 809,661,776
(4) Reinsurance ceded			$ 21,557,051
(5) Total (net) (3) - (4)	$ 207,265,932	$ 591,726,479	$ 788,104,725

	Account Value	Cash Value	Reserve
B. Separate Account with Guarantees			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value			
b. Universal Life			
c. Universal Life with Secondary Guarantees			
d. Indexed Universal Life			
e. Indexed Universal Life with Secondary Guarantees			
f. Indexed Life			
g. Other Permanent Cash Value Life Insurance			
h. Variable Life			
i. Variable Universal Life			
j. Miscellaneous Reserves			
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	
b. Accidental Death Benefits	XXX	XXX	
c. Disability - Active Lives	XXX	XXX	
d. Disability - Disabled Lives	XXX	XXX	
e. Miscellaneous Reserves	XXX	XXX	
(3) Total (gross: direct + assumed)	$ -	$ -	$ -
(4) Reinsurance ceded			
(5) Total (net) (3) - (4)	$ -	$ -	$ -

NOTES TO FINANCIAL STATEMENTS

	Account Value	Cash Value	Reserve
C. Separate Account Nonguaranteed			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value			
b. Universal Life			
c. Universal Life with Secondary Guarantees			
d. Indexed Universal Life			
e. Indexed Universal Life with Secondary Guarantees			
f. Indexed Life			
g. Other Permanent Cash Value Life Insurance			
h. Variable Life			
i. Variable Universal Life			
j. Miscellaneous Reserves			
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	
b. Accidental Death Benefits	XXX	XXX	
c. Disability - Active Lives	XXX	XXX	
d. Disability - Disabled Lives	XXX	XXX	
e. Miscellaneous Reserves	XXX	XXX	
(3) Total (gross: direct + assumed)	$ -	$ -	$ -
(4) Reinsurance ceded			
(5) Total (net) (3) - (4)	$ -	$ -	$ -

	Amount
D. Life & Accident & Health Annual Statement:	
(1) Exhibit 5, Life Insurance Section, Total (net)	$ 714,667,282
(2) Exhibit 5, Accidental Death Benefits Section, Total (net)	$ 31,116
(3) Exhibit 5, Disability - Active Lives Section, Total (net)	$ 1,668,177
(4) Exhibit 5, Disability - Disabled Lives Section, Total (net)	$ 3,528,248
(5) Exhibit 5, Miscellaneous reserves Section, Total (net)	$ 68,209,903
(6) Subtotal	$ 788,104,726
Separate Accounts Statement	
(7) Exhibit 3, Line 0199999, Column 2	
(8) Exhibit 3, Line 0499999, Column 2	
(9) Exhibit 3, Line 0599999, Column 2	
(10) Subtotal (Lines (7) through (9))	$ -
(11) Combined Total (6) and (10))	$ 788,104,726

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums and annuity considerations as of the end of current period, were as follows:

Type	Gross	Net of Loading
(1) Industrial		
(2) Ordinary new business	$ 514,351	$ 200,746
(3) Ordinary renewal	$ 11,380,826	$ 13,167,371
(4) Credit Life		
(5) Group Life		
(6) Group Annuity		
(7) Totals	$ 11,895,177	$ 13,368,117

NOTE 35 Separate Accounts

The Company has no Separate Accounts business.

NOTE 36 Loss/Claim Adjustment Expenses

The balance in the liability for unpaid accident and health claim adjustment expenses as of December 31, 2019 and December 31, 2020 was $80,519 and $82,314, respectively.

The Company incurred $29,099 and paid $29,185 of claim adjustment expenses in the current year, of which $25,258 of the paid amount was attributable to insured or covered events of prior years. The Company did not increase or decrease the provision for insured events of prior years.

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims/ losses and reduced such liability by $0.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1, 1A and 2

1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? ..... Yes [X] No [ ] N/A [ ]

1.3 State Regulating? ..... New York

1.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]

1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 1801075

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2020

3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015

3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/13/2017

3.4 By what department or departments?
New York State Department of Financial Services .....

3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [X]

3.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [X]

4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? ..... Yes [ ] No [X]
4.12 renewals? ..... Yes [ ] No [X]

4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? ..... Yes [ ] No [X]
4.22 renewals? ..... Yes [ ] No [X]

5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

6.2 If yes, give full information:
.....

7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? ..... Yes [ ] No [X]

7.2 If yes,
7.21 State the percentage of foreign control; ..... %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

GENERAL INTERROGATORIES

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
American National Registered Investment Advisor Inc	League City, Texas	NO	NO	NO	YES
ANICO Financial Services Inc	Galveston, Texas	NO	NO	NO	YES

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
Deloitte & Touche, LLP, 1111 Bagby Street, Suite 4500, Houston, Texas 77002

10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [X]

10.2 If the response to 10.1 is yes, provide information related to this exemption:

10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [X]

10.4 If the response to 10.3 is yes, provide information related to this exemption:

10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [X] No [ ] N/A [ ]

10.6 If the response to 10.5 is no or n/a, please explain

11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Kathryn Lentivech, FSA, MAAA, PO Box 656, Albany, NY 12201-0656, an Officer of the Company

12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ ] No [X]

12.11 Name of real estate holding company .....

12.12 Number of parcels involved .....

12.13 Total book/adjusted carrying value ..... $ .....

12.2 If, yes provide explanation:

13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**

13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?

13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]

13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]

13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [X]

14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
c. Compliance with applicable governmental laws, rules and regulations;
d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
e. Accountability for adherence to the code.

14.11 If the response to 14.1 is No, please explain:

14.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

14.21 If the response to 14.2 is yes, provide information related to amendment(s).

14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? ..... Yes [ ] No [X]

15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? ..... Yes [X] No [ ]

17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? ..... Yes [X] No [ ]

18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? ..... Yes [X] No [ ]

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? ..... Yes [ ] No [X]

20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers ..... $
- 20.12 To stockholders not officers ..... $
- 20.13 Trustees, supreme or grand (Fraternal Only) ..... $

20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers ..... $
- 20.22 To stockholders not officers ..... $
- 20.23 Trustees, supreme or grand (Fraternal Only) ..... $

21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? ..... Yes [ ] No [X]

21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others ..... $
- 21.22 Borrowed from others ..... $
- 21.23 Leased from others ..... $
- 21.24 Other ..... $

22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? ..... Yes [X] No [ ]

22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment $
- 22.22 Amount paid as expenses ..... $ 121
- 22.23 Other amounts paid ..... $

23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [X] No [ ]

23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... $ 1,063,382

INVESTMENT

24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) ..... Yes [X] No [ ]

24.02 If no, give full and complete information relating thereto

24.03 For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)

24.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions. ..... $

24.05 For the reporting entity's securities lending program, report amount of collateral for other programs. ..... $

24.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? ..... Yes [ ] No [ ] N/A [X]

24.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? ..... Yes [ ] No [ ] N/A [X]

24.08 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? ..... Yes [ ] No [ ] N/A [X]

GENERAL INTERROGATORIES

24.09 For the reporting entity's securities lending program state the amount of the following as of December 31 of the current year:

24.091	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	$ 0
24.092	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	$ 0
24.093	Total payable for securities lending reported on the liability page.	$ 0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes [X] No [ ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21	Subject to repurchase agreements	$
25.22	Subject to reverse repurchase agreements	$
25.23	Subject to dollar repurchase agreements	$
25.24	Subject to reverse dollar repurchase agreements	$
25.25	Placed under option agreements	$
25.26	Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	$
25.27	FHLB Capital Stock	$
25.28	On deposit with states	$ 1,295,803
25.29	On deposit with other regulatory bodies	$
25.30	Pledged as collateral - excluding collateral pledged to an FHLB	$
25.31	Pledged as collateral to FHLB - including assets backing funding agreements	$
25.32	Other	$

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [X] No [ ]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [ ] N/A [ ]
If no, attach a description with this statement.

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes [ ] No [X]

26.4 If the response to 26.3 is YES, does the reporting entity utilize:

26.41	Special accounting provision of SSAP No. 108	Yes [ ] No [ ]
26.42	Permitted accounting practice	Yes [ ] No [ ]
26.43	Other accounting guidance	Yes [ ] No [ ]

26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following: Yes [ ] No [ ]

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [ ] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. $

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Bank of New York Mellon	One Wall St, New York, NY

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? ..... Yes [ ] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anne Le Mire	I
Scott Brast	I

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ ] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ ] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? ..... Yes [ ] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

GENERAL INTERROGATORIES

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	2,110,230,149	2,289,789,075	179,558,926
30.2 Preferred stocks	4,101,988	4,406,425	304,437
30.3 Totals	2,114,332,137	2,294,195,500	179,863,363

30.4 Describe the sources or methods utilized in determining the fair values:
Fair values were obtained using various independent pricing services

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No [ ]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [X] No [ ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

32.2 If no, list exceptions:

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

36. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a - 36.c are reported as long-term investments.
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [ ] No [X] N/A [ ]

GENERAL INTERROGATORIES

OTHER

37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? ..... $ 82,850

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
AM Best Company, Inc.	56,200
Life Insurance Council of New York, Inc	26,400

38.1 Amount of payments for legal expenses, if any? ..... $ 10,710

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Greer, Herz & Adams LLP	9,522

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? ..... $ 17,546

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
Life Insurance Council of New York, Inc	17,046

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, Accident and Health Companies/Fraternal Benefit Societies:

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? ..... Yes [ ] No [X]

1.2 If yes, indicate premium earned on U.S. business only ..... $

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? ..... $

1.31 Reason for excluding:

.....

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. ..... $

1.5 Indicate total incurred claims on all Medicare Supplement insurance. ..... $ 0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned ..... $ 0

1.62 Total incurred claims ..... $ 0

1.63 Number of covered lives 0

All years prior to most current three years

1.64 Total premium earned ..... $ 0

1.65 Total incurred claims ..... $ 0

1.66 Number of covered lives 0

1.7 Group policies:

Most current three years:

1.71 Total premium earned ..... $ 0

1.72 Total incurred claims ..... $ 0

1.73 Number of covered lives 0

All years prior to most current three years

1.74 Total premium earned ..... $ 0

1.75 Total incurred claims ..... $ 0

1.76 Number of covered lives 0

2. Health Test:

		1 Current Year	2 Prior Year
2.1	Premium Numerator		
2.2	Premium Denominator	89,065,139	437,799,103
2.3	Premium Ratio (2.1/2.2)	0.000	0.000
2.4	Reserve Numerator	831,292	890,221
2.5	Reserve Denominator	2,345,165,658	2,330,466,312
2.6	Reserve Ratio (2.4/2.5)	0.000	0.000

3.1 Does this reporting entity have Separate Accounts? ..... Yes [ ] No [X]

3.2 If yes, has a Separate Accounts Statement been filed with this Department? ..... Yes [ ] No [ ] N/A [X]

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? ..... $

3.4 State the authority under which Separate Accounts are maintained:

.....

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? ..... Yes [ ] No [ ]

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? ..... Yes [ ] No [ ]

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? ..... $

4. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

4.1 Amount of loss reserves established by these annuities during the current year: ..... $

4.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1 P&C Insurance Company And Location	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
.....	

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

5.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

5.2 If yes, please provide the amount of custodial funds held as of the reporting date. ..... $

5.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

5.4 If yes, please provide the balance of funds administered as of the reporting date. ..... $

6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [ ] No [ ] N/A [X]

6.2 If the answer to 6.1 is yes, please provide the following:

1	2	3	4	Assets Supporting Reserve Credit		
				5	6	7
Company Name	NAIC Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements	Other

7. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

7.1 Direct Premium Written ..... $ 49,462,106
7.2 Total Incurred Claims ..... $ 38,844,732
7.3 Number of Covered Lives 63,490

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [X] No [ ]

8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

Life, Accident and Health Companies Only:

9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? ..... Yes [X] No [ ]

9.2 Net reimbursement of such expenses between reporting entities:

9.21 Paid ..... $ 11,361,379
9.22 Received ..... $ 2,152,191

10.1 Does the reporting entity write any guaranteed interest contracts? ..... Yes [ ] No [X]

10.2 If yes, what amount pertaining to these lines is included in:

10.21 Page 3, Line 1 ..... $
10.22 Page 4, Line 1 ..... $

11. For stock reporting entities only:

11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: ..... $ 60,300,471

12. Total dividends paid stockholders since organization of the reporting entity:

12.11 Cash ..... $ 95,354,470
12.12 Stock ..... $ 450,000

13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [X]
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]

13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium			0
13.32 Paid claims			0
13.33 Claim liability and reserve (beginning of year)			0
13.34 Claim liability and reserve (end of year)			0
13.35 Incurred claims	0	0	0

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below $1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<$25,000		
13.42	$25,000 - 99,999		
13.43	$100,000 - 249,999		
13.44	$250,000 - 999,999		
13.45	$1,000,000 or more		

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools? ..... $ .....

Fraternal Benefit Societies Only:

14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government? ..... Yes [ ] No [ ]
15. How often are meetings of the subordinate branches required to be held?
16. How are the subordinate branches represented in the supreme or governing body?
17. What is the basis of representation in the governing body?
18.1 How often are regular meetings of the governing body held?
18.2 When was the last regular meeting of the governing body held? .....
18.3 When and where will the next regular or special meeting of the governing body be held?
18.4 How many members of the governing body attended the last regular meeting? .....
18.5 How many of the same were delegates of the subordinate branches? .....
19. How are the expenses of the governing body defrayed?
20. When and by whom are the officers and directors elected?
21. What are the qualifications for membership?
22. What are the limiting ages for admission?
23. What is the minimum and maximum insurance that may be issued on any one life?

NONE

24. Is a medical examination required before issuing [illegible] to applica[illegible]? ..... Yes [ ] No [ ]
25. Are applicants admitted to membership without fi[illegible] a[illegible]ti[illegible]with and b[illegible]mi[illegible]a m[illegible] of a[illegible]ar branch by ballot and initiation? ..... Yes [ ] No [ ]
26.1 Are notices of the payments required sent to the [illegible]mbe[illegible] ..... Yes [ ] No [ ] N/A [ ]
26.2 If yes, do the notices state the purpose for which [illegible] money is to be us[illegible] ..... Yes [ ] No [ ]
27. What proportion of first and subsequent year's payments may be used for management expenses?
    27.11 First Year ..... %
    27.12 Subsequent Years ..... %
28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? ..... Yes [ ] No [ ]
28.2 If so, what amount and for what purpose? ..... $ .....
29.1 Does the reporting entity pay an old age disability benefit? ..... Yes [ ] No [ ]
29.2 If yes, at what age does the benefit commence? .....
30.1 Has the constitution or have the laws of the reporting entity been amended during the year? ..... Yes [ ] No [ ]
30.2 If yes, when?
31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time? ..... Yes [ ] No [ ]
32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements? ..... Yes [ ] No [ ]
32.2 If so, was an additional reserve included in Exhibit 5? ..... Yes [ ] No [ ] N/A [ ]
32.3 If yes, explain
33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year? ..... Yes [ ] No [ ]
33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? ..... Yes [ ] No [ ] N/A [ ]
34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement? ..... Yes [ ] No [ ]
35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
35.2 If yes, what is the date of the original lien and the [illegible] outst[illegible]ding [illegible]ance c[illegible]ns th[illegible] main[illegible] surp[illegible]?

NONE

Date	Outstanding Lien Amount

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
$000 omitted for amounts of life insurance

		1 2020	2 2019	3 2018	4 2017	5 2016
	Life Insurance in Force					
	(Exhibit of Life Insurance)					
1.	Ordinary - whole life and endowment (Line 34, Col. 4)	2,799,368	2,775,231	2,672,488	2,705,759	2,739,688
2.	Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)	6,038,826	5,977,880	5,948,014	5,968,359	5,929,727
3.	Credit life (Line 21, Col. 6)	28,525	28,948	26,254	19,892	7,925
4.	Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)	0	0	0	0	0
5.	Industrial (Line 21, Col. 2)	0	0	0	0	0
6.	FEGLI/SGLI (Lines 43 & 44, Col. 4)	0	0	0	0	0
7.	Total (Line 21, Col. 10)	8,866,719	8,782,059	8,646,756	8,694,010	8,677,340
7.1	Total in force for which VM-20 deterministic/stochastic reserves are calculated				0	XXX
	New Business Issued					
	(Exhibit of Life Insurance)					
8.	Ordinary - whole life and endowment (Line 34, Col. 2)	139,311	246,081	185,642	168,147	126,908
9.	Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)	464,315	464,195	410,188	442,803	700,525
10.	Credit life (Line 2, Col. 6)	880,862	973,022	1,020,618	1,010,186	98,672
11.	Group (Line 2, Col. 9)	0	0	0	0	0
12.	Industrial (Line 2, Col. 2)	0	0	0	0	0
13.	Total (Line 2, Col. 10)	1,484,488	1,683,298	1,616,448	1,621,136	926,105
	Premium Income - Lines of Business					
	(Exhibit 1 - Part 1)					
14.	Industrial life (Line 20.4, Col. 2)	0	0	0	0	0
15.1	Ordinary-life insurance (Line 20.4, Col. 3)	50,117,949	53,590,464	50,524,738	52,239,484	54,525,323
15.2	Ordinary-individual annuities (Line 20.4, Col. 4)	34,880,738	380,296,052	207,002,227	362,157,639	223,866,989
16	Credit life (group and individual) (Line 20.4, Col. 5)	718,371	773,604	850,282	884,641	300,312
17.1	Group life insurance (Line 20.4, Col. 6)	0	0	0	0	0
17.2	Group annuities (Line 20.4, Col. 7)	0	0	0	0	0
18.1	A & H-group (Line 20.4, Col. 8)	0	0	0	12,837	29,210
18.2	A & H-credit (group and individual) (Line 20.4, Col. 9)	825,960	880,125	936,927	959,828	374,699
18.3	A & H-other (Line 20.4, Col. 10)	2,522,122	2,258,860	2,996,357	3,215,367	3,562,468
19.	Aggregate of all other lines of business (Line 20.4,Col. 11)	0	0	0	0	0
20.	Total	89,065,140	437,799,105	262,310,531	419,469,796	282,659,001
	Balance Sheet (Pages 2 & 3)					
21.	Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	2,788,825,594	2,779,207,596	2,367,312,746	2,202,143,441	1,831,027,250
22.	Total liabilities excluding Separate Accounts business (Page 3, Line 26)	2,554,568,465	2,550,309,558	2,130,264,450	1,951,456,296	1,602,908,524
23.	Aggregate life reserves (Page 3, Line 1)	2,382,691,718	2,372,430,114	1,967,234,824	1,775,986,703	1,440,829,068
23.1	Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1				0	XXX
24.	Aggregate A & H reserves (Page 3, Line 2)	14,470,979	14,319,422	15,361,846	15,733,252	14,614,355
25.	Deposit-type contract funds (Page 3, Line 3)	78,284,278	78,844,832	76,798,073	79,206,738	80,450,998
26.	Asset valuation reserve (Page 3, Line 24.01)	33,251,490	30,173,187	25,737,438	33,266,269	27,019,767
27.	Capital (Page 3, Lines 29 and 30)	3,000,550	5,000,550	5,000,550	5,000,550	5,000,550
28.	Surplus (Page 3, Line 37)	231,256,579	223,897,488	232,047,746	245,686,595	223,118,176
	Cash Flow (Page 5)					
29.	Net Cash from Operations (Line 11)	21,798,323	367,420,462	190,483,311	339,314,812	219,646,014
	Risk-Based Capital Analysis					
30.	Total adjusted capital	247,110,086	240,039,162	267,836,943	287,129,322	258,260,966
31.	Authorized control level risk - based capital	36,612,602	35,891,369	31,185,969	30,362,631	24,169,668
	Percentage Distribution of Cash, Cash Equivalents and Invested Assets					
	(Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32.	Bonds (Line 1)	75.7	76.3	77.2	80.0	87.6
33.	Stocks (Lines 2.1 and 2.2)	0.2	0.2	5.0	6.1	0.6
34.	Mortgage loans on real estate(Lines 3.1 and 3.2)	18.2	15.4	15.0	10.0	8.4
35.	Real estate (Lines 4.1, 4.2 and 4.3)	0.2	0.2	0.3	0.3	0.0
36.	Cash, cash equivalents and short-term investments (Line 5)	1.7	5.1	0.4	1.2	3.1
37.	Contract loans (Line 6)	1.3	1.4	1.6	1.8	0.0
38.	Derivatives (Page 2, Line 7)	0.3	0.4	0.1	0.3	0.2
39.	Other invested assets (Line 8)	2.4	1.1	0.4	0.2	0.0
40.	Receivables for securities (Line 9)	0.0	0.0	0.0	0.0	0.0
41.	Securities lending reinvested collateral assets (Line 10)	0.0	0.0	0.0	0.0	0.0
42.	Aggregate write-ins for invested assets (Line 11)	0.0	0.0	0.0	0.0	0.0
43.	Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

		1 2020	2 2019	3 2018	4 2017	5 2016
	Investments in Parent, Subsidiaries and Affiliates					
44.	Affiliated bonds (Schedule D Summary, Line 12, Col. 1)	0			0	0
45.	Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)	0	0		0	0
46.	Affiliated common stocks (Schedule D Summary Line 24, Col. 1),	0	0		0	0
47.	Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
48.	Affiliated mortgage loans on real estate				0	0
49.	All other affiliated				0	0
50.	Total of above Lines 44 to 49	0	0	0	0	0
51.	Total Investment in Parent included in Lines 44 to 49 above				0	0
	Total Nonadmitted and Admitted Assets					
52.	Total nonadmitted assets (Page 2, Line 28, Col. 2)	26,938,060	26,772,748	12,069,959	5,252,816	9,575,856
53.	Total admitted assets (Page 2, Line 28, Col. 3)	2,788,825,594	2,779,207,596	2,367,312,746	2,202,143,441	1,831,027,250
	Investment Data					
54.	Net investment income (Exhibit of Net Investment Income)	113,182,868	105,970,470	89,903,450	79,636,877	67,773,255
55.	Realized capital gains (losses) (Page 4, Line 34, Column 1)	(2,003,634)	72,965,577	8,921,559	6,081,855	5,005,752
56.	Unrealized capital gains (losses) (Page 4, Line 38, Column 1)	(1,605,800)	(47,259,133)	(20,074,058)	11,117,121	4,657,843
57.	Total of above Lines 54, 55 and 56	109,573,434	131,676,914	78,750,951	96,835,853	77,436,850
	Benefits and Reserve Increases (Page 6)					
58.	Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8)	142,346,781	115,575,973	120,884,254	112,759,135	90,811,246
59.	Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6)	2,194,905	2,186,600	2,132,524	1,984,724	1,786,087
60.	Increase in life reserves - other than group and annuities (Line 19, Col. 2)	19,971,357	37,199,310	14,028,611	8,079,245	17,670,900
61.	Increase in A & H reserves (Line 19, Col. 6)	190,295	(1,533,179)	(371,406)	1,118,897	(433,741)
62.	Dividends to policyholders and refunds to members (Line 30, Col. 1)	6,837,546	6,804,096	9,797,157	6,128,532	5,965,018
	Operating Percentages					
63.	Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	19.4	7.2	10.3	8.1	5.5
64.	Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0	4.9	5.5	6.5	6.3	15.4
65.	A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)	76.3	31.5	43.6	72.4	11.4
66.	A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)	0.0	0.0	0.0		0.0
67.	A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)	17.7	22.2	34.1	39.5	54.8
	A & H Claim Reserve Adequacy					
68.	Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)	0			0	0
69.	Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)	0	0	2,900	4,600	2,000
70.	Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)	8,268,704	7,932,991	8,416,719	8,137,492	7,721,812
71.	Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)	7,513,992	8,318,839	8,569,006	7,778,020	8,893,943
	Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)					
72.	Industrial life (Page 6.1, Col. 2)	0	0	0	0	0
73.	Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12)	305,108	(17,028,318)	(13,813,057)	54,483	4,952,826
74.	Ordinary - individual annuities (Page 6, Col. 4)	11,167,477	(13,212,190)	3,226,512	2,632,410	1,416,255
75.	Ordinary-supplementary contracts	XXX	XXX	(403,132)	1,417,883	983,070
76.	Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)	222,835	(100,671)	94,943	131,993	9,717
77.	Group life (Page 6.2, Col. 1 Less Cols. 7 and 9)	0	0	0	0	0
78.	Group annuities (Page 6, Col. 5)	53,038	36,264	(23,261)	231,071	103,894
79.	A & H-group (Page 6.5, Col. 3)	0	0	(49)	(2,577)	(2,007)
80.	A & H-credit (Page 6.5, Col. 10)	192,360	198,161	58,611	109,772	7,640
81.	A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)	709,022	1,863,541	854,677	217,343	1,334,418
82.	Aggregate of all other lines of business (Page 6, Col. 8)	0	0	0	0	0
83.	Fraternal (Page 6, Col. 7)	0	0			
84.	Total (Page 6, Col. 1)	12,649,840	(28,243,213)	(10,004,756)	4,792,378	8,805,813

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [ ] No [ ]

If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Alabama — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	14,985	0	0	0	14,985
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	14,985	0	0	0	14,985
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	(19)	0	0	0	(19)
6.2 Applied to pay renewal premiums	9,023	0	0	0	9,023
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,715	0	0	0	1,715
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	10,719	0	0	0	10,719
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	10,719	0	0	0	10,719
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	43,744	0	0	0	43,744
12. Surrender values and withdrawals for life contracts	4,500	0	0	0	4,500
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	48,244	0	0	0	48,244
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Alaska — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,056	0	0	0	1,056
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,056	0	0	0	1,056
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	158	0	0	0	158
6.2 Applied to pay renewal premiums	108	0	0	0	108
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	131	0	0	0	131
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	397	0	0	0	397
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	397	0	0	0	397
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Arizona — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	29,560	0	0	0	29,560
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	29,560	0	0	0	29,560
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	892	0	0	0	892
6.2 Applied to pay renewal premiums	2,038	0	0	0	2,038
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,702	0	0	0	4,702
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	7,632	0	0	0	7,632
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	7,632	0	0	0	7,632
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	121,686	0	0	0	121,686
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	6,663	0	0	0	6,663
15. Totals	128,349	0	0	0	128,349
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Arkansas — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,572	0	0	0	3,572
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,572	0	0	0	3,572
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	(48)	0	0	0	(48)
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	683	0	0	0	683
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	635	0	0	0	635
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	635	0	0	0	635
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	9,339	0	0	0	9,339
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	9,339	0	0	0	9,339
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF California — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	40,575	0	0	0	40,575
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	40,575	0	0	0	40,575
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,271	0	0	0	1,271
6.2 Applied to pay renewal premiums	6,003	0	0	0	6,003
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	7,270	0	0	0	7,270
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	14,544	0	0	0	14,544
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	14,544	0	0	0	14,544
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	1,000	0	0	0	1,000
11. Annuity benefits	16,528	0	0	0	16,528
12. Surrender values and withdrawals for life contracts	55,966	0	0	0	55,966
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	3,518	0	0	0	3,518
15. Totals	77,012	0	0	0	77,012
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	1,000	0	0	0	0	0	0	0	1,000
Settled during current year:										
18.1 By payment in full	0	1,000	0	0	0	0	0	0	0	1,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	1,000	0	0	0	0	0	0	0	1,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	1,000	0	0	0	0	0	0	0	1,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Colorado — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	28,220	0	0	0	28,220
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	28,220	0	0	0	28,220
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	694	0	0	0	694
6.2 Applied to pay renewal premiums	385	0	0	0	385
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	3,494	0	0	0	3,494
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,573	0	0	0	4,573
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,573	0	0	0	4,573
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	2,000	0	0	0	2,000
11. Annuity benefits	3,679	0	0	0	3,679
12. Surrender values and withdrawals for life contracts	3,943	0	0	0	3,943
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	9,622	0	0	0	9,622
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	2,000	0	0	0	0	0	0	0	2,000
Settled during current year:										
18.1 By payment in full	0	2,000	0	0	0	0	0	0	0	2,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	2,000	0	0	0	0	0	0	0	2,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	2,000	0	0	0	0	0	0	0	2,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Connecticut — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,801,322	0	0	0	1,801,322
2. Annuity considerations	178,204	0	0	0	178,204
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,979,526	0	0	0	1,979,526
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	49,650	0	0	0	49,650
6.2 Applied to pay renewal premiums	87,709	0	0	0	87,709
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	246,972	0	0	0	246,972
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	384,331	0	0	0	384,331
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	384,331	0	0	0	384,331
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,233,965	0	0	0	1,233,965
10. Matured endowments	5,000	0	0	0	5,000
11. Annuity benefits	746,234	0	0	0	746,234
12. Surrender values and withdrawals for life contracts	1,424,466	0	0	0	1,424,466
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	13,055	0	0	0	13,055
15. Totals	3,422,720	0	0	0	3,422,720
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	6	286,268	0	0	0	0	0	0	6	286,268
17. Incurred during current year	20	997,520	0	0	0	0	0	0	20	997,520
Settled during current year:										
18.1 By payment in full	22	1,238,965	0	0	0	0	0	0	22	1,238,965
18.2 By payment on compromised claims									0	0
18.3 Totals paid	22	1,238,965	0	0	0	0	0	0	22	1,238,965
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	22	1,238,965	0	0	0	0	0	0	22	1,238,965
19. Unpaid Dec. 31, current year (16+17-18.6)	4	44,823	0	0	0	0	0	0	4	44,823
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,607	397,219,567	0	(a) 0	0	0	0	0	2,607	397,219,567
21. Issued during year									0	0
22. Other changes to in force (Net)	(113)	(19,256,120)	0	0	0	0	0	0	(113)	(19,256,120)
23. In force December 31 of current year	2,494	377,963,447	0	(a) 0	0	0	0	0	2,494	377,963,447

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	274,503	277,694		59,442	419,397
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	274,503	277,694	0	59,442	419,397
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	274,503	277,694	0	59,442	419,397

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Delaware — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,193,194	0	0	0	1,193,194
2. Annuity considerations	130,055	0	0	0	130,055
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,323,249	0	0	0	1,323,249
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	16,353	0	0	0	16,353
6.2 Applied to pay renewal premiums	31,580	0	0	0	31,580
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	201,571	0	0	0	201,571
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	249,504	0	0	0	249,504
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	249,504	0	0	0	249,504
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	947,492	0	0	0	947,492
10. Matured endowments					0
11. Annuity benefits	100,700	0	0	0	100,700
12. Surrender values and withdrawals for life contracts	763,879	0	0	0	763,879
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	15,013	0	0	0	15,013
15. Totals	1,827,084	0	0	0	1,827,084
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	3	25,606	0	0	0	0	0	0	3	25,606
17. Incurred during current year	23	946,979	0	0	0	0	0	0	23	946,979
Settled during current year:										
18.1 By payment in full	22	947,492	0	0	0	0	0	0	22	947,492
18.2 By payment on compromised claims									0	0
18.3 Totals paid	22	947,492	0	0	0	0	0	0	22	947,492
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	22	947,492	0	0	0	0	0	0	22	947,492
19. Unpaid Dec. 31, current year (16+17-18.6)	4	25,093	0	0	0	0	0	0	4	25,093
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,894	198,864,289	0	(a) 0	0	0	0	0	1,894	198,864,289
21. Issued during year									0	0
22. Other changes to in force (Net)	(87)	(11,327,665)	0	0	0	0	0	0	(87)	(11,327,665)
23. In force December 31 of current year	1,807	187,536,624	0	(a) 0	0	0	0	0	1,807	187,536,624

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	49,151	50,342		57,309	30,732
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	49,151	50,342	0	57,309	30,732
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	49,151	50,342	0	57,309	30,732

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF District of Columbia — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,417	0	0	0	2,417
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,417	0	0	0	2,417
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	4	0	0	0	4
6.2 Applied to pay renewal premiums	68	0	0	0	68
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	250	0	0	0	250
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	322	0	0	0	322
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	322	0	0	0	322
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Florida — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	459,712	0	0	0	459,712
2. Annuity considerations	125,067	0	0	0	125,067
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	584,779	0	0	0	584,779
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	7,073	0	0	0	7,073
6.2 Applied to pay renewal premiums	27,722	0	0	0	27,722
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	76,049	0	0	0	76,049
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	110,844	0	0	0	110,844
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	110,844	0	0	0	110,844
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	13,520	0	0	0	13,520
11. Annuity benefits	592,281	0	0	0	592,281
12. Surrender values and withdrawals for life contracts	1,683,913	0	0	0	1,683,913
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	15,704	0	0	0	15,704
15. Totals	2,305,418	0	0	0	2,305,418
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	13,520	0	0	0	0	0	0	0	13,520
Settled during current year:										
18.1 By payment in full	0	13,520	0	0	0	0	0	0	0	13,520
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	13,520	0	0	0	0	0	0	0	13,520
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	13,520	0	0	0	0	0	0	0	13,520
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Georgia — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	72,311	0	0	0	72,311
2. Annuity considerations	16,627	0	0	0	16,627
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	88,938	0	0	0	88,938
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,680	0	0	0	1,680
6.2 Applied to pay renewal premiums	2,565	0	0	0	2,565
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,019	0	0	0	4,019
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	8,264	0	0	0	8,264
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	8,264	0	0	0	8,264
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	6,638	0	0	0	6,638
12. Surrender values and withdrawals for life contracts	453,934	0	0	0	453,934
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	555	0	0	0	555
15. Totals	461,127	0	0	0	461,127
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Hawaii — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	4,427	0	0	0	4,427
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	4,427	0	0	0	4,427
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	261	0	0	0	261
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	178	0	0	0	178
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	439	0	0	0	439
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	439	0	0	0	439
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Idaho — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,790	0	0	0	1,790
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,790	0	0	0	1,790
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	217	0	0	0	217
6.2 Applied to pay renewal premiums	9	0	0	0	9
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	76	0	0	0	76
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	302	0	0	0	302
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	302	0	0	0	302
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	5,000	0	0	0	5,000
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	5,000	0	0	0	5,000
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Illinois — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	20,936	0	0	0	20,936
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	20,936	0	0	0	20,936
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,026	0	0	0	1,026
6.2 Applied to pay renewal premiums	1,086	0	0	0	1,086
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,134	0	0	0	2,134
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,246	0	0	0	4,246
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,246	0	0	0	4,246
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	4,000	0	0	0	4,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	11,316	0	0	0	11,316
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	15,316	0	0	0	15,316
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	4,000	0	0	0	0	0	0	0	4,000
Settled during current year:										
18.1 By payment in full	0	4,000	0	0	0	0	0	0	0	4,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	4,000	0	0	0	0	0	0	0	4,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	4,000	0	0	0	0	0	0	0	4,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Indiana — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	17,721	0	0	0	17,721
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	17,721	0	0	0	17,721
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	478	0	0	0	478
6.2 Applied to pay renewal premiums	1,227	0	0	0	1,227
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,175	0	0	0	2,175
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	3,880	0	0	0	3,880
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	3,880	0	0	0	3,880
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	4	0	0	0	4
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	95,716	0	0	0	95,716
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	95,720	0	0	0	95,720
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	4	0	0	0	0	0	0	0	4
Settled during current year:										
18.1 By payment in full	0	4	0	0	0	0	0	0	0	4
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	4	0	0	0	0	0	0	0	4
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	4	0	0	0	0	0	0	0	4
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Iowa — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	8,162	0	0	0	8,162
2. Annuity considerations	7,000	0	0	0	7,000
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	15,162	0	0	0	15,162
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	335	0	0	0	335
6.2 Applied to pay renewal premiums	343	0	0	0	343
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,134	0	0	0	2,134
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,812	0	0	0	2,812
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,812	0	0	0	2,812
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	15,010	0	0	0	15,010
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	15,010	0	0	0	15,010
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Kansas — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	392	0	0	0	392
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	392	0	0	0	392
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	82	0	0	0	82
6.2 Applied to pay renewal premiums	199	0	0	0	199
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	340	0	0	0	340
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	621	0	0	0	621
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	621	0	0	0	621
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Kentucky — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	16,677	0	0	0	16,677
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	16,677	0	0	0	16,677
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	345	0	0	0	345
6.2 Applied to pay renewal premiums	7,493	0	0	0	7,493
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,419	0	0	0	1,419
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	9,257	0	0	0	9,257
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	9,257	0	0	0	9,257
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	7,800	0	0	0	7,800
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	7,800	0	0	0	7,800
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Louisiana — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,048	0	0	0	2,048
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,048	0	0	0	2,048
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					0
6.2 Applied to pay renewal premiums	101	0	0	0	101
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	195	0	0	0	195
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	296	0	0	0	296
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	296	0	0	0	296
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Maine — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,161,484	0	0	0	1,161,484
2. Annuity considerations	116,156	0	0	0	116,156
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,277,640	0	0	0	1,277,640
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	48,388	0	0	0	48,388
6.2 Applied to pay renewal premiums	58,793	0	0	0	58,793
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	146,377	0	0	0	146,377
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	253,558	0	0	0	253,558
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	253,558	0	0	0	253,558
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	644,306	0	0	0	644,306
10. Matured endowments	6,041	0	0	0	6,041
11. Annuity benefits	369,641	0	0	0	369,641
12. Surrender values and withdrawals for life contracts	1,136,748	0	0	0	1,136,748
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	21,009	0	0	0	21,009
15. Totals	2,177,745	0	0	0	2,177,745
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	3	5,232	0	0	0	0	0	0	3	5,232
17. Incurred during current year	32	759,149	0	0	0	0	0	0	32	759,149
Settled during current year:										
18.1 By payment in full	33	650,346	0	0	0	0	0	0	33	650,346
18.2 By payment on compromised claims									0	0
18.3 Totals paid	33	650,346	0	0	0	0	0	0	33	650,346
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	33	650,346	0	0	0	0	0	0	33	650,346
19. Unpaid Dec. 31, current year (16+17-18.6)	2	114,035	0	0	0	0	0	0	2	114,035
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,478	244,267,127	0	(a) 0	0	0	0	0	2,478	244,267,127
21. Issued during year									0	0
22. Other changes to in force (Net)	(121)	(16,646,469)	0	0	0	0	0	0	(121)	(16,646,469)
23. In force December 31 of current year	2,357	227,620,658	0	(a) 0	0	0	0	0	2,357	227,620,658

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	141,832	144,055		184,499	145,158
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	141,832	144,055	0	184,499	145,158
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	141,832	144,055	0	184,499	145,158

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Maryland — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,314,191	0	0	0	1,314,191
2. Annuity considerations	68,600	0	0	0	68,600
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,382,791	0	0	0	1,382,791
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,278	0	0	0	1,278
6.2 Applied to pay renewal premiums	3,996	0	0	0	3,996
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	88,022	0	0	0	88,022
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	93,296	0	0	0	93,296
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	93,296	0	0	0	93,296
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	2,698,698	0	0	0	2,698,698
10. Matured endowments	2,000	0	0	0	2,000
11. Annuity benefits	149,550	0	0	0	149,550
12. Surrender values and withdrawals for life contracts	682,164	0	0	0	682,164
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	3,532,412	0	0	0	3,532,412
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	9	2,700,698	0	0	0	0	0	0	9	2,700,698
Settled during current year:										
18.1 By payment in full	9	2,700,698	0	0	0	0	0	0	9	2,700,698
18.2 By payment on compromised claims									0	0
18.3 Totals paid	9	2,700,698	0	0	0	0	0	0	9	2,700,698
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	9	2,700,698	0	0	0	0	0	0	9	2,700,698
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,060	263,300,417	0	(a) 0	0	0	0	0	1,060	263,300,417
21. Issued during year									0	0
22. Other changes to in force (Net)	(74)	(15,995,455)	0	0	0	0	0	0	(74)	(15,995,455)
23. In force December 31 of current year	986	247,304,962	0	(a) 0	0	0	0	0	986	247,304,962

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	115,667	118,394		0	0
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	115,667	118,394	0	0	0
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	115,667	118,394	0	0	0

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Massachusetts — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,229,929	0	0	0	2,229,929
2. Annuity considerations	253,379	0	0	0	253,379
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,483,308	0	0	0	2,483,308
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	58,424	0	0	0	58,424
6.2 Applied to pay renewal premiums	47,676	0	0	0	47,676
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	261,665	0	0	0	261,665
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	367,765	0	0	0	367,765
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	367,765	0	0	0	367,765
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	2,113,662	0	0	0	2,113,662
10. Matured endowments	4,014	0	0	0	4,014
11. Annuity benefits	435,073	0	0	0	435,073
12. Surrender values and withdrawals for life contracts	1,457,510	0	0	0	1,457,510
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	18,190	0	0	0	18,190
15. Totals	4,028,449	0	0	0	4,028,449
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	13	417,718	0	0	0	0	0	0	13	417,718
17. Incurred during current year	62	2,445,908	0	0	0	0	0	0	62	2,445,908
Settled during current year:										
18.1 By payment in full	52	2,117,675	0	0	0	0	0	0	52	2,117,675
18.2 By payment on compromised claims									0	0
18.3 Totals paid	52	2,117,675	0	0	0	0	0	0	52	2,117,675
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	52	2,117,675	0	0	0	0	0	0	52	2,117,675
19. Unpaid Dec. 31, current year (16+17-18.6)	23	745,951	0	0	0	0	0	0	23	745,951
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	3,472	471,736,631	0	(a) 0	0	0	0	0	3,472	471,736,631
21. Issued during year									0	0
22. Other changes to in force (Net)	(190)	(35,256,575)	0	0	0	0	0	0	(190)	(35,256,575)
23. In force December 31 of current year	3,282	436,480,056	0	(a) 0	0	0	0	0	3,282	436,480,056

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....
Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	405,920	421,184		246,300	258,706
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	405,920	421,184	0	246,300	258,706
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	405,920	421,184	0	246,300	258,706

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Michigan — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	16,110	0	0	0	16,110
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	16,110	0	0	0	16,110
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	890	0	0	0	890
6.2 Applied to pay renewal premiums	3,478	0	0	0	3,478
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	3,441	0	0	0	3,441
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	7,809	0	0	0	7,809
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	7,809	0	0	0	7,809
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	4,165	0	0	0	4,165
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	4,165	0	0	0	4,165
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Minnesota — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	20,085	0	0	0	20,085
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	20,085	0	0	0	20,085
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	(397)	0	0	0	(397)
6.2 Applied to pay renewal premiums	131	0	0	0	131
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,428	0	0	0	2,428
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,162	0	0	0	2,162
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,162	0	0	0	2,162
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	12,500	0	0	0	12,500
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	12,500	0	0	0	12,500
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Mississippi — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	5,681	0	0	0	5,681
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,681	0	0	0	5,681
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	88	0	0	0	88
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	8	0	0	0	8
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	96	0	0	0	96
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	96	0	0	0	96
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Missouri — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	16,013	0	0	0	16,013
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	16,013	0	0	0	16,013
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	724	0	0	0	724
6.2 Applied to pay renewal premiums	657	0	0	0	657
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,886	0	0	0	2,886
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,267	0	0	0	4,267
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,267	0	0	0	4,267
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	163,794	0	0	0	163,794
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	71	0	0	0	71
15. Totals	163,865	0	0	0	163,865
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Montana — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	6,113	0	0	0	6,113
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,113	0	0	0	6,113
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	160	0	0	0	160
6.2 Applied to pay renewal premiums	270	0	0	0	270
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	442	0	0	0	442
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	872	0	0	0	872
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	872	0	0	0	872
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	2,015	0	0	0	2,015
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	2,015	0	0	0	2,015
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Nebraska — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,128	0	0	0	3,128
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,128	0	0	0	3,128
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	30	0	0	0	30
6.2 Applied to pay renewal premiums	9	0	0	0	9
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	291	0	0	0	291
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	330	0	0	0	330
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	330	0	0	0	330
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Nevada — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	24,132	0	0	0	24,132
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	24,132	0	0	0	24,132
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	665	0	0	0	665
6.2 Applied to pay renewal premiums	509	0	0	0	509
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,150	0	0	0	4,150
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	5,324	0	0	0	5,324
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	5,324	0	0	0	5,324
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	801	0	0	0	801
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	801	0	0	0	801
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Hampshire — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,335,398	0	0	0	1,335,398
2. Annuity considerations	193,600	0	0	0	193,600
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,528,998	0	0	0	1,528,998
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	18,427	0	0	0	18,427
6.2 Applied to pay renewal premiums	21,834	0	0	0	21,834
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	193,138	0	0	0	193,138
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	233,399	0	0	0	233,399
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	233,399	0	0	0	233,399
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	774,280	0	0	0	774,280
10. Matured endowments	2,005	0	0	0	2,005
11. Annuity benefits	362,501	0	0	0	362,501
12. Surrender values and withdrawals for life contracts	1,042,556	0	0	0	1,042,556
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	8,030	0	0	0	8,030
15. Totals	2,189,372	0	0	0	2,189,372
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	3	100,753	0	0	0	0	0	0	3	100,753
17. Incurred during current year	15	691,707	0	0	0	0	0	0	15	691,707
Settled during current year:										
18.1 By payment in full	16	776,285	0	0	0	0	0	0	16	776,285
18.2 By payment on compromised claims									0	0
18.3 Totals paid	16	776,285	0	0	0	0	0	0	16	776,285
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	16	776,285	0	0	0	0	0	0	16	776,285
19. Unpaid Dec. 31, current year (16+17-18.6)	2	16,175	0	0	0	0	0	0	2	16,175
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,046	280,368,081	0	(a) 0	0	0	0	0	2,046	280,368,081
21. Issued during year									0	0
22. Other changes to in force (Net)	(114)	(18,297,079)	0	0	0	0	0	0	(114)	(18,297,079)
23. In force December 31 of current year	1,932	262,071,002	0	(a) 0	0	0	0	0	1,932	262,071,002

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	213,225	214,732		175,108	273,434
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	213,225	214,732	0	175,108	273,434
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	213,225	214,732	0	175,108	273,434

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Jersey — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	5,497,112	0	0	0	5,497,112
2. Annuity considerations	410,036	0	0	0	410,036
3. Deposit-type contract funds	0	XXX	78,963	XXX	78,963
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,907,148	0	78,963	0	5,986,111
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	47,415	0	0	0	47,415
6.2 Applied to pay renewal premiums	267,037	0	0	0	267,037
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	697,991	0	0	0	697,991
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,012,443	0	0	0	1,012,443
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,012,443	0	0	0	1,012,443
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	4,611,158	0	0	0	4,611,158
10. Matured endowments	25,026	0	0	0	25,026
11. Annuity benefits	1,198,742	0	0	0	1,198,742
12. Surrender values and withdrawals for life contracts	3,222,035	0	0	0	3,222,035
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	23,038	0	0	0	23,038
15. Totals	9,079,999	0	0	0	9,079,999
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	4	66,667	0	0	0	0	0	0	4	66,667
17. Incurred during current year	93	6,191,422	0	0	0	0	0	0	93	6,191,422
Settled during current year:										
18.1 By payment in full	82	4,636,185	0	0	0	0	0	0	82	4,636,185
18.2 By payment on compromised claims									0	0
18.3 Totals paid	82	4,636,185	0	0	0	0	0	0	82	4,636,185
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	82	4,636,185	0	0	0	0	0	0	82	4,636,185
19. Unpaid Dec. 31, current year (16+17-18.6)	15	1,621,904	0	0	0	0	0	0	15	1,621,904
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	6,055	1,017,788,021	0	(a) 0	0	0	0	0	6,055	1,017,788,021
21. Issued during year									0	0
22. Other changes to in force (Net)	(287)	(53,998,544)	0	0	0	0	0	0	(287)	(53,998,544)
23. In force December 31 of current year	5,768	963,789,477	0	(a) 0	0	0	0	0	5,768	963,789,477

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	554,754	553,784		394,909	1,133,133
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	554,754	553,784	0	394,909	1,133,133
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	554,754	553,784	0	394,909	1,133,133

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... 0 and number of persons insured under indemnity only products ..... 0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Mexico — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	18,412	0	0	0	18,412
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	18,412	0	0	0	18,412
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	564	0	0	0	564
6.2 Applied to pay renewal premiums	2,529	0	0	0	2,529
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	5,313	0	0	0	5,313
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	8,406	0	0	0	8,406
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	8,406	0	0	0	8,406
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	2,718	0	0	0	2,718
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	145	0	0	0	145
15. Totals	2,863	0	0	0	2,863
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New York — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	27,919,208	718,371	0	0	28,637,579
2. Annuity considerations	32,418,910	0	0	0	32,418,910
3. Deposit-type contract funds	1,832,522	XXX	3,498,852	XXX	5,331,374
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	62,170,640	718,371	3,498,852	0	66,387,863
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	291,759	0	0	0	291,759
6.2 Applied to pay renewal premiums	575,788	0	0	0	575,788
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,037,275	0	0	0	2,037,275
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,904,822	0	0	0	2,904,822
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,904,822	0	0	0	2,904,822
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	14,708,515	363,483	0	0	15,071,998
10. Matured endowments	82,433	0	0	0	82,433
11. Annuity benefits	27,027,797	0	0	0	27,027,797
12. Surrender values and withdrawals for life contracts	52,096,377	0	0	0	52,096,377
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	349,644	0	0	0	349,644
15. Totals	94,264,766	363,483	0	0	94,628,249
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	48	2,063,638	0	0	0	0	0	0	48	2,063,638
17. Incurred during current year	453	16,242,281	10	443,993	0	0	0	0	463	16,686,274
Settled during current year:										
18.1 By payment in full	415	14,790,949	6	363,483	0	0	0	0	421	15,154,432
18.2 By payment on compromised claims									0	0
18.3 Totals paid	415	14,790,949	6	363,483	0	0	0	0	421	15,154,432
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	415	14,790,949	6	363,483	0	0	0	0	421	15,154,432
19. Unpaid Dec. 31, current year (16+17-18.6)	86	3,514,970	4	80,510	0	0	0	0	90	3,595,480
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	34,403	4,903,973,776	3,832	(a) 28,947,622	0	0	0	0	38,235	4,932,921,398
21. Issued during year	1,931	603,625,469	1,215	880,862,258	0	0	0	0	3,146	1,484,487,727
22. Other changes to in force (Net)	(1,830)	(277,176,389)	(1,425)	(881,285,158)	0	0	0	0	(3,255)	(1,158,461,547)
23. In force December 31 of current year	34,504	5,230,422,856	3,622	(a) 28,524,722	0	0	0	0	38,126	5,258,947,578

(a) Includes Individual Credit Life Insurance prior year $, current year $

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 19,933,930 , current year $ 18,514,307

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 8,571,312 , current year $ 9,506,534

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)	825,960	1,537,310		239,436	304,500
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)	0	0		2,400	2,588
25.2 Guaranteed renewable (b)	1,517,097	1,536,993		1,138,704	654,566
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	1,517,097	1,536,993	0	1,141,104	657,154
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	2,343,057	3,074,303	0	1,380,540	961,654

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF North Carolina — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	184,493	0	0	0	184,493
2. Annuity considerations	20,480	0	0	0	20,480
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	204,973	0	0	0	204,973
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	4,498	0	0	0	4,498
6.2 Applied to pay renewal premiums	9,739	0	0	0	9,739
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	19,889	0	0	0	19,889
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	34,126	0	0	0	34,126
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	34,126	0	0	0	34,126
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	71,529	0	0	0	71,529
12. Surrender values and withdrawals for life contracts	287,551	0	0	0	287,551
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	447	0	0	0	447
15. Totals	359,527	0	0	0	359,527
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF North Dakota — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Ohio — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	41,766	0	0	0	41,766
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	41,766	0	0	0	41,766
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,195	0	0	0	1,195
6.2 Applied to pay renewal premiums	1,289	0	0	0	1,289
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	6,031	0	0	0	6,031
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	8,515	0	0	0	8,515
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	8,515	0	0	0	8,515
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	146,979	0	0	0	146,979
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	54	0	0	0	54
15. Totals	147,033	0	0	0	147,033
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Oklahoma DURING THE YEAR 2020

NAIC Group Code 0408 NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	6,835	0	0	0	6,835
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,835	0	0	0	6,835
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	933	0	0	0	933
6.2 Applied to pay renewal premiums	123	0	0	0	123
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	417	0	0	0	417
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,473	0	0	0	1,473
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,473	0	0	0	1,473
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	5,000	0	0	0	5,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	34,214	0	0	0	34,214
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	39,214	0	0	0	39,214
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	5,000	0	0	0	0	0	0	0	5,000
Settled during current year:										
18.1 By payment in full	0	5,000	0	0	0	0	0	0	0	5,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	5,000	0	0	0	0	0	0	0	5,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	5,000	0	0	0	0	0	0	0	5,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $, current year $

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $, current year $

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $, current year $

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Oregon — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	6,943	0	0	0	6,943
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,943	0	0	0	6,943
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,592	0	0	0	2,592
6.2 Applied to pay renewal premiums	971	0	0	0	971
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,031	0	0	0	1,031
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,594	0	0	0	4,594
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,594	0	0	0	4,594
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	2,200	0	0	0	2,200
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	3,564	0	0	0	3,564
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	968	0	0	0	968
15. Totals	6,732	0	0	0	6,732
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	2,200	0	0	0	0	0	0	0	2,200
Settled during current year:										
18.1 By payment in full	0	2,200	0	0	0	0	0	0	0	2,200
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	2,200	0	0	0	0	0	0	0	2,200
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	2,200	0	0	0	0	0	0	0	2,200
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Pennsylvania — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	843,209	0	0	0	843,209
2. Annuity considerations	107,741	0	0	0	107,741
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	950,950	0	0	0	950,950
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	65,916	0	0	0	65,916
6.2 Applied to pay renewal premiums	52,850	0	0	0	52,850
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	120,688	0	0	0	120,688
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	239,454	0	0	0	239,454
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	239,454	0	0	0	239,454
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,390,377	0	0	0	1,390,377
10. Matured endowments	8,506	0	0	0	8,506
11. Annuity benefits	151,064	0	0	0	151,064
12. Surrender values and withdrawals for life contracts	1,574,701	0	0	0	1,574,701
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	2,839	0	0	0	2,839
15. Totals	3,127,487	0	0	0	3,127,487
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	4	66,653	0	0	0	0	0	0	4	66,653
17. Incurred during current year	65	1,421,893	0	0	0	0	0	0	65	1,421,893
Settled during current year:										
18.1 By payment in full	63	1,398,883	0	0	0	0	0	0	63	1,398,883
18.2 By payment on compromised claims									0	0
18.3 Totals paid	63	1,398,883	0	0	0	0	0	0	63	1,398,883
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	63	1,398,883	0	0	0	0	0	0	63	1,398,883
19. Unpaid Dec. 31, current year (16+17-18.6)	6	89,663	0	0	0	0	0	0	6	89,663
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,636	153,927,932	0	(a) 0	0	0	0	0	2,636	153,927,932
21. Issued during year									0	0
22. Other changes to in force (Net)	(134)	(8,869,574)	0	0	0	0	0	0	(134)	(8,869,574)
23. In force December 31 of current year	2,502	145,058,358	0	(a) 0	0	0	0	0	2,502	145,058,358

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	65,519	67,403		4,800	4,158
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	65,519	67,403	0	4,800	4,158
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	65,519	67,403	0	4,800	4,158

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Rhode Island — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	550,520	0	0	0	550,520
2. Annuity considerations	41,825	0	0	0	41,825
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	592,345	0	0	0	592,345
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	6,237	0	0	0	6,237
6.2 Applied to pay renewal premiums	6,847	0	0	0	6,847
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	57,499	0	0	0	57,499
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	70,583	0	0	0	70,583
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	70,583	0	0	0	70,583
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	629,957	0	0	0	629,957
10. Matured endowments					0
11. Annuity benefits	125,584	0	0	0	125,584
12. Surrender values and withdrawals for life contracts	380,240	0	0	0	380,240
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	2,348	0	0	0	2,348
15. Totals	1,138,129	0	0	0	1,138,129
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	10	909,032	0	0	0	0	0	0	10	909,032
Settled during current year:										
18.1 By payment in full	7	629,957	0	0	0	0	0	0	7	629,957
18.2 By payment on compromised claims									0	0
18.3 Totals paid	7	629,957	0	0	0	0	0	0	7	629,957
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	7	629,957	0	0	0	0	0	0	7	629,957
19. Unpaid Dec. 31, current year (16+17-18.6)	3	279,075	0	0	0	0	0	0	3	279,075
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	827	135,310,538	0	(a) 0	0	0	0	0	827	135,310,538
21. Issued during year									0	0
22. Other changes to in force (Net)	(43)	(18,366,471)	0	0	0	0	0	0	(43)	(18,366,471)
23. In force December 31 of current year	784	116,944,067	0	(a) 0	0	0	0	0	784	116,944,067

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	72,647	74,783		114,895	103,974
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	72,647	74,783	0	114,895	103,974
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	72,647	74,783	0	114,895	103,974

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF South Carolina — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	165,897	0	0	0	165,897
2. Annuity considerations	33,796	0	0	0	33,796
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	199,693	0	0	0	199,693
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,779	0	0	0	2,779
6.2 Applied to pay renewal premiums	5,458	0	0	0	5,458
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	41,784	0	0	0	41,784
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	50,021	0	0	0	50,021
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	50,021	0	0	0	50,021
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	38,434	0	0	0	38,434
12. Surrender values and withdrawals for life contracts	303,956	0	0	0	303,956
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	1,465	0	0	0	1,465
15. Totals	343,855	0	0	0	343,855
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF South Dakota — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	24,876	0	0	0	24,876
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	24,876	0	0	0	24,876
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	63	0	0	0	63
6.2 Applied to pay renewal premiums	5,588	0	0	0	5,588
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,094	0	0	0	4,094
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	9,745	0	0	0	9,745
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	9,745	0	0	0	9,745
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	29,833	0	0	0	29,833
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	29,833	0	0	0	29,833
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Tennessee — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	41,924	0	0	0	41,924
2. Annuity considerations	2,653	0	0	0	2,653
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	44,577	0	0	0	44,577
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,651	0	0	0	1,651
6.2 Applied to pay renewal premiums	3,118	0	0	0	3,118
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	6,414	0	0	0	6,414
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	11,183	0	0	0	11,183
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	11,183	0	0	0	11,183
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	10,116	0	0	0	10,116
12. Surrender values and withdrawals for life contracts	88,431	0	0	0	88,431
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	763	0	0	0	763
15. Totals	99,310	0	0	0	99,310
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Texas — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	104,503	0	0	0	104,503
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	104,503	0	0	0	104,503
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,383	0	0	0	2,383
6.2 Applied to pay renewal premiums	15,120	0	0	0	15,120
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	18,223	0	0	0	18,223
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	35,726	0	0	0	35,726
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	35,726	0	0	0	35,726
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	2,003	0	0	0	2,003
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	48,697	0	0	0	48,697
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	50,700	0	0	0	50,700
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	2,003	0	0	0	0	0	0	0	2,003
Settled during current year:										
18.1 By payment in full	0	2,003	0	0	0	0	0	0	0	2,003
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	2,003	0	0	0	0	0	0	0	2,003
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	2,003	0	0	0	0	0	0	0	2,003
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Utah — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,471	0	0	0	3,471
2. Annuity considerations	2,400	0	0	0	2,400
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,871	0	0	0	5,871
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	139	0	0	0	139
6.2 Applied to pay renewal premiums	27	0	0	0	27
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	642	0	0	0	642
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	808	0	0	0	808
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	808	0	0	0	808
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	20,658	0	0	0	20,658
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	20,658	0	0	0	20,658
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Vermont — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,333,197	0	0	0	1,333,197
2. Annuity considerations	243,852	0	0	0	243,852
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,577,049	0	0	0	1,577,049
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	21,245	0	0	0	21,245
6.2 Applied to pay renewal premiums	44,223	0	0	0	44,223
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	126,296	0	0	0	126,296
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	191,764	0	0	0	191,764
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	191,764	0	0	0	191,764
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,314,080	0	0	0	1,314,080
10. Matured endowments					0
11. Annuity benefits	490,679	0	0	0	490,679
12. Surrender values and withdrawals for life contracts	908,031	0	0	0	908,031
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	8,393	0	0	0	8,393
15. Totals	2,721,183	0	0	0	2,721,183
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	4	65,763	0	0	0	0	0	0	4	65,763
17. Incurred during current year	12	1,283,725	0	0	0	0	0	0	12	1,283,725
Settled during current year:										
18.1 By payment in full	15	1,314,080	0	0	0	0	0	0	15	1,314,080
18.2 By payment on compromised claims									0	0
18.3 Totals paid	15	1,314,080	0	0	0	0	0	0	15	1,314,080
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	15	1,314,080	0	0	0	0	0	0	15	1,314,080
19. Unpaid Dec. 31, current year (16+17-18.6)	1	35,408	0	0	0	0	0	0	1	35,408
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,771	267,811,432	0	(a) 0	0	0	0	0	1,771	267,811,432
21. Issued during year									0	0
22. Other changes to in force (Net)	(98)	(18,327,411)	0	0	0	0	0	0	(98)	(18,327,411)
23. In force December 31 of current year	1,673	249,484,021	0	(a) 0	0	0	0	0	1,673	249,484,021

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	155,627	159,197		132,514	(31,176)
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	155,627	159,197	0	132,514	(31,176)
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	155,627	159,197	0	132,514	(31,176)

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Virginia — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	304,000	0	0	0	304,000
2. Annuity considerations	2,333	0	0	0	2,333
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	306,333	0	0	0	306,333
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	6,403	0	0	0	6,403
6.2 Applied to pay renewal premiums	8,553	0	0	0	8,553
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	29,141	0	0	0	29,141
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	44,097	0	0	0	44,097
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	44,097	0	0	0	44,097
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	3,000	0	0	0	3,000
11. Annuity benefits	79,566	0	0	0	79,566
12. Surrender values and withdrawals for life contracts	167,042	0	0	0	167,042
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	9,576	0	0	0	9,576
15. Totals	259,184	0	0	0	259,184
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	3,000	0	0	0	0	0	0	0	3,000
Settled during current year:										
18.1 By payment in full	0	3,000	0	0	0	0	0	0	0	3,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	3,000	0	0	0	0	0	0	0	3,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	3,000	0	0	0	0	0	0	0	3,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	128	33,059,712	0	(a) 0	0	0	0	0	128	33,059,712
21. Issued during year									0	0
22. Other changes to in force (Net)	(2)	(519,197)	0	0	0	0	0	0	(2)	(519,197)
23. In force December 31 of current year	126	32,540,515	0	(a) 0	0	0	0	0	126	32,540,515

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	41,944	41,627		16,863	16,863
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	41,944	41,627	0	16,863	16,863
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	41,944	41,627	0	16,863	16,863

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Washington — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	22,921	0	0	0	22,921
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	22,921	0	0	0	22,921
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	3,262	0	0	0	3,262
6.2 Applied to pay renewal premiums	788	0	0	0	788
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,693	0	0	0	1,693
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	5,743	0	0	0	5,743
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	5,743	0	0	0	5,743
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	1,000	0	0	0	1,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	15,681	0	0	0	15,681
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	16,681	0	0	0	16,681
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	1,000	0	0	0	0	0	0	0	1,000
Settled during current year:										
18.1 By payment in full	0	1,000	0	0	0	0	0	0	0	1,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	1,000	0	0	0	0	0	0	0	1,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	1,000	0	0	0	0	0	0	0	1,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	(900,000)	0	(a) 0	0	0	0	0	0	(900,000)
21. Issued during year									0	0
22. Other changes to in force (Net)		900,000							0	900,000
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF West Virginia — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,506,052	0	0	0	2,506,052
2. Annuity considerations	508,024	0	0	0	508,024
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,014,076	0	0	0	3,014,076
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	66,811	0	0	0	66,811
6.2 Applied to pay renewal premiums	68,858	0	0	0	68,858
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	409,587	0	0	0	409,587
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	545,256	0	0	0	545,256
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	545,256	0	0	0	545,256
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	3,596,812	0	0	0	3,596,812
10. Matured endowments	24	0	0	0	24
11. Annuity benefits	1,243,796	0	0	0	1,243,796
12. Surrender values and withdrawals for life contracts	1,928,770	0	0	0	1,928,770
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	68,461	0	0	0	68,461
15. Totals	6,837,863	0	0	0	6,837,863
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	7	236,793	0	0	0	0	0	0	7	236,793
17. Incurred during current year	68	3,603,201	0	0	0	0	0	0	68	3,603,201
Settled during current year:										
18.1 By payment in full	67	3,596,836	0	0	0	0	0	0	67	3,596,836
18.2 By payment on compromised claims									0	0
18.3 Totals paid	67	3,596,836	0	0	0	0	0	0	67	3,596,836
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	67	3,596,836	0	0	0	0	0	0	67	3,596,836
19. Unpaid Dec. 31, current year (16+17-18.6)	8	243,158	0	0	0	0	0	0	8	243,158
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	5,505	385,483,453	0	(a) 0	0	0	0	0	5,505	385,483,453
21. Issued during year									0	0
22. Other changes to in force (Net)	(230)	(24,505,963)	0	0	0	0	0	0	(230)	(24,505,963)
23. In force December 31 of current year	5,275	360,977,490	0	(a) 0	0	0	0	0	5,275	360,977,490

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	75,924	74,445		219,021	(1,731)
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	75,924	74,445	0	219,021	(1,731)
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	75,924	74,445	0	219,021	(1,731)

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Wisconsin — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	26,667	0	0	0	26,667
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	26,667	0	0	0	26,667
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,138	0	0	0	1,138
6.2 Applied to pay renewal premiums	876	0	0	0	876
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,227	0	0	0	1,227
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	3,241	0	0	0	3,241
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	3,241	0	0	0	3,241
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	311,301	0	0	0	311,301
12. Surrender values and withdrawals for life contracts	236,899	0	0	0	236,899
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	899	0	0	0	899
15. Totals	549,099	0	0	0	549,099
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Wyoming — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	7,428	0	0	0	7,428
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	7,428	0	0	0	7,428
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	124	0	0	0	124
6.2 Applied to pay renewal premiums	769	0	0	0	769
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,190	0	0	0	1,190
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,083	0	0	0	2,083
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,083	0	0	0	2,083
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	18,290	0	0	0	18,290
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	18,290	0	0	0	18,290
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

DIRECT BUSINESS IN THE STATE OF American Samoa — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Guam — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Puerto Rico — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF U.S. Virgin Islands — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,331	0	0	0	1,331
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,331	0	0	0	1,331
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	669	0	0	0	669
6.2 Applied to pay renewal premiums	203	0	0	0	203
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					0
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	872	0	0	0	872
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	872	0	0	0	872
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Northern Mariana Islands — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Canada — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	8,990	0	0	0	8,990
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	8,990	0	0	0	8,990
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	161	0	0	0	161
6.2 Applied to pay renewal premiums	221	0	0	0	221
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	621	0	0	0	621
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,003	0	0	0	1,003
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,003	0	0	0	1,003
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	13,156	0	0	0	13,156
12. Surrender values and withdrawals for life contracts	2,130	0	0	0	2,130
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	15,286	0	0	0	15,286
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Other Aliens — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	4,478	0	0	0	4,478
2. Annuity considerations	0	0	0	0	0
3. Deposit-type contract funds	0	XXX	0	XXX	0
4. Other considerations	0	0	0	0	0
5. Totals (Sum of Lines 1 to 4)	4,478	0	0	0	4,478
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	78	0	0	0	78
6.2 Applied to pay renewal premiums	26	0	0	0	26
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	678	0	0	0	678
6.4 Other	0	0	0	0	0
6.5 Totals (Sum of Lines 6.1 to 6.4)	782	0	0	0	782
Annuities:					
7.1 Paid in cash or left on deposit	0	0	0	0	0
7.2 Applied to provide paid-up annuities	0	0	0	0	0
7.3 Other	0	0	0	0	0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	782	0	0	0	782
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	0	0	0	0	0
10. Matured endowments	0	0	0	0	0
11. Annuity benefits	0	0	0	0	0
12. Surrender values and withdrawals for life contracts	24,218	0	0	0	24,218
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	0	0	0	0	0
15. Totals	24,218	0	0	0	24,218
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	0	0	0	0	0	0	0	0	0	0
17. Incurred during current year	0	0	0	0	0	0	0	0	0	0
Settled during current year:										
18.1 By payment in full	0	0	0	0	0	0	0	0	0	0
18.2 By payment on compromised claims	0	0	0	0	0	0	0	0	0	0
18.3 Totals paid	0	0	0	0	0	0	0	0	0	0
18.4 Reduction by compromise	0	0	0	0	0	0	0	0	0	0
18.5 Amount rejected	0	0	0	0	0	0	0	0	0	0
18.6 Total settlements	0	0	0	0	0	0	0	0	0	0
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	900,000	0	(a) 0	0	0	0	0	0	900,000
21. Issued during year	0	0	0	0	0	0	0	0	0	0
22. Other changes to in force (Net)	0	(900,000)	0	0	0	0	0	0	0	(900,000)
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ 0 , current year $ 0

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 0 , current year $ 0

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 0 , current year $ 0

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Grand Total — DURING THE YEAR 2020

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	49,475,574	718,371	0	0	50,193,945
2. Annuity considerations	34,880,738	0	0	0	34,880,738
3. Deposit-type contract funds	1,832,522	XXX	3,577,815	XXX	5,410,337
4. Other considerations	0	0	0	0	0
5. Totals (Sum of Lines 1 to 4)	86,188,834	718,371	3,577,815	0	90,485,020
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	737,144	0	0	0	737,144
6.2 Applied to pay renewal premiums	1,386,013	0	0	0	1,386,013
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,846,079	0	0	0	4,846,079
6.4 Other	0	0	0	0	0
6.5 Totals (Sum of Lines 6.1 to 6.4)	6,969,236	0	0	0	6,969,236
Annuities:					
7.1 Paid in cash or left on deposit	0	0	0	0	0
7.2 Applied to provide paid-up annuities	0	0	0	0	0
7.3 Other	0	0	0	0	0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	6,969,236	0	0	0	6,969,236
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	34,663,302	363,483	0	0	35,026,785
10. Matured endowments	168,776	0	0	0	168,776
11. Annuity benefits	33,588,333	0	0	0	33,588,333
12. Surrender values and withdrawals for life contracts	70,699,736	0	0	0	70,699,736
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	570,848	0	0	0	570,848
15. Totals	139,690,995	363,483	0	0	140,054,478
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	95	3,335,091	0	0	0	0	0	0	95	3,335,091
17. Incurred during current year	862	38,227,242	10	443,993	0	0	0	0	872	38,671,235
Settled during current year:										
18.1 By payment in full	803	34,832,078	6	363,483	0	0	0	0	809	35,195,561
18.2 By payment on compromised claims	0	0	0	0	0	0	0	0	0	0
18.3 Totals paid	803	34,832,078	6	363,483	0	0	0	0	809	35,195,561
18.4 Reduction by compromise	0	0	0	0	0	0	0	0	0	0
18.5 Amount rejected	0	0	0	0	0	0	0	0	0	0
18.6 Total settlements	803	34,832,078	6	363,483	0	0	0	0	809	35,195,561
19. Unpaid Dec. 31, current year (16+17-18.6)	154	6,730,255	4	80,510	0	0	0	0	158	6,810,765
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	64,882	8,753,110,976	3,832	(a) 28,947,622	0	0	0	0	68,714	8,782,058,598
21. Issued during year	1,931	603,625,469	1,215	880,862,258	0	0	0	0	3,146	1,484,487,727
22. Other changes to in force (Net)	(3,323)	(518,542,912)	(1,425)	(881,285,158)	0	0	0	0	(4,748)	(1,399,828,070)
23. In force December 31 of current year	63,490	8,838,193,533	3,622	(a) 28,524,722	0	0	0	0	67,112	8,866,718,255

(a) Includes Individual Credit Life Insurance prior year $ 0 , current year $ 0

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 19,933,930 , current year $ 18,514,307

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 8,571,312 , current year $ 9,506,534

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)	0	0	0	0	0
24.1 Federal Employees Health Benefits Plan premium (b)	0	0	0	0	0
24.2 Credit (Group and Individual)	825,960	1,537,310	0	239,436	304,500
24.3 Collectively renewable policies/certificates (b)	0	0	0	0	0
24.4 Medicare Title XVIII exempt from state taxes or fees	0	0	0	0	0
Other Individual Policies:					
25.1 Non-cancelable (b)	0	0	0	2,400	2,588
25.2 Guaranteed renewable (b)	3,683,810	3,734,633	0	2,744,364	3,007,214
25.3 Non-renewable for stated reasons only (b)	0	0	0	0	0
25.4 Other accident only	0	0	0	0	0
25.5 All other (b)	0	0	0	0	0
25.6 Totals (sum of Lines 25.1 to 25.5)	3,683,810	3,734,633	0	2,746,764	3,009,802
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	4,509,770	5,271,943	0	2,986,200	3,314,302

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

EXHIBIT OF LIFE INSURANCE

($000 Omitted for Amounts of Life Insurance)

		Industrial		Ordinary		Credit Life (Group and Individual)		Group			10
		1	2	3	4	5	6	Number of 7	Number of 8	9	
		Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	Policies	Certificates	Amount of Insurance	Total Amount of Insurance
1.	In force end of prior year	0	0	64,882	8,753,111	3,832	28,948	0	0	0	8,782,059
2.	Issued during year		0	1,931	603,626	1,215	880,862			0	1,484,488
3.	Reinsurance assumed			0	0	0	0				0
4.	Revived during year			79	31,955	0	0				31,955
5.	Increased during year (net)			0	769	0	18,349				19,118
6.	Subtotals, Lines 2 to 5	0	0	2,010	636,350	1,215	899,211	0	0	0	1,535,561
7.	Additions by dividends during year	XXX		XXX	12,402	XXX	0	XXX	XXX		12,402
8.	Aggregate write-ins for increases	0	0	0	0	0	0	0	0	0	0
9.	Totals (Lines 1 and 6 to 8)	0	0	66,892	9,401,863	5,047	928,159	0	0	0	10,330,022
	Deductions during year:										
10.	Death			744	38,422	6	364	XXX			38,786
11.	Maturity			59	198	0	0	XXX			198
12.	Disability			0	0	0	0	XXX			0
13.	Expiry			210	25,960	423	851,400				877,360
14.	Surrender			1,245	169,217	0	0				169,217
15.	Lapse			958	258,070	996	47,870				305,940
16.	Conversion			183	48,068	0	0	XXX	XXX	XXX	48,068
17.	Decreased (net)			3	23,734	0					23,734
18.	Reinsurance			0	0	0	0				0
19.	Aggregate write-ins for decreases	0	0	0	0	0	0	0	0	0	0
20.	Totals (Lines 10 to 19)	0	0	3,402	563,669	1,425	899,634	0	0	0	1,463,303
21.	In force end of year (b) (Line 9 minus Line 20)	0	0	63,490	8,838,194	3,622	28,525	0	0	0	8,866,719
22.	Reinsurance ceded end of year	XXX		XXX	2,915,670	XXX	0	XXX	XXX		2,915,670
23.	Line 21 minus Line 22	XXX	0	XXX	5,922,524	XXX	(a) 28,525	XXX	XXX	0	5,951,049
	DETAILS OF WRITE-INS										
0801.											
0802.											
0803.											
0898.	Summary of remaining write-ins for Line 8 from overflow page.	0	0	0	0	0	0	0	0	0	0
0899.	TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above)	0	0	0	0	0	0	0	0	0	0
1901.											
1902.											
1903.											
1998.	Summary of remaining write-ins for Line 19 from overflow page.	0	0	0	0	0	0	0	0	0	0
1999.	TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)	0	0	0	0	0	0	0	0	0	0

Life, Accident and Health Companies Only:

(a) Group $ 28,525 ; Individual $

Fraternal Benefit Societies Only:

(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates , Amount $

Additional accidental death benefits included in life certificates were in amount $, Does the society collect any contributions from members for general expenses of the society under fully paid-up certificates? Yes [ ] No [ ]

If not, how are such expenses met?

EXHIBIT OF LIFE INSURANCE

($000 Omitted for Amounts of Life Insurance) (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

		Industrial		Ordinary	
		1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24.	Additions by dividends	XXX		XXX	169,802
25.	Other paid-up insurance			9,872	269,433
26.	Debit ordinary insurance	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	Term Insurance Excluding Extended Term Insurance	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27.	Term policies - decreasing	0	0	0	0
28.	Term policies - other	898	463,172	16,149	5,582,068
29.	Other term insurance - decreasing	XXX	0	XXX	0
30.	Other term insurance	XXX	1,143	XXX	384,199
31.	Totals (Lines 27 to 30)	898	464,315	16,149	5,966,267
	Reconciliation to Lines 2 and 21:				
32.	Term additions	XXX	0	XXX	218
33.	Totals, extended term insurance	XXX	XXX	2,398	72,339
34.	Totals, whole life and endowment	1,033	139,311	44,943	2,799,368
35.	Totals (Lines 31 to 34)	1,931	603,626	63,490	8,838,192

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36	Industrial	0	0	0	0
37.	Ordinary	581,440	22,186	5,198,860	3,639,334
38.	Credit Life (Group and Individual)	880,862	0	28,525	0
39.	Group	0	0	0	0
40.	Totals (Lines 36 to 39)	1,462,302	22,186	5,227,385	3,639,334

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

NONE

		Credit Life		Group	
		1 Number of Individual Policies and Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41.	Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42.	Number in force end of year if the number under insured group is reported on a pro-rata basis				XXX
43.	Federal Employees' Group Life Insurance included in Line 21				
44.	Servicemen's Group Life Insurance included in Line 21				
45.	Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46.	Amount of additional accidental death benefits in force end of year under ordinary policies	35,088

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income, Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc., policies and riders included above.

47.1 Face amount shown above reflects the true amount of coverage.

47.2 Face amount of riders is included above.

POLICIES WITH DISABILITY PROVISIONS

		Industrial		Ordinary		Credit		Group	
	Disability Provisions	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certifi-cates	8 Amount of Ins rance
48.	Waiver of Premium			11,235	1,609,503				
49.	Disability Income								
50.	Extended Benefits			XXX	XXX				
51.	Other								
52.	Total	0	(a) 0	11,235	(a) 1,609,503	0	(a) 0	0	(a) 0

(a) See the Annual Audited Financial Reports section of the annual statement instructions

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS

		Ordinary		Group	
		1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1.	In force end of prior year	728	516	0	0
2.	Issued during year	8	64	0	0
3.	Reinsurance assumed	0	0	0	0
4.	Increased during year (net)	0	0	0	0
5.	Total (Lines 1 to 4)	736	580	0	0
	Deductions during year:				
6.	Decreased (net)	53	80	0	0
7.	Reinsurance ceded	0	0	0	0
8.	Totals (Lines 6 and 7)	53	80	0	0
9.	In force end of year (line 5 minus line 8)	683	500	0	0
10.	Amount on deposit	0	(a) 10,278,354	0	(a) 0
11.	Income now payable	683	319	0	0
12.	Amount of income payable	(a) 2,830,027	(a) 3,188,316	(a) 0	(a) 0

ANNUITIES

		Ordinary		Group	
		1 Immediate	2 Deferred	3 Contracts	4 Certificates
1.	In force end of prior year	889	17,551	0	0
2.	Issued during year	48	273	0	0
3.	Reinsurance assumed	0	0	0	0
4.	Increased during year (net)	0	0	0	0
5.	Totals (Lines 1 to 4)	937	17,824	0	0
	Deductions during year:				
6.	Decreased (net)	39	827	0	0
7.	Reinsurance ceded	0	0	0	0
8.	Totals (Lines 6 and 7)	39	827	0	0
9.	In force end of year (line 5 minus line 8)	898	16,997	0	0
	Income now payable:				
10.	Amount of income payable	(a) 11,188,301	XXX	XXX	(a) 0
	Deferred fully paid:				
11.	Account balance	XXX	(a) 1,500,863,144	XXX	(a) 0
	Deferred not fully paid:				
12.	Account balance	XXX	(a) 0	XXX	(a) 0

ACCIDENT AND HEALTH INSURANCE

		Group		Credit		Other	
		1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1.	In force end of prior year	0		3,400	848,643	3,911	4,104,622
2.	Issued during year	0		1,114	970,897	0	0
3.	Reinsurance assumed	0		0	0	0	0
4.	Increased during year (net)	0	XXX	0	XXX	0	XXX
5.	Totals (Lines 1 to 4)	0	XXX	4,514	XXX	3,911	XXX
	Deductions during year:						
6.	Conversions	0	XXX	XXX	XXX	XXX	XXX
7.	Decreased (net)	0	XXX	1,249	XXX	303	XXX
8.	Reinsurance ceded	0	XXX	0	XXX	0	XXX
9.	Totals (Lines 6 to 8)	0	XXX	1,249	XXX	303	XXX
10.	In force end of year (line 5 minus line 9)	0	(a)	3,265	(a) 826,016	3,608	(a) 3,783,615

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS

		1 Deposit Funds Contracts	2 Dividend Accumulations Contracts
1.	In force end of prior year	78	10,154
2.	Issued during year	9	46
3.	Reinsurance assumed	0	0
4.	Increased during year (net)	0	0
5.	Totals (Lines 1 to 4)	87	10,200
	Deductions During Year:		
6.	Decreased (net)	4	509
7.	Reinsurance ceded	0	0
8.	Totals (Lines 6 and 7)	4	509
9.	In force end of year (line 5 minus line 8)	83	9,691
10.	Amount of account balance	(a) 6,696,738	(a) 32,205,992

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE

		1 Amount
1.	Reserve as of December 31, Prior Year	55,245
2.	Current year's realized pre-tax capital gains/(losses) of $ (535,733) transferred into the reserve net of taxes of $ (112,504)	(423,228)
3.	Adjustment for current year's liability gains/(losses) released from the reserve	0
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	(367,983)
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	110,623
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	(478,607)

AMORTIZATION

	Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1.	2020	202,608	(91,985)	0	110,623
2.	2021	131,195	(43,637)	0	87,558
3.	2022	66,057	(105,661)	0	(39,604)
4.	2023	34,484	(77,503)	0	(43,019)
5.	2024	29,474	(48,570)	0	(19,096)
6.	2025	12,354	(18,348)	0	(5,994)
7.	2026	10,499	(2,772)	0	7,727
8.	2027	2,305	(2,589)	0	(284)
9.	2028	281	(2,423)	0	(2,142)
10.	2029	(10,948)	(2,212)	0	(13,160)
11.	2030	(16,193)	(2,021)	0	(18,214)
12.	2031	(23,836)	(1,895)	0	(25,731)
13.	2032	(28,319)	(1,905)	0	(30,224)
14.	2033	(31,766)	(1,907)	0	(33,673)
15.	2034	(35,628)	(1,867)	0	(37,495)
16.	2035	(35,387)	(1,907)	0	(37,294)
17.	2036	(34,675)	(1,900)	0	(36,575)
18.	2037	(35,037)	(1,966)	0	(37,003)
19.	2038	(35,742)	(2,068)	0	(37,810)
20.	2039	(38,011)	(2,133)	0	(40,144)
21.	2040	(35,539)	(2,198)	0	(37,737)
22.	2041	(30,020)	(2,007)	0	(32,027)
23.	2042	(22,786)	(1,636)	0	(24,422)
24.	2043	(14,708)	(1,152)	0	(15,860)
25.	2044	(5,419)	(706)	0	(6,125)
26.	2045	0	(260)	0	(260)
27.	2046	0	0	0	0
28.	2047	0	0	0	0
29.	2048	0	0	0	0
30.	2049	0	0	0	0
31.	2050 and Later		0	0	0
32.	Total (Lines 1 to 31)	55,245	(423,228)	0	(367,983)

ASSET VALUATION RESERVE

		Default Component			Equity Component			
		1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	7 Total Amount (Cols. 3 + 6)
1.	Reserve as of December 31, prior year	18,647,188	6,897,411	25,544,598	7,535	4,621,054	4,628,589	30,173,187
2.	Realized capital gains/(losses) net of taxes - General Account	(2,377,848)		(2,377,848)	(28)	1,887,105	1,887,077	(490,771)
3.	Realized capital gains/(losses) net of taxes - Separate Accounts			0			0	0
4.	Unrealized capital gains/(losses) net of deferred taxes - General Account	(307,122)		(307,122)	(12,130)	(1,248,339)	(1,260,469)	(1,567,591)
5.	Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts			0			0	0
6.	Capital gains credited/(losses charged) to contract benefits, payments or reserves			0			0	0
7.	Basic contribution	3,881,589	2,327,163	6,208,752	0	5,545	5,545	6,214,297
8.	Accumulated balances (Lines 1 through 5 - 6 + 7)	19,843,807	9,224,574	29,068,380	(4,623)	5,265,365	5,260,741	34,329,122
9.	Maximum reserve	19,103,171	9,032,850	28,136,021	7,247	10,257,272	10,264,520	38,400,540
10.	Reserve objective	11,682,760	7,006,789	18,689,549	7,247	10,244,165	10,251,413	28,940,962
11.	20% of (Line 10 - Line 8)	(1,632,209)	(443,557)	(2,075,766)	2,374	995,760	998,134	(1,077,632)
12.	Balance before transfers (Lines 8 + 11)	18,211,597	8,781,017	26,992,614	(2,249)	6,261,125	6,258,876	33,251,490
13.	Transfers			0	2,249	(2,249)	0	0
14.	Voluntary contribution			0			0	0
15.	Adjustment down to maximum/up to zero			0			0	0
16.	Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	18,211,597	8,781,017	26,992,614	0	6,258,876	6,258,876	33,251,490

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		LONG-TERM BONDS										
1.		Exempt Obligations	8,375,866	XXX	XXX	8,375,866	0.0000	0	0.0000	0	0.0000	0
2.1	1	NAIC Designation Category 1.A	44,550,410	XXX	XXX	44,550,410	0.0005	22,275	0.0016	71,281	0.0033	147,016
2.2	1	NAIC Designation Category 1.B	51,203,899	XXX	XXX	51,203,899	0.0005	25,602	0.0016	81,926	0.0033	168,973
2.3	1	NAIC Designation Category 1.C	30,431,872	XXX	XXX	30,431,872	0.0005	15,216	0.0016	48,691	0.0033	100,425
2.4	1	NAIC Designation Category 1.D	58,212,903	XXX	XXX	58,212,903	0.0005	29,106	0.0016	93,141	0.0033	192,103
2.5	1	NAIC Designation Category 1.E	101,403,432	XXX	XXX	101,403,432	0.0005	50,702	0.0016	162,245	0.0033	334,631
2.6	1	NAIC Designation Category 1.F	231,909,402	XXX	XXX	231,909,402	0.0005	115,955	0.0016	371,055	0.0033	765,301
2.7	1	NAIC Designation Category 1.G	353,601,110	XXX	XXX	353,601,110	0.0005	176,801	0.0016	565,762	0.0033	1,166,884
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	871,313,028	XXX	XXX	871,313,028	XXX	435,657	XXX	1,394,101	XXX	2,875,333
3.1	2	NAIC Designation Category 2.A	384,975,521	XXX	XXX	384,975,521	0.0021	808,449	0.0064	2,463,843	0.0106	4,080,741
3.2	2	NAIC Designation Category 2.B	484,256,110	XXX	XXX	484,256,110	0.0021	1,016,938	0.0064	3,099,239	0.0106	5,133,115
3.3	2	NAIC Designation Category 2.C	242,517,006	XXX	XXX	242,517,006	0.0021	509,286	0.0064	1,552,109	0.0106	2,570,680
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	1,111,748,637	XXX	XXX	1,111,748,637	XXX	2,334,672	XXX	7,115,191	XXX	11,784,536
4.1	3	NAIC Designation Category 3.A	34,664,356	XXX	XXX	34,664,356	0.0099	343,177	0.0263	911,673	0.0376	1,303,380
4.2	3	NAIC Designation Category 3.B	12,118,998	XXX	XXX	12,118,998	0.0099	119,978	0.0263	318,730	0.0376	455,674
4.3	3	NAIC Designation Category 3.C	29,880,114	XXX	XXX	29,880,114	0.0099	295,813	0.0263	785,847	0.0376	1,123,492
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	76,663,468	XXX	XXX	76,663,468	XXX	758,968	XXX	2,016,249	XXX	2,882,546
5.1	4	NAIC Designation Category 4.A	2,472,231	XXX	XXX	2,472,231	0.0245	60,570	0.0572	141,412	0.0817	201,981
5.2	4	NAIC Designation Category 4.B	25,003	XXX	XXX	25,003	0.0245	613	0.0572	1,430	0.0817	2,043
5.3	4	NAIC Designation Category 4.C	2,930,806	XXX	XXX	2,930,806	0.0245	71,805	0.0572	167,642	0.0817	239,447
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)	5,428,040	XXX	XXX	5,428,040	XXX	132,987	XXX	310,484	XXX	443,471
6.1	5	NAIC Designation Category 5.A	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
6.2	5	NAIC Designation Category 5.B	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
6.3	5	NAIC Designation Category 5.C	3,057,509	XXX	XXX	3,057,509	0.0630	192,623	0.1128	344,887	0.1880	574,812
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)	3,057,509	XXX	XXX	3,057,509	XXX	192,623	XXX	344,887	XXX	574,812
7.	6	NAIC 6	1,798,891	XXX	XXX	1,798,891	0.0000	0	0.2370	426,337	0.2370	426,337
8.		Total Unrated Multi-class Securities Acquired by Conversion	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	2,078,385,439	XXX	XXX	2,078,385,439	XXX	3,854,907	XXX	11,607,249	XXX	18,987,035
		PREFERRED STOCKS										
10.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
11.	2	High Quality	2,601,987	XXX	XXX	2,601,987	0.0021	5,464	0.0064	16,653	0.0106	27,581
12.	3	Medium Quality	1,500,000	XXX	XXX	1,500,000	0.0099	14,850	0.0263	39,450	0.0376	56,400
13.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
14.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
15.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
16.		Affiliated Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
17.		Total Preferred Stocks (Sum of Lines 10 through 16)	4,101,987	XXX	XXX	4,101,987	XXX	20,314	XXX	56,103	XXX	83,981

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		SHORT-TERM BONDS										
18.		Exempt Obligations	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
19.1	1	NAIC Designation Category 1.A	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.2	1	NAIC Designation Category 1.B	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.3	1	NAIC Designation Category 1.C	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.4	1	NAIC Designation Category 1.D	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.5	1	NAIC Designation Category 1.E	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.6	1	NAIC Designation Category 1.F	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.7	1	NAIC Designation Category 1.G	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
20.1	2	NAIC Designation Category 2.A	3,028,592	XXX	XXX	3,028,592	0.0021	6,360	0.0064	19,383	0.0106	32,103
20.2	2	NAIC Designation Category 2.B	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
20.3	2	NAIC Designation Category 2.C	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)	3,028,592	XXX	XXX	3,028,592	XXX	6,360	XXX	19,383	XXX	32,103
21.1	3	NAIC Designation Category 3.A	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.2	3	NAIC Designation Category 3.B	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.3	3	NAIC Designation Category 3.C	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
22.1	4	NAIC Designation Category 4.A	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.2	4	NAIC Designation Category 4.B	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.3	4	NAIC Designation Category 4.C	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
23.1	5	NAIC Designation Category 5.A	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.2	5	NAIC Designation Category 5.B	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.3	5	NAIC Designation Category 5.C	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
24.	6	NAIC 6	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)	3,028,592	XXX	XXX	3,028,592	XXX	6,360	XXX	19,383	XXX	32,103
		DERIVATIVE INSTRUMENTS										
26.		Exchange Traded	15,754	XXX	XXX	15,754	0.0005	8	0.0016	25	0.0033	52
27.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
28.	2	High Quality	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
29.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
30.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
31.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
32.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
33.		Total Derivative Instruments	15,754	XXX	XXX	15,754	XXX	8	XXX	25	XXX	52
34.		Total (Lines 9 + 17 + 25 + 33)	2,085,531,772	XXX	XXX	2,085,531,772	XXX	3,881,589	XXX	11,682,760	XXX	19,103,171

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality	0		XXX	0	0.0011	0	0.0057	0	0.0074	0
36.		Farm Mortgages - CM2 - High Quality	0		XXX	0	0.0040	0	0.0114	0	0.0149	0
37.		Farm Mortgages - CM3 - Medium Quality	0		XXX	0	0.0069	0	0.0200	0	0.0257	0
38.		Farm Mortgages - CM4 - Low Medium Quality	0		XXX	0	0.0120	0	0.0343	0	0.0428	0
39.		Farm Mortgages - CM5 - Low Quality	0		XXX	0	0.0183	0	0.0486	0	0.0628	0
40.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0003	0	0.0007	0	0.0011	0
41.		Residential Mortgages - All Other	0		XXX	0	0.0015	0	0.0034	0	0.0046	0
42.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0003	0	0.0007	0	0.0011	0
43.		Commercial Mortgages - All Other - CM1 - Highest Quality	125,011,507		XXX	125,011,507	0.0011	137,513	0.0057	712,566	0.0074	925,085
44.		Commercial Mortgages - All Other - CM2 - High Quality	180,971,463		XXX	180,971,463	0.0040	723,886	0.0114	2,063,075	0.0149	2,696,475
45.		Commercial Mortgages - All Other - CM3 - Medium Quality	172,070,500		XXX	172,070,500	0.0069	1,187,286	0.0200	3,441,410	0.0257	4,422,212
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality	20,638,088		XXX	20,638,088	0.0120	247,657	0.0343	707,886	0.0428	883,310
47.		Commercial Mortgages - All Other - CM5 - Low Quality	1,684,200		XXX	1,684,200	0.0183	30,821	0.0486	81,852	0.0628	105,768
		Overdue, Not in Process:										
48.		Farm Mortgages	0		XXX	0	0.0480	0	0.0868	0	0.1371	0
49.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Residential Mortgages - All Other	0		XXX	0	0.0029	0	0.0066	0	0.0103	0
51.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0006	0	0.0014	0	0.0023	0
52.		Commercial Mortgages - All Other	0		XXX	0	0.0480	0	0.0868	0	0.1371	0
		In Process of Foreclosure:										
53.		Farm Mortgages	0		XXX	0	0.0000	0	0.1942	0	0.1942	0
54.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Residential Mortgages - All Other	0		XXX	0	0.0000	0	0.0149	0	0.0149	0
56.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0000	0	0.0046	0	0.0046	0
57.		Commercial Mortgages - All Other	0		XXX	0	0.0000	0	0.1942	0	0.1942	0
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)	500,375,758	0	XXX	500,375,758	XXX	2,327,163	XXX	7,006,789	XXX	9,032,850
59.		Schedule DA Mortgages	0		XXX	0	0.0034	0	0.0114	0	0.0149	0
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)	500,375,758	0	XXX	500,375,758	XXX	2,327,163	XXX	7,006,789	XXX	9,032,850

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		COMMON STOCK										
1.		Unaffiliated - Public	45,870	XXX	XXX	45,870	0.0000	0	0.1580 (a)	7,247	0.1580 (a)	7,247
2.		Unaffiliated - Private	0	XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
3.		Federal Home Loan Bank	0	XXX	XXX	0	0.0000	0	0.0061	0	0.0097	0
4.		Affiliated - Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
		Affiliated - Investment Subsidiary:										
5.		Fixed Income - Exempt Obligations	0			0	XXX		XXX		XXX	
6.		Fixed Income - Highest Quality	0			0	XXX		XXX		XXX	
7.		Fixed Income - High Quality	0			0	XXX		XXX		XXX	
8.		Fixed Income - Medium Quality	0			0	XXX		XXX		XXX	
9.		Fixed Income - Low Quality	0			0	XXX		XXX		XXX	
10.		Fixed Income - Lower Quality	0			0	XXX		XXX		XXX	
11.		Fixed Income - In/Near Default	0			0	XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public	0			0	0.0000	0	0.1580 (a)	0	0.1580 (a)	0
13.		Unaffiliated Common Stock - Private	0			0	0.0000	0	0.1945	0	0.1945	0
14.		Real Estate	0			0	(b)	0	(b)	0	(b)	0
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)	0	XXX	XXX	0	0.0000	0	0.1580	0	0.1580	0
16.		Affiliated - All Other	0	XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
17.		Total Common Stock (Sum of Lines 1 through 16)	45,870	0	0	45,870	XXX	0	XXX	7,247	XXX	7,247
		REAL ESTATE										
18.		Home Office Property (General Account only)	4,993,304			4,993,304	0.0000	0	0.0912	455,389	0.0912	455,389
19.		Investment Properties	0			0	0.0000	0	0.0912	0	0.0912	0
20.		Properties Acquired in Satisfaction of Debt	0			0	0.0000	0	0.1337	0	0.1337	0
21.		Total Real Estate (Sum of Lines 18 through 20)	4,993,304	0	0	4,993,304	XXX	0	XXX	455,389	XXX	455,389
		OTHER INVESTED ASSETS INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS										
22.		Exempt Obligations	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
23.	1	Highest Quality	2,882,347	XXX	XXX	2,882,347	0.0005	1,441	0.0016	4,612	0.0033	9,512
24.	2	High Quality	1,954,025	XXX	XXX	1,954,025	0.0021	4,103	0.0064	12,506	0.0106	20,713
25.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
26.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
27.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
28.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)	4,836,372	XXX	XXX	4,836,372	XXX	5,545	XXX	17,118	XXX	30,224

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
31.	2	High Quality	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
32.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
33.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
34.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
35.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
36.		Affiliated Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality	0		XXX	0	0.0011	0	0.0057	0	0.0074	0
39.		Mortgages - CM2 - High Quality	0		XXX	0	0.0040	0	0.0114	0	0.0149	0
40.		Mortgages - CM3 - Medium Quality	0		XXX	0	0.0069	0	0.0200	0	0.0257	0
41.		Mortgages - CM4 - Low Medium Quality	0		XXX	0	0.0120	0	0.0343	0	0.0428	0
42.		Mortgages - CM5 - Low Quality	0		XXX	0	0.0183	0	0.0486	0	0.0628	0
43.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0003	0	0.0007	0	0.0011	0
44.		Residential Mortgages - All Other	0	XXX	XXX	0	0.0015	0	0.0034	0	0.0046	0
45.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0003	0	0.0007	0	0.0011	0
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages	0		XXX	0	0.0480	0	0.0868	0	0.1371	0
47.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0006	0	0.0014	0	0.0023	0
48.		Residential Mortgages - All Other	0		XXX	0	0.0029	0	0.0066	0	0.0103	0
49.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Commercial Mortgages - All Other	0		XXX	0	0.0480	0	0.0868	0	0.1371	0
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages	0		XXX	0	0.0000	0	0.1942	0	0.1942	0
52.		Residential Mortgages - Insured or Guaranteed	0		XXX	0	0.0000	0	0.0046	0	0.0046	0
53.		Residential Mortgages - All Other	0		XXX	0	0.0000	0	0.0149	0	0.0149	0
54.		Commercial Mortgages - Insured or Guaranteed	0		XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Commercial Mortgages - All Other	0		XXX	0	0.0000	0	0.1942	0	0.1942	0
56.		Total Affiliated (Sum of Lines 38 through 55)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
57.		Unaffiliated - In Good Standing With Covenants	0		XXX	0	(c)	0	(c)	0	(c)	0
58.		Unaffiliated - In Good Standing Defeased With Government Securities	0		XXX	0	0.0011	0	0.0057	0	0.0074	0
59.		Unaffiliated - In Good Standing Primarily Senior	0		XXX	0	0.0040	0	0.0114	0	0.0149	0
60.		Unaffiliated - In Good Standing All Other	0		XXX	0	0.0069	0	0.0200	0	0.0257	0
61.		Unaffiliated - Overdue, Not in Process	0		XXX	0	0.0480	0	0.0868	0	0.1371	0
62.		Unaffiliated - In Process of Foreclosure	0		XXX	0	0.0000	0	0.1942	0	0.1942	0
63.		Total Unaffiliated (Sum of Lines 57 through 62)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)	0	0	XXX	0	XXX	0	XXX	0	XXX	0

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public	0	XXX	XXX	0	0.0000	0	0.1580 (a)	0	0.1580 (a)	0
66.		Unaffiliated Private	0	XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
67.		Affiliated Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)	0	XXX	XXX	0	0.0000	0	0.1580	0	0.1580	0
69.		Affiliated Other - All Other	0	XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only)				0	0.0000	0	0.0912	0	0.0912	0
72.		Investment Properties				0	0.0000	0	0.0912	0	0.0912	0
73		Properties Acquired in Satisfaction of Debt				0	0.0000	0	0.1337	0	0.1337	0
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)	0	0	0	0	XXX	0	XXX	0	XXX	0
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit	0			0	0.0003	0	0.0006	0	0.0010	0
76.		Non-guaranteed Federal Low Income Housing Tax Credit	0			0	0.0063	0	0.0120	0	0.0190	0
77.		Guaranteed State Low Income Housing Tax Credit	0			0	0.0003	0	0.0006	0	0.0010	0
78.		Non-guaranteed State Low Income Housing Tax Credit	0			0	0.0063	0	0.0120	0	0.0190	0
79.		All Other Low Income Housing Tax Credit	0			0	0.0273	0	0.0600	0	0.0975	0
80.		Total LIHTC (Sum of Lines 75 through 79)	0	0	0	0	XXX	0	XXX	0	XXX	0
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments	0	XXX		0	0.0000	0	0.0042	0	0.0042	0
82.		NAIC 2 Working Capital Finance Investments	0	XXX		0	0.0000	0	0.0137	0	0.0137	0
83.		Other Invested Assets - Schedule BA	61,845,940	XXX		61,845,940	0.0000	0	0.1580	9,771,659	0.1580	9,771,659
84.		Other Short-Term Invested Assets - Schedule DA	0	XXX		0	0.0000	0	0.1580	0	0.1580	0
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)	61,845,940	XXX	0	61,845,940	XXX	0	XXX	9,771,659	XXX	9,771,659
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	66,682,312	0	0	66,682,312	XXX	5,545	XXX	9,788,776	XXX	9,801,883

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).
(b) Determined using the same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

ASSET VALUATION RESERVE

BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS REPLICATIONS (SYNTHETIC) ASSETS

1 RSAT Number	2 Type	3 CUSIP	4 Description of Asset(s)	5 NAIC Designation or Other Description of Asset	6 Value of Asset	7 AVR Basic Contribution	8 AVR Reserve Objective	9 AVR Maximum Reserve
0599999 - Total								

NONE

SCHEDULE F

Showing all claims for death losses and all other contract claims resisted or compromised during the year, and all claims for death losses and all other contract claims resisted December 31 of current year

1 Contract Numbers	2 Claim Numbers	3 State of Residence of Claimant	4 Year of Claim for Death or Disability	5 Amount Claimed	6 Amount Paid During the Year	7 Amount Resisted Dec. 31 of Current Year	8 Why Compromised or Resisted
FF905524	N001730	NY	2018	10,000		0	Contestable Rescission
UN003560	N001731	NY	2018	190,627		0	Contestable Rescission
ND005553	N002074	NY	2019	125,000	1,635		Misrepresentation
0199999. Death Claims - Ordinary				325,627	1,635	0	XXX
10086004-17	AA19055	NY	2019	4,015		0	Suicide
760-P18CHR	A019042	NY	2018	16,489		0	Misrepresentation
10086004-18	A019055	NY	2019	13,422		0	Misrepresentation
0170033271	A019075	NY	2019	13,604		0	Misrepresentation
0130407000	A019126	NY	2019	7,000		0	Misrepresentation
0634470582	A019131	NY	2019	6,566		0	Misrepresentation
0870016387	A019147	NY	2019	44,169		0	No Coverage
13210007-BL	AB19170	NY	2019	270	0	0	Exceeds Max Age
20463-BPSL5	AB19182	NY	2020	1,455	4	0	Pre-existing condition
2689008-18	A019177	NY	2020	3,320	51	0	Misrepresentation
8543	A019217	NY	2020	7,759	71	0	Misrepresentation
0299999. Death Claims - Credit				118,069	126	0	XXX
0599999. Death Claims - Disposed Of				443,696	1,761	0	XXX
1099999. Additional Accidental Death Benefits Claims - Disposed Of				0	0	0	XXX
1599999. Disability Benefits Claims - Disposed Of				0	0	0	XXX
2099999. Matured Endowments Claims - Disposed Of				0	0	0	XXX
2599999. Annuities with Life Contingency Claims - Disposed Of				0	0	0	XXX
2699999. Claims Disposed of During Current Year				443,696	1,761	0	XXX
000276958	N002074	RI	2017	52,201	32,565	19,636	Lawsuit
2799999. Death Claims - Ordinary				52,201	32,565	19,636	XXX
3199999. Death Claims - Resisted				52,201	32,565	19,636	XXX
3699999. Additional Accidental Death Benefits Claims - Resisted				0	0	0	XXX
4199999. Disability Benefits Claims - Resisted				0	0	0	XXX
4699999. Matured Endowments Claims - Resisted				0	0	0	XXX
5199999. Annuities with Life Contingencies Claims - Resisted				0	0	0	XXX
5299999. Claims Resisted During Current Year				52,201	32,565	19,636	XXX
5399999 - Totals				495,897	34,326	19,636	XXX

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT

	Total		Group Accident and Health		Credit Accident and Health (Group and Individual)		Collectively Renewable		Other Individual Contracts									
									Non-Cancelable		Guaranteed Renewable		Non-Renewable for Stated Reasons Only		Other Accident Only		All Other	
	1 Amount	2 %	3 Amount	4 %	5 Amount	6 %	7 Amount	8 %	9 Amount	10 %	11 Amount	12 %	13 Amount	14 %	15 Amount	16 %	17 Amount	18 %
PART 1. - ANALYSIS OF UNDERWRITING OPERATIONS																		
1. Premiums written	3,309,342	XXX		XXX	825,960	XXX		XXX		XXX	2,483,382	XXX		XXX		XXX		XXX
2. Premiums earned	4,059,431	XXX		XXX	1,537,310	XXX		XXX		XXX	2,522,121	XXX		XXX		XXX		XXX
3. Incurred claims	2,467,186	60.8	0	0.0	304,500	19.8	0	0.0	2,588	0.0	2,160,098	85.6	0	0.0	0	0.0	0	0.0
4. Cost containment expenses	0	0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0
5. Incurred claims and cost containment expenses (Lines 3 and 4)	2,467,186	60.8	0	0.0	304,500	19.8	0	0.0	2,588	0.0	2,160,098	85.6	0	0.0	0	0.0	0	0.0
6. Increase in contract reserves	629,363	15.5	0	0.0	677,583	44.1	0	0.0	0	0.0	(48,220)	(1.9)	0	0.0	0	0.0	0	0.0
7. Commissions (a)	274,508	6.8		0.0	269,769	17.5		0.0		0.0	4,739	0.2		0.0		0.0		0.0
8. Other general insurance expenses	311,071	7.7		0.0	52,904	3.4		0.0		0.0	258,167	10.2		0.0		0.0		0.0
9. Taxes, licenses and fees	132,658	3.3		0.0	25,365	1.6		0.0		0.0	107,293	4.3		0.0		0.0		0.0
10. Total other expenses incurred	718,237	17.7	0	0.0	348,038	22.6	0	0.0	0	0.0	370,199	14.7	0	0.0	0	0.0	0	0.0
11. Aggregate write-ins for deductions	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
12. Gain from underwriting before dividends or refunds	244,645	6.0	0	0.0	207,189	13.5	0	0.0	(2,588)	0.0	40,044	1.6	0	0.0	0	0.0	0	0.0
13. Dividends or refunds	0	0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0
14. Gain from underwriting after dividends or refunds	244,645	6.0	0	0.0	207,189	13.5	0	0.0	(2,588)	0.0	40,044	1.6	0	0.0	0	0.0	0	0.0
DETAILS OF WRITE-INS																		
1101.																		
1102.																		
1103.																		
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0

(a) Includes $ ..... reported as "Contract, membership and other fees retained by agents."

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)

	1	2	3	4	Other Individual Contracts				
			Credit Accident and Health (Group and Individual)		5	6	7	8	9
	Total	Group Accident and Health		Collectively Renewable	Non-Cancelable	Guaranteed Renewable	Non-Renewable for Stated Reasons Only	Other Accident Only	All Other
PART 2. - RESERVES AND LIABILITIES									
A. Premium Reserves:									
1. Unearned premiums	452,017		0			452,017			
2. Advance premiums	42,893					42,893			
3. Reserve for rate credits	0								
4. Total premium reserves, current year	494,910	0	0	0	0	494,910	0	0	0
5. Total premium reserves, prior year	1,234,535	0	711,350	0	0	523,185	0	0	0
6. Increase in total premium reserves	(739,625)	0	(711,350)	0	0	(28,275)	0	0	0
B. Contract Reserves:									
1. Additional reserves (a)	6,449,097		677,583			5,771,514			
2. Reserve for future contingent benefits	0								
3. Total contract reserves, current year	6,449,097	0	677,583	0	0	5,771,514	0	0	0
4. Total contract reserves, prior year.	5,819,734	0	0	0	0	5,819,734	0	0	0
5. Increase in contract reserves	629,363	0	677,583	0	0	(48,220)	0	0	0
C. Claim Reserves and Liabilities:									
1. Total current year	7,779,697	0	400,716	0	8,964	7,370,017	0	0	0
2. Total prior year	7,513,992	0	335,652	0	8,776	7,169,564	0	0	0
3. Increase	265,705	0	65,064	0	188	200,453	0	0	0
PART 3. - TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES									
1. Claims paid during the year:									
1.1 On claims incurred prior to current year	1,905,263		162,769		2,400	1,740,094			
1.2 On claims incurred during current year	296,218		76,667		0	219,551			
2. Claim reserves and liabilities, December 31, current year:									
2.1 On claims incurred prior to current year	6,363,441		131,355		8,964	6,223,122			
2.2 On claims incurred during current year	1,416,256		269,361		0	1,146,895			
3. Test:									
3.1 Lines 1.1 and 2.1	8,268,704	0	294,124	0	11,364	7,963,216	0	0	0
3.2 Claim reserves and liabilities, December 31, prior year	7,513,992	0	335,652	0	8,776	7,169,564	0	0	0
3.3 Line 3.1 minus Line 3.2	754,712	0	(41,528)	0	2,588	793,652	0	0	0
PART 4. - REINSURANCE									
A. Reinsurance Assumed:									
1. Premiums written	0								
2. Premiums earned	0								
3. Incurred claims	0								
4. Commissions	0	0	0						
B. Reinsurance Ceded:									
1. Premiums written	1,331,123					1,331,123			
2. Premiums earned	1,346,434					1,346,434			
3. Incurred claims	847,115					847,115			
4. Commissions	305,316	0	0			305,316			

(a) Includes $ ..... premium deficiency reserve.

SCHEDULE H - PART 5 - HEALTH CLAIMS

	1 Medical	2 Dental	3 Other	4 Total
A. Direct:				
1. Incurred Claims	108,007		3,206,295	3,314,302
2. Beginning Claim Reserves and Liabilities	90,291		11,464,290	11,554,581
3. Ending Claim Reserves and Liabilities	80,260		11,802,422	11,882,682
4. Claims Paid	118,038	0	2,868,163	2,986,201
B. Assumed Reinsurance:				
5. Incurred Claims				0
6. Beginning Claim Reserves and Liabilities				0
7. Ending Claim Reserves and Liabilities				0
8. Claims Paid	0	0	0	0
C. Ceded Reinsurance:				
9. Incurred Claims			847,115	847,115
10. Beginning Claim Reserves and Liabilities			4,234,247	4,234,247
11. Ending Claim Reserves and Liabilities			4,305,807	4,305,807
12. Claims Paid	0	0	775,555	775,555
D. Net:				
13. Incurred Claims	108,007	0	2,359,180	2,467,187
14. Beginning Claim Reserves and Liabilities	90,291	0	7,230,043	7,320,334
15. Ending Claim Reserves and Liabilities	80,260	0	7,496,615	7,576,875
16. Claims Paid	118,038	0	2,092,608	2,210,646
E. Net Incurred Claims and Cost Containment Expenses:				
17. Incurred Claims and Cost Containment Expenses	108,007		2,359,180	2,467,187
18. Beginning Reserves and Liabilities	90,291		7,230,042	7,320,333
19. Ending Reserves and Liabilities	80,260		7,496,615	7,576,875
20. Paid Claims and Cost Containment Expenses	118,038	0	2,092,607	2,210,645

Schedule S - Part 1 - Section 1

N O N E

Schedule S - Part 1 - Section 2

N O N E

SCHEDULE S - PART 2

Reinsurance Recoverable on Paid and Unpaid Losses Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Paid Losses	7 Unpaid Losses
60739	74-0484030	03/01/2010	American National Insurance Company	TX		633,074
60739	74-0484030	01/01/2011	American National Insurance Company	TX		7,393
0299999. Life and Annuity - U.S. Affiliates - Other					0	640,467
0399999. Total Life and Annuity - U.S. Affiliates					0	640,467
0699999. Total Life and Annuity - Non-U.S. Affiliates					0	0
0799999. Total Life and Annuity - Affiliates					0	640,467
97071	13-3126819	01/01/1992	SCOR Global Life USA Reinsurance Company	DE	0	414
82627	06-0839705	01/01/1992	Swiss Re Life & Health America Inc.	MO	0	939
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE	43,500	92,781
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA	0	697
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE	0	326
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc.	MO	0	1,480
97071	13-3126819	07/01/2005	SCOR Global Life USA Reinsurance Company	DE	0	5,282
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	0	5,575
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	0	34,773
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc.	MO	0	2,107
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc.	MO	0	40,294
0899999. Life and Annuity - U.S. Non-Affiliates					43,500	184,668
1099999. Total Life and Annuity - Non-Affiliates					43,500	184,668
1199999. Total Life and Annuity					43,500	825,135
1499999. Total Accident and Health - U.S. Affiliates					0	0
1799999. Total Accident and Health - Non-U.S. Affiliates					0	0
1899999. Total Accident and Health - Affiliates					0	0
66346	58-0828824	01/01/1992	Munich American Reassurance Company	GA	195,264	34,421
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc.	MO	7,558	0
1999999. Accident and Health - U.S. Non-Affiliates					202,822	34,421
2199999. Total Accident and Health - Non-Affiliates					202,822	34,421
2299999. Total Accident and Health					202,822	34,421
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)					246,322	859,556
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)					0	0

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	Reserve Credit Taken		11	Outstanding Surplus Relief		14	15
								9	10		12	13		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Amount in Force at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0	0
82627	06-0839705	01/01/1992	Swiss Re Life & Health America Inc	MO	YRT/I	OL	13,525,402	0		72,301				
97071	13-3126819	01/01/1992	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	5,963,346	0		2,461				
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA	YRT/I	OL	10,047,783	0		54,141				
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA		DIS		0		65				
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc	MO	YRT/I	OL	21,323,431	0		146,503				
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc	MO		DIS		0		126				
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	4,699,491	0		46,936				
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE		DIS		0		63				
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	260,033,291	3,929,092	4,966,211	830,721				
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE		DIS		0		31				
97071	13-3126819	07/01/2005	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	78,930,500	2,834,563	2,862,141	187,698				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	CO/I	XXXL	54,656,844	1,541,125	1,604,517	206,734				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA		DIS		0		50				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	YRT/I	OL	27,171,565	0	0	54,439				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA		DIS		0	0	1,634				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO	YRT/I	OL	29,355,959	0	0	84,979				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO	CO/I	XXXL	1,044,000	30,767	31,003	2,060				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO		DIS		0	0	264				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	44,542,207	0	0	130,719				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE		DIS		0		700				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	469,391,399	13,117,689	13,005,489	1,110,954				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE		DIS		0		918				
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc	MO	YRT/I	OL	580,602,306	0	0	713,404				
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc	MO		DIS		0		2,043				
0899999. General Account - Authorized U.S. Non-Affiliates							1,601,287,524	21,453,236	22,469,361	3,649,944	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							1,601,287,524	21,453,236	22,469,361	3,649,944	0	0	0	0
1199999. Total General Account Authorized							1,601,287,524	21,453,236	22,469,361	3,649,944	0	0	0	0
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	AXXX	251,523,224	20,862	13,874	224,169				
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	XXXL	952,933,896	82,656	73,728	861,291				
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	OL	3,378,187	298	3,678	2,164				
60739	74-0484030	01/01/2011	American National Insurance Company	TX	LAH - Yearly renewable term - Individual	XXXL	18,667,679			153,444				
60739	74-0484030	01/01/2011	American National Insurance Company	TX	LAH - Yearly renewable term - Individual	XXXL	87,879,466			129,422				
60739	74-0484030	01/01/2011	American National Insurance Company	TX		DIS				0				
60739	74-0484030	01/01/2011	American National Insurance Company	TX		ADB				5,741				
1399999. General Account - Unauthorized U.S. Affiliates - Other							1,314,382,452	103,816	91,280	1,376,231	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							1,314,382,452	103,816	91,280	1,376,231	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							1,314,382,452	103,816	91,280	1,376,231	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							1,314,382,452	103,816	91,280	1,376,231	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0	0
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0	0
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0	0
4099999. Total General Account - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0	0

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	Reserve Credit Taken		11	Outstanding Surplus Relief		14	15
								9	10		12	13		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Amount in Force at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0	0
4499999. Total General Account Reciprocal Jurisdiction							0	0	0	0	0	0	0	0
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction, and Certified							2,915,669,976	21,557,052	22,560,641	5,026,175	0	0	0	0
4899999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0	0
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
5299999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0	0
5599999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0	0
5699999. Total Separate Accounts Authorized							0	0	0	0	0	0	0	0
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0	0
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
6399999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0	0
6699999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0	0
6799999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0	0
7099999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0	0
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0	0
7499999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0	0
7799999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0	0
7899999. Total Separate Accounts Certified							0	0	0	0	0	0	0	0
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0	0
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0	0
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0	0
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0	0
8999999. Total Separate Accounts Reciprocal Jurisdiction							0	0	0	0	0	0	0	0
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							0	0	0	0	0	0	0	0
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)							2,915,669,976	21,557,052	22,560,641	5,026,175	0	0	0	0
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)							0	0	0	0	0	0	0	0
9999999 - Totals							2,915,669,976	21,557,052	22,560,641	5,026,175	0	0	0	0

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	9	10	Outstanding Surplus Relief		13	14
										11	12		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Premiums	Unearned Premiums (Estimated)	Reserve Credit Taken Other than for Unearned Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0
66346	58-0828824	01/01/1992	Munich American Reassurance Company	GA	OTH/I	LTDI	1,111,675	204,122	6,796,226				
66346	58-0828824	01/01/1998	Munich American Reassurance Company	GA	OTH/I	LTDI	3,295	360	8,339				
82627	06-0839705	07/01/2014	Swiss Re Life & Health America Inc	MO	OTH/I	LTDI	216,153	35,708	187,379				
0899999. General Account - Authorized U.S. Non-Affiliates							1,331,123	240,190	6,991,944	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							1,331,123	240,190	6,991,944	0	0	0	0
1199999. Total General Account Authorized							1,331,123	240,190	6,991,944	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							0	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0
4099999. Total General Account - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0
4499999. Total General Account Reciprocal Jurisdiction							0	0	0	0	0	0	0
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							1,331,123	240,190	6,991,944	0	0	0	0
4899999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
5299999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0
5599999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0
5699999. Total Separate Accounts Authorized							0	0	0	0	0	0	0
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
6399999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0
6699999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
6799999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0
7099999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
7499999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0
7799999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0
7899999. Total Separate Accounts Certified							0	0	0	0	0	0	0
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0
8999999. Total Separate Accounts Reciprocal Jurisdiction							0	0	0	0	0	0	0
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							0	0	0	0	0	0	0
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)							1,331,123	240,190	6,991,944	0	0	0	0
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)							0	0	0	0	0	0	0
9999999 - Totals							1,331,123	240,190	6,991,944	0	0	0	0

SCHEDULE S - PART 4

Reinsurance Ceded to Unauthorized Companies

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Reserve Credit Taken	6 Paid and Unpaid Losses Recoverable (Debit)	7 Other Debits	8 Total (Cols.5+6+7)	9 Letters of Credit	10 Issuing or Confirming Bank Reference Number (a)	11 Trust Agreements	12 Funds Deposited by and Withheld from Reinsurers	13 Other	14 Miscellaneous Balances (Credit)	15 Sum of Cols. 9+11+12+13 +14 but not in Excess of Col. 8
60739	74-0484030	03/01/2010	American National Insurance Company	103,814	633,074	110,188	847,076							0
60739	74-0484030	01/01/2011	American National Insurance Company		7,393		7,393							0
0299999. General Account - Life and Annuity U.S. Affiliates - Other				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
0399999. Total General Account - Life and Annuity U.S. Affiliates				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
0699999. Total General Account - Life and Annuity Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
0799999. Total General Account - Life and Annuity Affiliates				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
1099999. Total General Account - Life and Annuity Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1199999. Total General Account Life and Annuity				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
1499999. Total General Account - Accident and Health U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1799999. Total General Account - Accident and Health Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1899999. Total General Account - Accident and Health Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2199999. Total General Account - Accident and Health Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2299999. Total General Account Accident and Health				0	0	0	0	0	XXX	0	0	0	0	0
2399999. Total General Account				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
2699999. Total Separate Accounts - U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2999999. Total Separate Accounts - Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3099999. Total Separate Accounts - Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3399999. Total Separate Accounts - Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3499999. Total Separate Accounts				0	0	0	0	0	XXX	0	0	0	0	0
3599999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2699999 and 3199999)				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0
3699999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2999999 and 3299999)				0	0	0	0	0	XXX	0	0	0	0	0
9999999 - Totals				103,814	640,467	110,188	854,469	0	XXX	0	0	0	0	0

(a)

Issuing or Confirming Bank Reference Number	Letters of Credit Code	American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Letters of Credit Amount

NONE

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business
($000 Omitted)

		1 2020	2 2019	3 2018	4 2017	5 2016
	A. OPERATIONS ITEMS					
1.	Premiums and annuity considerations for life and accident and health contracts	6,357	6,232	6,315	6,506	6,436
2.	Commissions and reinsurance expense allowances	583	622	675	743	791
3.	Contract claims	5,524	4,468	6,060	3,865	4,758
4.	Surrender benefits and withdrawals for life contracts				0	0
5.	Dividends to policyholders and refunds to members				0	0
6.	Reserve adjustments on reinsurance ceded	0	0	0	0	0
7.	Increase in aggregate reserve for life and accident and health contracts	(876)	(1,851)	(77)	239	238
	B. BALANCE SHEET ITEMS					
8.	Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	1,217	1,252	1,327	1,425	1,478
9.	Aggregate reserves for life and accident and health contracts	28,789	29,665	31,516	31,593	31,354
10.	Liability for deposit-type contracts				0	0
11.	Contract claims unpaid	860	886	2,347	699	504
12.	Amounts recoverable on reinsurance	246	584	585	404	1,418
13.	Experience rating refunds due or unpaid				0	0
14.	Policyholders' dividends and refunds to members (not included in Line 10)				0	0
15.	Commissions and reinsurance expense allowances due				0	0
16.	Unauthorized reinsurance offset	854	555	1,622	383	461
17.	Offset for reinsurance with Certified Reinsurers		0	0	0	0
	C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18.	Funds deposited by and withheld from (F)	0	0	0	0	0
19.	Letters of credit (L)	0	0	0	0	0
20.	Trust agreements (T)	0	0	0	0	0
21.	Other (O)	0	0	0	0	0
	D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22.	Multiple Beneficiary Trust		0	0	0	0
23.	Funds deposited by and withheld from (F)		0	0	0	0
24.	Letters of credit (L)		0	0	0	0
25.	Trust agreements (T)		0	0	0	0
26.	Other (O)		0	0	0	0

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

		1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
	ASSETS (Page 2, Col. 3)			
1.	Cash and invested assets (Line 12)	2,744,020,555		2,744,020,555
2.	Reinsurance (Line 16)	246,341	(246,341)	0
3.	Premiums and considerations (Line 15)	13,862,382	1,216,704	15,079,086
4.	Net credit for ceded reinsurance	XXX	26,794,958	26,794,958
5.	All other admitted assets (balance)	30,696,316		30,696,316
6.	Total assets excluding Separate Accounts (Line 26)	2,788,825,594	27,765,321	2,816,590,915
7.	Separate Account assets (Line 27)	0		0
8.	Total assets (Line 28)	2,788,825,594	27,765,321	2,816,590,915
	LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9.	Contract reserves (Lines 1 and 2)	2,397,162,697	28,789,184	2,425,951,881
10.	Liability for deposit-type contracts (Line 3)	78,284,278		78,284,278
11.	Claim reserves (Line 4)	16,212,864	859,557	17,072,421
12.	Policyholder dividends/member refunds/reserves (Lines 5 through 7)	7,125,483		7,125,483
13.	Premium & annuity considerations received in advance (Line 8)	236,052		236,052
14.	Other contract liabilities (Line 9)	1,028,951	(1,028,951)	0
15.	Reinsurance in unauthorized companies (Line 24.02 minus inset amount)	854,469	(854,469)	0
16.	Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)	0		0
17.	Reinsurance with Certified Reinsurers (Line 24.02 inset amount)	0		0
18.	Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			0
19.	All other liabilities (balance)	53,663,671		53,663,671
20.	Total liabilities excluding Separate Accounts (Line 26)	2,554,568,465	27,765,321	2,582,333,786
21.	Separate Account liabilities (Line 27)			0
22.	Total liabilities (Line 28)	2,554,568,465	27,765,321	2,582,333,786
23.	Capital & surplus (Line 38)	234,257,129	XXX	234,257,129
24.	Total liabilities, capital & surplus (Line 39)	2,788,825,594	27,765,321	2,816,590,915
	NET CREDIT FOR CEDED REINSURANCE			
25.	Contract reserves	28,789,184		
26.	Claim reserves	859,557		
27.	Policyholder dividends/reserves	0		
28.	Premium & annuity considerations received in advance	0		
29.	Liability for deposit-type contracts	0		
30.	Other contract liabilities	(1,028,951)		
31.	Reinsurance ceded assets	246,341		
32.	Other ceded reinsurance recoverables	0		
33.	Total ceded reinsurance recoverables	28,866,131		
34.	Premiums and considerations	1,216,704		
35.	Reinsurance in unauthorized companies	854,469		
36.	Funds held under reinsurance treaties with unauthorized reinsurers	0		
37.	Reinsurance with Certified Reinsurers	0		
38.	Funds held under reinsurance treaties with Certified Reinsurers	0		
39.	Other ceded reinsurance payables/offsets	0		
40.	Total ceded reinsurance payable/offsets	2,071,173		
41.	Total net credit for ceded reinsurance	26,794,958		

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Allocated by States and Territories

States, Etc.		1 Active Status (a)	Direct Business Only					
			Life Contracts		4	5	6	7
			2 Life Insurance Premiums	3 Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
1.	Alabama AL	N	14,985				14,985	
2.	Alaska AK	N	1,056				1,056	
3.	Arizona AZ	N	29,560				29,560	
4.	Arkansas AR	N	3,572				3,572	
5.	California CA	N	40,575				40,575	
6.	Colorado CO	N	28,220				28,220	
7.	Connecticut CT	L	1,801,322	178,204	274,503		2,254,029	
8.	Delaware DE	L	1,193,194	130,055	49,151		1,372,400	
9.	District of Columbia DC	N	2,417				2,417	
10.	Florida FL	N	459,712	125,067			584,779	
11.	Georgia GA	N	72,311	16,627			88,938	
12.	Hawaii HI	N	4,427				4,427	
13.	Idaho ID	N	1,790				1,790	
14.	Illinois IL	N	20,936				20,936	
15.	Indiana IN	N	17,721				17,721	
16.	Iowa IA	N	8,162	7,000			15,162	
17.	Kansas KS	N	392				392	
18.	Kentucky KY	N	16,677				16,677	
19.	Louisiana LA	N	2,048				2,048	
20.	Maine ME	L	1,161,484	116,156	141,832		1,419,472	
21.	Maryland MD	L	1,314,191	68,600	115,667		1,498,458	
22.	Massachusetts MA	L	2,229,929	253,379	405,920		2,889,228	
23.	Michigan MI	N	16,110				16,110	
24.	Minnesota MN	N	20,085				20,085	
25.	Mississippi MS	N	5,681				5,681	
26.	Missouri MO	N	16,013				16,013	
27.	Montana MT	N	6,113				6,113	
28.	Nebraska NE	N	3,128				3,128	
29.	Nevada NV	N	24,132				24,132	
30.	New Hampshire NH	L	1,335,398	193,600	213,225		1,742,223	
31.	New Jersey NJ	L	5,497,112	410,036	554,754		6,461,902	78,963
32.	New Mexico NM	N	18,412				18,412	
33.	New York NY	L	28,637,579	32,418,910	2,343,057		63,399,546	5,331,374
34.	North Carolina NC	N	184,493	20,480			204,973	
35.	North Dakota ND	N					0	
36.	Ohio OH	N	41,766				41,766	
37.	Oklahoma OK	N	6,835				6,835	
38.	Oregon OR	N	6,943				6,943	
39.	Pennsylvania PA	L	843,209	107,741	65,519		1,016,469	
40.	Rhode Island RI	L	550,520	41,825	72,647		664,992	
41.	South Carolina SC	N	165,897	33,796			199,693	
42.	South Dakota SD	N	24,876				24,876	
43.	Tennessee TN	N	41,924	2,653			44,577	
44.	Texas TX	N	104,503				104,503	
45.	Utah UT	N	3,471	2,400			5,871	
46.	Vermont VT	L	1,333,197	243,852	155,627		1,732,676	
47.	Virginia VA	L	304,000	2,333	41,944		348,277	
48.	Washington WA	N	22,921				22,921	
49.	West Virginia WV	L	2,506,052	508,024	75,924		3,090,000	
50.	Wisconsin WI	N	26,667				26,667	
51.	Wyoming WY	N	7,428				7,428	
52.	American Samoa AS	N					0	
53.	Guam GU	N					0	
54.	Puerto Rico PR	N					0	
55.	U.S. Virgin Islands VI	N	1,331				1,331	
56.	Northern Mariana Islands MP	N					0	
57.	Canada CAN	N	8,990				8,990	
58.	Aggregate Other Alien OT	XXX	4,478	0	0	0	4,478	0
59.	Subtotal	XXX	50,193,945	34,880,738	4,509,770	0	89,584,453	5,410,337
90.	Reporting entity contributions for employee benefits plans	XXX					0	
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX	5,045,745				5,045,745	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX					0	
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX	570,848		133,922		704,770	
94.	Aggregate or other amounts not allocable by State	XXX	0	0	0	0	0	0
95.	Totals (Direct Business)	XXX	55,810,538	34,880,738	4,643,692	0	95,334,968	5,410,337
96.	Plus reinsurance assumed	XXX					0	
97	Totals (All Business)	XXX	55,810,538	34,880,738	4,643,692	0	95,334,968	5,410,337
98.	Less reinsurance ceded	XXX	5,061,219		1,331,123		6,392,342	
99.	Totals (All Business) less Reinsurance Ceded	XXX	50,749,319	34,880,738	(c) 3,312,569	0	88,942,626	5,410,337
	DETAILS OF WRITE-INS							
58001.	UAE United Arab Emirates	XXX	2,845				2,845	
58002.	UK United Kingdom	XXX	1,150				1,150	
58003.	JPN Japan	XXX	449				449	
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX	34	0	0	0	34	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	4,478	0	0	0	4,478	0
9401.		XXX						
9402.		XXX						
9403.		XXX						
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG 13
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 44

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Accident & Health premiums are allocated based on the contract issue state. All other premiums are allocated based on the current resident state of the payer.

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2

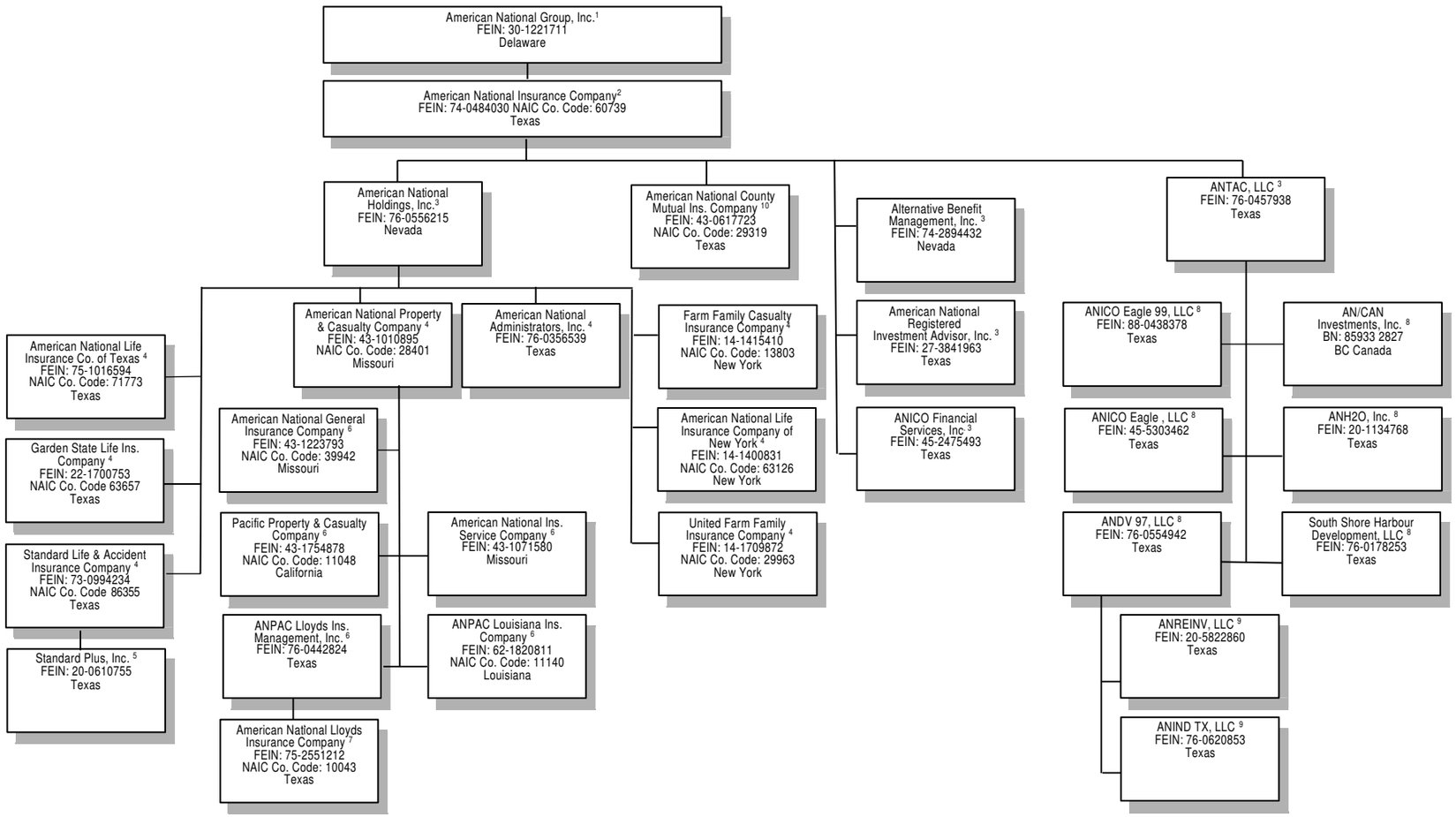
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

States, Etc.		Direct Business Only					
		1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	6 Totals
1. Alabama	AL	14,985	0			0	14,985
2. Alaska	AK	1,056	0			0	1,056
3. Arizona	AZ	29,560	0			0	29,560
4. Arkansas	AR	3,572	0			0	3,572
5. California	CA	40,575	0			0	40,575
6. Colorado	CO	28,220	0			0	28,220
7. Connecticut	CT	1,801,322	178,204	274,346		0	2,253,872
8. Delaware	DE	1,193,194	130,055	49,151		0	1,372,400
9. District of Columbia	DC	2,417	0			0	2,417
10. Florida	FL	459,712	125,067			0	584,779
11. Georgia	GA	72,311	16,627			0	88,938
12. Hawaii	HI	4,427	0			0	4,427
13. Idaho	ID	1,790	0			0	1,790
14. Illinois	IL	20,936	0			0	20,936
15. Indiana	IN	17,721	0			0	17,721
16. Iowa	IA	8,162	7,000			0	15,162
17. Kansas	KS	392	0			0	392
18. Kentucky	KY	16,677	0			0	16,677
19. Louisiana	LA	2,048	0			0	2,048
20. Maine	ME	1,161,484	116,156	141,832		0	1,419,472
21. Maryland	MD	1,314,191	68,600	115,667		0	1,498,458
22. Massachusetts	MA	2,229,929	253,379	405,920		0	2,889,228
23. Michigan	MI	16,110	0			0	16,110
24. Minnesota	MN	20,085	0			0	20,085
25. Mississippi	MS	5,681	0			0	5,681
26. Missouri	MO	16,013	0			0	16,013
27. Montana	MT	6,113	0			0	6,113
28. Nebraska	NE	3,128	0			0	3,128
29. Nevada	NV	24,132	0			0	24,132
30. New Hampshire	NH	1,335,398	193,600	210,429		0	1,739,427
31. New Jersey	NJ	5,497,112	410,036	546,943		78,963	6,533,054
32. New Mexico	NM	18,412	0			0	18,412
33. New York	NY	28,637,579	32,418,910	1,510,167		5,331,374	67,898,030
34. North Carolina	NC	184,493	20,480			0	204,973
35. North Dakota	ND	0	0			0	0
36. Ohio	OH	41,766	0			0	41,766
37. Oklahoma	OK	6,835	0			0	6,835
38. Oregon	OR	6,943	0			0	6,943
39. Pennsylvania	PA	843,209	107,741	64,514		0	1,015,464
40. Rhode Island	RI	550,520	41,825	72,647		0	664,992
41. South Carolina	SC	165,897	33,796			0	199,693
42. South Dakota	SD	24,876	0			0	24,876
43. Tennessee	TN	41,924	2,653			0	44,577
44. Texas	TX	104,503	0			0	104,503
45. Utah	UT	3,471	2,400			0	5,871
46. Vermont	VT	1,333,197	243,852	155,627		0	1,732,676
47. Virginia	VA	304,000	2,333	41,944		0	348,277
48. Washington	WA	22,921	0			0	22,921
49. West Virginia	WV	2,506,052	508,024	75,924		0	3,090,000
50. Wisconsin	WI	26,667	0			0	26,667
51. Wyoming	WY	7,428	0			0	7,428
52. American Samoa	AS	0	0			0	0
53. Guam	GU	0	0			0	0
54. Puerto Rico	PR	0	0			0	0
55. U.S. Virgin Islands	VI	1,331	0			0	1,331
56. Northern Mariana Islands	MP	0	0			0	0
57. Canada	CAN	8,990	0			0	8,990
58. Aggregate Other Alien	OT	4,478	0			0	4,478
59. Total		50,193,945	34,880,738	3,665,111	0	5,410,337	94,150,131

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



(1) 22.7% owned by The Moody Foundation and 37.0% owned by the Libbie S. Moody Trust.
(2) 100.0% owned by American National Group, Inc.
(3) 100.0% owned by American National Insurance Company.
(4) 100.0% owned by American National Holdings, Inc.
(5) 100.0% owned by Standard Life and Accident Insurance Company.
(6) 100.0% owned by American National Property and Casualty Company (ANPAC).
(7) Not a subsidiary company, but managed by ANPAC Lloyds Insurance Management, Inc.
(8) 100.0% owned by ANTAC, LLC.
(9) 100.0% owned by ANDV 97, LLC.
(10) Not a subsidiary company but managed by American National Insurance Company.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domi-ciliary Loca-tion	10 Relation-ship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship Provide Percen-tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re-quired? (Y/N)	16 *
0408	American National Financial Group	60739	74-0484030	1343722	0		American National Insurance Company	TX	UIP	American National Group, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	71773	75-1016594	1343731	0		American National Life Insurance Company of Texas	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	86355	73-0994234	0	0		Standard Life and Accident Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	63657	22-1700753	0	0		Garden State Life Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	63126	14-1400831	0	0		American National Life Insurance Company of New York	NY	RE	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	13803	14-1415410	0	0		Farm Family Casualty Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	29963	14-1709872	0	0		United Farm Family Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	28401	43-1010895	1343946	0		American National Property and Casualty Company	MO	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	Y	
0408	American National Financial Group	39942	43-1223793	0	0		American National General Insurance Company	MO	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	29319	43-0617723	0	0		American National County Mutual Insurance Company	TX	IA	American National Insurance Company	Management	0.000	American National Group, Inc.	N	
0408	American National Financial Group	10043	75-2551212	0	0		American National Lloyds Insurance Company	TX	IA	ANPAC Lloyds Insurance Management, Inc.	Management	0.000	American National Group, Inc.	N	
0408	American National Financial Group	11048	43-1754878	0	0		Pacific Property and Casualty Company	CA	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
0408	American National Financial Group	11140	62-1820811	0	0		ANPAC Louisiana Insurance Company	LA	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
		00000	30-1221711	0	1801075	NASDAQ	American National Group, Inc.	DE	UIP	Libbie S. Moody Trust	Ownership	0.370	Moody National Bank	N	
		00000	30-1221711	0	1801075	NASDAQ	American National Group, Inc.	DE	UIP	The Moody Foundation	Ownership, Board	0.227	Elizabeth Moody, Ross R. Moody, Frances Moody-Dahlberg	N	
		00000	76-0556215	0	0		American National Holdings, Inc.	NV	UDP	American National Insurance Company	Ownership	1.000	American National Group, Inc.	Y	
		00000	76-0457938	0	0		ANTAC, LLC	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	Y	
		00000	27-3841963	0	1518195		American National Registered Investment Advisor, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		00000	74-2894432	0	0		Alternative Benefit Management, Inc.	NV	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		00000	45-2475493	0	0		ANICO Financial Services, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		00000	76-0356539	0	0		American National Administrators, Inc.	TX	NIA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
		00000	43-1071580	0	0		American National Insurance Service Company	MO	NIA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
		00000	76-0442824	0	0		ANPAC Lloyds Insurance Management, Inc.	TX	NIA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
		00000	76-0620853	0	0		ANIND TX, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	20-5822860	0	0		ANREINV, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	76-0554942	0	0		ANDV 97, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	45-5303462	0	0		ANICO Eagle, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	88-0438378	0	0		ANICO Eagle 99, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	85-9332827	0	0		AN/CAN Investments, Inc.	CAN	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	20-1134768	0	0		ANH2O, Inc.	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	76-0178253	0	0		South Shore Harbour Development, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		00000	20-0610755	0	0		Standard Plus, Inc.	TX	NIA	Standard Life and Accident Insurance Company	Ownership	1.000	American National Group, Inc.	N	

Asterisk	Explanation

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1 NAIC Company Code	2 ID Number	3 Names of Insurers and Parent, Subsidiaries or Affiliates	4 Shareholder Dividends	5 Capital Contributions	6 Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	7 Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	8 Management Agreements and Service Contracts	9 Income/ (Disbursements) Incurred Under Reinsurance Agreements	10 *	11 Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	12 Totals	13 Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
60739	74-0484030	American National Insurance Company	(49,275,000)	4,999,990	0	24,474,602	189,828,245	63,291,314		1,522,000	234,841,151	(140,644,364)
00000	76-0556215	American National Holdings Inc.	67,500,000	0	0	(24,067,000)	(8,709,299)	0		0	34,723,701	0
71773	75-1016594	American National Life Insurance Company of Texas	0	0	0	(1,000)	(29,356,107)	0		0	(29,357,107)	665,962
86355	73-0994234	Standard Life and Accident Insurance Company	(18,000,000)	0	0	(19,000)	6,166,009	0		(5,001,000)	(16,853,991)	635,631
63657	22-1700753	Garden State Life Insurance Company	(2,800,000)	0	0	0	(4,269,420)	0		0	(7,069,420)	455,486
00000	74-0457938	ANTAC, LLC	24,720,000	(26,827,000)	0	(128,000)	(3,123,038)	0		0	(5,358,038)	0
00000	74-2894432	Alternative Benefit Management Inc.	0	0	0	0	(8,218,837)	0		0	(8,218,837)	0
00000	76-0554942	ANDV97, LLC	(1,740,000)	0	0	0	0	0		0	(1,740,000)	0
00000	45-5303462	ANICO Eagle, LLC	(22,200,000)	26,750,000	0	0	(9,829)	0		0	4,540,171	0
00000	88-0438378	ANICO Eagle 99, LLC	0	0	0	0	0	0		0	0	0
28401	43-1010895	American National Property and Casualty Company	(24,300,000)	0	0	(13,000)	(62,870,831)	(25,561,041)		0	(112,744,872)	102,200,609
39942	43-1223793	American National General Insurance Company	0	0	0	0	(14,479,231)	(637,155)		0	(15,116,386)	206,852
00000	43-1071580	American National Insurance Service Company	(1,100,000)	0	0	0	(676,249)	0		0	(1,776,249)	0
10043	75-2551212	American National Lloyds Insurance Company	0	0	0	0	(1,283,037)	(6,031,161)		0	(7,314,198)	6,449,240
11048	43-1754878	Pacific Property and Casualty Company	0	0	0	0	(18,149,622)	0		0	(18,149,622)	0
63126	14-1400831	American National Life Insurance Company of New York	0	0	0	(56,954)	(6,571,767)	(1,376,231)		0	(8,004,952)	744,283
00000	45-2475493	ANICO Financial Services, Inc.	0	0	0	0	68,008	0		0	68,008	0
00000	76-0356539	American National Administrators, Inc.	(500,000)	0	0	0	(12,308,530)	0		0	(12,808,530)	0
00000	76-0620853	ANIND TX, LLC	(120,000)	0	0	0	(133,186)	0		0	(253,186)	0
00000	85-9332827	ANCAN Investments Inc.	(400,000)	60,000	0	0	0	0		0	(340,000)	0
00000	76-0178253	South Shore Harbour Development, LLC	0	0	0	0	(358,079)	0		0	(358,079)	0
11140	62-1820811	ANPAC Louisiana Insurance Company	0	0	0	0	(13,214,995)	(980,862)		0	(14,195,857)	2,760,612
29319	43-0617723	American National County Mutual Insurance Company	0	0	0	(1,000)	(85,716)	(28,704,864)		501,000	(28,290,580)	26,525,689
13803	14-1415410	Farm Family Casualty Insurance Company	(20,800,000)	0	0	(4,325)	(36,696,046)	0		0	(57,500,371)	(27,686,000)
29963	14-1709872	United Farm Family Insurance Company	0	0	0	(184,323)	(24,775,198)	0		2,978,000	(21,981,521)	27,686,000
00000	20-0610755	Standard Plus, Inc.	0	0	0	0	(37,547)	0		0	(37,547)	0
00000	20-1134768	ANH2O, Inc.	(200,000)	17,000	0	0	(93,592)	0		0	(276,592)	0
00000	20-5822860	ANREINV	(60,000)	0	0	0	0	0		0	(60,000)	0
00000	30-1221711	Americn National Group, Inc.	49,500,000	(4,999,990)	0	0	49,449,186	0		0	93,949,196	0
00000	27-3841963	American National Registered Investment Advisor	(225,000)	0	0	0	(91,292)	0		0	(316,292)	0
9999999 Control Totals			0	0	0	0	0	0	XXX	0	0	0

Farm Family Casulty Insurance Company and United Farm Family Insurance Company are parties to a Pooling Agreement. Farm Family Casulty Insurance Company retains 98% of the pooled business and United Farm Family Insurance Company retains 2% of the pooled business.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Responses
	MARCH FILING	
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4.	Will an actuarial opinion be filed by March 1?	YES
	APRIL FILING	
5.	Will Management's Discussion and Analysis be filed by April 1?	YES
6.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
7.	Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
8.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
	JUNE FILING	
9.	Will an audited financial report be filed by June 1?	YES
10.	Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
	AUGUST FILING	
11.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	MARCH FILING	
12.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies)	NO
13.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
14.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
16.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
17.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	YES
18.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	YES
22.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	YES
25.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	YES
26.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
~~29.~~	~~Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?~~	
~~30.~~	~~Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?~~	
~~31.~~	~~Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?~~	
~~32.~~	~~Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?~~	
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	YES
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	YES
	APRIL FILING	
41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
45.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	SEE EXPLANATION
46.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
47.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
48.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
49.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
50.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	YES
51.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	YES
52.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
	AUGUST FILING	
53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

Explanations:

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45. No, the Company was granted a waiver for the 2020 Supplemental Health Care Exhibit by the New York State Department of Financial Services.
46.
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52.

Bar Codes:

12. SIS Stockholder Information Supplement [Document Identifier 420]



13. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]



14. Trusteed Surplus Statement [Document Identifier 490]



18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]



19. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]



20. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]



22. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]



23. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

26. C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]



27. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]



28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]



34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]



36. Medicare Part D Coverage Supplement [Document Identifier 365]



37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]



38. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]



39. Relief from the Requirements for Audit Committees [Document Identifier 226]



42. Long-Term Care Experience Reporting Forms [Document Identifier 306]



46. Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]



47. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]



49. Variable Annuities Supplement [Document Identifier 286]



52. Variable Annuities Summary of the PBR Actuarial Report [Document Identifier 459]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
2504. Disallowed interest maintenance	478,609	478,609	0	0
2505. Overfunded postretirement plan asset	53,377	53,377	0	0
2506. Prepaid expenses	22,181	22,181	0	
2507. Overfunded pension plan asset	(2,550,743)	(2,550,743)	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	(1,996,576)	(1,996,576)	0	0

Additional Write-ins for Exhibit of Nonadmitted Assets Line 25

	1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
2504. Overfunded postretirement plan asset	53,377	34,395	(18,982)
2505. Prepaid expenses	22,181	98,597	76,416
2506. Overfunded pension plan asset	(2,550,743)	(1,520,514)	1,030,229
2597. Summary of remaining write-ins for Line 25 from overflow page	(2,475,185)	(1,387,522)	1,087,663

Additional Write-ins for Schedule T Line 58

	1	Direct Business Only					
		Life Contracts		4	5	6	7
States, Etc.	Active Status	2 Life Insurance Premiums	3 Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
58004. NL Netherlands	XXX	34				34	
58997. Summary of remaining write-ins for Line 58 from overflow page	XXX	34	0	0	0	34	0

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments	8,375,866	0.305	8,375,866		8,375,866	0.305
1.02 All other governments	3,745,382	0.136	3,745,382		3,745,382	0.136
1.03 U.S. states, territories and possessions, etc. guaranteed	20,882,130	0.761	20,882,130		20,882,130	0.761
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	48,885,107	1.782	48,885,107		48,885,107	1.782
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	67,589,210	2.463	67,589,210		67,589,210	2.463
1.06 Industrial and miscellaneous	1,928,907,746	70.295	1,928,907,746		1,928,907,746	70.295
1.07 Hybrid securities	0	0.000			0	0.000
1.08 Parent, subsidiaries and affiliates	0	0.000			0	0.000
1.09 SVO identified funds	0	0.000			0	0.000
1.10 Unaffiliated Bank loans	0	0.000			0	0.000
1.11 Total long-term bonds	2,078,385,441	75.742	2,078,385,441	0	2,078,385,441	75.742
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)	4,101,988	0.149	4,101,988		4,101,988	0.149
2.02 Parent, subsidiaries and affiliates	0	0.000			0	0.000
2.03 Total preferred stocks	4,101,988	0.149	4,101,988	0	4,101,988	0.149
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	45,870	0.002	45,870		45,870	0.002
3.02 Industrial and miscellaneous Other (Unaffiliated)		0.000			0	0.000
3.03 Parent, subsidiaries and affiliates Publicly traded		0.000			0	0.000
3.04 Parent, subsidiaries and affiliates Other		0.000			0	0.000
3.05 Mutual funds		0.000			0	0.000
3.06 Unit investment trusts		0.000			0	0.000
3.07 Closed-end funds		0.000			0	0.000
3.08 Total common stocks	45,870	0.002	45,870	0	45,870	0.002
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages	0	0.000			0	0.000
4.02 Residential mortgages	0	0.000			0	0.000
4.03 Commercial mortgages	500,375,758	18.235	500,375,758		500,375,758	18.235
4.04 Mezzanine real estate loans	0	0.000			0	0.000
4.05 Total valuation allowance		0.000			0	0.000
4.06 Total mortgage loans	500,375,758	18.235	500,375,758	0	500,375,758	18.235
5. Real estate (Schedule A):						
5.01 Properties occupied by company	4,993,304	0.182	4,993,304		4,993,304	0.182
5.02 Properties held for production of income	0	0.000	0		0	0.000
5.03 Properties held for sale	0	0.000	0		0	0.000
5.04 Total real estate	4,993,304	0.182	4,993,304	0	4,993,304	0.182
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	2,014,337	0.073	2,014,337		2,014,337	0.073
6.02 Cash equivalents (Schedule E, Part 2)	40,275,561	1.468	40,275,561		40,275,561	1.468
6.03 Short-term investments (Schedule DA)	3,028,592	0.110	3,028,592		3,028,592	0.110
6.04 Total cash, cash equivalents and short-term investments	45,318,490	1.652	45,318,490	0	45,318,490	1.652
7. Contract loans	35,694,142	1.301	35,694,142		35,694,142	1.301
8. Derivatives (Schedule DB)	8,245,754	0.300	8,245,754		8,245,754	0.300
9. Other invested assets (Schedule BA)	66,682,312	2.430	66,682,312		66,682,312	2.430
10. Receivables for securities	177,496	0.006	177,496		177,496	0.006
11. Securities Lending (Schedule DL, Part 1)	0	0.000	0	XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11)	0	0.000	0		0	0.000
13. Total invested assets	2,744,020,555	100.000	2,744,020,555	0	2,744,020,555	100.000

SCHEDULE A - VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year		5,400,281
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 6)	0	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	204,967	204,967
3.	Current year change in encumbrances:		
	3.1 Totals, Part 1, Column 13	0	
	3.2 Totals, Part 3, Column 11		0
4.	Total gain (loss) on disposals, Part 3, Column 18		
5.	Deduct amounts received on disposals, Part 3, Column 15		
6.	Total foreign exchange change in book/adjusted carrying value:		
	6.1 Totals, Part 1, Column 15	0	
	6.2 Totals, Part 3, Column 13		0
7.	Deduct current year's other than temporary impairment recognized:		
	7.1 Totals, Part 1, Column 12	0	
	7.2 Totals, Part 3, Column 10		0
8.	Deduct current year's depreciation:		
	8.1 Totals, Part 1, Column 11	611,944	
	8.2 Totals, Part 3, Column 9		611,944
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		4,993,304
10.	Deduct total nonadmitted amounts		0
11.	Statement value at end of current period (Line 9 minus Line 10)		4,993,304

SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		418,989,331
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 7)	78,267,037	
	2.2 Additional investment made after acquisition (Part 2, Column 8)	41,377,947	119,644,984
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 12	0	
	3.2 Totals, Part 3, Column 11	0	0
4.	Accrual of discount		0
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 9	0	
	5.2 Totals, Part 3, Column 8	0	0
6.	Total gain (loss) on disposals, Part 3, Column 18		0
7.	Deduct amounts received on disposals, Part 3, Column 15		39,421,407
8.	Deduct amortization of premium and mortgage interest points and commitment fees		(1,162,849)
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:		
	9.1 Totals, Part 1, Column 13	0	
	9.2 Totals, Part 3, Column 13	0	0
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 11	0	
	10.2 Totals, Part 3, Column 10	0	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		500,375,758
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus 12)		500,375,758
14.	Deduct total nonadmitted amounts		0
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)		500,375,758

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		30,733,360
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	5,321,709	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	37,431,240	42,752,949
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16	0	
	3.2 Totals, Part 3, Column 12	0	0
4.	Accrual of discount		43,044
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13	(5,722)	
	5.2 Totals, Part 3, Column 9	0	(5,722)
6.	Total gain (loss) on disposals, Part 3, Column 19		0
7.	Deduct amounts received on disposals, Part 3, Column 16		6,841,319
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17	0	
	9.2 Totals, Part 3, Column 14	0	0
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15	0	
	10.2 Totals, Part 3, Column 11	0	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		66,682,312
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		66,682,312

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		2,085,457,730
2.	Cost of bonds and stocks acquired, Part 3, Column 7		336,144,868
3.	Accrual of discount		2,042,203
4.	Unrealized valuation increase (decrease):		
	4.1. Part 1, Column 12	(108,850)	
	4.2. Part 2, Section 1, Column 15	0	
	4.3. Part 2, Section 2, Column 13	(15,355)	
	4.4. Part 4, Column 11	0	(124,205)
5.	Total gain (loss) on disposals, Part 4, Column 19		30,843
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		334,328,518
7.	Deduct amortization of premium		5,207,749
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1. Part 1, Column 15	0	
	8.2. Part 2, Section 1, Column 19	0	
	8.3. Part 2, Section 2, Column 16	0	
	8.4. Part 4, Column 15	0	0
9.	Deduct current year's other than temporary impairment recognized:		
	9.1. Part 1, Column 14	3,558,598	
	9.2. Part 2, Section 1, Column 17	0	
	9.3. Part 2, Section 2, Column 14	0	
	9.4. Part 4, Column 13	17,949	3,576,547
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2		2,094,674
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		2,082,533,299
12.	Deduct total nonadmitted amounts		0
13.	Statement value at end of current period (Line 11 minus Line 12)		2,082,533,299

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description			1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS	1.	United States	8,375,866	9,014,226	8,306,484	8,200,000
Governments	2.	Canada	3,745,382	4,224,341	3,718,750	3,750,000
(Including all obligations guaranteed by governments)	3.	Other Countries				
	4.	Totals	12,121,248	13,238,567	12,025,234	11,950,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5.	Totals	20,882,130	23,035,872	21,402,608	18,700,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6.	Totals	48,885,107	52,159,161	49,481,019	44,765,000
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7.	Totals	67,589,210	71,979,552	68,052,150	62,850,659
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8.	United States	1,624,074,311	1,763,150,630	1,626,499,625	1,605,593,088
	9.	Canada	85,037,320	93,365,718	84,887,937	84,912,000
	10.	Other Countries	219,796,115	241,014,867	220,567,554	216,435,000
	11.	Totals	1,928,907,746	2,097,531,215	1,931,955,116	1,906,940,088
Parent, Subsidiaries and Affiliates	12.	Totals	0	0	0	0
	13.	Total Bonds	2,078,385,441	2,257,944,367	2,082,916,127	2,045,205,747
PREFERRED STOCKS	14.	United States	4,101,988	4,406,425	4,101,988	
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
	16.	Other Countries				
	17.	Totals	4,101,988	4,406,425	4,101,988	
Parent, Subsidiaries and Affiliates	18.	Totals	0	0	0	
	19.	Total Preferred Stocks	4,101,988	4,406,425	4,101,988	
COMMON STOCKS	20.	United States	45,870	45,870	3,590,965	
Industrial and Miscellaneous (unaffiliated)	21.	Canada				
	22.	Other Countries				
	23.	Totals	45,870	45,870	3,590,965	
Parent, Subsidiaries and Affiliates	24.	Totals	0	0	0	
	25.	Total Common Stocks	45,870	45,870	3,590,965	
	26.	Total Stocks	4,147,858	4,452,295	7,692,953	
	27.	Total Bonds and Stocks	2,082,533,299	2,262,396,662	2,090,609,080	

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	0	3,190,178	0	0	5,185,688	XXX	8,375,866	0.4	3,183,898	0.1	8,375,866	0
1.2 NAIC 2						XXX	0	0.0		0.0		0
1.3 NAIC 3						XXX	0	0.0		0.0		0
1.4 NAIC 4						XXX	0	0.0		0.0		0
1.5 NAIC 5						XXX	0	0.0		0.0		0
1.6 NAIC 6						XXX	0	0.0		0.0		0
1.7 Totals	0	3,190,178	0	0	5,185,688	XXX	8,375,866	0.4	3,183,898	0.1	8,375,866	0
2. All Other Governments												
2.1 NAIC 1	0	3,745,382	0	0	0	XXX	3,745,382	0.2	3,743,289	0.2	3,745,382	0
2.2 NAIC 2						XXX	0	0.0		0.0		0
2.3 NAIC 3						XXX	0	0.0		0.0		0
2.4 NAIC 4						XXX	0	0.0		0.0		0
2.5 NAIC 5						XXX	0	0.0		0.0		0
2.6 NAIC 6						XXX	0	0.0		0.0		0
2.7 Totals	0	3,745,382	0	0	0	XXX	3,745,382	0.2	3,743,289	0.2	3,745,382	0
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1	500,000	8,718,659	11,663,471	0	0	XXX	20,882,130	1.0	30,202,901	1.4	20,882,130	0
3.2 NAIC 2						XXX	0	0.0		0.0		0
3.3 NAIC 3						XXX	0	0.0		0.0		0
3.4 NAIC 4						XXX	0	0.0		0.0		0
3.5 NAIC 5						XXX	0	0.0		0.0		0
3.6 NAIC 6						XXX	0	0.0		0.0		0
3.7 Totals	500,000	8,718,659	11,663,471	0	0	XXX	20,882,130	1.0	30,202,901	1.4	20,882,130	0
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1	6,450,793	1,698,113	21,480,241	19,255,960	0	XXX	48,885,107	2.3	66,910,927	3.1	48,885,107	0
4.2 NAIC 2						XXX	0	0.0		0.0		0
4.3 NAIC 3						XXX	0	0.0		0.0		0
4.4 NAIC 4						XXX	0	0.0		0.0		0
4.5 NAIC 5						XXX	0	0.0		0.0		0
4.6 NAIC 6						XXX	0	0.0		0.0		0
4.7 Totals	6,450,793	1,698,113	21,480,241	19,255,960	0	XXX	48,885,107	2.3	66,910,927	3.1	48,885,107	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	1,082,280	14,066,451	12,741,820	37,313,367	0	XXX	65,203,918	3.1	71,519,065	3.3	65,203,918	0
5.2 NAIC 2	78,145	490,409	554,208	1,262,530	0	XXX	2,385,292	0.1	2,420,000	0.1	2,385,292	0
5.3 NAIC 3						XXX	0	0.0		0.0		0
5.4 NAIC 4						XXX	0	0.0		0.0		0
5.5 NAIC 5						XXX	0	0.0		0.0		0
5.6 NAIC 6						XXX	0	0.0		0.0		0
5.7 Totals	1,160,425	14,556,860	13,296,028	38,575,897	0	XXX	67,589,210	3.2	73,939,065	3.4	67,589,210	0

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	60,184,724	319,051,642	282,079,805	70,252,287	31,776,153	XXX	763,344,611	36.2	890,946,456	40.8	568,705,136	194,639,475
6.2 NAIC 2	51,690,558	535,116,208	471,370,935	36,051,385	16,391,012	XXX	1,110,620,098	52.6	1,057,277,240	48.4	941,504,132	169,115,966
6.3 NAIC 3	13,357,763	42,373,283	20,932,421	0	0	XXX	76,663,467	3.6	43,687,975	2.0	70,135,272	6,528,195
6.4 NAIC 4	25,003	2,312,070	3,270,177	0	0	XXX	5,607,250	0.3	2,912,549	0.1	5,607,250	0
6.5 NAIC 5	179,520	25,000	2,852,989	0	0	XXX	3,057,509	0.1	9,634,479	0.4	204,520	2,852,989
6.6 NAIC 6	0	0	1,459,519	0	0	XXX	1,459,519	0.1	1,931,853	0.1	1,459,519	0
6.7 Totals	125,437,568	898,878,203	781,965,846	106,303,672	48,167,165	XXX	1,960,752,454	92.9	2,006,390,552	91.9	1,587,615,829	373,136,625
7. Hybrid Securities												
7.1 NAIC 1						XXX	0	0.0		0.0		0
7.2 NAIC 2						XXX	0	0.0		0.0		0
7.3 NAIC 3						XXX	0	0.0		0.0		0
7.4 NAIC 4						XXX	0	0.0		0.0		0
7.5 NAIC 5						XXX	0	0.0		0.0		0
7.6 NAIC 6						XXX	0	0.0		0.0		0
7.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX	0	0.0		0.0		0
8.2 NAIC 2						XXX	0	0.0		0.0		0
8.3 NAIC 3						XXX	0	0.0		0.0		0
8.4 NAIC 4						XXX	0	0.0		0.0		0
8.5 NAIC 5						XXX	0	0.0		0.0		0
8.6 NAIC 6						XXX	0	0.0		0.0		0
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX	0	0.0		0.0		0
10.2 NAIC 2						XXX	0	0.0		0.0		0
10.3 NAIC 3						XXX	0	0.0		0.0		0
10.4 NAIC 4						XXX	0	0.0		0.0		0
10.5 NAIC 5						XXX	0	0.0		0.0		0
10.6 NAIC 6						XXX	0	0.0		0.0		0
10.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 68,217,797	350,470,425	327,965,337	126,821,614	36,961,841	0	910,437,014	43.1	XXX	XXX	715,797,539	194,639,475
11.2 NAIC 2	(d) 51,768,703	535,606,617	471,925,143	37,313,915	16,391,012	0	1,113,005,390	52.7	XXX	XXX	943,889,424	169,115,966
11.3 NAIC 3	(d) 13,357,763	42,373,283	20,932,421	0	0	0	76,663,467	3.6	XXX	XXX	70,135,272	6,528,195
11.4 NAIC 4	(d) 25,003	2,312,070	3,270,177	0	0	0	5,607,250	0.3	XXX	XXX	5,607,250	0
11.5 NAIC 5	(d) 179,520	25,000	2,852,989	0	0	0	(c) 3,057,509	0.1	XXX	XXX	204,520	2,852,989
11.6 NAIC 6	(d) 0	0	1,459,519	0	0	0	(c) 1,459,519	0.1	XXX	XXX	1,459,519	0
11.7 Totals	133,548,786	930,787,395	828,405,586	164,135,529	53,352,853	0	(b) 2,110,230,149	100.0	XXX	XXX	1,737,093,524	373,136,625
11.8 Line 11.7 as a % of Col. 7	6.3	44.1	39.3	7.8	2.5	0.0	100.0	XXX	XXX	XXX	82.3	17.7
12. Total Bonds Prior Year												
12.1 NAIC 1	160,185,309	411,521,696	409,740,224	61,010,181	24,049,126	0	XXX	XXX	1,066,506,536	48.8	901,918,001	164,588,535
12.2 NAIC 2	53,185,952	418,086,820	553,658,013	23,530,705	11,235,750	0	XXX	XXX	1,059,697,240	48.5	905,789,917	153,907,323
12.3 NAIC 3	9,561,943	28,905,947	5,220,085	0	0	0	XXX	XXX	43,687,975	2.0	37,876,394	5,811,581
12.4 NAIC 4	0	0	2,912,549	0	0	0	XXX	XXX	2,912,549	0.1	2,912,549	0
12.5 NAIC 5	0	2,019,574	7,614,905	0	0	0	XXX	XXX	(c) 9,634,479	0.4	9,634,479	0
12.6 NAIC 6	138,749	712,798	1,080,306	0	0	0	XXX	XXX	(c) 1,931,853	0.1	1,931,853	0
12.7 Totals	223,071,953	861,246,835	980,226,082	84,540,886	35,284,876	0	XXX	XXX	(b) 2,184,370,632	100.0	1,860,063,193	324,307,439
12.8 Line 12.7 as a % of Col. 9	10.2	39.4	44.9	3.9	1.6	0.0	XXX	XXX	100.0	XXX	85.2	14.8
13. Total Publicly Traded Bonds												
13.1 NAIC 1	53,434,507	300,903,370	257,554,288	96,948,846	6,956,528	0	715,797,539	33.9	901,918,001	41.3	715,797,539	XXX
13.2 NAIC 2	49,517,832	488,757,293	381,714,443	15,224,577	8,675,279	0	943,889,424	44.7	905,789,917	41.5	943,889,424	XXX
13.3 NAIC 3	8,337,551	40,865,300	20,932,421	0	0	0	70,135,272	3.3	37,876,394	1.7	70,135,272	XXX
13.4 NAIC 4	25,003	2,312,070	3,270,177	0	0	0	5,607,250	0.3	2,912,549	0.1	5,607,250	XXX
13.5 NAIC 5	179,520	25,000	0	0	0	0	204,520	0.0	9,634,479	0.4	204,520	XXX
13.6 NAIC 6	0	0	1,459,519	0	0	0	1,459,519	0.1	1,931,853	0.1	1,459,519	XXX
13.7 Totals	111,494,413	832,863,033	664,930,848	112,173,423	15,631,807	0	1,737,093,524	82.3	1,860,063,193	85.2	1,737,093,524	XXX
13.8 Line 13.7 as a % of Col. 7	6.4	47.9	38.3	6.5	0.9	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	5.3	39.5	31.5	5.3	0.7	0.0	82.3	XXX	XXX	XXX	82.3	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	14,783,290	49,567,055	70,411,049	29,872,768	30,005,313	0	194,639,475	9.2	164,588,535	7.5	XXX	194,639,475
14.2 NAIC 2	2,250,871	46,849,324	90,210,700	22,089,338	7,715,733	0	169,115,966	8.0	153,907,323	7.0	XXX	169,115,966
14.3 NAIC 3	5,020,212	1,507,983	0	0	0	0	6,528,195	0.3	5,811,581	0.3	XXX	6,528,195
14.4 NAIC 4	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.5 NAIC 5	0	0	2,852,989	0	0	0	2,852,989	0.1	0	0.0	XXX	2,852,989
14.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.7 Totals	22,054,373	97,924,362	163,474,738	51,962,106	37,721,046	0	373,136,625	17.7	324,307,439	14.8	XXX	373,136,625
14.8 Line 14.7 as a % of Col. 7	5.9	26.2	43.8	13.9	10.1	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	1.0	4.6	7.7	2.5	1.8	0.0	17.7	XXX	XXX	XXX	XXX	17.7

(a) Includes $ 341,209,089 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes $ current year of bonds with Z designations and $ prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

(c) Includes $ current year, $ prior year of bonds with 5GI designations and $ current year, $ prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 $ 28,816,116 ; NAIC 2 $ 3,028,592 ; NAIC 3 $ 0 ; NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	0	3,190,178	0	0	0	XXX	3,190,178	0.2	3,183,898	0.1	3,190,178	0
1.02 Residential Mortgage-Backed Securities	0	0	0	0	5,185,688	XXX	5,185,688	0.2		0.0	5,185,688	0
1.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
1.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
1.05 Totals	0	3,190,178	0	0	5,185,688	XXX	8,375,866	0.4	3,183,898	0.1	8,375,866	0
2. All Other Governments												
2.01 Issuer Obligations	0	3,745,382	0	0	0	XXX	3,745,382	0.2	3,743,289	0.2	3,745,382	0
2.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
2.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
2.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
2.05 Totals	0	3,745,382	0	0	0	XXX	3,745,382	0.2	3,743,289	0.2	3,745,382	0
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations	500,000	8,718,659	11,663,471	0	0	XXX	20,882,130	1.0	30,202,901	1.4	20,882,130	0
3.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
3.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
3.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
3.05 Totals	500,000	8,718,659	11,663,471	0	0	XXX	20,882,130	1.0	30,202,901	1.4	20,882,130	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations	6,450,793	1,698,113	21,480,241	19,255,960	0	XXX	48,885,107	2.3	66,910,927	3.1	48,885,107	0
4.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
4.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
4.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
4.05 Totals	6,450,793	1,698,113	21,480,241	19,255,960	0	XXX	48,885,107	2.3	66,910,927	3.1	48,885,107	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations	1,144,648	14,010,030	10,940,660	38,139,744	0	XXX	64,235,082	3.0	67,767,460	3.1	64,235,082	0
5.02 Residential Mortgage-Backed Securities	15,777	546,830	2,355,368	436,153	0	XXX	3,354,128	0.2	6,171,605	0.3	3,354,128	0
5.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
5.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
5.05 Totals	1,160,425	14,556,860	13,296,028	38,575,897	0	XXX	67,589,210	3.2	73,939,065	3.4	67,589,210	0
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	122,525,198	893,912,198	779,923,260	103,947,511	25,226,724	XXX	1,925,534,891	91.2	1,969,581,246	90.2	1,580,973,567	344,561,324
6.02 Residential Mortgage-Backed Securities	2,912,370	0	339,372	0	4,461,879	XXX	7,713,621	0.4	2,533,538	0.1	5,022,582	2,691,039
6.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	2,902,451	0.1	0	0
6.04 Other Loan-Backed and Structured Securities	0	4,966,005	1,703,214	2,356,161	18,478,562	XXX	27,503,942	1.3	31,373,317	1.4	1,619,680	25,884,262
6.05 Totals	125,437,568	898,878,203	781,965,846	106,303,672	48,167,165	XXX	1,960,752,454	92.9	2,006,390,552	91.9	1,587,615,829	373,136,625
7. Hybrid Securities												
7.01 Issuer Obligations						XXX	0	0.0		0.0		0
7.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
7.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
7.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
7.05 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						XXX	0	0.0		0.0		0
8.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
8.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
8.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
8.05 Affiliated Bank Loans - Issued						XXX	0	0.0		0.0		0
8.06 Affiliated Bank Loans - Acquired						XXX	0	0.0		0.0		0
8.07 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.03 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						XXX	0	0.0		0.0		0
10.02 Unaffiliated Bank Loans - Acquired						XXX	0	0.0		0.0		0
10.03 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
11. Total Bonds Current Year												
11.01 Issuer Obligations	130,620,639	925,274,560	824,007,632	161,343,215	25,226,724	XXX	2,066,472,770	97.9	XXX	XXX	1,721,911,446	344,561,324
11.02 Residential Mortgage-Backed Securities	2,928,147	546,830	2,694,740	436,153	9,647,567	XXX	16,253,437	0.8	XXX	XXX	13,562,398	2,691,039
11.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.04 Other Loan-Backed and Structured Securities	0	4,966,005	1,703,214	2,356,161	18,478,562	XXX	27,503,942	1.3	XXX	XXX	1,619,680	25,884,262
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	XXX	XXX	0	0
11.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.08 Totals	133,548,786	930,787,395	828,405,586	164,135,529	53,352,853	0	2,110,230,149	100.0	XXX	XXX	1,737,093,524	373,136,625
11.09 Line 11.08 as a % of Col. 7	6.3	44.1	39.3	7.8	2.5	0.0	100.0	XXX	XXX	XXX	82.3	17.7
12. Total Bonds Prior Year												
12.01 Issuer Obligations	220,721,078	853,334,901	971,881,193	67,621,110	27,831,439	XXX	XXX	XXX	2,141,389,721	98.0	1,843,307,109	298,082,612
12.02 Residential Mortgage-Backed Securities	1,435,172	4,178,235	2,558,771	532,965	0	XXX	XXX	XXX	8,705,143	0.4	8,705,143	0
12.03 Commercial Mortgage-Backed Securities	3,527	44,206	379,912	2,474,806	0	XXX	XXX	XXX	2,902,451	0.1	2,902,451	0
12.04 Other Loan-Backed and Structured Securities	912,176	3,689,493	5,406,206	13,912,005	7,453,437	XXX	XXX	XXX	31,373,317	1.4	5,148,490	26,224,827
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX	0	0.0		0
12.06 Affiliated Bank Loans						XXX	XXX	XXX	0	0.0		0
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX	0	0.0		0
12.08 Totals	223,071,953	861,246,835	980,226,082	84,540,886	35,284,876	0	XXX	XXX	2,184,370,632	100.0	1,860,063,193	324,307,439
12.09 Line 12.08 as a % of Col. 9	10.2	39.4	44.9	3.9	1.6	0.0	XXX	XXX	100.0	XXX	85.2	14.8
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	108,566,266	832,316,203	660,616,428	111,737,270	8,675,279	XXX	1,721,911,446	81.6	1,843,307,109	84.4	1,721,911,446	XXX
13.02 Residential Mortgage-Backed Securities	2,928,147	546,830	2,694,740	436,153	6,956,528	XXX	13,562,398	0.6	8,705,143	0.4	13,562,398	XXX
13.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	2,902,451	0.1	0	XXX
13.04 Other Loan-Backed and Structured Securities	0	0	1,619,680	0	0	XXX	1,619,680	0.1	5,148,490	0.2	1,619,680	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0	0	XXX
13.06 Affiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.07 Unaffiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.08 Totals	111,494,413	832,863,033	664,930,848	112,173,423	15,631,807	0	1,737,093,524	82.3	1,860,063,193	85.2	1,737,093,524	XXX
13.09 Line 13.08 as a % of Col. 7	6.4	47.9	38.3	6.5	0.9	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	5.3	39.5	31.5	5.3	0.7	0.0	82.3	XXX	XXX	XXX	82.3	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	22,054,373	92,958,357	163,391,204	49,605,945	16,551,445	XXX	344,561,324	16.3	298,082,612	13.6	XXX	344,561,324
14.02 Residential Mortgage-Backed Securities	0	0	0	0	2,691,039	XXX	2,691,039	0.1	0	0.0	XXX	2,691,039
14.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.04 Other Loan-Backed and Structured Securities	0	4,966,005	83,534	2,356,161	18,478,562	XXX	25,884,262	1.2	26,224,827	1.2	XXX	25,884,262
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	XXX	0
14.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.08 Totals	22,054,373	97,924,362	163,474,738	51,962,106	37,721,046	0	373,136,625	17.7	324,307,439	14.8	XXX	373,136,625
14.09 Line 14.08 as a % of Col. 7	5.9	26.2	43.8	13.9	10.1	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	1.0	4.6	7.7	2.5	1.8	0.0	17.7	XXX	XXX	XXX	XXX	17.7

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	3,482,357	3,482,357	0	0	0
2. Cost of short-term investments acquired	6,511,979	6,511,979	0	0	0
3. Accrual of discount	18,493	18,493	0	0	0
4. Unrealized valuation increase (decrease)	(279,912)	(279,912)			
5. Total gain (loss) on disposals	0				
6. Deduct consideration received on disposals	6,714,496	6,714,496	0	0	0
7. Deduct amortization of premium	(10,171)	(10,171)	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0				
9. Deduct current year's other than temporary impairment recognized	0				
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,028,592	3,028,592	0	0	0
11. Deduct total nonadmitted amounts	0				
12. Statement value at end of current period (Line 10 minus Line 11)	3,028,592	3,028,592	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

SCHEDULE DB - PART A - VERIFICATION BETWEEN YEARS

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/adjusted carrying value, December 31, prior year (Line 10, prior year)		11,108,748
2.	Cost paid/(consideration received) on additions:		
	2.1 Current year paid/(consideration received) at time of acquisition, still open, Section 1, Column 12	5,510,780	
	2.2 Current year paid/(consideration received) at time of acquisition, terminated, Section 2, Column 14	0	5,510,780
3.	Unrealized valuation increase/(decrease):		
	3.1 Section 1, Column 17	2,734,974	
	3.2 Section 2, Column 19	(4,309,428)	(1,574,454)
4.	SSAP No. 108 Adjustments		
5.	Total gain (loss) on termination recognized, Section 2, Column 22		2,388,741
6.	Considerations received/(paid) on terminations, Section 2, Column 15		9,188,061
7.	Amortization:		
	7.1 Section 1, Column 19	0	
	7.2 Section 2, Column 21	0	0
8.	Adjustment to the book/adjusted carrying value of hedged item:		
	8.1 Section 1, Column 20	0	
	8.2 Section 2, Column 23	0	0
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Section 1, Column 18	0	
	9.2 Section 2, Column 20	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6+7+8+9)		8,245,754
11.	Deduct nonadmitted assets		
12.	Statement value at end of current period (Line 10 minus Line 11)		8,245,754

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change Column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly effective hedges	
	3.11 Section 1, Column 15, current year minus	
	3.12 Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All other	
	3.13 Section 1, Column 18, current year minus	
	3.14 Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
	3.21 Section 1, Column 17, current year to date minus	
	3.22 Section 1, Column 17, prior year	
	Change in amount recognized	
	3.23 Section 1, Column 19, current year to date minus	
	3.24 Section 1, Column 19, prior year plus	
	3.25 SSAP No. 108 Adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year (Section 2, Column 15)	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item (Section 2, Column 17)	
	4.22 Amount recognized (Section 2, Column 16)	
	4.23 SSAP No. 108 Adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check	
1.	Part A, Section 1, Column 14	8,245,754	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance	0	
3.	Total (Line 1 plus Line 2)		8,245,754
4.	Part D, Section 1, Column 5	8,245,754	
5.	Part D, Section 1, Column 6	0	
6.	Total (Line 3 minus Line 4 minus Line 5)		0

		Fair Value Check	
7.	Part A, Section 1, Column 16	8,245,754	
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)		8,245,754
10.	Part D, Section 1, Column 8	8,245,754	
11.	Part D, Section 1, Column 9	0	
12	Total (Line 9 minus Line 10 minus Line 11)		0

		Potential Exposure Check	
13.	Part A, Section 1, Column 21	0	
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 11	0	
16.	Total (Line 13 plus Line 14 minus Line 15)		0

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1 Total	2 Bonds	3 Money Market Mutual funds	4 Other (a)
1. Book/adjusted carrying value, December 31 of prior year	137,152,112	99,593,793	36,558,191	1,000,128
2. Cost of cash equivalents acquired	2,055,014,923	993,140,286	1,019,132,341	42,742,296
3. Accrual of discount	411,537	411,537	0	0
4. Unrealized valuation increase (decrease)	0			
5. Total gain (loss) on disposals	0			
6. Deduct consideration received on disposals	2,152,303,011	1,064,329,500	1,044,231,087	43,742,424
7. Deduct amortization of premium	0			
8. Total foreign exchange change in book/adjusted carrying value	0			
9. Deduct current year's other than temporary impairment recognized	0			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	40,275,561	28,816,116	11,459,445	0
11. Deduct total nonadmitted amounts	0			
12. Statement value at end of current period (Line 10 minus Line 11)	40,275,561	28,816,116	11,459,445	0

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

SCHEDULE A - PART 1

Showing All Real Estate OWNED December 31 of Current Year

1	2	Location		5	6	7	8	9	10	Change in Book/Adjusted Carrying Value Less Encumbrances					16	17
		3	4							11	12	13	14	15		
Description of Property	Code	City	State	Date Acquired	Date of Last Appraisal	Actual Cost	Amount of Encum-brances	Book/Adjusted Carrying Value Less Encum-brances	Fair Value Less Encum-brances	Current Year's Depre-ciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encum-brances	Total Change in Book/ Adjusted Carrying Value (13-11-12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Gross Income Earned Less Interest Incurred on Encum-brances	Taxes, Repairs and Expenses Incurred
Home Office Building		Glenmont	NY	01/01/1985	06/05/1997	20,349,071		4,993,304		611,944	0		(611,944)		2,207,713	1,447,192
0299999. Property occupied by the reporting entity - Administrative						20,349,071	0	4,993,304	0	611,944	0	0	(611,944)	0	2,207,713	1,447,192
0399999. Total Property occupied by the reporting entity						20,349,071	0	4,993,304	0	611,944	0	0	(611,944)	0	2,207,713	1,447,192
0699999 - Totals						20,349,071	0	4,993,304	0	611,944	0	0	(611,944)	0	2,207,713	1,447,192

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED and Additions Made During the Year

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition
Home Office Building	Glenmont	NY	01/01/1985					204,967
0199999. Acquired by Purchase					0	0	0	204,967
0399999 - Totals					0	0	0	204,967

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Year, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improve-ments and Changes in Encum-brances	Book/ Adjusted Carrying Value Less Encum-brances Prior Year	Current Year's Depre-ciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encum-brances	Total Change in Book/ Adjusted Carrying Value (11-9-10)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum-brances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encum-brances	Taxes, Repairs and Expenses Incurred
0399999 - Totals																			

NONE

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1	2	Location		5	6	7	8	Change in Book Value/Recorded Investment					14	15
		3	4				Book Value/Recorded Investment Excluding Accrued Interest	9	10	11	12	13		
Loan Number	Code	City	State	Loan Type	Date Acquired	Rate of Interest		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book Value	Value of Land and Buildings	Date of Last Appraisal or Valuation
160101		LONG BEACH	CA		05/26/2004	5.125	1,733,428	0	500	0		0	4,400,000	04/15/2004
161701		FORT WORTH	TX		04/30/2008	4.000	1,955,691	0	5,366	0		0	3,100,000	02/15/2016
163501		ALBANY	GA		11/29/2012	5.000	1,484,366	0	832	0		0	4,850,000	10/10/2012
163801		THE WOODLANDS	TX		12/17/2013	5.000	2,106,695	0	3,290	0		0	6,000,000	11/25/2013
163901		OMAHA	NE		06/26/2014	4.900	4,018,482	0	1,719	0		0	6,720,000	04/18/2014
164201		HOUSTON	TX		11/06/2014	4.950	2,569,600	0	1,511	0		0	4,300,000	10/17/2014
164301		SUWANEE	GA		12/16/2014	4.750	5,385,639	0	4,150	0		0	10,175,000	11/02/2014
164401		SPRING	TX		12/17/2014	4.500	3,503,792	0	2,025	0		0	8,100,000	11/25/2014
164501		NOVI	MI		02/02/2015	4.500	4,443,603	0	1,296	0		0	7,260,000	12/18/2014
164601		WILMINGTON	NC		02/10/2015	4.500	2,589,319	0	1,248	0		0	5,000,000	12/08/2014
164701		HOUSTON	TX		06/01/2015	4.000	2,575,670	0	1,071	0		0	4,600,000	04/28/2015
164702		HOUSTON	TX		05/11/2017	4.250	456,053	0	984	0		0	4,600,000	04/28/2015
164801		MATTHEWS	NC		10/01/2015	4.250	3,041,574	0	872	0		0	3,776,906	08/13/2015
164901		VERNON HILLS	IL		12/16/2015	4.000	4,444,166	0	1,300	0		0	7,480,000	10/20/2015
165001		PHOENIX	AZ		03/01/2016	4.500	3,758,826	0	1,122	0		0	7,330,000	02/03/2016
165201		DENVER	CO		04/04/2016	4.500	4,264,501	0	1,200	0		0	10,350,000	01/28/2016
165301		ANNAPOLIS	MD		05/26/2016	4.500	6,072,419	0	3,600	0		0	10,700,000	05/12/2016
165401		BRIGHTON	NY		05/26/2016	4.250	5,448,465	0	1,446	0		0	7,950,000	03/08/2016
165501		CORTLANDVILLE	NY		05/26/2016	4.250	4,696,952	0	1,247	0		0	6,900,000	03/08/2016
165601		NORFOLK	VA		10/05/2016	4.000	4,897,529	0	4,304	0		0	8,000,000	06/13/2016
165701		BUFORD	GA		12/08/2016	4.000	9,731,977	0	10,331	0		0	14,300,000	11/01/2016
165801		COTTONWOOD HEIGHTS	UT		06/12/2017	4.375	11,764,126	0	36,648	0		0	19,000,000	05/11/2017
165901		GLENDALE	AZ		08/29/2017	4.500	5,525,010	0	3,000	0		0	9,450,000	06/30/2017
166001		MISSION VIEJO	CA		08/29/2017	4.750	1,757,445	0	475	0		0	4,400,000	08/22/2017
800301		GREENVILLE	SC		01/01/2018	6.150	639,619	0	262	0		0	1,177,764	08/16/2012
400201		LAYTON	UT		08/02/2018	5.000	6,454,710	0	21,667	0		0	10,750,000	06/22/2018
400301		MEMPHIS	TN		01/29/2019	5.125	7,976,683	0	79,900	0		0	10,700,000	04/01/2018
400401		AUSTIN	TX		05/28/2019	5.250	4,656,390	0	29,543	0		0	13,200,000	04/18/2019
400402		AUSTIN	TX		05/28/2019	7.000	2,080,690	0	8,441	0		0	13,200,000	04/18/2019
400601		MURRAY	UT		09/17/2019	5.000	9,143,341	0	67,500	0		0	18,600,000	05/28/2019
400701		SAN MARCOS	TX	S	09/27/2019	5.000	10,671,254	0	178,258	0		0	40,500,000	07/10/2019
400801		BEAVERCREEK	OH	S	03/26/2020	4.500	2,501,548	0	21,601	0		0	16,950,000	02/21/2020
800401		MISSOURI CITY	TX		01/01/2018	4.250	1,564,047	0	501	0		0	3,770,000	11/17/2014
800501		NORTHVILLE	MI		01/01/2018	4.500	2,706,383	0	751	0		0	7,700,000	03/23/2015
800801		BEAUMONT	TX		01/01/2018	4.500	1,536,239	0	469	0		0	3,120,000	09/02/2015
800901		VERNON HILLS	IL		01/01/2018	4.250	2,521,316	0	751	0		0	7,152,988	11/19/2015
801001		PLAINFIELD	IN		01/01/2018	4.000	2,753,691	0	1,569	0		0	5,000,000	03/02/2016
801101		HOUSTON	TX		01/01/2018	4.500	2,658,582	0	751	0		0	5,320,000	04/19/2016
801301		CLEVELAND	OH		01/01/2018	4.500	2,795,415	0	1,570	0		0	4,480,000	05/05/2016
801401		CLEVELAND	OH		01/01/2018	4.500	4,766,158	0	1,326	0		0	8,650,000	10/10/2016
801601		DE PERE	WI		01/01/2018	4.250	4,603,980	0	1,276	0		0	7,000,000	10/17/2016
801701		WILMINGTON	NC		01/01/2018	4.750	1,817,296	0	499	0		0	2,670,000	12/10/2016
801801		CARMEL-BY-THE-SEA	CA		01/01/2018	4.500	5,493,741	0	1,451	0		0	11,700,000	10/21/2016
801901		SAN FRANCISCO	CA		01/01/2018	4.250	2,353,842	0	941	0		0	4,700,000	12/13/2016
802001		IOWA CITY	IA		01/01/2018	4.500	6,182,544	0	6,737	0		0	8,300,000	01/05/2017
802101		BOURBONNAIS	IL		01/01/2018	4.500	4,412,212	0	2,444	0		0	6,700,000	04/20/2017
802401		SANTA CLARA	CA		01/01/2018	4.750	4,658,256	0	1,311	0		0	13,100,000	08/23/2017
802601		ALBUQUERQUE	NM		01/01/2018	4.500	5,715,658	0	3,233	0		0	8,760,000	07/17/2017
802901		ALBUQUERQUE	NM		01/01/2018	4.500	4,475,817	0	2,446	0		0	7,100,000	07/17/2017
803001		ALBUQUERQUE	NM		01/01/2018	4.500	3,909,188	0	2,136	0		0	6,200,000	07/17/2017
803101		ALBUQUERQUE	NM		01/01/2018	4.500	3,045,401	0	1,664	0		0	4,820,000	07/17/2017
803301		LEHI	UT		02/05/2018	4.500	12,715,822	0	9,071	0		0	19,600,000	01/08/2018
803401		LAS VEGAS	NV		04/24/2018	4.950	2,580,999	0	1,375	0		0	3,750,000	04/14/2018
803601		ARVADA	CO		06/26/2018	4.500	16,376,985	0	18,000	0		0	30,700,000	06/08/2018
803701		DICKINSON	TX		07/16/2018	4.500	4,991,214	0	5,200	0		0	6,970,000	06/18/2018
803801		TUCSON	AZ		08/08/2018	4.500	5,225,129	0	5,268	0		0	7,300,000	07/30/2018
803802		TUCSON	AZ		08/08/2018	7.000	1,684,200	0	3,444	0		0	7,300,000	07/30/2018
803901		KATONAH	NY		09/25/2018	4.500	8,156,990	0	8,210	0		0	11,400,000	08/30/2018
804001		MOUNT KISCO	NY		09/27/2018	4.500	12,488,838	0	12,570	0		0	17,500,000	08/30/2018
804201		ROCKWALL	TX		10/11/2018	5.125	14,176,899	0	7,140	0		0	20,600,000	08/09/2018
804301		PROVO	UT		10/16/2018	5.250	7,722,612	0	4,050	0		0	10,900,000	09/24/2018

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1	2	Location		5	6	7	8	Change in Book Value/Recorded Investment					14	15
		3	4				Book Value/Recorded Investment Excluding Accrued Interest	9	10	11	12	13		
Loan Number	Code	City	State	Loan Type	Date Acquired	Rate of Interest		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book Value	Value of Land and Buildings	Date of Last Appraisal or Valuation
804401		BOISE	ID		10/23/2018	4.750	10,494,424	0	11,304	0		0	14,600,000	10/01/2018
804601		CHICAGO	IL		11/13/2018	4.500	9,291,268	0	29,475	0		0	13,600,000	07/05/2018
804701		PORTLAND	OR		12/05/2018	5.100	9,859,988	0	4,950	0		0	17,910,000	10/16/2018
804801		MESQUITE	TX		12/12/2018	4.750	8,916,750	0	27,000	0		0	14,000,000	11/30/2018
804901		HILLSBORO	OR		01/29/2019	5.250	4,732,470	0	3,393	0		0	8,650,000	01/07/2019
805001		SOUTH JORDAN	UT		02/19/2019	5.500	6,597,672	0	3,312	0		0	11,360,000	12/13/2018
805101		LOGAN	UT		03/19/2019	5.500	2,374,877	0	625	0		0	3,675,000	02/13/2019
805301		ARLINGTON HEIGHTS	IL		04/11/2019	4.750	14,455,902	0	44,700	0		0	25,000,000	04/08/2019
805401		PORTLAND	OR		04/25/2019	5.000	9,957,917	0	5,000	0		0	16,970,000	04/14/2019
805501		EMPORIUM	PA		05/01/2019	5.500	2,366,400	0	9,600	0		0	3,660,000	04/24/2019
805601		WINCHESTER	KY		05/07/2019	5.500	2,465,000	0	10,000	0		0	4,300,000	04/24/2019
805801		IDAHO FALLS	ID		06/06/2019	5.000	8,463,875	0	4,250	0		0	13,600,000	04/04/2019
805901		OLATHE	KS		06/11/2019	4.750	8,967,750	0	9,000	0		0	19,330,000	02/28/2019
806001		HOUSTON	TX		10/08/2019	4.250	2,229,636	0	804	0		0	4,260,000	09/25/2019
806101		NASHVILLE	TN		10/30/2019	3.750	11,841,740	0	14,875	0		0	17,050,000	10/01/2019
806201		SANDSTON	VA		12/17/2019	3.750	1,949,501	0	917	0		0	8,450,000	11/15/2019
806301		CHICAGO	IL		12/17/2019	4.000	3,442,166	0	791	0		0	7,000,000	12/09/2019
806401		OXNARD	CA		12/18/2019	3.750	2,488,646	0	1,146	0		0	5,040,000	12/02/2019
806501		OXNARD	CA		12/18/2019	3.500	1,991,833	0	1,833	0		0	4,010,000	12/02/2019
806601		VALENCIA	CA		12/18/2019	3.500	2,987,750	0	2,750	0		0	7,500,000	11/04/2019
806701		HOUSTON	TX		02/27/2020	4.000	11,944,500	0	4,500	0		0	16,140,000	01/21/2020
806801		PHOENIX	AZ	S	03/05/2020	3.750	9,339,353	0	6,253	0		0	13,700,000	01/23/2020
806901		FT. WORTH	TX	S	04/01/2020	4.000	13,621,667	0	9,167	0		0	25,400,000	03/25/2020
807001		MELROSE PARK	IL		04/29/2020	3.625	3,848,200	0	366	0		0	6,150,000	04/02/2020
807101		ST. CHARLES	IL		05/28/2020	3.750	13,775,251	0	2,367	0		0	20,900,000	03/25/2020
807201		MONTCLAIR	CA		09/10/2020	4.000	6,925,710	0	194	0		0	14,050,000	07/13/2020
807301		COLUMBUS	OH		12/17/2020	4.250	18,606,500	0		0		0	29,000,000	09/03/2020
0599999. Mortgages in good standing - Commercial mortgages-all other							500,375,758	0	811,435	0	0	0	911,387,658	XXX
0899999. Total Mortgages in good standing							500,375,758	0	811,435	0	0	0	911,387,658	XXX
1699999. Total - Restructured Mortgages							0	0	0	0	0	0	0	XXX
2499999. Total - Mortgages with overdue interest over 90 days							0	0	0	0	0	0	0	XXX
3299999. Total - Mortgages in the process of foreclosure							0	0	0	0	0	0	0	XXX
3399999 - Totals							500,375,758	0	811,435	0	0	0	911,387,658	XXX

General Interrogatory:

1. Mortgages in good standing $ ..... unpaid taxes $ ..... interest due and unpaid.
2. Restructured mortgages $ ..... unpaid taxes $ ..... interest due and unpaid.
3. Mortgages with overdue interest over 90 days not in process of foreclosure $ ..... unpaid taxes $ ..... interest due and unpaid.
4. Mortgages in process of foreclosure $ ..... unpaid taxes $ ..... interest due and unpaid.

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Year

1	Location		4	5	6	7	8	9
	2	3					Additional	
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Investment Made After Acquisition	Value of Land and Buildings
400201	LAYTON	UT		08/02/2018	5.000		579,505	10,750,000
400301	MEMPHIS	TN		01/29/2019	5.125		1,567,214	10,700,000
400401	AUSTIN	TX		05/28/2019	5.250		4,750,644	13,200,000
400501	OBETZ	OH		06/28/2019	5.000		11,605,883	24,200,000
400601	MURRAY	UT		09/17/2019	5.000		9,199,591	18,600,000
400701	SAN MARCOS	TX	S	09/27/2019	5.000		10,968,350	40,500,000
400801	BEAVERCREEK	OH	S	03/26/2020	4.500	(226,813)	2,706,760	16,950,000
806701	HOUSTON	TX		02/27/2020	4.000	11,940,000		16,140,000
806801	PHOENIX	AZ	S	03/05/2020	3.750	9,333,100		13,700,000
806901	FT. WORTH	TX	S	04/01/2020	4.000	13,612,500		25,400,000
807001	MELROSE PARK	IL		04/29/2020	3.625	3,890,250		6,150,000
807101	ST. CHARLES	IL		05/28/2020	3.750	14,129,000		20,900,000
807201	MONTCLAIR	CA		09/10/2020	4.000	6,982,500		14,050,000
807301	COLUMBUS	OH		12/17/2020	4.250	18,606,500		29,000,000
0599999. Mortgages in good standing - Commercial mortgages-all other						78,267,037	41,377,947	260,240,000
0899999. Total Mortgages in good standing						78,267,037	41,377,947	260,240,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
3399999 - Totals						78,267,037	41,377,947	260,240,000

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3				Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value					
400501	OBETZ	OH		06/28/2019	10/22/2020	2,707,276		230,271			230,271		14,543,430	14,543,430			0
400502	OBETZ	OH		06/28/2019	10/22/2020	5,565,200		44,409			44,409		5,609,609	5,609,609			0
800601	DAYTONA BEACH	FL		08/20/2015	10/30/2020	2,108,015		5,672			5,672		2,039,772	2,113,687			0
803201	SOMERSET	NJ		12/01/2017	09/09/2020	6,135,664		59,491			59,491		6,087,655	6,195,155			0
803501	SAN DIEGO	CA		06/07/2018	06/26/2020	1,944,901		6,438			6,438		1,918,542	1,951,338			0
805201	SAN DIEGO	CA		03/26/2019	11/13/2020	2,176,918		5,133			5,133		2,129,660	2,182,051			0
0199999. Mortgages closed by repayment						20,637,974	0	351,414	0	0	351,414	0	32,328,668	32,595,271	0	0	0
160101	LONG BEACH	CA		05/26/2004		1,779,612					0		46,684	46,684			0
161701	FORT WORTH	TX		04/30/2008		1,985,467					0		35,142	35,142			0
163501	ALBANY	GA		11/29/2012		1,672,489					0		188,955	188,955			0
163801	THE WOODLANDS	TX		12/17/2013		2,225,394					0		121,990	121,990			0
163901	OMAHA	NE		06/26/2014		4,146,263					0		129,500	129,500			0
164201	HOUSTON	TX		11/06/2014		2,641,354					0		73,265	73,265			0
164301	SUWANEE	GA		12/16/2014		5,551,502					0		170,013	170,013			0
164401	SPRING	TX		12/17/2014		3,611,174					0		109,407	109,407			0
164501	NOVI	MI		02/02/2015		4,585,434					0		143,127	143,127			0
164601	WILMINGTON	NC		02/10/2015		2,809,842					0		221,770	221,770			0
164701	HOUSTON	TX		06/01/2015		2,659,674					0		85,076	85,076			0
164702	HOUSTON	TX		05/11/2017		467,830					0		12,760	12,760			0
164801	MATTHEWS	NC		10/01/2015		3,136,568					0		95,866	95,866			0
164901	VERNON HILLS	IL		12/16/2015		4,607,416					0		164,551	164,551			0
165001	PHOENIX	AZ		03/01/2016		3,925,797					0		168,093	168,093			0
165201	DENVER	CO		04/04/2016		4,388,205					0		124,904	124,904			0
165301	ANNAPOLIS	MD		05/26/2016		6,334,765					0		265,946	265,946			0
165401	BRIGHTON	NY		05/26/2016		5,588,889					0		141,870	141,870			0
165501	CORTLANDVILLE	NY		05/26/2016		4,818,007					0		122,302	122,302			0
165601	NORFOLK	VA		10/05/2016		5,040,087					0		146,863	146,863			0
165701	BUFORD	GA		12/08/2016		9,991,119					0		269,473	269,473			0
165801	COTTONWOOD HEIGHTS	UT		06/12/2017		12,008,007					0		280,529	280,529			0
165901	GLENDALE	AZ		08/29/2017		5,669,064					0		147,054	147,054			0
166001	MISSION VIEJO	CA		08/29/2017		1,802,155					0		45,185	45,185			0
800301	GREENVILLE	SC		10/30/2003		700,426					0		61,069	61,069			0
400201	LAYTON	UT		08/02/2018		5,897,494					0		43,956	43,956			0
800401	MISSOURI CITY	TX		12/11/2014		1,650,276					0		86,730	86,730			0
800501	NORTHVILLE	MI		06/10/2015		2,764,648					0		59,016	59,016			0
800801	BEAUMONT	TX		09/17/2015		1,607,131					0		71,362	71,362			0
800901	VERNON HILLS	IL		01/12/2016		2,626,686					0		106,121	106,121			0
801001	PLAINFIELD	IN		04/19/2016		2,837,705					0		85,583	85,583			0
801101	HOUSTON	TX		04/25/2016		2,736,188					0		78,357	78,357			0
801301	CLEVELAND	OH		06/29/2016		2,874,840					0		80,995	80,995			0
801401	CLEVELAND	OH		10/27/2016		4,911,196					0		146,365	146,365			0
801601	DE PERE	WI		12/08/2016		4,735,179					0		132,475	132,475			0
801701	WILMINGTON	NC		12/19/2016		1,865,887					0		49,090	49,090			0
801801	CARMEL-BY-THE-SEA	CA		12/06/2016		5,538,272					0		45,983	45,983			0
801901	SAN FRANCISCO	CA		01/24/2017		2,420,199					0		67,298	67,298			0
802101	BOURBONNAIS	IL		06/07/2017		4,528,294					0		118,527	118,527			0
802401	SANTA CLARA	CA		09/07/2017		4,833,909					0		176,965	176,965			0
802601	ALBUQUERQUE	NM		09/15/2017		5,935,462					0		223,037	223,037			0
802901	ALBUQUERQUE	NM		09/15/2017		4,591,795					0		118,424	118,424			0
803001	ALBUQUERQUE	NM		09/15/2017		4,010,484					0		103,432	103,432			0
803101	ALBUQUERQUE	NM		09/15/2017		3,124,315					0		80,577	80,577			0
803301	LEHI	UT		02/05/2018		12,753,131					0		46,380	46,380			0
803401	LAS VEGAS	NV		04/24/2018		2,646,670					0		67,046	67,046			0
803601	ARVADA	CO		06/26/2018		16,546,457					0		187,473	187,473			0
803701	DICKINSON	TX		07/16/2018		5,026,174					0		40,160	40,160			0
803801	TUCSON	AZ		08/08/2018		5,248,548					0		28,688	28,688			0
803901	KATONAH	NY		09/25/2018		8,178,528					0		29,748	29,748			0
804001	MOUNT KISCO	NY		09/27/2018		12,521,815					0		45,547	45,547			0
804201	ROCKWALL	TX		10/11/2018		14,216,930					0		47,171	47,171			0
804301	PROVO	UT		10/16/2018		7,889,024					0		170,462	170,462			0

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
804601	CHICAGO	IL		11/13/2018		9,489,446					0		227,653	227,653			0
805101	LOGAN	UT		03/19/2019		2,447,513					0		73,261	73,261			0
805301	ARLINGTON HEIGHTS	IL		04/11/2019		14,518,624					0		107,422	107,422			0
806001	HOUSTON	TX		10/08/2019		2,241,342					0		12,510	12,510			0
806201	SANDSTON	VA		12/17/2019		1,990,000					0		41,416	41,416			0
807001	MELROSE PARK	IL		04/29/2020							0		42,416	42,416			0
807101	ST. CHARLES	IL		05/28/2020							0		356,116	356,116			0
807201	MONTCLAIR	CA		09/10/2020							0		56,984	56,984			0
0299999. Mortgages with partial repayments						287,350,702	0	0	0	0	0	0	6,826,136	6,826,136	0	0	0
0599999 - Totals						307,988,675	0	351,414	0	0	351,414	0	39,154,804	39,421,407	0	0	0

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1	2	3	Location		6	7	8	9	10	11	12	Change in Book/Adjusted Carrying Value					18	19	20
			4	5		NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol						13	14	15	16	17			
CUSIP Identification	Name or Description	Code	City	State	Name of Vendor or General Partner		Date Originally Acquired	Type and Strategy	Actual Cost	Fair Value	Book/ Adjusted Carrying Value Less Encumbrances	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Investment Income	Commitment for Additional Investment	Percentage of Ownership
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP		West Conshohocken	PA	Morgan Stanley AIP		06/21/2018		682,420	682,420	682,420	(26,040)					38,578	4,382,887	1.660
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP		New York	NY	Centre Lane Partners		10/31/2018		3,290,222	3,290,222	3,290,222	69,241					335,729	1,485,386	6.760
	Equity Fund 7072 - Metropolitan Partners Fund V, LP		New York	NY	Metropolitan Partners Fund V, GP		12/12/2018		4,851,459	4,922,381	4,922,381	70,922					725,221		4.179
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP		Chicago	IL	Maranon Capital		01/18/2019		4,301,789	4,208,177	4,208,177	(93,613)					75,877	292,139	6.273
	Equity Fund 7078 - CVC Credit Partners US Direct Lending Fund II,		New York	NY	CVC Credit Partners US Direct Lending Fu		09/24/2018		35,715	32,421	32,421	(19,115)					4,364	95,201	4.651
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP		New York	NY	Metropolitan Partners Fund VI, GP		08/14/2019		7,713,205	7,713,205	7,713,205	(1,549)					347,382	2,000,000	4.414
	Equity Fund 7083 - First Eagle Direct Lending Fund I		New York	NY	First Eagle Direct Lending I GP, LLC		08/26/2019		2,825,580	2,712,133	2,712,133	(162,557)					49,110	1,787,545	4.617
	Equity Fund 7086 - Northstar Mezzanine Partners VII Feeder LP		Minneapolis	MN	Northstar Capital, LLC		10/30/2019		211,276	115,048	115,048	(53,556)						288,724	0.138
	Equity Fund 7088 - Comvest Credit Partners V, LP		West Palm Beach	FL	Comvest Capital		11/25/2019		4,500,000	4,720,652	4,720,652	299,220						10,500,000	6.224
	Equity Fund 7090 - PineBridge Private Credit Rated Feeder, LP		New York	NY	PineBridge Investments, LLC		12/06/2019		2,257,334	2,091,018	2,091,018	(166,316)					398,343	742,666	0.809
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP		Fort Lauderdale	FL	Deerpath Capital Management, LP		12/12/2019		13,298,782	13,547,054	13,547,054	248,272					184,187	1,603,562	9.051
	Equity Fund 7097 - Kayne Senior Credit Fund IV, LP		Los Angeles	CA	Kayne Anderson Capital Advisors, LP		01/30/2020		14,538,713	14,107,426	14,107,426	(431,287)						5,000,000	11.029
	Equity Fund 7099 - Crescent Private Credit Partners Levered Unitra		Los Angeles	CA	Crescent Capital Group		12/31/2019		1,542,263	1,542,263	1,542,263						89,281	511,532	1.561
	Equity Fund 7101 - Benefit Street Partners SOF II		New York	NY	Benefit Street Partners, LLC		04/01/2020		550,865	784,839	784,839	233,974					47,702	2,496,531	1.667
	Equity Fund 7105 - LBC Credit Partners V, LP		Radnor	PA	LBC Credit Partners		07/17/2020		1,350,000	1,376,682	1,376,682	26,682					51,600	8,650,000	1.657
2599999. Joint Venture Interests - Other - Unaffiliated									61,949,623	61,845,941	61,845,941	(5,722)	0	0	0	0	2,347,374	39,836,173	XXX
309601-AA-0	Farmers Insurance Exch 144A 8.625% 05/01/24		Los Angeles	CA	First Albany Corp		10/13/1994		1,846,000	2,359,960	1,954,025		8,122				201,250		
368770-AA-1	Metropolitan Tower Life Ins Co 144A 7.625% 01/15/24		St. Louis	MO	First Albany Corp		03/09/1995		2,534,700	3,546,323	2,882,346		34,922				334,229		
2799999. Surplus Debentures, etc - Unaffiliated									4,380,700	5,906,283	4,836,371	0	43,044	0	0	0	535,479	0	XXX
4899999. Total - Unaffiliated									66,330,323	67,752,224	66,682,312	(5,722)	43,044	0	0	0	2,882,853	39,836,173	XXX
4999999. Total - Affiliated									0	0	0	0	0	0	0	0	0	0	XXX
5099999 - Totals									66,330,323	67,752,224	66,682,312	(5,722)	43,044	0	0	0	2,882,853	39,836,173	XXX

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ...$ 0 1B ...$ 0 1C ...$ 0 1D ...$ 0 1E ...$ 0 1F ...$ 0 1G ...$ 0

2A ...$ 0 2B ...$ 0 2C ...$ 0

3A ...$ 0 3B ...$ 0 3C ...$ 0

4A ...$ 0 4B ...$ 0 4C ...$ 0

5A ...$ 0 5B ...$ 0 5C ...$ 0

6 ...$ 0

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

1	2	Location		5	6	7	8	9	10	11
		3	4							
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Percentage of Ownership
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP	West Conshohocken	PA	Morgan Stanley AIP	06/21/2018		0	246,311		1.660
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP	New York	NY	Centre Lane Partners	10/31/2018		0	418,919		6.760
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Maranon Capital	01/18/2019		0	495,361		6.273
	Equity Fund 7078 - CVC Credit Partners US Direct Lending Fund II,	New York	NY	CVC Credit Partners US Direct Lending Fu	09/24/2018		0	108,541		4.651
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP	New York	NY	Metropolitan Partners Fund VI, GP	08/14/2019		0	6,000,000		4.414
	Equity Fund 7086 - Northstar Mezzanine Partners VII Feeder LP	Minneapolis	MN	Northstar Capital, LLC	10/30/2019		0	144,654		0.138
	Equity Fund 7088 - Comvest Credit Partners V, LP	West Palm Beach	FL	Comvest Capital	11/25/2019		0	1,500,000		6.224
	Equity Fund 7090 - PineBridge Private Credit Rated Feeder, LP	New York	NY	PineBridge Investments, LLC	12/06/2019		0	1,311,100		0.809
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP	Fort Lauderdale	FL	Deerpath Capital Management, LP	12/12/2019		0	12,820,441		9.051
	Equity Fund 7097 - Kayne Senior Credit Fund IV, LP	Los Angeles	CA	Kayne Anderson Capital Advisors, LP	01/30/2020		3,000,000	12,000,000		11.029
	Equity Fund 7099 - Crescent Private Credit Partners Levered Unitra	Los Angeles	CA	Crescent Capital Group	12/31/2019		774,653	1,565,390		1.561
	Equity Fund 7101 - Benefit Street Partners SOF II	New York	NY	Benefit Street Partners, LLC	04/01/2020		697,056	320,523		1.667
	Equity Fund 7105 - LBC Credit Partners V, LP	Radnor	PA	LBC Credit Partners	07/01/2020		850,000	500,000		1.657
2599999. Joint Venture Interests - Other - Unaffiliated							5,321,709	37,431,240	0	XXX
4899999. Total - Unaffiliated							5,321,709	37,431,240	0	XXX
4999999. Total - Affiliated							0	0	0	XXX
5099999 - Totals							5,321,709	37,431,240	0	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum-brances, Prior Year	Unrealized Valuation Increase (De-crease)	Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	Current Year's Other-Than-Temporary Impair-ment Recog-nized	Capital-ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum-brances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP	West Conshohocken	PA	Return of Capital	06/21/2018	12/11/2020	313,774					0		313,774	313,774			0	
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP	New York	NY	Return of Capital	10/31/2018	12/23/2020	1,098,390					0		1,098,390	1,098,390			0	
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Return of Capital	01/18/2019	12/30/2020	292,996					0		292,996	292,996			0	
	Equity Fund 7078 - CVC Credit Partners US Direct Lending Fund II,	New York	NY	Return of Capital	09/24/2018	05/29/2020	89,190					0		89,190	89,190			0	
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP	New York	NY	Return of Capital	08/14/2019	12/28/2020	286,795					0		286,795	286,795			0	
	Equity Fund 7083 - First Eagle Direct Lending Fund I	New York	NY	Return of Capital	08/26/2019	12/11/2020	1,589,532					0		1,589,532	1,589,532			0	
	Equity Fund 7090 - PineBridge Private Credit Rated Feeder, LP	New York	NY	Return of Capital	12/06/2019	12/08/2020	1,084,879					0		1,084,879	1,084,879			0	
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP	Fort Lauderdale	FL	Return of Capital	12/12/2019	09/30/2020	359,982					0		359,982	359,982			0	
	Equity Fund 7097 - Kayne Senior Credit Fund IV, LP	Los Angeles	CA	Return of Capital	01/30/2020	12/28/2020	461,287					0		461,287	461,287			0	
	Equity Fund 7099 - Crescent Private Credit Partners Levered Unitra	Los Angeles	CA	Return of Capital	12/31/2019	12/16/2020	797,780					0		797,780	797,780			0	
	Equity Fund 7101 - Benefit Street Partners SOF II	New York	NY	Return of Capital	04/10/2020	06/30/2020	466,714					0		466,714	466,714			0	
2599999. Joint Venture Interests - Other - Unaffiliated							6,841,319	0	0	0	0	0	0	6,841,319	6,841,319	0	0	0	0
4899999. Total - Unaffiliated							6,841,319	0	0	0	0	0	0	6,841,319	6,841,319	0	0	0	0
4999999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals							6,841,319	0	0	0	0	0	0	6,841,319	6,841,319	0	0	0	0

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912810-EM-6	UNITED STATES TREAS				1.A	2,042,906	111.5460	2,342,484	2,100,000	2,093,468	0	4,660	0	0	7.250	7.450	FA	57,507	152,250	10/07/1992	08/15/2022
912810-EM-6	UNITED STATES TREAS	SD			1.A	875,531	111.5460	1,003,922	900,000	896,916	0	1,712	0	0	7.250	7.470	FA	24,646	65,250	10/07/1992	08/15/2022
91282C-AF-8	UNITED STATES TREAS	SD			1.A FE	199,766	99.9370	199,875	200,000	199,794	0	29	0	0	0.125	0.160	FA	94	0	08/18/2020	08/15/2023
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						3,118,203	XXX	3,546,281	3,200,000	3,190,178	0	6,401	0	0	XXX	XXX	XXX	82,247	217,500	XXX	XXX
38382E-AC-1	GNMA REMIC TRUST			4	1.B	5,188,281	109.3580	5,467,945	5,000,000	5,185,688	0	(2,593)	0	0	3.500	3.290	MON	14,583	116,667	03/19/2020	04/20/2050
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities						5,188,281	XXX	5,467,945	5,000,000	5,185,688	0	(2,593)	0	0	XXX	XXX	XXX	14,583	116,667	XXX	XXX
0599999. Total - U.S. Government Bonds						8,306,484	XXX	9,014,226	8,200,000	8,375,866	0	3,808	0	0	XXX	XXX	XXX	96,830	334,167	XXX	XXX
651333-DR-4	NEWFOUNDLAND PROV CD		A		1.F FE	1,000,000	114.0770	1,140,776	1,000,000	1,000,000	0	0	0	0	8.650	8.640	AO	16,579	86,500	10/08/1992	10/22/2022
669827-DP-9	NOVA SCOTIA PROV CDA		A		1.D FE	968,750	112.0640	1,120,647	1,000,000	995,382	0	2,093	0	0	8.250	8.560	JJ	34,605	82,500	03/23/1995	07/30/2022
803854-FA-1	SASKATCHEWAN PROV CD		A		1.C FE	1,750,000	112.1660	1,962,918	1,750,000	1,750,000	0	0	0	0	8.500	8.490	JJ	68,590	148,750	07/17/1992	07/15/2022
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						3,718,750	XXX	4,224,341	3,750,000	3,745,382	0	2,093	0	0	XXX	XXX	XXX	119,774	317,750	XXX	XXX
1099999. Total - All Other Government Bonds						3,718,750	XXX	4,224,341	3,750,000	3,745,382	0	2,093	0	0	XXX	XXX	XXX	119,774	317,750	XXX	XXX
20772G-E7-9	CONNECTICUT ST			2	1.E FE	210,158	120.8910	241,782	200,000	203,663	0	(759)	0	0	5.770	5.270	MS	3,398	11,540	01/07/2010	03/15/2025
546417-CL-8	LOUISIANA ST			2	1.D FE	11,971,700	129.6220	12,962,200	10,000,000	11,663,470	0	(179,641)	0	0	5.000	2.710	MS	166,667	500,000	04/11/2019	03/01/2038
882722-K9-1	TEXAS ST			2	1.A FE	500,000	102.8260	514,130	500,000	500,000	0	0	0	0	4.154	4.150	AO	5,193	20,770	07/26/2011	10/01/2024
93974C-RC-6	WASHINGTON ST			2	1.B FE	3,000,000	115.2870	3,458,610	3,000,000	3,000,000	0	0	0	0	4.669	4.660	FA	58,363	140,070	06/02/2010	08/01/2024
93974D-MW-5	WASHINGTON ST FOR IS			2	1.B FE	5,720,750	117.1830	5,859,150	5,000,000	5,514,997	0	(118,850)	0	0	5.000	2.340	FA	104,165	250,000	03/28/2019	02/01/2036
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						21,402,608	XXX	23,035,872	18,700,000	20,882,130	0	(299,250)	0	0	XXX	XXX	XXX	337,786	922,380	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						21,402,608	XXX	23,035,872	18,700,000	20,882,130	0	(299,250)	0	0	XXX	XXX	XXX	337,786	922,380	XXX	XXX
010411-CK-0	ALABAMA ST			2	1.B FE	4,071,216	128.7760	4,371,945	3,395,000	4,028,535	0	3,083	0	0	5.000	3.570	MN	28,292	169,750	04/05/2019	11/01/2038
150528-RU-3	CEDAR RAPIDS IOWA			2	1.B FE	1,345,000	100.9580	1,357,885	1,345,000	1,345,000	0	0	0	0	3.200	3.200	JD	3,587	43,040	05/15/2013	06/01/2026
220147-4G-4	CORPUS CHRISTI TEX I			2	1.A FE	2,440,980	118.7240	2,671,290	2,250,000	2,427,642	0	1,523	0	0	4.000	3.370	FA	34,000	90,000	04/05/2019	08/15/2037
235308-QL-0	DALLAS TEX INDPT SCH			2	1.A FE	3,037,500	100.4490	3,013,470	3,000,000	3,000,560	0	(4,486)	0	0	4.600	4.440	FA	52,133	138,000	11/16/2010	02/15/2021
249218-AW-8	DENVER COLO PUB SCHS			2	1.C FE	1,004,340	105.7430	1,057,430	1,000,000	1,001,356	0	(433)	0	0	2.948	2.890	JD	1,310	29,480	04/25/2013	12/15/2023
25476F-XQ-6	DISTRICT COLUMBIA			2	1.B FE	3,832,322	130.7200	4,117,680	3,150,000	3,785,144	0	(2,640)	0	0	5.000	3.410	AO	33,250	157,500	04/02/2019	10/15/2037
25476F-XR-4	DISTRICT COLUMBIA			2	1.B FE	1,722,190	130.3880	1,851,510	1,420,000	1,675,032	0	(27,268)	0	0	5.000	2.570	AO	14,989	71,000	03/28/2019	10/15/2038
30382A-HL-9	FAIRFAX CNTY VA			2	1.A FE	6,136,550	131.4240	6,571,200	5,000,000	6,058,096	0	(5,563)	0	0	5.000	3.340	AO	62,500	250,000	04/10/2019	10/01/2037
567643-QJ-3	MARIETTA GA			2	1.C FE	2,000,000	108.0230	2,160,460	2,000,000	2,000,000	0	0	0	0	4.400	4.400	JJ	44,000	88,000	12/20/2013	01/01/2029
59333N-R5-4	COUNTY OF MIAMI-DADE FL				1.D	1,563,312	102.9840	1,616,849	1,570,000	1,563,403	0	92	0	0	2.000	2.050	AO	7,937	0	11/10/2020	04/01/2030
602366-NV-4	MILWAUKEE WIS			2	1.F FE	492,645	101.2950	506,475	500,000	497,664	0	630	0	0	4.250	4.400	MN	2,715	21,250	05/26/2011	05/15/2024
613579-W5-6	MONTGOMERY CNTY PA			1	1.G	20,295	100.9180	20,184	20,000	20,012	0	(65)	0	0	4.200	3.950	AO	210	840	04/09/2014	10/01/2021
613579-Y4-7	MONTGOMERY CNTY PA			1	1.A FE	979,705	100.9180	988,996	980,000	979,967	0	41	0	0	4.200	4.200	AO	10,290	41,160	04/09/2014	10/01/2021
64966H-TT-7	NEW YORK N Y	SD		2	1.C FE	195,362	109.1060	218,212	200,000	199,092	0	438	0	0	5.199	5.450	JD	867	10,398	01/06/2010	12/01/2022
686053-HE-2	OREGON SCH BRDS ASSN			2	1.C FE	503,010	101.7680	508,840	500,000	500,180	0	(352)	0	0	4.115	4.040	JD	57	20,575	07/28/2011	06/30/2021
704879-3U-7	PEARLAND TEX INDPT S			2	1.A FE	4,015,000	105.5040	4,235,986	4,015,000	4,015,000	0	0	0	0	2.950	2.950	FA	44,745	118,443	04/24/2013	02/15/2026
717095-7E-7	PFLUGERVILLE TEX IND			2	1.A FE	5,182,205	120.3670	5,380,405	4,470,000	5,015,270	0	(98,752)	0	0	5.000	2.450	FA	84,433	223,500	03/28/2019	02/15/2038
717146-RY-2	PHARR-SAN JUAN-ALAMO			2	1.E FE	1,956,727	100.3500	1,956,824	1,950,000	1,950,073	0	(836)	0	0	5.497	5.450	FA	44,663	107,192	02/08/2011	02/01/2021
89453P-J3-4	TRAVIS CNTY TEX			2	1.A FE	6,026,550	129.4030	6,470,150	5,000,000	5,866,535	0	(93,544)	0	0	5.000	2.620	MS	83,333	349,305	04/03/2019	03/01/2039
914437-VE-5	UNIV OF MASSACHUSETTS MA BLDG AUTH REV			2	1.D	2,956,110	102.7790	3,083,370	3,000,000	2,956,546	0	433	0	0	2.108	2.240	MN	11,068	0	11/10/2020	11/01/2032
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						49,481,019	XXX	52,159,161	44,765,000	48,885,107	0	(227,699)	0	0	XXX	XXX	XXX	564,379	1,929,433	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						49,481,019	XXX	52,159,161	44,765,000	48,885,107	0	(227,699)	0	0	XXX	XXX	XXX	564,379	1,929,433	XXX	XXX
150462-HJ-1	CEDAR PARK TEX UTIL			2	1.B FE	965,000	103.9980	1,003,581	965,000	965,000	0	0	0	0	2.895	2.890	FA	10,554	27,937	04/10/2013	08/15/2025
155839-DM-2	CENTRAL WASH UNIV SY			1	1.E FE	135,000	100.1860	135,251	135,000	135,000	0	0	0	0	5.000	5.000	MN	1,125	6,750	11/05/2010	05/01/2022
270618-DK-4	EAST BATON ROUGE LA				1.D FE	1,000,000	107.0740	1,070,740	1,000,000	1,000,000	0	0	0	0	3.003	3.000	FA	12,513	30,030	04/25/2013	02/01/2024
341271-AF-1	FLORIDA ST BRD ADMIN				1.D	4,034,960	105.2220	4,208,880	4,000,000	4,034,348	0	(612)	0	0	2.154	2.050	JJ	25,130	0	10/29/2020	07/01/2030
353174-JG-1	FRANKLIN CNTY OHIO C				1.C	3,021,090	100.1810	3,005,430	3,000,000	3,020,873	0	(217)	0	0	2.172	2.100	JD	17,919	0	11/13/2020	12/01/2031
373541-2H-3	GEORGIA MUN ELEC AUT			2	1.G FE	2,022,400	102.8430	2,056,860	2,000,000	2,002,702	0	(2,589)	0	0	4.430	4.290	JJ	44,300	88,600	01/18/2012	01/01/2022
45506D-W9-2	INDIANA ST FIN AUTH			2	1.A FE	3,095,751	130.4920	3,327,546	2,550,000	3,056,411	0	(4,150)	0	0	5.000	3.380	FA	53,125	127,500	04/11/2019	02/01/2037

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
45506D-X2-6	INDIANA ST FIN AUTH			2	1.A FE	3,677,062	130.2560	3,959,782	3,040,000	3,634,300	0	(744)	0	0	5.000	3.470	FA	63,333	152,000	04/04/2019	02/01/2038
485429-VP-6	KANSAS ST DEV FIN AU				1.D FE	445,000	107.8060	479,737	445,000	445,000	0	0	0	0	3.800	3.800	JD	1,409	16,910	08/02/2013	06/01/2023
531643-WH-3	LICKING CNTY OHIO			2	1.C	1,000,000	102.1880	1,021,880	1,000,000	1,000,000	0	0	0	0	2.200	2.200	JD	1,833	1,711	10/20/2020	12/01/2032
57420P-GR-1	MARYLAND ST ECONOMIC			2	1.B FE	753,083	102.3180	767,385	750,000	750,517	0	(352)	0	0	3.050	2.990	JD	1,906	22,875	11/06/2012	06/01/2025
59334P-HM-2	MIAMI-DADE CNTY FLA			2	1.C FE	5,440,550	119.4820	5,974,100	5,000,000	5,413,879	0	(737)	0	0	4.000	3.390	JJ	100,000	200,000	04/01/2019	07/01/2039
594012-AL-0	MIAMISBURG OHIO SPL				1.D FE	160,000	103.9110	166,258	160,000	160,000	0	0	0	0	3.628	3.620	JD	484	5,805	08/29/2012	12/01/2022
604115-AX-3	MINNESOTA PUB FACS A			2	1.A FE	2,227,770	113.0060	2,520,034	2,230,000	2,229,364	0	183	0	0	4.625	4.630	MS	34,379	103,138	05/06/2010	03/01/2024
60534R-YW-3	MISSISSIPPI DEV BK S				2.C FE	136,013	104.6940	141,337	135,000	135,292	0	198	0	0	4.370	4.290	MS	1,967	5,900	09/01/2010	03/01/2024
613357-AN-2	MONTGOMERY CNTY MD L			2	1.B FE	480,000	108.6870	521,698	480,000	480,000	0	0	0	0	4.140	4.140	MN	3,312	19,872	11/19/2013	11/01/2026
61336P-DL-2	MONTGOMERY CNTY MD R			2	1.B FE	500,000	101.2080	506,040	500,000	500,000	0	0	0	0	4.400	4.400	MN	3,667	22,000	08/11/2011	05/01/2024
63607V-AA-4	NATIONAL FIN AUTH N			2	1.F	5,194,600	103.9230	5,196,150	5,000,000	5,193,882	0	(718)	0	0	2.872	2.540	AO	35,900	0	12/04/2020	07/01/2035
64469N-AC-3	NEW HAMPSHIRE ST FED			2	1.F FE	200,000	104.8950	209,790	200,000	200,000	0	0	0	0	4.334	4.330	MS	2,889	8,668	11/05/2010	09/01/2022
64469N-AD-1	NEW HAMPSHIRE ST FED			2	1.F FE	1,973,800	104.8780	2,097,560	2,000,000	1,995,391	0	2,609	0	0	4.334	4.470	MS	28,893	86,680	12/02/2010	09/01/2022
649710-WK-5	NEW YORK N Y CITY TR			2	1.A FE	1,995,580	103.2650	2,065,300	2,000,000	1,999,214	0	479	0	0	2.560	2.580	FA	21,333	51,200	08/08/2012	08/01/2022
64972H-QY-1	NEW YORK N Y CITY TR			2	1.C FE	1,000,000	102.6510	1,026,510	1,000,000	1,000,000	0	0	0	0	5.404	5.400	JJ	24,918	54,040	11/19/2010	07/15/2021
68607V-Z2-4	OREGON ST DEPT ADMIN			2	1.C FE	2,330,405	129.3960	2,490,873	1,925,000	2,305,453	0	1,087	0	0	5.000	3.520	AO	24,063	96,250	04/03/2019	04/01/2039
74443D-DU-2	PUBLIC FIN AUTH WIS			2	2.C FE	750,000	103.2990	774,743	750,000	750,000	0	0	0	0	5.130	5.130	JD	3,206	38,474	07/26/2018	06/01/2028
74443D-DV-0	PUBLIC FIN AUTH WIS			2	2.C FE	1,500,000	103.5230	1,552,845	1,500,000	1,500,000	0	0	0	0	5.660	5.660	JD	7,075	84,900	07/26/2018	06/01/2038
88213A-CT-0	TEXAS A & M UNIV REV			2	1.A FE	1,008,040	113.1600	1,131,600	1,000,000	1,003,938	0	(811)	0	0	4.000	3.900	MN	5,112	40,000	07/01/2015	05/15/2031
914378-CV-4	UNIVERSITY KY GEN RC			2	1.C FE	200,000	100.2620	200,522	200,000	200,000	0	0	0	0	4.700	4.700	AO	2,350	9,400	10/29/2010	10/01/2024
91802R-CH-0	UTILITY DEBT SECURIT			2	1.A FE	2,868,220	122.0980	2,979,191	2,440,000	2,834,930	0	6,587	0	0	5.000	3.590	JD	5,422	122,000	04/09/2019	12/15/2035
928172-4R-3	VIRGINIA ST PUB BLDG			2	1.B FE	4,477,901	123.0330	5,062,808	4,115,000	4,451,974	0	(3,278)	0	0	4.000	3.350	FA	68,583	164,600	04/02/2019	08/01/2037
977123-W8-7	WISCONSIN ST TRANSN			2	1.B FE	6,045,950	128.2590	6,412,950	5,000,000	5,965,510	0	(5,017)	0	0	5.000	3.380	JJ	125,000	250,000	04/03/2019	07/01/2036
977123-W9-5	WISCONSIN ST TRANSN			2	1.B FE	6,053,100	127.9180	6,395,900	5,000,000	5,872,104	0	(104,746)	0	0	5.000	2.440	JJ	125,000	250,000	03/28/2019	07/01/2037
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						64,691,275	XXX	68,463,281	59,520,000	64,235,082	0	(112,828)	0	0	XXX	XXX	XXX	856,700	2,087,240	XXX	XXX
31292G-6L-5	FHLMC PC GOL C00875			4	1.B	8,674	116.9260	10,119	8,654	8,654	0	6	0	0	7.500	7.420	MON	54	649	11/23/1999	10/15/2029
31293X-W6-1	FHLMC PC GOL C32469			4	1.B	11,725	103.8910	12,154	11,699	11,699	0	8	0	0	7.500	7.440	MON	73	877	11/23/1999	11/01/2029
31371J-6Z-2	FNMA PASSTHRU 253888			4	1.B	9,699	118.1900	11,799	9,983	9,830	0	22	0	0	6.000	6.310	MON	50	599	06/20/2001	07/01/2031
3137A3-AF-6	FHLMC REMIC SERIES			4	1.B	15,642	99.9520	16,249	16,257	15,777	0	3,444	0	0	4.000	4.220	MON	54	650	04/07/2011	01/15/2039
3137A4-YR-2	FHLMC REMIC SERIES			4	1.B	898,711	104.8860	1,048,861	1,000,000	957,191	0	10,351	0	0	3.500	4.410	MON	2,917	35,000	03/08/2011	01/15/2026
3137AJ-SN-5	FHLMC REMIC SERIES			4	1.B	1,430,833	107.9450	1,439,270	1,333,333	1,377,825	0	(7,448)	0	0	3.500	2.860	MON	3,889	46,667	08/17/2012	12/15/2026
31387V-U8-0	FNMA PASSTHRU 595607			4	1.B	27,813	113.0620	31,839	28,160	27,934	0	22	0	0	6.500	6.580	MON	153	1,830	07/06/2001	07/01/2031
31392F-XU-6	FNMA REMIC TRUST			4	1.B	138,207	103.0510	141,713	137,517	137,340	0	71	0	0	5.500	5.370	MON	630	7,563	10/15/2002	12/25/2022
31393N-QT-9	FHLMC REMIC SERIES			4	1.B	22,786	103.6270	23,403	22,584	22,584	0	(32)	0	0	5.500	5.400	MON	104	1,242	04/28/2003	02/15/2023
31393V-F9-7	FHLMC REMIC SERIES			4	1.B	391,476	104.1600	402,727	386,642	386,906	0	(708)	0	0	5.000	4.880	MON	1,611	19,332	06/27/2003	06/15/2023
38378D-AH-8	GNMA REMIC TRUST			4	1.B	405,309	100.6130	378,137	375,830	398,388	0	(39,051)	0	0	3.500	3.060	MON	1,095	13,156	07/20/2012	07/20/2039
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						3,360,875	XXX	3,516,271	3,330,659	3,354,128	0	(33,315)	0	0	XXX	XXX	XXX	10,630	127,565	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						68,052,150	XXX	71,979,552	62,850,659	67,589,210	0	(146,143)	0	0	XXX	XXX	XXX	867,330	2,214,805	XXX	XXX
056018-AA-8	BSP SOF II STRUCTURED NT				5.C IF	2,852,989	100.0000	2,852,989	2,852,989	2,852,989	0	0	0	0	6.000	6.020	JJ	47,459	(19,297)	12/22/2020	01/31/2028
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERE				2.A PL	12,716,397	100.0000	12,716,397	12,716,397	12,716,397	0	0	0	0	5.000	4.990	MON	127,725	4,451	12/28/2020	04/01/2029
23248Q-AA-4	CVC CREDIT PARTERS U.S DIRECT SENIOR NO				1.F PL	1,643,975	100.0000	1,643,975	1,643,975	1,643,975	0	0	0	0	6.000	5.980	MON	270	57,509	12/01/2020	08/06/2026
23248Q-AB-2	CVC CREDIT PARTERS U.S DIRECT JUNIOR NO				1.F PL	127,865	100.0000	127,865	127,865	127,865	0	0	0	0	6.000	5.980	MON	21	4,540	12/01/2020	08/06/2026
66706*-AA-6	NORTHSTAR MEZZANINE PARTNERS VII FEEDER				2.A PL	1,909,616	100.0000	1,909,616	1,909,616	1,909,616	0	0	0	0	8.000	8.000	JAN	81,184	8,128	11/05/2020	06/10/2034
72303#-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER,				1.E PL	12,676,694	100.0000	12,676,694	12,676,694	12,676,694	0	0	0	0	6.000	6.080	MJSD	186,647	362,899	11/20/2020	12/31/2031
001055-AM-4	AFLAC INC			1	1.G FE	2,009,680	111.4020	2,228,053	2,000,000	2,004,168	0	(987)	0	0	3.625	3.560	MN	9,264	72,500	11/10/2014	11/15/2024
001055-BJ-0	AFLAC INC			1	1.G FE	4,987,100	117.6410	5,882,054	5,000,000	4,987,916	0	816	0	0	3.600	3.630	AO	45,000	90,000	03/30/2020	04/01/2030
001192-AM-5	AGL CAP CORP			1	2.A FE	3,431,855	112.2140	3,927,514	3,500,000	3,456,535	0	7,113	0	0	3.250	3.500	JD	5,056	113,750	05/15/2017	06/15/2026
001814-AR-3	ANR PIPELINE CO				2.A FE	1,014,280	107.0490	1,070,492	1,000,000	1,001,529	0	(856)	0	0	9.625	9.420	MN	16,042	96,250	04/22/1992	11/01/2021
00206R-BN-1	AT&T INC			1	2.B FE	2,905,470	103.7280	3,111,857	3,000,000	2,979,150	0	10,418	0	0	2.625	3.000	JD	6,563	78,750	03/07/2013	12/01/2022
00287Y-AL-3	ABBVIE INC			1	2.B FE	1,438,516	104.6040	1,548,141	1,480,000	1,469,611	0	5,370	0	0	2.900	3.290	MN	6,557	42,920	09/10/2014	11/06/2022
00287Y-AQ-2	ABBVIE INC			1	2.B FE	3,480,711	111.4310	3,922,379	3,520,000	3,496,123	0	4,939	0	0	3.600	3.760	MN	16,544	126,720	02/20/2018	05/14/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
00287Y-AY-5	ABBVIE INC			1	2.B FE	970,870	110.8180	1,108,183	1,000,000	981,539	0	3,067	0	0	3.200	3.580	MN	4,178	32,000	05/05/2017	05/14/2026
00287Y-CU-1	ABBVIE INC			1	2.B	2,979,963	104.5780	3,137,365	3,000,000	2,981,005	0	(2,209)	0	0	2.800	3.090	MS	24,733	0	11/17/2020	03/15/2023
00724F-AC-5	ADOBE SYS INC			1	1.F FE	5,020,900	110.0840	5,504,241	5,000,000	5,009,098	0	(2,158)	0	0	3.250	3.200	FA	67,708	162,500	01/27/2015	02/01/2025
008117-AN-3	AETNA INC			1	2.B FE	496,830	100.5590	502,798	500,000	499,841	0	372	0	0	4.125	4.200	JD	1,719	20,625	05/25/2011	06/01/2021
00817Y-AQ-1	AETNA INC NEW			1	2.B FE	3,053,720	109.7380	3,292,156	3,000,000	3,022,882	0	(5,872)	0	0	3.500	3.270	MN	13,417	105,000	11/06/2015	11/15/2024
008252-AM-0	AFFILIATED MANAGERS			1	2.A FE	2,034,350	110.2510	2,205,026	2,000,000	2,012,776	0	(3,782)	0	0	4.250	4.020	FA	32,111	85,000	09/10/2014	02/15/2024
008252-AN-8	AFFILIATED MANAGERS			1	2.A FE	1,467,255	111.1410	1,667,120	1,500,000	1,481,863	0	3,558	0	0	3.500	3.780	FA	21,875	52,500	04/19/2018	08/01/2025
008252-AP-3	AFFILIATED MANAGERS			1	2.A FE	1,004,670	108.5350	1,085,353	1,000,000	1,004,437	0	(233)	0	0	3.300	3.240	JD	1,467	17,417	06/05/2020	06/15/2030
00912X-AY-0	AIR LEASE CORP			1	2.B FE	3,310,473	107.9330	3,718,308	3,445,000	3,332,600	0	13,756	0	0	3.625	4.170	JD	10,407	124,881	05/14/2019	12/01/2027
009158-AT-3	AIR PRODS & CHEMS IN			1	1.F FE	742,672	104.9470	839,584	800,000	785,069	0	6,757	0	0	2.750	3.680	FA	9,044	22,000	01/06/2014	02/03/2023
009158-AV-8	AIR PRODS & CHEMS IN			1	1.F FE	4,014,890	109.0350	4,361,406	4,000,000	4,005,914	0	(1,664)	0	0	3.350	3.300	JJ	56,206	134,000	06/22/2015	07/31/2024
017175-AC-4	ALLEGHANY CORP DEL			1	2.A FE	265,488	106.4460	266,117	250,000	252,762	0	(1,762)	0	0	4.950	4.170	JD	138	12,375	08/09/2012	06/27/2022
021441-AF-7	ALTERA CORP			1	1.E FE	5,068,993	110.8270	5,541,378	5,000,000	5,025,147	0	(8,276)	0	0	4.100	3.900	MN	26,194	205,000	01/22/2015	11/15/2023
02209S-BD-4	ALTRIA GROUP INC			1	2.B FE	3,166,080	119.9110	3,597,346	3,000,000	3,143,738	0	(14,399)	0	0	4.800	4.100	FA	54,800	144,000	06/10/2019	02/14/2029
023135-AJ-5	AMAZON COM INC			1	1.E FE	4,803,750	103.8480	5,192,402	5,000,000	4,954,464	0	22,801	0	0	2.500	2.990	MN	11,111	125,000	11/12/2014	11/29/2022
026874-DD-6	AMERICAN INTL GROUP			1	2.A FE	3,427,420	112.3270	3,931,478	3,500,000	3,460,677	0	7,540	0	0	3.750	4.010	JJ	62,344	131,250	02/09/2016	07/10/2025
026874-DH-7	AMERICAN INTL GROUP			1	2.A FE	1,501,200	114.0030	1,710,056	1,500,000	1,500,703	0	(236)	0	0	3.900	3.890	AO	14,625	58,500	03/28/2016	04/01/2026
03040W-AK-1	AMERICAN WTR CAP COR			1	2.A FE	4,980,050	109.2760	5,463,844	5,000,000	4,992,915	0	2,064	0	0	3.850	3.890	MS	64,167	192,500	11/08/2013	03/01/2024
03073E-AL-9	AMERISOURCEBERGEN CO			1	2.B FE	995,320	108.5500	1,085,502	1,000,000	998,232	0	486	0	0	3.400	3.450	MN	4,344	34,000	06/09/2014	05/15/2024
03073E-AM-7	AMERISOURCEBERGEN CO			1	2.B FE	2,991,760	109.6330	3,289,001	3,000,000	2,996,249	0	827	0	0	3.250	3.280	MS	32,500	97,500	05/06/2015	03/01/2025
03073E-AP-0	AMERISOURCEBERGEN CO			1	2.B FE	3,990,710	113.9040	4,556,172	4,000,000	3,993,257	0	843	0	0	3.450	3.470	JD	6,133	138,000	12/07/2017	12/15/2027
03076C-AF-3	AMERIPRISE FINL INC			1	1.G FE	3,664,500	110.2030	3,857,120	3,500,000	3,555,407	0	(18,639)	0	0	4.000	3.400	AO	29,556	140,000	11/12/2014	10/15/2023
03076C-AG-1	AMERIPRISE FINL INC			1	1.G FE	2,534,815	111.5020	2,787,574	2,500,000	2,515,015	0	(3,643)	0	0	3.700	3.520	AO	19,528	92,500	09/15/2015	10/15/2024
03076C-AH-9	AMERIPRISE FINL INC			1	1.G FE	1,508,365	110.5210	1,657,828	1,500,000	1,504,989	0	(829)	0	0	2.875	2.800	MS	12,698	43,125	09/02/2016	09/15/2026
031162-BV-1	AMGEN INC			1	2.A FE	2,004,120	109.7500	2,195,010	2,000,000	2,001,544	0	(433)	0	0	3.625	3.600	MN	7,854	72,500	05/22/2014	05/22/2024
031162-CJ-7	AMGEN INC			1	2.A FE	2,278,975	109.1450	2,728,630	2,500,000	2,361,827	0	21,689	0	0	2.600	3.690	FA	23,833	65,000	12/15/2016	08/19/2026
031162-CQ-1	AMGEN INC			1	2.A FE	1,971,240	112.2330	2,244,669	2,000,000	1,979,279	0	2,654	0	0	3.200	3.370	MN	10,489	64,000	11/10/2017	11/02/2027
032095-AB-7	AMPHENOL CORP NEW			1	2.A FE	775,313	102.8250	771,195	750,000	752,575	0	(2,955)	0	0	4.000	3.580	FA	12,500	30,000	03/05/2012	02/01/2022
032654-AH-8	ANALOG DEVICES INC			1	2.A FE	725,325	105.3020	789,766	750,000	741,325	0	3,390	0	0	2.875	3.370	JD	1,797	21,563	12/11/2015	06/01/2023
032654-AJ-4	ANALOG DEVICES INC			1	2.A FE	758,040	114.0240	855,181	750,000	754,261	0	(808)	0	0	3.900	3.760	JD	1,300	29,250	12/10/2015	12/15/2025
03522A-AG-5	ANHEUSER-BUSCH COS L			1	2.B FE	8,185,720	112.9740	9,037,970	8,000,000	8,102,526	0	(18,348)	0	0	3.650	3.370	FA	121,667	292,000	05/15/2019	02/01/2026
036752-AB-9	ANTHEM INC			1	2.B FE	1,518,855	115.2710	1,729,067	1,500,000	1,513,680	0	(1,785)	0	0	3.650	3.490	JD	4,563	54,750	12/19/2017	12/01/2027
036752-AG-8	ANTHEM INC			1	2.B FE	7,547,799	118.1690	8,242,352	6,975,000	7,465,924	0	(63,024)	0	0	4.101	2.960	MS	95,348	286,045	09/16/2019	03/01/2028
037411-AJ-4	APACHE CORP				3.A FE	1,992,640	113.4060	2,268,120	2,000,000	1,997,319	0	341	0	0	7.700	7.730	MS	45,344	154,000	02/22/1996	03/15/2026
037411-BF-1	APACHE CORP			1	3.A FE	1,993,860	105.0000	2,100,000	2,000,000	1,994,654	0	483	0	0	4.250	4.280	JJ	39,194	91,139	06/17/2019	01/15/2030
03765H-AA-9	APOLLO MGMT HLDGS LP			1	1.G FE	4,186,377	110.7170	4,506,188	4,070,000	4,117,163	0	(12,784)	0	0	4.000	3.630	MN	14,019	162,800	02/06/2015	05/30/2024
037833-AK-6	APPLE INC			1	1.B FE	2,693,800	104.8900	3,146,701	3,000,000	2,914,271	0	34,492	0	0	2.400	3.680	MN	11,600	72,000	11/12/2013	05/03/2023
038222-AJ-4	APPLIED MATLS INC			1	1.G FE	3,018,910	114.6200	3,438,617	3,000,000	3,009,747	0	(1,922)	0	0	3.900	3.820	AO	29,250	117,000	10/16/2015	10/01/2025
04010L-AV-5	ARES CAP CORP			1	2.C FE	7,894,030	108.3810	8,670,527	8,000,000	7,933,268	0	14,240	0	0	4.250	4.470	MS	113,333	340,000	04/05/2018	03/01/2025
04621W-AC-4	ASSURED GTY US HLDGS			1	2.B FE	11,151,884	114.3360	11,798,378	10,319,000	10,787,119	0	(123,616)	0	0	5.000	3.600	JJ	257,975	515,950	09/23/2019	07/01/2024
04621X-AF-5	ASSURANT INC			1	2.C FE	1,507,395	106.6000	1,599,012	1,500,000	1,502,477	0	(1,056)	0	0	4.000	3.920	MS	17,667	60,000	11/18/2015	03/15/2023
04621X-AH-1	ASSURANT INC			1	2.C FE	2,143,961	109.3750	2,331,875	2,132,000	2,138,275	0	(2,173)	0	0	4.200	4.080	MS	23,381	89,544	04/09/2018	09/27/2023
04621X-AM-0	ASSURANT INC			1	2.B FE	5,020,350	111.2150	5,560,775	5,000,000	5,018,236	0	(1,663)	0	0	3.700	3.650	FA	66,292	185,000	09/09/2019	02/22/2030
052769-AB-2	AUTODESK INC			1	2.B FE	5,679,356	104.8380	6,096,358	5,815,000	5,778,081	0	17,787	0	0	3.600	3.930	JD	9,304	209,340	03/21/2016	12/15/2022
05348E-AW-9	AVALONBAY CMNTYS INC			1	1.G FE	3,495,720	112.4360	3,935,288	3,500,000	3,497,741	0	418	0	0	3.500	3.510	MN	15,653	122,500	12/03/2015	11/15/2025
05348E-AX-7	AVALONBAY CMNTYS INC			1	1.G FE	1,002,920	110.5070	1,105,077	1,000,000	1,001,721	0	(358)	0	0	2.950	2.910	MN	3,769	29,500	10/26/2016	05/11/2026
05348E-BA-6	AVALONBAY CMNTYS INC			1	1.G FE	2,263,698	111.9650	2,552,807	2,280,000	2,268,994	0	1,521	0	0	3.350	3.430	MN	9,760	76,380	05/09/2017	05/15/2027
05369A-AA-9	AVIATION CAP GROUP L			1	2.C FE	4,637,992	100.1360	4,706,420	4,700,000	4,655,419	0	5,647	0	0	3.500	3.650	MN	27,417	164,500	10/27/2017	11/01/2027
05463H-AB-7	AXIS SPECIALTY FINAN			1	2.A FE	3,435,949	110.2170	3,515,948	3,190,000	3,416,193	0	(19,756)	0	0	3.900	2.950	JJ	57,367	62,205	02/19/2020	07/15/2029
05526D-BB-0	BAT CAP CORP			1	2.B FE	4,777,550	111.3050	5,565,269	5,000,000	4,814,987	0	23,773	0	0	3.557	4.200	FA	67,188	177,850	05/29/2019	08/15/2027
05526D-BH-7	BAT CAP CORP			1	2.B FE	6,664,454	109.0250	7,370,099	6,760,000	6,675,009	0	8,213	0	0	3.462	3.630	MS	74,760	234,031	09/26/2019	09/06/2029
05531G-AA-9	BB&T CORP SUB MEDIUM			2	1.G FE	2,432,880	104.0740	2,341,669	2,250,000	2,276,154	0	(23,585)	0	0	3.950	2.900	MS	24,441	88,875	04/24/2013	03/22/2022
05541T-AM-3	BGC PARTNERS INC			1	2.C FE	2,986,830	104.6450	3,139,351	3,000,000	2,990,026	0	2,544	0	0	3.750	3.840	AO	28,125	113,750	12/09/2019	10/01/2024

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Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
05565E-AY-1	BMW US CAP LLC			1	1.F FE	4,939,400	114.8610	5,743,087	5,000,000	4,953,500	0	5,427	0	0	3.750	3.890	AO	41,146	187,500	04/30/2018	04/12/2028
05565E-BL-8	BMW US CAP LLC			1	1.F FE	5,932,850	120.8870	6,044,371	5,000,000	5,911,028	0	(21,822)	0	0	4.150	1.930	AO	47,264	103,750	10/02/2020	04/09/2030
06051G-FF-1	BANK AMER CORP				1.G FE	4,099,410	110.9890	4,439,588	4,000,000	4,041,550	0	(11,835)	0	0	4.000	3.650	AO	40,000	160,000	09/16/2015	04/01/2024
06051G-FS-3	BANK AMER CORP				1.G FE	511,190	114.0410	570,209	500,000	505,759	0	(1,136)	0	0	3.875	3.600	FA	8,073	19,375	10/29/2015	08/01/2025
06406G-AA-9	BANK NEW YORK MELLON			2	1.F FE	1,403,205	113.0160	1,695,250	1,500,000	1,430,441	0	7,536	0	0	3.000	3.680	AO	7,625	45,000	03/10/2017	10/30/2028
06406H-DA-4	BANK NEW YORK MTN BK			2	1.F FE	1,954,660	109.7430	2,194,868	2,000,000	1,978,910	0	4,675	0	0	3.000	3.270	FA	21,167	60,000	06/03/2015	02/24/2025
066836-AA-5	BAPTIST HEALTH SOUTH			1	1.E FE	509,850	102.4090	512,048	500,000	500,728	0	(1,132)	0	0	4.590	4.350	FA	8,670	22,950	05/18/2011	08/15/2021
07177M-AB-9	BAXALTA INC			1	2.B FE	4,944,160	112.9300	5,646,501	5,000,000	4,969,854	0	4,264	0	0	4.000	4.140	JD	4,444	200,000	05/27/2016	06/23/2025
071813-BF-5	BAXTER INTL INC			1	2.A FE	970,960	102.9270	1,029,273	1,000,000	994,486	0	3,281	0	0	2.400	2.750	FA	9,067	24,000	02/19/2013	08/15/2022
071813-BQ-1	BAXTER INTL INC			1	2.A FE	1,852,000	109.1470	2,182,956	2,000,000	1,905,924	0	14,881	0	0	2.600	3.520	FA	19,644	52,000	03/08/2017	08/15/2026
07274E-AG-8	BAYER US FIN LLC			1	2.A FE	3,085,650	109.1250	3,273,774	3,000,000	3,036,707	0	(9,062)	0	0	3.375	3.020	AO	23,344	101,250	03/09/2015	10/08/2024
07274N-AW-3	BAYER US FIN II LLC			1	2.A FE	1,440,795	108.0970	1,621,458	1,500,000	1,473,016	0	16,698	0	0	3.375	3.920	JJ	23,344	50,625	07/10/2018	07/15/2024
07330M-AA-5	BB&T BRH BKG & TR CO			2	1.G FE	1,549,170	115.2030	1,728,058	1,500,000	1,530,789	0	(4,769)	0	0	3.800	3.400	AO	9,658	57,000	12/05/2016	10/30/2026
07330M-AB-3	BB&T BRH BKG & TR CO			2	1.G FE	1,951,016	112.6710	2,112,587	1,875,000	1,917,146	0	(8,413)	0	0	3.625	3.090	MS	19,824	67,969	10/20/2016	09/16/2025
084659-AU-5	BERKSHIRE HATHAWAY E			1	1.G FE	8,337,620	118.2220	9,457,802	8,000,000	8,316,599	0	(21,021)	0	0	3.700	3.200	MS	87,156	0	04/02/2020	07/15/2030
09062X-AF-0	BIOGEN INC			1	1.G FE	7,436,560	114.5720	8,387,827	7,321,000	7,384,786	0	(12,848)	0	0	4.050	3.830	MS	87,303	296,501	02/15/2017	09/15/2025
09062X-AH-6	BIOGEN INC			1	2.A	1,153,270	104.3770	1,176,336	1,127,000	1,152,756	0	(514)	0	0	2.250	1.970	MN	4,226	12,749	10/15/2020	05/01/2030
09247X-AH-4	BLACKROCK INC			1	1.D FE	779,120	101.5420	761,571	750,000	751,464	0	(3,624)	0	0	4.250	3.710	MN	3,276	31,875	07/20/2012	05/24/2021
09247X-AJ-0	BLACKROCK INC			1	1.D FE	1,989,380	104.3340	2,086,695	2,000,000	1,998,271	0	1,171	0	0	3.375	3.430	JD	5,625	67,500	05/22/2012	06/01/2022
09247X-AL-5	BLACKROCK INC			1	1.D FE	991,360	109.8240	1,098,241	1,000,000	996,864	0	905	0	0	3.500	3.600	MS	10,014	35,000	04/22/2014	03/18/2024
09256B-AD-9	BLACKSTONE HLDGS FIN			1	1.E FE	4,124,597	108.6750	4,224,220	3,887,000	3,949,130	0	(27,545)	0	0	4.750	3.950	FA	69,750	184,633	11/05/2013	02/15/2023
09857L-AR-9	BOOKING HOLDINGS INC			1	1.G FE	6,230,148	124.1950	6,420,886	5,170,000	6,205,537	0	(24,611)	0	0	4.625	2.170	AO	51,808	119,556	10/02/2020	04/13/2030
099724-AJ-5	BORGWARNER INC			1	2.B FE	3,333,925	110.1660	3,855,810	3,500,000	3,416,109	0	17,980	0	0	3.375	4.000	MS	34,781	118,125	01/25/2016	03/15/2025
10112R-AS-3	BOSTON PPTYS LTD PAR			1	2.A FE	3,179,295	100.4130	3,263,436	3,250,000	3,246,051	0	6,674	0	0	4.125	4.350	MN	17,130	134,063	10/15/2013	05/15/2021
10112R-AU-8	BOSTON PPTYS LTD PAR			1	2.A FE	2,067,260	106.3810	2,127,636	2,000,000	2,020,083	0	(10,422)	0	0	3.850	3.280	FA	32,083	77,000	03/02/2016	02/01/2023
10112R-AX-2	BOSTON PPTYS LTD PAR			1	2.A FE	1,290,140	113.9380	1,454,989	1,277,000	1,284,989	0	(1,481)	0	0	3.650	3.500	FA	19,421	46,611	04/24/2017	02/01/2026
10112R-AY-0	BOSTON PPTYS LTD PAR			1	2.A FE	1,410,437	108.9970	1,607,719	1,475,000	1,431,000	0	6,807	0	0	2.750	3.320	AO	10,141	40,563	01/09/2018	10/01/2026
10112R-BA-1	BOSTON PPTYS LTD PAR			1	2.A FE	4,260,640	119.5510	4,782,066	4,000,000	4,221,237	0	(23,665)	0	0	4.500	3.680	JD	15,000	180,000	04/17/2019	12/01/2028
10373Q-AV-2	BP CAP MKTS AMER INC			1	1.F FE	4,350,099	110.2920	4,990,757	4,525,000	4,409,620	0	16,843	0	0	3.017	3.480	JJ	62,571	136,519	12/03/2018	01/16/2027
10922N-AC-7	BRIGHTHOUSE FINL INC			1	2.B FE	4,911,800	108.7120	5,435,600	5,000,000	4,934,511	0	5,353	0	0	3.700	3.930	JD	4,625	185,000	04/25/2018	06/22/2027
110122-AW-8	BRISTOL MYERS SQUIBB			1	1.F FE	966,860	108.4190	1,084,199	1,000,000	989,276	0	3,531	0	0	3.250	3.650	MN	5,417	32,500	12/06/2013	11/01/2023
110122-BB-3	BRISTOL MYERS SQUIBB			1	1.F FE	3,940,617	112.9930	4,547,988	4,025,000	3,969,459	0	7,956	0	0	3.250	3.500	FA	45,058	130,813	03/10/2017	02/27/2027
110122-CW-6	BRISTOL-MYERS SQUIBB			1	1.F FE	1,231,693	104.7630	1,309,539	1,250,000	1,235,640	0	(9,964)	0	0	3.250	3.980	FA	15,347	20,313	07/15/2020	08/15/2022
111320-AH-0	BROADCOM CORP			1	2.C FE	2,047,940	103.5720	2,071,457	2,000,000	2,018,884	0	(5,268)	0	0	3.500	3.200	FA	29,167	70,000	01/09/2015	08/01/2024
120568-AX-8	BUNGE LTD FIN CORP			1	2.C FE	4,786,450	111.1060	5,555,349	5,000,000	4,859,528	0	22,014	0	0	3.250	3.800	FA	61,389	162,500	07/07/2017	08/15/2026
12189T-AR-5	BURLINGTON NORTHN SA			1	1.E FE	6,871,400	150.8530	7,542,667	5,000,000	6,538,770	0	(128,436)	0	0	7.950	4.050	FA	150,167	397,500	04/24/2018	08/15/2030
125523-AP-5	CIGNA CORP NEW			1	2.B FE	2,981,160	102.7390	3,082,194	3,000,000	2,986,639	0	(9,905)	0	0	4.000	4.410	FA	45,333	60,000	07/13/2020	02/15/2022
125523-AV-2	CIGNA CORP NEW			1	2.B FE	3,592,210	109.8150	3,843,559	3,500,000	3,583,224	0	33,114	0	0	3.250	2.620	AO	24,014	56,875	07/13/2020	04/15/2025
125523-AZ-3	CIGNA CORP NEW			1	2.B FE	3,416,945	111.9840	3,919,458	3,500,000	3,421,811	0	(14,801)	0	0	3.050	3.420	AO	22,536	53,375	07/13/2020	10/15/2027
125523-BX-7	CIGNA CORP NEW			1	2.B FE	1,441,905	109.2430	1,638,657	1,500,000	1,448,329	0	(21,855)	0	0	3.500	4.580	JD	2,333	26,250	07/13/2020	06/15/2024
125523-BZ-2	CIGNA CORP NEW			1	2.B FE	5,630,335	117.2030	6,446,207	5,500,000	5,620,260	0	39,453	0	0	4.500	4.000	FA	86,625	123,750	07/13/2020	02/25/2026
125523-CB-4	CIGNA CORP NEW			1	2.B FE	946,610	112.7420	1,127,427	1,000,000	949,932	0	(11,894)	0	0	3.400	4.330	MS	11,333	17,000	07/13/2020	03/01/2027
12572Q-AE-5	CME GROUP INC			1	1.D FE	3,987,640	104.5810	4,183,279	4,000,000	3,997,627	0	1,337	0	0	3.000	3.030	MS	35,333	120,000	09/05/2012	09/15/2022
12572Q-AG-0	CME GROUP INC			1	1.D FE	971,500	109.6140	1,096,145	1,000,000	986,606	0	2,920	0	0	3.000	3.340	MS	8,833	30,000	06/05/2015	03/15/2025
126117-AE-0	CNA FINL CORP				2.B FE	981,980	118.5620	1,185,627	1,000,000	995,838	0	810	0	0	7.250	7.410	MN	9,264	72,500	11/18/1993	11/15/2023
126650-CX-6	CVS HEALTH CORP			1	2.B FE	11,530,740	119.0290	13,688,372	11,500,000	11,527,489	0	(3,412)	0	0	4.300	4.250	MS	131,867	494,500	04/16/2019	03/25/2028
12665U-AA-2	CVS PTC 2013			2	2.B FE	4,099,477	113.3990	4,364,813	3,849,045	4,067,867	0	(12,389)	0	0	4.704	4.190	MON	10,562	181,007	10/05/2017	01/10/2036
12673P-AJ-4	CA INC			1	2.C FE	5,270,600	114.7000	5,735,027	5,000,000	5,179,764	0	(26,190)	0	0	4.700	4.010	MS	69,194	235,000	04/28/2017	03/15/2027
127055-AH-4	CABOT CORP			1	2.C FE	3,666,152	103.4620	3,726,733	3,602,000	3,614,310	0	(7,659)	0	0	3.700	3.470	JJ	61,454	133,274	05/31/2013	07/15/2022
133131-AV-4	CAMDEN PPTY TR			1	1.G FE	2,034,810	108.5820	2,225,941	2,050,000	2,042,015	0	1,980	0	0	3.500	3.610	MS	21,126	71,750	03/02/2017	09/15/2024
134429-AY-5	CAMPBELL SOUP CO			1	2.C FE	1,888,950	103.3300	2,066,606	2,000,000	1,978,287	0	13,302	0	0	2.500	3.200	FA	20,694	50,000	03/07/2013	08/02/2022
14020A-AU-8	CAPITAL IMPACT PARTN				1.F FE	5,000,000	109.3620	5,468,142	5,000,000	5,000,000	0	0	0	0	3.850	3.850	JAJO	40,639	192,500	04/16/2018	04/15/2028
140420-NB-2	CAPITAL ONE BK USA N				2.B FE	4,125,910	105.7190	4,525,860	4,281,000	4,231,992	0	21,732	0	0	3.375	3.940	FA	54,583	144,484	09/11/2015	02/15/2023

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
14149Y-BA-5	CARDINAL HEALTH INC			1	2.B FE	990,020	109.6280	1,096,288	1,000,000	995,702	0	1,017	0	0	3.500	3.620	MN	4,472	35,000	11/13/2014	11/15/2024
14149Y-BJ-6	CARDINAL HEALTH INC			1	2.B FE	4,004,140	112.8730	4,514,930	4,000,000	4,002,857	0	(392)	0	0	3.410	3.390	JD	6,062	136,400	08/07/2017	06/15/2027
141781-AY-0	CARGILL INC			1	1.F FE	253,775	101.4430	253,608	250,000	250,169	0	(446)	0	0	4.307	4.120	MN	1,406	10,768	05/25/2011	05/14/2021
141781-AZ-7	CARGILL INC			1	1.F FE	5,424,415	102.5350	5,378,000	5,245,000	5,265,083	0	(22,502)	0	0	3.250	2.790	MN	21,781	170,463	04/30/2013	11/15/2021
142339-AF-7	CARLISLE COS INC			1	2.B FE	964,204	104.8390	1,048,396	1,000,000	991,645	0	4,205	0	0	3.750	4.210	MN	4,792	37,500	07/25/2013	11/15/2022
14309U-AA-0	CARLYLE HLDGS FIN LL			1	2.A FE	8,052,975	106.3750	8,454,746	7,948,000	7,979,602	0	(14,478)	0	0	3.875	3.660	FA	128,327	307,985	03/03/2016	02/01/2023
144141-DA-3	CAROLINA PWR & LT CO			1	1.F FE	1,000,410	101.0080	1,010,088	1,000,000	1,000,035	0	(48)	0	0	3.000	2.990	MS	8,833	30,000	03/20/2012	09/15/2021
149123-BL-4	CATERPILLAR INC DEL			1	1.G FE	488,339	137.9500	953,237	691,000	559,844	0	5,065	0	0	5.300	7.440	MS	10,783	36,623	09/13/2005	09/15/2035
149123-CC-3	CATERPILLAR INC DEL			1	1.G FE	2,508,110	109.0050	2,725,134	2,500,000	2,503,297	0	(977)	0	0	3.400	3.350	MN	10,861	85,000	09/25/2015	05/15/2024
14912L-6C-0	CATERPILLAR FINL SVC				1.F FE	1,000,810	109.3580	1,093,584	1,000,000	1,000,329	0	(89)	0	0	3.300	3.280	JD	2,017	33,000	09/10/2014	06/09/2024
14912L-6G-1	CATERPILLAR FINL SVC				1.F FE	1,084,298	110.6040	1,177,942	1,065,000	1,073,590	0	(2,036)	0	0	3.250	3.030	JD	2,884	34,613	05/06/2015	12/01/2024
14916R-AC-8	CATHOLIC HEALTH INIT			1	2.A FE	2,004,000	104.4410	2,088,821	2,000,000	2,002,592	0	(7,587)	0	0	2.950	2.870	MN	9,833	59,000	11/01/2012	11/01/2022
14916R-AF-1	CATHOLIC HEALTH INIT			1	2.A FE	5,511,862	108.0580	5,646,075	5,225,000	5,341,275	0	(42,637)	0	0	4.200	3.280	FA	91,438	219,450	04/27/2017	08/01/2023
14987B-AE-3	CC HLDGS GS V LLC/ C			1	2.B FE	985,060	107.3210	1,073,220	1,000,000	995,000	0	2,045	0	0	3.849	4.080	AO	8,126	38,490	09/16/2015	04/15/2023
158525-AR-6	CHAMPION INTL CORP			1	2.B FE	956,780	123.7650	1,237,658	1,000,000	984,406	0	1,613	0	0	7.350	7.740	MN	12,250	73,500	03/06/1996	11/01/2025
166764-AH-3	CHEVRON CORP NEW			1	1.C FE	1,916,715	106.3500	2,127,005	2,000,000	1,976,084	0	9,043	0	0	3.191	3.700	JD	1,241	63,820	08/22/2013	06/24/2023
17252M-AK-6	CINTAS CORP NO 2			1	1.G FE	2,010,300	101.6140	2,032,284	2,000,000	2,000,522	0	(1,218)	0	0	4.300	4.230	JD	7,167	86,000	05/25/2011	06/01/2021
17275R-AN-2	CISCO SYS INC			1	1.E FE	1,020,820	109.9290	1,099,292	1,000,000	1,007,587	0	(2,228)	0	0	3.625	3.370	MS	11,781	36,250	06/20/2014	03/04/2024
17288X-AA-2	CITADEL LIMITED PART			1	2.B FE	6,112,200	107.4300	6,445,804	6,000,000	6,050,772	0	(23,965)	0	0	5.375	4.910	JJ	146,917	322,500	04/20/2018	01/17/2023
17288X-AB-0	CITADEL LIMITED PART			1	2.B FE	4,999,000	108.9710	5,448,566	5,000,000	4,999,000	0	0	0	0	4.875	4.870	JJ	111,042	203,802	09/12/2019	01/15/2027
17298C-JY-5	CITIGROUP INC			1,2	1.G FE	5,000,000	97.4580	4,872,944	5,000,000	5,000,000	0	0	0	0	2.000	2.000	FA	36,944	0	08/14/2020	08/18/2032
174610-AK-1	CITIZENS FINL GROUP			2	2.B FE	8,417,141	115.4370	9,685,223	8,390,000	8,408,528	0	(3,364)	0	0	4.300	4.240	JD	28,060	360,770	06/21/2018	12/03/2025
191216-CT-5	COCA COLA CO			1	1.E FE	2,989,950	117.8080	3,534,266	3,000,000	2,990,604	0	654	0	0	3.450	3.490	MS	27,600	51,750	03/20/2020	03/25/2030
19565C-AA-8	COLONIAL ENTERPRISES			1	1.G FE	3,324,900	113.0430	3,391,307	3,000,000	3,319,236	0	(5,664)	0	0	3.250	1.970	MN	12,458	49,292	10/23/2020	05/15/2030
19828J-AA-6	COLUMBIA PPTY TR OPE			1	2.B FE	4,032,850	105.4440	4,217,794	4,000,000	4,016,973	0	(3,791)	0	0	4.150	4.030	AO	41,500	166,000	01/31/2017	04/01/2025
19828J-AB-4	COLUMBIA PPTY TR OPE			1	2.B FE	2,948,460	104.5040	3,135,141	3,000,000	2,967,452	0	5,044	0	0	3.650	3.860	FA	41,367	109,500	01/24/2017	08/15/2026
20030N-BJ-9	COMCAST CORP NEW			1	1.G FE	1,024,530	110.0080	1,100,085	1,000,000	1,009,069	0	(2,675)	0	0	3.600	3.290	MS	12,000	36,000	09/10/2014	03/01/2024
200339-DX-4	COMERICA BANK				2.A FE	1,556,355	112.8150	1,692,228	1,500,000	1,528,882	0	(5,723)	0	0	4.000	3.540	JJ	25,667	60,000	10/27/2015	07/27/2025
20453K-AA-3	COMPASS BK BIRMINGHA			2	2.B FE	1,415,580	112.1170	1,681,769	1,500,000	1,457,277	0	8,850	0	0	3.875	4.610	AO	13,078	58,125	10/28/2015	04/10/2025
205887-AR-3	CONAGRA BRANDS INC			1	2.C FE	3,103,483	134.7680	3,578,097	2,655,000	3,020,615	0	(38,052)	0	0	7.000	4.840	AO	46,463	185,850	10/02/2018	10/01/2028
20826F-AD-8	CONOCOPHILLIPS CO			1	1.F FE	3,321,745	109.4300	3,830,053	3,500,000	3,410,070	0	20,381	0	0	3.350	4.020	MN	14,982	117,250	02/25/2016	11/15/2024
20826F-AG-1	CONOCOPHILLIPS CO			1	1.F FE	1,715,683	109.7220	1,920,136	1,750,000	1,733,259	0	3,477	0	0	3.350	3.580	MN	7,491	58,625	08/14/2015	05/15/2025
209111-EJ-8	CONSOLIDATED EDISON			1	2.A	2,491,718	131.4350	2,467,041	1,877,000	2,489,425	0	(2,293)	0	0	5.250	2.540	JJ	49,271	0	12/03/2020	07/01/2035
21036P-AY-4	CONSTELLATION BRANDS			1	2.C FE	4,733,350	114.1860	5,709,301	5,000,000	4,795,574	0	24,090	0	0	3.600	4.270	FA	68,000	180,000	05/01/2018	02/15/2028
224399-AR-6	CRANE CO			1	2.B FE	2,442,090	109.5890	2,608,222	2,380,000	2,404,642	0	(8,409)	0	0	4.450	4.040	JD	4,707	105,910	03/03/2016	12/15/2023
22822V-AE-1	CROWN CASTLE INTL CO			1	2.C FE	1,495,260	114.8070	1,722,117	1,500,000	1,496,919	0	432	0	0	4.000	4.030	MS	20,000	60,000	02/14/2017	03/01/2027
231021-AR-7	CUMMINS INC			1	1.F FE	998,827	108.3460	1,083,469	1,000,000	999,643	0	121	0	0	3.650	3.660	AO	9,125	36,500	12/16/2013	10/01/2023
23311R-AE-6	DCP MIDSTREAM LLC			1	3.A FE	5,289,550	101.5000	5,075,000	5,000,000	5,020,213	0	(39,393)	0	0	4.750	3.920	MS	60,035	237,500	03/08/2013	09/30/2021
23355L-AA-4	DXC TECHNOLOGY CO			1	2.C FE	3,046,130	105.2480	3,157,447	3,000,000	3,016,592	0	(3,467)	0	0	4.450	4.110	MS	39,308	133,500	08/07/2017	09/18/2022
233851-DF-8	DAIMLER FINANCE NORT			1	2.A FE	2,015,807	114.6870	2,164,158	1,887,000	1,997,789	0	(14,079)	0	0	3.750	2.830	FA	25,357	70,763	09/05/2019	02/22/2028
233851-DW-1	DAIMLER FINANCE NORT			1	2.A FE	5,073,574	110.4320	5,521,643	5,000,000	5,065,490	0	(6,554)	0	0	3.100	2.920	FA	58,556	155,000	09/30/2019	08/15/2029
237194-AL-9	DARDEN RESTAURANTS I			1	2.C FE	5,012,050	109.5990	5,479,987	5,000,000	5,008,242	0	(1,183)	0	0	3.850	3.820	MN	32,083	192,500	05/09/2017	05/01/2027
24422E-RT-8	DEERE JOHN CAP CORP				1.F FE	2,015,540	105.0530	2,101,067	2,000,000	2,003,583	0	(1,659)	0	0	2.800	2.710	JJ	23,956	56,000	02/19/2013	01/27/2023
249030-AC-1	DENTSPLY INTL INC NE			1	2.B FE	498,265	101.2420	506,210	500,000	499,870	0	203	0	0	4.125	4.160	FA	7,792	20,625	08/16/2011	08/15/2021
254010-AA-9	DIGNITY HEALTH			1	2.A FE	3,011,970	103.7900	3,113,705	3,000,000	3,002,491	0	(1,302)	0	0	3.125	3.070	MN	15,625	93,750	11/01/2012	11/01/2022
254010-AD-3	DIGNITY HEALTH			1	2.A FE	6,195,137	108.8800	6,716,821	6,169,000	6,183,161	0	(3,382)	0	0	3.812	3.740	MN	39,194	235,162	03/24/2017	11/01/2024
254687-CK-0	DISNEY WALT CO			1	1.G FE	494,095	100.4660	502,333	500,000	500,959	0	596	0	0	4.500	2.900	FA	8,500	22,500	11/22/2019	02/15/2021
25470D-AL-3	DISCOVERY COMMUNICAT			1	2.C FE	1,045,560	117.8980	1,178,988	1,000,000	1,028,044	0	(4,984)	0	0	4.900	4.260	MS	14,972	49,000	04/05/2017	03/11/2026
256677-AD-7	DOLLAR GEN CORP NEW			1	2.B FE	2,505,410	114.7470	2,868,695	2,500,000	2,502,849	0	(547)	0	0	4.150	4.120	MN	17,292	103,750	10/29/2015	11/01/2025
257375-AE-5	DOMINION ENERGY GAS			1	2.A FE	490,660	107.7390	538,696	500,000	496,792	0	849	0	0	3.550	3.790	MN	2,958	17,750	08/06/2014	11/01/2023
257375-AH-8	DOMINION ENERGY GAS			1	2.A FE	1,495,670	110.5530	1,658,306	1,500,000	1,497,880	0	478	0	0	3.600	3.630	JD	2,400	54,000	09/02/2015	12/15/2024
257867-AG-6	DONNELLEY R R & SONS			1	4.C FE	2,735,964	102.1950	3,219,143	3,150,000	2,930,806	0	18,257	0	0	6.625	7.780	AO	44,056	208,688	01/09/2001	04/15/2029
26441Y-AX-5	DUKE RLTY LTD PARTNE			1	2.A FE	975,410	106.0090	1,060,094	1,000,000	991,643	0	3,421	0	0	3.625	4.010	AO	7,653	36,250	11/09/2015	04/15/2023

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
26441Y-AY-3	DUKE RLTY LTD PARTNE			1	2.A FE	1,973,960	110.4130	2,208,267	2,000,000	1,987,264	0	2,953	0	0	3.750	3.920	JD	6,250	75,000	03/04/2016	12/01/2024
268648-AN-2	E M C CORP MASS			1	3.C FE	3,965,550	104.7950	4,191,800	4,000,000	3,989,083	0	4,190	0	0	3.375	3.490	JD	11,250	135,000	12/05/2014	06/01/2023
26875P-AG-6	EOG RES INC			1	1.G FE	486,000	100.2560	501,281	500,000	499,856	0	1,707	0	0	4.100	4.450	FA	8,542	20,500	03/29/2011	02/01/2021
26875P-AK-7	EOG RES INC			1	1.G FE	1,954,794	104.3510	2,087,026	2,000,000	1,990,505	0	4,196	0	0	2.625	2.840	MS	15,458	52,500	03/08/2013	03/15/2023
26875P-AM-3	EOG RES INC			1	1.G FE	458,950	110.0740	550,370	500,000	479,049	0	4,412	0	0	3.150	4.230	AO	3,938	15,750	01/20/2016	04/01/2025
26884A-BF-9	ERP OPER LTD PARTNER			1	1.G FE	1,893,240	110.5960	2,211,933	2,000,000	1,931,314	0	10,441	0	0	2.850	3.500	MN	9,500	57,000	03/01/2017	11/01/2026
26884L-AB-5	EQT CORP			1	3.C FE	882,896	102.5000	882,525	861,000	863,414	0	(2,661)	0	0	4.875	4.510	MN	5,363	41,974	07/30/2012	11/15/2021
26884L-AF-6	EQT CORP			1	3.C FE	2,241,525	99.3450	3,228,713	3,250,000	2,312,509	0	71,763	980,937	0	3.900	9.840	AO	31,688	126,750	12/07/2017	10/01/2027
26884U-AA-7	EPR PPTYS			1	3.A FE	2,101,140	102.4940	2,049,886	2,000,000	2,040,897	0	(16,600)	0	0	5.250	4.300	JJ	48,417	105,000	02/16/2017	07/15/2023
26884U-AB-5	EPR PPTYS			1	3.A FE	2,019,800	101.4420	2,028,849	2,000,000	2,011,215	0	(2,489)	0	0	4.500	4.340	AO	22,500	90,000	04/25/2017	04/01/2025
26884U-AC-3	EPR PPTYS			1	3.A FE	995,580	101.0150	1,010,158	1,000,000	997,125	0	406	0	0	4.750	4.800	JD	2,111	47,500	02/02/2017	12/15/2026
277432-AN-0	EASTMAN CHEM CO			1	2.C FE	1,991,380	104.4650	2,089,300	2,000,000	1,998,440	0	918	0	0	3.600	3.650	FA	27,200	72,000	05/30/2012	08/15/2022
278265-AD-5	EATON VANCE CORP			1	1.G FE	5,971,530	107.6930	6,461,582	6,000,000	5,991,793	0	3,162	0	0	3.625	3.680	JD	9,667	217,500	04/21/2014	06/15/2023
278265-AE-3	EATON VANCE CORP			1	1.G FE	1,993,800	112.2880	2,245,774	2,000,000	1,995,868	0	580	0	0	3.500	3.530	AO	16,528	70,000	04/06/2017	04/06/2027
278642-AL-7	EBAY INC			1	2.A FE	476,955	109.2200	546,100	500,000	489,550	0	2,657	0	0	3.450	4.080	FA	7,188	17,250	11/06/2015	08/01/2024
28932M-AA-3	ELM ROAD GENERATING			1	1.F FE	1,860,494	109.5530	2,038,236	1,860,494	1,860,494	0	0	0	0	5.209	5.200	FA	37,688	96,913	02/03/2010	02/11/2030
291011-BG-8	EMERSON ELEC CO			1	1.F FE	2,002,700	110.5480	2,210,974	2,000,000	2,001,297	0	(274)	0	0	3.150	3.130	JD	5,250	63,000	05/22/2015	06/01/2025
29250R-AU-0	ENBRIDGE ENERGY PART			1	2.A FE	502,500	101.6790	508,400	500,000	500,150	0	(312)	0	0	4.200	4.130	MS	6,183	21,000	09/16/2011	09/15/2021
29250R-AW-6	ENBRIDGE ENERGY PART			1	2.A FE	2,779,125	122.2190	3,055,494	2,500,000	2,655,105	0	(30,254)	0	0	5.875	4.350	AO	31,007	146,875	07/29/2016	10/15/2025
29273R-BG-3	ENERGY TRANSFER PRTN			1	2.C FE	2,078,480	113.1220	2,262,440	2,000,000	2,048,856	0	(9,014)	0	0	4.750	4.180	JJ	43,806	95,000	07/12/2017	01/15/2026
29364W-AM-0	ENTERGY LA LLC			1	1.F FE	1,603,065	115.4290	1,731,435	1,500,000	1,553,654	0	(10,073)	0	0	4.440	3.620	JJ	30,710	66,600	09/09/2015	01/15/2026
29364W-AN-8	ENTERGY LA LLC			1	1.F FE	498,060	100.3150	501,578	500,000	499,922	0	228	0	0	4.800	4.840	MN	4,000	24,000	03/28/2011	05/01/2021
29364W-AS-7	ENTERGY LA LLC			1	1.F FE	501,210	108.6090	543,049	500,000	500,364	0	(138)	0	0	4.050	4.020	MS	6,750	20,250	08/22/2013	09/01/2023
29379V-BB-8	ENTERPRISE PRODS OPE			1	2.A FE	1,564,207	109.3060	1,716,107	1,570,000	1,567,625	0	702	0	0	3.900	3.950	FA	23,131	61,230	10/28/2015	02/15/2024
29379V-BE-2	ENTERPRISE PRODS OPE			1	2.A FE	2,890,860	111.9460	3,358,403	3,000,000	2,945,534	0	11,187	0	0	3.750	4.190	FA	42,500	112,500	10/11/2016	02/15/2025
294429-AJ-4	EQUIFAX INC			1	2.B FE	972,190	104.6820	1,046,826	1,000,000	992,800	0	3,488	0	0	3.300	3.680	JD	1,467	33,000	06/20/2014	12/15/2022
29717P-AL-1	ESSEX PORTFOLIO L P			1	2.A FE	1,859,464	104.7500	2,095,015	2,000,000	1,963,125	0	17,185	0	0	3.375	4.320	JJ	31,125	67,500	08/29/2014	01/15/2023
29717P-AN-7	ESSEX PORTFOLIO L P			1	2.A FE	3,066,510	109.8220	3,294,677	3,000,000	3,030,879	0	(9,287)	0	0	3.875	3.520	MN	19,375	116,250	12/07/2016	05/01/2024
29736R-AE-0	ESTEE LAUDER COS INC			1	1.E FE	985,949	103.3240	1,033,246	1,000,000	997,472	0	1,509	0	0	2.350	2.510	FA	8,878	23,500	08/22/2012	08/15/2022
30231G-AT-9	EXXON MOBIL CORP			1	1.C FE	1,000,690	110.7730	1,107,733	1,000,000	1,000,425	0	(75)	0	0	3.043	3.030	MS	10,143	30,430	11/18/2016	03/01/2026
302491-AS-4	F M C CORP			1	2.C FE	4,112,770	108.8360	4,353,464	4,000,000	4,037,952	0	(12,412)	0	0	4.100	3.740	FA	68,333	164,000	04/29/2014	02/01/2024
30251B-AA-6	FMR CORP			1	1.E FE	323,259	104.2140	312,643	300,000	302,384	0	(2,627)	0	0	5.350	4.410	MN	2,051	16,050	06/27/2011	11/15/2021
313747-AT-4	FEDERAL REALTY INVT			1	1.G FE	1,998,471	104.4050	2,290,659	2,194,000	2,138,829	0	21,390	0	0	2.750	3.850	JD	5,028	60,335	08/09/2013	06/01/2023
313747-AU-1	FEDERAL REALTY INVT			1	1.G FE	1,030,680	108.7180	1,087,189	1,000,000	1,011,162	0	(3,733)	0	0	3.950	3.520	JJ	18,214	39,500	11/16/2015	01/15/2024
313747-AY-3	FEDERAL REALTY INVT			1	1.G FE	4,253,691	108.7170	4,674,868	4,300,000	4,267,701	0	4,554	0	0	3.250	3.370	JJ	64,440	139,750	06/28/2017	07/15/2027
315786-AC-7	FIDELITY & GTY LIFE			1	2.B FE	3,893,750	116.7000	4,084,500	3,500,000	3,849,372	0	(44,378)	0	0	5.500	2.890	MN	32,083	96,250	06/09/2020	05/01/2025
316773-CP-3	FIFTH THIRD BANCORP			2	2.B FE	932,157	110.5550	1,044,752	945,000	940,533	0	1,342	0	0	4.300	4.460	JJ	18,624	40,635	01/06/2014	01/16/2024
337915-AA-0	FIRSTMERIT CORP				2.A FE	1,825,348	107.2140	1,967,387	1,835,000	1,832,459	0	1,136	0	0	4.350	4.420	FA	32,594	79,823	12/16/2013	02/04/2023
33829T-AA-4	FIVE CORNERS FDG TR			1	1.G FE	4,727,480	110.9140	4,991,134	4,500,000	4,584,328	0	(27,461)	0	0	4.419	3.710	MN	25,409	198,855	07/11/2016	11/15/2023
343412-AC-6	FLUOR CORP NEW			1	3.A FE	2,993,163	98.7620	2,839,408	2,875,000	2,931,510	0	(14,283)	0	0	3.500	2.930	JD	4,472	100,625	09/09/2016	12/15/2024
343412-AF-9	FLUOR CORP NEW			1	3.A FE	2,714,828	99.7530	2,743,208	2,750,000	2,721,701	0	3,024	0	0	4.250	4.400	MS	34,413	116,875	09/11/2018	09/15/2028
343498-AB-7	FLOWERS FOODS INC			1	2.B FE	2,867,250	111.4450	3,343,354	3,000,000	2,916,471	0	12,665	0	0	3.500	4.040	AO	26,250	105,000	11/14/2016	10/01/2026
345370-CR-9	FORD MTR CO DEL			2	3.B FE	2,089,200	106.5000	2,130,000	2,000,000	2,060,298	0	(9,333)	0	0	4.346	3.750	JD	5,553	86,920	10/04/2017	12/08/2026
34540T-KP-6	FORD MOTOR CREDIT CO			1,2	3.B FE	1,500,000	99.2900	1,489,354	1,500,000	1,500,000	0	0	0	0	4.300	4.290	FA	23,471	64,500	02/08/2016	02/20/2026
34540T-LP-5	FORD MOTOR CREDIT CO			1,2	3.B FE	1,500,000	98.5930	1,478,909	1,500,000	1,500,000	0	0	0	0	3.700	3.690	AO	10,946	55,500	04/04/2016	04/20/2026
346845-AG-5	FORT BENNING FAMILY				2.C FE	6,132,674	124.8430	5,972,105	4,783,677	6,123,054	0	(9,621)	0	0	6.090	3.920	JJ	134,334	0	08/03/2020	01/15/2051
354613-AJ-0	FRANKLIN RES INC			1	1.F FE	3,997,380	104.1610	4,166,466	4,000,000	3,999,555	0	250	0	0	2.800	2.800	MS	32,978	112,000	05/21/2013	09/15/2022
354613-AK-7	FRANKLIN RES INC			1	1.F FE	976,520	109.0390	1,090,400	1,000,000	989,029	0	2,380	0	0	2.850	3.120	MS	7,204	28,500	05/11/2015	03/30/2025
35671D-AU-9	FREEPORT-MCMORAN INC			1	3.B FE	750,765	101.7500	763,125	750,000	750,111	0	(92)	0	0	3.550	3.530	MS	8,875	26,625	07/11/2012	03/01/2022
361448-AL-7	GATX CORP			1	2.B FE	1,514,700	101.7820	1,526,739	1,500,000	1,500,788	0	(1,833)	0	0	4.850	4.720	JD	6,063	72,750	11/09/2011	06/01/2021
361448-AW-3	GATX CORP			1	2.B FE	1,403,865	109.7170	1,645,763	1,500,000	1,451,289	0	10,304	0	0	3.250	4.090	MS	12,323	48,750	01/06/2016	03/30/2025
361448-AY-9	GATX CORP			1	2.B FE	1,497,195	111.2060	1,668,090	1,500,000	1,498,293	0	265	0	0	3.250	3.270	MS	14,354	48,750	09/29/2016	09/15/2026
361448-BF-9	GATX CORP			1	2.B FE	1,020,710	118.1180	1,181,180	1,000,000	1,019,731	0	(979)	0	0	4.000	3.740	JD	111	25,333	05/21/2020	06/30/2030

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
369550-AU-2	GENERAL DYNAMICS COR			1	1.F FE	4,779,340	103.1470	5,157,395	5,000,000	4,952,844	0	24,211	0	0	2.250	2.760	MN	14,375	112,500	03/08/2013	11/15/2022
369622-SM-8	GENERAL ELEC CAP COR				2.C FE	1,352,663	100.4660	1,255,825	1,250,000	1,251,526	0	(13,528)	0	0	5.300	4.170	FA	25,764	66,250	04/04/2012	02/11/2021
36962G-4Y-7	GENERAL ELEC CAP COR				2.B FE	499,550	100.0220	500,114	500,000	499,999	0	52	0	0	4.625	4.630	JJ	11,177	23,125	02/28/2011	01/07/2021
36962G-5J-9	GENERAL ELEC CAP COR				2.B FE	3,110,400	103.1830	3,095,504	3,000,000	3,010,772	0	(13,085)	0	0	4.650	4.180	AO	28,675	139,500	01/18/2012	10/17/2021
36962G-6F-6	GENERAL ELEC CAP COR				2.B FE	997,560	104.4560	1,044,568	1,000,000	999,513	0	277	0	0	3.150	3.180	MS	9,975	31,500	03/07/2013	09/07/2022
36966T-JS-2	GENERAL ELECTRIC CAP				2.B FE	1,532,160	109.8780	1,648,173	1,500,000	1,524,649	0	(2,683)	0	0	4.250	4.000	MS	18,771	63,750	01/24/2018	09/15/2028
37045V-AH-3	GENERAL MTRS CO			1	2.C FE	4,154,560	120.3230	4,812,926	4,000,000	4,136,285	0	(6,608)	0	0	5.000	4.660	AO	50,000	200,000	01/24/2018	04/01/2035
37045V-AN-0	GENERAL MTRS CO			1	2.C FE	5,126,650	113.2700	5,663,545	5,000,000	5,090,474	0	(11,988)	0	0	4.200	3.880	AO	52,500	210,000	10/17/2017	10/01/2027
37045V-AS-9	GENERAL MTRS CO			1	2.C FE	5,476,050	118.9460	5,947,315	5,000,000	5,429,292	0	(46,758)	0	0	5.000	3.680	AO	62,500	250,000	01/13/2020	10/01/2028
37331N-AD-3	GEORGIA-PACIFIC LLC			1	1.G FE	1,532,660	107.2760	1,609,151	1,500,000	1,511,296	0	(5,251)	0	0	3.734	3.390	JJ	25,827	56,010	01/29/2016	07/15/2023
37331N-AH-4	GEORGIA-PACIFIC LLC			1	1.G FE	5,130,090	111.1090	5,555,455	5,000,000	5,057,059	0	(13,405)	0	0	3.600	3.280	MS	60,000	180,000	02/11/2015	03/01/2025
375558-AQ-6	GILEAD SCIENCES INC			1	2.A FE	3,536,600	100.0000	3,500,000	3,500,000	3,499,817	0	(5,777)	0	0	4.500	4.360	AO	39,375	157,500	06/14/2011	04/01/2021
375558-AW-3	GILEAD SCIENCES INC			1	2.A FE	497,785	108.9800	544,903	500,000	499,197	0	228	0	0	3.700	3.750	AO	4,625	18,500	03/07/2014	04/01/2024
375558-AZ-6	GILEAD SCIENCES INC			1	2.A FE	2,997,195	110.4460	3,254,858	2,947,000	2,968,850	0	(5,280)	0	0	3.500	3.290	FA	42,977	103,145	02/15/2017	02/01/2025
375558-BF-9	GILEAD SCIENCES INC			1	2.A FE	501,625	113.6090	568,048	500,000	500,864	0	(154)	0	0	3.650	3.610	MS	6,083	18,250	09/11/2015	03/01/2026
375558-BM-4	GILEAD SCIENCES INC			1	2.A FE	1,907,520	109.8280	2,196,580	2,000,000	1,938,268	0	8,822	0	0	2.950	3.510	MS	19,667	59,000	05/08/2017	03/01/2027
378272-AN-8	GLENCORE FDG LLC			1	2.A FE	972,300	113.0770	1,130,778	1,000,000	979,799	0	2,761	0	0	4.000	4.370	MS	10,444	40,000	03/06/2018	03/27/2027
378272-AQ-1	GLENCORE FDG LLC			1	2.A FE	4,978,250	112.6900	5,634,500	5,000,000	4,984,271	0	1,975	0	0	3.875	3.920	AO	34,444	193,750	10/30/2017	10/27/2027
37959E-AA-0	GLOBE LIFE INC			1	2.A FE	3,387,964	101.5760	3,418,038	3,365,000	3,387,419	0	(545)	0	0	2.150	2.070	FA	26,125	0	10/30/2020	08/15/2030
38141E-C2-3	GOLDMAN SACHS GRP IN			1	2.A FE	505,940	110.5630	552,820	500,000	502,317	0	(649)	0	0	3.850	3.690	JJ	9,251	19,250	10/29/2014	07/08/2024
38141G-RD-8	GOLDMAN SACHS GROUP				2.A FE	1,929,890	106.7070	2,134,152	2,000,000	1,982,491	0	7,995	0	0	3.625	4.070	JJ	32,021	72,500	07/23/2013	01/22/2023
38150A-D5-1	GOLDMAN SACHS GROUP			1,2	1.G FE	5,000,000	99.9640	4,998,217	5,000,000	5,000,000	0	0	0	0	3.000	3.000	JJ	62,917	150,000	07/29/2019	07/31/2029
39121J-AE-0	GREAT RIV ENERGY			1	1.G FE	4,702,700	121.1330	4,750,431	3,921,662	4,677,529	0	(92,363)	0	0	6.254	4.630	JJ	122,630	122,630	01/28/2020	07/01/2038
402524-AE-2	GULF SOUTH PIPELINE			1	2.C FE	755,993	102.9530	772,151	750,000	753,307	0	(2,060)	0	0	4.000	3.620	JD	1,333	30,000	12/20/2019	06/15/2022
402740-AD-6	GULFSTREAM NAT GAS S			1	2.B FE	3,477,340	113.8070	3,983,247	3,500,000	3,487,893	0	2,249	0	0	4.600	4.680	MS	47,406	161,000	12/07/2015	09/15/2025
40414L-AJ-8	HCP INC			1	2.A FE	2,339,415	109.4490	2,462,603	2,250,000	2,288,461	0	(15,235)	0	0	4.250	3.560	MN	12,219	95,625	01/30/2017	11/15/2023
40434L-AC-9	HP INC			1	2.B FE	1,995,800	111.2260	2,224,526	2,000,000	1,995,992	0	192	0	0	3.400	3.420	JD	2,644	34,000	06/09/2020	06/17/2030
406216-BD-2	HALLIBURTON CO			1	2.A FE	2,806,028	106.8430	3,077,087	2,880,000	2,857,826	0	8,021	0	0	3.500	3.810	FA	42,000	100,800	01/02/2014	08/01/2023
406216-BG-5	HALLIBURTON CO			1	2.A FE	4,786,247	112.1290	5,129,911	4,575,000	4,736,751	0	(32,427)	0	0	3.800	2.970	MN	22,214	173,850	09/04/2019	11/15/2025
406216-BJ-9	HALLIBURTON CO			1	2.A FE	5,615,553	116.6190	5,717,867	4,903,000	5,583,593	0	(31,960)	0	0	4.850	3.600	MN	30,385	237,796	02/04/2020	11/15/2035
40621P-AA-7	HALLIBURTON CO MTN B				2.A FE	614,520	124.9780	624,893	500,000	571,850	0	(10,220)	0	0	6.750	4.060	FA	14,063	33,750	07/06/2016	02/01/2027
42217K-BC-9	HEALTH CARE REIT INC			1	2.A FE	2,193,460	110.1210	2,202,427	2,000,000	2,081,666	0	(27,663)	0	0	4.500	2.960	JJ	41,500	90,000	09/30/2016	01/15/2024
42217K-BF-2	HEALTH CARE REIT INC			1	2.A FE	1,963,240	113.2880	2,265,764	2,000,000	1,980,768	0	3,882	0	0	4.000	4.240	JD	6,667	80,000	02/17/2016	06/01/2025
428236-BQ-5	HEWLETT PACKARD CO			1	2.B FE	760,583	102.6350	769,769	750,000	750,924	0	(1,268)	0	0	4.375	4.190	MS	9,661	32,813	03/29/2012	09/15/2021
42824C-AW-9	HEWLETT PACKARD ENTE			1	2.B FE	10,080,940	117.0660	11,706,666	10,000,000	10,155,326	0	(31,830)	0	0	4.900	4.480	AO	103,444	490,000	06/08/2017	10/15/2025
431116-AB-8	HIGHMARK INC			1	1.G FE	6,990,528	101.6240	7,032,381	6,920,000	6,925,155	0	(13,494)	0	0	4.750	4.540	MN	42,001	328,700	04/19/2016	05/15/2021
437076-AW-2	HOME DEPOT INC			1	1.F FE	500,000	100.0000	500,000	500,000	500,000	0	0	0	0	4.400	4.400	AO	5,500	22,000	03/29/2011	04/01/2021
437076-CB-6	HOME DEPOT INC			1	1.F FE	2,983,230	111.5510	3,346,534	3,000,000	2,984,315	0	1,085	0	0	2.700	2.760	AO	17,100	43,875	03/26/2020	04/15/2030
44106M-AT-9	HOSPITALITY PPTYS TR			1	3.C FE	5,045,870	98.5000	4,925,000	5,000,000	5,024,300	0	(6,942)	0	0	4.500	4.330	MS	66,250	225,000	06/09/2017	03/15/2025
448579-AE-2	HYATT HOTELS CORP			1	2.C FE	1,085,686	105.1910	1,226,531	1,166,000	1,136,359	0	10,798	0	0	3.375	4.440	JJ	18,146	39,353	11/12/2015	07/15/2023
44891A-AK-3	HYUNDAI CAP AMER INC			1	2.A FE	1,854,440	106.1770	2,123,558	2,000,000	1,905,873	0	14,489	0	0	2.750	3.660	MS	14,361	55,000	04/05/2017	09/27/2026
45167R-AF-1	IDEX CORP			1	2.B FE	3,499,650	102.7260	3,595,428	3,500,000	3,499,961	0	39	0	0	4.200	4.200	JD	6,533	147,000	12/09/2011	12/15/2021
452308-AT-6	ILLINOIS TOOL WKS IN			1	1.F FE	2,007,490	108.7910	2,175,837	2,000,000	2,002,993	0	(1,018)	0	0	3.500	3.440	MS	23,333	70,000	06/10/2015	03/01/2024
458140-AM-2	INTEL CORP			1	1.E FE	5,696,828	104.7250	6,021,731	5,750,000	5,738,237	0	5,768	0	0	2.700	2.800	JD	6,900	155,250	05/09/2013	12/15/2022
45866F-AA-2	INTERCONTINENTAL EXC			1	2.A FE	5,599,957	109.4960	6,011,364	5,490,000	5,531,079	0	(13,824)	0	0	4.000	3.700	AO	46,360	219,600	03/21/2016	10/15/2023
45866F-AD-6	INTERCONTINENTAL EXC			1	2.A FE	1,551,555	113.5820	1,703,735	1,500,000	1,527,718	0	(5,395)	0	0	3.750	3.320	JD	4,688	56,250	04/14/2016	12/01/2025
459200-HP-9	INTERNATIONAL BUSINE			1	1.F FE	1,937,120	108.0300	2,160,612	2,000,000	1,981,262	0	6,785	0	0	3.375	3.750	FA	28,125	67,500	09/17/2013	08/01/2023
459200-HU-8	INTERNATIONAL BUSINE			1	1.F FE	2,069,710	109.5720	2,191,441	2,000,000	2,026,486	0	(7,975)	0	0	3.625	3.170	FA	27,993	72,500	05/04/2015	02/12/2024
459200-JG-7	INTERNATIONAL BUSINE			1	1.F FE	995,310	113.4710	1,134,714	1,000,000	997,390	0	457	0	0	3.450	3.500	FA	12,650	34,500	02/17/2016	02/19/2026
459902-AT-9	INTERNATIONAL GAME T			1	3.C FE	2,046,720	104.0000	2,080,000	2,000,000	2,014,604	0	(5,207)	0	0	5.350	5.040	AO	22,589	107,000	10/15/2013	10/15/2023
460146-CL-5	INTL PAPER CO			1	2.B FE	1,466,385	113.2770	1,699,169	1,500,000	1,481,313	0	3,278	0	0	3.800	4.070	JJ	26,283	57,000	01/29/2016	01/15/2026
465685-AP-0	ITC HLDGS CORP			1	2.B FE	5,093,945	112.5270	6,158,607	5,473,000	5,367,735	0	9,650	0	0	3.350	3.670	MN	23,427	183,346	06/18/2018	11/15/2027
46625H-KC-3	JPMORGAN CHASE & CO			2	1.F FE	3,023,760	109.5060	3,285,205	3,000,000	3,011,711	0	(2,874)	0	0	3.125	3.010	JJ	41,146	93,750	07/14/2016	01/23/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
46625H-MN-7	JPMORGAN CHASE & CO			2	1.F FE	1,113,955	113.5970	1,226,855	1,080,000	1,097,233	0	(3,653)	0	0	3.900	3.490	JJ	19,422	42,120	02/03/2016	07/15/2025
46625H-RV-4	JPMORGAN CHASE & CO			1	1.F FE	1,932,900	110.9160	2,218,340	2,000,000	1,956,120	0	6,808	0	0	2.950	3.370	AO	14,750	59,000	06/09/2017	10/01/2026
468502-AA-7	JACKSON NATL LIFE IN				2.A FE	4,392,691	136.5910	4,637,286	3,395,000	4,207,365	0	(114,186)	0	0	8.150	3.780	MS	81,471	276,693	06/05/2019	03/15/2027
47233J-AC-2	JEFFERIES GROUP LLC			1,2	2.B FE	1,000,000	100.5630	1,005,635	1,000,000	1,000,000	0	0	0	0	4.250	4.250	JJ	18,889	42,500	07/18/2016	07/21/2031
47233J-AG-3	JEFFERIES GROUP LLC			1	2.B FE	476,382	116.9330	555,436	475,000	475,911	0	(127)	0	0	4.850	4.810	JJ	10,623	23,038	01/11/2017	01/15/2027
47233J-AM-0	JEFFERIES GROUP LLC			1,2	2.C FE	2,000,000	102.2500	2,045,015	2,000,000	2,000,000	0	0	0	0	4.000	4.000	AO	14,000	80,000	04/25/2017	04/28/2030
48128G-D9-8	JPMORGAN CHASE & CO			1,2	1.F FE	920,000	100.6790	926,247	920,000	920,000	0	0	0	0	2.500	2.500	FA	8,497	23,000	08/16/2016	08/18/2028
48128G-X8-8	JPMORGAN CHASE & CO				1.G	3,000,000	98.8470	2,965,425	3,000,000	3,000,000	0	0	0	0	2.000	2.000	JD	3,333	0	12/09/2020	12/11/2035
48203R-AJ-3	JUNIPER NETWORKS INC			1	2.B FE	5,123,910	113.7280	5,686,427	5,000,000	5,069,123	0	(14,779)	0	0	4.350	3.980	JD	9,667	217,500	02/01/2018	06/15/2025
48203R-AM-6	JUNIPER NETWORKS INC			1	2.B FE	5,043,900	115.1990	5,759,988	5,000,000	5,038,876	0	(3,773)	0	0	3.750	3.640	FA	70,833	181,771	09/04/2019	08/15/2029
482480-AE-0	KLA-TENCOR CORP			1	2.A FE	1,012,830	113.8040	1,138,042	1,000,000	1,006,017	0	(1,500)	0	0	4.650	4.460	MN	7,750	46,500	12/29/2015	11/01/2024
48249D-AA-9	KKR GROUP FINANCE LL			1	1.F FE	9,019,870	131.8020	10,544,170	8,000,000	8,936,839	0	(24,849)	0	0	5.500	4.630	FA	183,333	440,000	06/06/2017	02/01/2043
491674-BK-2	KENTUCKY UTILS CO			1	1.F FE	1,999,140	110.8050	2,216,112	2,000,000	1,999,559	0	84	0	0	3.300	3.300	AO	16,500	66,000	09/21/2015	10/01/2025
49326E-ED-1	KEYCORP MEDIUM TERM				2.A FE	518,210	101.0480	505,240	500,000	500,530	0	(2,247)	0	0	5.100	4.630	MS	6,871	25,500	06/27/2011	03/24/2021
49327M-2K-9	KEYBANK NATIONAL ASS				1.G FE	2,914,840	111.2640	3,337,932	3,000,000	2,958,057	0	8,594	0	0	3.300	3.640	JD	8,250	99,000	11/10/2015	06/01/2025
494550-BJ-4	KINDER MORGAN ENERGY			1	2.B FE	509,480	104.2340	521,172	500,000	501,286	0	(1,058)	0	0	4.150	3.920	MS	6,917	20,750	12/13/2011	03/01/2022
494550-BL-9	KINDER MORGAN ENERGY			1	2.B FE	259,538	104.9330	262,333	250,000	251,585	0	(1,067)	0	0	3.950	3.490	MS	3,292	9,875	07/11/2012	09/01/2022
497266-AC-0	KIRBY CORP			1	2.C FE	4,963,150	110.8670	5,543,394	5,000,000	4,972,172	0	3,253	0	0	4.200	4.290	MS	70,000	210,000	03/20/2018	03/01/2028
500255-AU-8	KOHLS CORP			1	2.C FE	1,505,205	108.5180	1,627,776	1,500,000	1,502,699	0	(545)	0	0	4.250	4.200	JJ	29,042	63,750	10/28/2015	07/17/2025
501044-DJ-7	KROGER CO			1	2.A FE	4,981,900	114.9240	5,746,240	5,000,000	4,987,256	0	1,678	0	0	3.700	3.740	FA	77,083	185,000	10/30/2017	08/01/2027
512807-AN-8	LAM RESEARCH CORP			1	1.G FE	4,358,700	112.3910	5,057,627	4,500,000	4,428,355	0	15,229	0	0	3.800	4.210	MS	50,350	171,000	04/11/2016	03/15/2025
52107Q-AG-0	LAZARD LLC			1	2.A FE	2,209,514	111.0300	2,464,874	2,220,000	2,212,491	0	1,662	0	0	3.750	3.840	MS	27,750	83,250	03/07/2019	02/13/2025
52107Q-AK-1	LAZARD LLC			1	2.A FE	2,041,480	117.2100	2,344,216	2,000,000	2,035,605	0	(3,709)	0	0	4.375	4.110	MS	26,736	87,500	05/10/2019	03/11/2029
521865-AY-1	LEAR CORP			1	2.C FE	4,961,670	112.1390	5,606,974	5,000,000	4,967,642	0	4,159	0	0	3.800	3.910	MS	55,944	190,000	10/11/2019	09/15/2027
521865-BA-2	LEAR CORP CORPORATE			1	2.B FE	9,314,201	114.2160	10,570,746	9,255,000	9,313,951	0	(5,334)	0	0	4.250	4.150	MN	50,260	393,338	01/16/2020	05/15/2029
524660-AW-7	LEGGETT & PLATT INC			1	2.C FE	1,830,888	103.2000	1,909,215	1,850,000	1,846,153	0	2,265	0	0	3.400	3.530	FA	23,762	62,900	07/18/2013	08/15/2022
524660-AZ-0	LEGGETT & PLATT INC			1	2.C FE	4,979,900	114.4230	5,721,187	5,000,000	4,982,976	0	1,686	0	0	4.400	4.450	MS	64,778	220,000	03/08/2019	03/15/2029
524901-AV-7	LEGG MASON INC			1	1.G FE	5,155,760	118.7170	5,935,885	5,000,000	5,096,096	0	(16,254)	0	0	4.750	4.320	MS	69,931	237,500	05/01/2017	03/15/2026
529043-AC-5	LEXINGTON REALTY TRU			1	2.B FE	4,995,800	106.4920	5,324,606	5,000,000	4,998,066	0	732	0	0	4.250	4.260	JD	9,444	212,500	03/01/2018	06/15/2023
529043-AD-3	LEXINGTON REALTY TRU			1	2.B FE	1,985,140	108.7710	2,175,436	2,000,000	1,992,339	0	2,005	0	0	4.400	4.520	JD	3,911	88,000	03/28/2017	06/15/2024
53079E-AW-4	LIBERTY MUT GROUP IN			1	2.B FE	756,473	105.8130	793,604	750,000	751,052	0	(747)	0	0	4.950	4.840	MN	6,188	37,125	05/02/2012	05/01/2022
53227J-AA-2	LIFE STORAGE LP			1	2.B FE	1,989,960	114.2080	2,284,166	2,000,000	1,992,637	0	904	0	0	3.875	3.930	JD	3,444	77,500	12/19/2017	12/15/2027
534187-BC-2	LINCOLN NATL CORP IN			1	2.A FE	5,836,905	104.3250	5,998,700	5,750,000	5,762,940	0	(10,294)	0	0	4.200	4.000	MS	71,108	241,500	08/09/2013	03/15/2022
534187-BE-8	LINCOLN NATL CORP IN			1	2.A FE	1,665,038	109.7240	1,920,185	1,750,000	1,706,880	0	9,283	0	0	3.350	3.990	MS	18,239	58,625	03/09/2016	03/09/2025
534187-BF-5	LINCOLN NATL CORP IN			1	2.A FE	3,498,996	115.3940	4,069,965	3,527,000	3,502,758	0	3,470	0	0	3.625	3.750	MS	38,711	127,854	02/28/2019	12/12/2026
539830-AR-0	LOCKHEED MARTIN CORP			1	1.G FE	1,006,646	151.8390	1,518,398	1,000,000	1,004,851	0	(185)	0	0	6.150	6.100	MS	20,500	61,500	08/30/2006	09/01/2036
540424-AQ-1	LOEWS CORP			1	1.G FE	3,895,520	104.6840	4,187,382	4,000,000	3,969,188	0	12,353	0	0	2.625	2.960	MN	13,417	105,000	02/09/2015	05/15/2023
540424-AS-7	LOEWS CORP			1	1.G FE	1,520,490	114.2710	1,714,068	1,500,000	1,511,423	0	(2,040)	0	0	3.750	3.580	AO	14,063	56,250	03/23/2016	04/01/2026
543859-AH-5	LORAL CORP				1.G FE	971,900	115.8290	1,158,290	1,000,000	993,892	0	1,251	0	0	7.000	7.250	MS	20,611	70,000	10/06/1993	09/15/2023
55279H-AK-6	MANUFACTURER AND TRA			2	1.F FE	1,984,554	108.7550	2,175,102	2,000,000	1,993,104	0	1,560	0	0	2.900	2.990	FA	23,361	58,000	02/11/2015	02/06/2025
55279H-AQ-3	MANUFACTURER AND TRA			2	1.G FE	4,353,772	113.0130	4,893,491	4,330,000	4,346,828	0	(2,285)	0	0	3.400	3.330	FA	54,799	147,220	09/28/2017	08/17/2027
55336V-AJ-9	MPLX LP			1	2.B FE	3,116,815	115.4830	3,343,261	2,895,000	3,027,172	0	(28,810)	0	0	4.875	3.680	JD	11,761	141,131	09/29/2017	06/01/2025
55336V-AR-1	MPLX LP			1	2.B FE	3,104,100	115.0070	3,450,223	3,000,000	3,089,741	0	(11,190)	0	0	4.000	3.510	MS	35,333	120,000	09/13/2019	03/15/2028
55448Q-AQ-9	MACK-CALI RLTY L P			1	3.C FE	652,933	99.5190	612,042	615,000	619,952	0	(4,529)	0	0	4.500	3.710	AO	5,843	27,675	09/10/2012	04/18/2022
55448Q-AS-5	MACK-CALI RLTY L P			1	3.C FE	2,924,765	98.7570	3,253,086	3,294,000	3,187,237	0	41,681	0	0	3.150	4.600	MN	13,258	103,761	10/15/2013	05/15/2023
55616X-AH-0	MACYS RETAIL HLDGS I			1	4.A FE	767,862	95.7500	816,748	853,000	824,302	0	12,607	0	0	2.875	4.550	FA	9,265	24,524	02/10/2016	02/15/2023
565849-AK-2	MARATHON OIL CORP			1	2.C FE	1,123,154	102.7830	1,272,463	1,238,000	1,205,216	0	16,840	0	0	2.800	4.310	MN	5,777	34,664	08/28/2015	11/01/2022
565849-AL-0	MARATHON OIL CORP			1	2.C FE	1,787,360	107.1740	2,143,480	2,000,000	1,889,630	0	21,667	0	0	3.850	5.260	JD	6,417	77,000	09/28/2015	06/01/2025
56585A-AD-4	MARATHON PETE CORP			1	2.B FE	3,169,750	100.7070	3,021,219	3,000,000	3,005,115	0	(30,237)	0	0	5.125	4.060	MS	51,250	153,750	05/26/2016	03/01/2021
56585A-AG-7	MARATHON PETE CORP			1	2.B FE	2,747,060	109.1110	3,009,302	2,758,000	2,754,389	0	634	0	0	3.625	3.650	MS	29,438	99,978	08/26/2016	09/15/2024
56607V-AA-8	MARBLE PT LN FING LT			1	1.G PL	2,000,000	100.0000	2,000,000	2,000,000	2,000,000	0	0	0	0	7.500	7.500	MN	19,167	150,000	11/15/2018	11/16/2025
571748-AX-0	MARSH & MCLENNAN COS			1	2.A FE	1,498,125	110.8930	1,663,404	1,500,000	1,499,071	0	202	0	0	3.500	3.510	MS	16,188	52,500	02/16/2016	03/10/2025
571748-AZ-5	MARSH & MCLENNAN COS			1	2.A FE	3,049,200	113.4370	3,403,124	3,000,000	3,028,201	0	(5,108)	0	0	3.750	3.540	MS	33,438	112,500	03/03/2017	03/14/2026

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
57629W-BR-0	MASSMUTUAL GLBL FDG				1.B FE	4,720,225	103.8180	5,045,585	4,860,000	4,830,845	0	15,608	0	0	2.500	2.840	AO	24,975	121,500	03/11/2013	10/17/2022
577778-AS-2	MAY DEPT STORES CO				4.B FE	25,125	99.5000	24,875	25,000	25,003	0	(20)	0	0	9.750	9.660	FA	921	2,438	02/25/1991	02/15/2021
58601V-AA-0	MEMORIAL HEALTH SVCS			1	1.D FE	5,001,465	104.1720	4,948,200	4,750,000	4,788,603	0	(28,022)	0	0	3.496	2.870	MN	27,677	166,060	07/23/2012	05/01/2022
58933Y-AF-2	MERCK & CO INC			1	1.E FE	1,858,460	106.0410	2,120,821	2,000,000	1,960,133	0	15,746	0	0	2.800	3.680	MN	6,689	56,000	12/06/2013	05/18/2023
58933Y-AR-6	MERCK & CO INC			1	1.E FE	979,520	108.6130	1,086,138	1,000,000	990,785	0	2,078	0	0	2.750	2.990	FA	10,771	27,500	03/06/2015	02/10/2025
58933Y-AX-3	MERCK & CO. INC			1	1.E FE	5,251,285	116.2820	5,824,601	5,009,000	5,232,097	0	(19,188)	0	0	3.400	2.770	MS	53,930	85,153	03/19/2020	03/07/2029
589400-AB-6	MERCURY GENL CORP NE			1	2.B FE	6,717,167	110.6950	7,278,222	6,575,000	6,695,376	0	(12,033)	0	0	4.400	4.040	MS	85,183	254,650	05/29/2020	03/15/2027
59156R-BF-4	METLIFE INC			1	1.G FE	1,996,960	105.2400	2,104,819	2,000,000	1,999,318	0	333	0	0	3.048	3.060	MJSD	2,709	60,960	03/05/2013	12/15/2022
59156R-BQ-0	METLIFE INC			1	1.G FE	2,553,775	113.1850	2,829,639	2,500,000	2,528,922	0	(5,709)	0	0	3.600	3.320	MN	12,000	90,000	05/20/2016	11/13/2025
592173-AE-8	METROPOLITAN LIFE IN				1.F FE	2,613,060	129.4070	2,588,149	2,000,000	2,379,497	0	(70,900)	0	0	7.800	3.490	MN	26,000	156,000	07/19/2017	11/01/2025
59217G-AQ-2	METROPOLITAN LIFE GL				1.D FE	252,103	104.5120	261,281	250,000	250,315	0	(236)	0	0	3.875	3.770	AO	2,153	9,688	04/11/2012	04/11/2022
59523U-AA-5	MID-AMERICA APTS LP			1	2.A FE	2,229,963	109.0600	2,339,346	2,145,000	2,171,846	0	(9,858)	0	0	4.300	3.780	AO	19,472	92,235	06/16/2014	10/15/2023
59523U-AM-9	MID-AMERICA APTS LP			1	2.A FE	497,995	113.1920	565,963	500,000	498,926	0	196	0	0	4.000	4.040	MN	2,556	20,000	11/05/2015	11/15/2025
595620-AB-1	MIDAMERICAN ENERGY C			1	1.E FE	3,043,691	149.4390	3,302,606	2,210,000	2,884,865	0	(49,851)	0	0	6.750	3.390	JD	414	149,175	09/22/2017	12/30/2031
608190-AL-8	MOHAWK INDS INC			1	2.A FE	1,002,550	111.7570	1,117,578	1,000,000	1,002,420	0	(130)	0	0	3.625	3.590	MN	4,632	18,226	05/15/2020	05/15/2030
617446-8C-6	MORGAN STANLEY			1	2.A FE	1,031,860	114.4640	1,144,647	1,000,000	1,016,349	0	(3,242)	0	0	4.000	3.600	JJ	17,556	40,000	10/29/2015	07/23/2025
61746B-DQ-6	MORGAN STANLEY			1	2.A FE	1,978,420	110.7000	2,214,004	2,000,000	1,991,849	0	2,245	0	0	3.875	4.000	AO	13,347	77,500	04/29/2014	04/29/2024
61747Y-DU-6	MORGAN STANLEY				2.B FE	2,845,560	108.5220	3,255,681	3,000,000	2,955,620	0	17,133	0	0	4.100	4.760	MN	13,325	123,000	07/24/2013	05/22/2023
61945C-AA-1	MOSAIC CO NEW			1	2.C FE	504,330	101.9860	509,933	500,000	500,338	0	(595)	0	0	3.750	3.640	MN	2,396	18,750	10/21/2011	11/15/2021
61945C-AC-7	MOSAIC CO NEW			1	2.C FE	7,927,710	109.2200	8,191,567	7,500,000	7,672,951	0	(69,768)	0	0	4.250	3.310	MN	40,729	318,750	09/09/2016	11/15/2023
61980A-AD-5	MOTIVA ENTERPRISES L			1	2.B FE	5,997,480	124.0080	6,200,444	5,000,000	5,934,608	0	(27,978)	0	0	6.850	5.280	JJ	157,931	342,500	05/08/2019	01/15/2040
626717-AD-4	MURPHY OIL CORP			1	3.C FE	753,000	100.1360	751,020	750,000	750,456	0	(345)	0	0	4.000	3.950	JD	2,500	30,000	05/24/2012	06/01/2022
626717-AF-9	MURPHY OIL CORP			1	3.C FE	1,992,060	101.5000	2,030,000	2,000,000	2,027,354	0	22,027	0	0	4.450	3.590	JD	7,417	94,000	05/29/2014	12/01/2022
628530-BK-2	MYLAN INC			1	2.C FE	4,318,080	118.8310	4,753,268	4,000,000	4,274,039	0	(33,838)	0	0	4.550	3.440	AO	38,422	182,000	09/05/2019	04/15/2028
629568-AX-4	NABORS INDS INC			1	5.C FE	162,178	90.0000	187,200	208,000	179,520	0	16,745	47,310	0	4.625	27.170	MS	2,833	9,620	05/29/2012	09/15/2021
631103-AF-5	NASDAQ INC			1	2.B FE	2,001,840	110.9100	2,218,207	2,000,000	2,001,201	0	(320)	0	0	4.250	4.230	JD	7,083	85,000	12/03/2018	06/01/2024
631103-AG-3	NASDAQ INC			1	2.B FE	1,974,040	114.7430	2,294,870	2,000,000	1,983,858	0	2,576	0	0	3.850	4.010	JD	214	77,000	12/14/2016	06/30/2026
636180-BK-6	NATIONAL FUEL GAS CO			1	2.C FE	3,053,474	102.7550	3,082,673	3,000,000	3,005,215	0	(9,125)	0	0	4.900	4.620	JD	12,250	147,000	10/10/2013	12/01/2021
636180-BL-4	NATIONAL FUEL GAS CO			1	2.C FE	1,869,920	104.9690	2,099,382	2,000,000	1,953,690	0	19,805	0	0	3.750	4.890	MS	25,000	75,000	05/23/2016	03/01/2023
636180-BM-2	NATIONAL FUEL GAS CO			1	2.C FE	1,010,480	112.1820	1,121,829	1,000,000	1,005,442	0	(1,093)	0	0	5.200	5.050	JJ	23,978	52,000	10/06/2015	07/15/2025
637071-AJ-0	NATIONAL OILWELL VAR			1	2.A FE	2,066,583	102.4410	2,341,819	2,286,000	2,213,150	0	35,645	0	0	2.600	4.330	JD	4,953	59,436	12/05/2016	12/01/2022
637071-AM-3	NATIONAL OILWELL VAR			1	2.A FE	7,977,840	104.5170	8,361,422	8,000,000	7,980,321	0	2,179	0	0	3.600	3.630	JD	24,000	301,600	01/15/2020	12/01/2029
637417-AF-3	NATIONAL RETAIL PPTY			1	2.A FE	2,797,650	105.5940	3,167,838	3,000,000	2,944,861	0	22,522	0	0	3.300	4.150	AO	20,900	99,000	07/24/2013	04/15/2023
637417-AJ-5	NATIONAL RETAIL PPTY			1	2.A FE	1,406,432	109.9810	1,567,235	1,425,000	1,412,935	0	1,776	0	0	3.600	3.760	JD	2,280	51,300	03/02/2017	12/15/2026
637417-AK-2	NATIONAL RETAIL PPTY			1	2.A FE	4,169,350	110.2530	4,685,792	4,250,000	4,192,273	0	7,346	0	0	3.500	3.720	AO	31,403	148,750	10/25/2017	10/15/2027
637432-NS-0	NATIONAL RURAL UTILS			1	1.E FE	5,267,100	116.8770	5,843,872	5,000,000	5,246,181	0	(20,919)	0	0	3.700	3.000	MS	54,472	92,500	03/19/2020	03/15/2029
63743F-YD-2	NATIONAL RURAL UTILS				1.F FE	3,500,000	111.3730	3,898,063	3,500,000	3,500,000	0	0	0	0	3.500	3.500	MON	5,444	122,500	11/16/2015	11/15/2025
63743F-ZC-3	NATIONAL RURAL UTILS				1.F FE	2,000,000	106.8640	2,137,290	2,000,000	2,000,000	0	0	0	0	3.250	3.250	MON	2,889	65,000	04/04/2016	04/15/2028
63743F-ZU-3	NATIONAL RURAL UTILS				1.F FE	1,500,000	108.5280	1,627,929	1,500,000	1,500,000	0	0	0	0	3.000	3.000	MON	2,000	45,000	06/13/2016	06/15/2028
63743F-ZZ-2	NATIONAL RURAL UTILS				1.F FE	1,000,000	107.6680	1,076,682	1,000,000	1,000,000	0	0	0	0	3.000	3.000	MON	1,333	30,000	07/05/2016	07/15/2029
638612-AK-7	NATIONWIDE FINL SVCS			1	2.A FE	767,393	101.0860	758,148	750,000	750,513	0	(2,148)	0	0	5.375	5.070	MS	10,750	40,313	01/05/2012	03/25/2021
64128X-AG-5	NEUBERGER BERMAN GRP			1	2.B FE	5,048,700	112.9050	5,645,265	5,000,000	5,038,962	0	(5,575)	0	0	4.500	4.350	MS	66,250	225,000	03/18/2019	03/15/2027
64952G-AE-8	NEW YORK LIFE INS CO			1	1.C FE	5,435,415	137.0760	6,168,440	4,500,000	5,315,471	0	(50,071)	0	0	5.875	4.000	MN	33,781	264,375	07/13/2018	05/15/2033
64952W-CH-4	NEW YORK LIFE GBL FD				1.A FE	1,883,780	106.7520	2,135,047	2,000,000	1,929,184	0	11,574	0	0	2.350	3.050	JJ	21,803	47,000	11/18/2016	07/14/2026
651639-AN-6	NEWMONT MINING CORP			1	2.A FE	5,462,283	102.7910	5,910,529	5,750,000	5,701,381	0	38,124	0	0	3.500	4.180	MS	59,257	201,250	10/11/2013	03/15/2022
651639-AT-3	NEWMONT GOLDCORP COR			1	2.A FE	913,100	105.7580	1,057,588	1,000,000	970,061	0	12,607	0	0	3.700	5.150	MS	10,894	37,000	08/06/2019	03/15/2023
65339K-AA-8	NEXTERA ENERGY CAP H			1	2.A FE	501,180	100.6500	503,253	500,000	500,025	0	(173)	0	0	4.500	4.470	JD	1,875	22,500	06/28/2011	06/01/2021
65339K-AG-5	NEXTERA ENERGY CAP H			1	2.A FE	1,887,300	106.7720	2,135,443	2,000,000	1,967,032	0	12,464	0	0	3.625	4.340	JD	3,222	72,500	09/17/2013	06/15/2023
654894-AF-1	NOBLE AFFILIATES INC				2.C FE	1,295,580	134.7240	1,347,247	1,000,000	1,249,348	0	(35,095)	0	0	8.000	3.510	AO	20,000	80,000	08/30/2019	04/01/2027
655044-AH-8	NOBLE ENERGY INC			1	2.C FE	934,960	111.5360	1,115,363	1,000,000	968,927	0	7,144	0	0	3.900	4.780	MN	4,983	39,000	10/01/2015	11/15/2024
655664-AP-5	NORDSTROM INC			1	3.A FE	499,140	101.1610	505,806	500,000	499,919	0	99	0	0	4.000	4.020	AO	4,222	20,000	10/05/2011	10/15/2021
665859-AN-4	NORTHERN TR CORP				1.F FE	747,878	103.3310	774,987	750,000	749,629	0	227	0	0	2.375	2.400	FA	7,372	17,813	07/30/2012	08/02/2022
665859-AP-9	NORTHERN TR CORP				1.F FE	5,896,733	115.3910	6,634,985	5,750,000	5,822,120	0	(13,460)	0	0	3.950	3.660	AO	38,485	227,125	11/10/2015	10/30/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
670346-AL-9	NUCOR CORP			1	2.A FE	1,523,822	105.3640	1,580,465	1,500,000	1,503,498	0	(1,705)	0	0	4.125	3.950	MS	18,219	61,875	04/05/2011	09/15/2022
670346-AM-7	NUCOR CORP			1	2.A FE	4,956,532	108.0340	5,409,311	5,007,000	4,991,164	0	5,694	0	0	4.000	4.130	FA	83,450	200,280	01/12/2016	08/01/2023
674599-CE-3	OCCIDENTAL PETE CORP			1	3.C FE	862,856	99.9050	870,173	871,000	869,039	0	885	0	0	2.700	2.810	FA	8,884	23,517	05/13/2013	02/15/2023
674599-CG-8	OCCIDENTAL PETE CORP			1	3.C FE	2,495,410	96.5960	2,414,900	2,500,000	2,497,744	0	460	0	0	3.500	3.520	JD	3,889	87,500	09/17/2015	06/15/2025
674599-CH-6	OCCIDENTAL PETE CORP			1	3.C FE	1,269,891	95.3400	1,191,756	1,250,000	1,261,171	0	(2,013)	0	0	3.400	3.200	AO	8,972	42,500	11/30/2016	04/15/2026
674599-DA-0	OCCIDENTAL PETE CORP			1	3.B FE	1,206,525	95.5000	1,432,500	1,500,000	1,358,082	0	34,645	0	0	3.450	6.480	JJ	23,863	51,750	09/13/2019	07/15/2024
675232-AA-0	OCEANEERING INTL INC			1	4.A FE	1,462,420	91.0000	1,820,000	2,000,000	1,487,768	0	24,435	542,949	0	4.650	13.320	MN	11,883	93,000	07/12/2016	11/15/2024
680223-AJ-3	OLD REP INTL CORP			1	2.B FE	6,505,466	113.9930	6,959,314	6,105,000	6,315,859	0	(52,598)	0	0	4.875	3.850	AO	74,405	297,619	07/19/2017	10/01/2024
680223-AK-0	OLD REP INTL CORP			1	2.B FE	2,864,708	114.8360	3,295,816	2,870,000	2,866,331	0	558	0	0	3.875	3.890	FA	38,615	111,213	05/04/2017	08/26/2026
681936-BK-5	OMEGA HEALTHCARE INV			1	2.C FE	5,092,500	113.9160	5,695,838	5,000,000	5,068,074	0	(8,355)	0	0	4.750	4.510	JJ	109,514	237,500	10/18/2017	01/15/2028
682680-AQ-6	ONEOK INC NEW			1	2.C FE	4,745,703	102.9300	4,889,188	4,750,000	4,748,935	0	678	0	0	4.250	4.260	FA	84,115	201,875	07/24/2013	02/01/2022
68268N-AJ-2	ONEOK PARTNERS LP			1	2.B FE	2,959,710	104.0750	3,122,261	3,000,000	2,991,426	0	4,671	0	0	3.375	3.540	AO	25,313	101,250	05/30/2013	10/01/2022
68268N-AP-8	ONEOK PARTNERS LP			1	2.B FE	2,079,940	113.7170	2,274,356	2,000,000	2,049,737	0	(11,303)	0	0	4.900	4.210	MS	28,856	98,000	03/21/2018	03/15/2025
68389X-BC-8	ORACLE CORP			1	1.G FE	1,971,260	109.7920	2,195,848	2,000,000	1,986,371	0	2,867	0	0	2.950	3.110	MN	7,539	59,000	05/04/2015	05/15/2025
68389X-BN-4	ORACLE CORP			1	1.G FE	3,073,170	113.9930	3,419,795	3,000,000	3,066,364	0	(6,806)	0	0	3.250	2.880	MN	12,458	97,500	03/24/2020	11/15/2027
68389X-BV-6	ORACLE CORP			1	1.G FE	4,994,850	111.7540	5,587,723	5,000,000	4,995,186	0	336	0	0	2.950	2.960	AO	36,875	73,750	03/30/2020	04/01/2030
693476-BN-2	PNC FUNDING CORP			2	1.G FE	997,040	103.2940	1,032,943	1,000,000	999,596	0	329	0	0	3.300	3.330	MS	10,358	33,000	03/08/2012	03/08/2022
69349L-AQ-1	PNC BK N A PITTSBURG			2	1.G FE	1,741,446	115.2590	1,815,329	1,575,000	1,668,873	0	(18,222)	0	0	4.200	2.840	MN	11,025	66,150	10/27/2016	11/01/2025
693506-BP-1	PPG INDS INC			1	1.G FE	5,055,050	119.9870	5,999,379	5,000,000	5,045,657	0	(5,656)	0	0	3.750	3.600	MS	55,208	187,500	04/16/2019	03/15/2028
69351U-AQ-6	PPL ELEC UTILS CORP			1	1.F FE	996,650	102.8470	1,028,480	1,000,000	999,383	0	358	0	0	2.500	2.530	MS	8,333	25,000	08/21/2012	09/01/2022
69353R-EK-0	PNC BK N A PITTSBURG			2	1.F FE	992,150	109.4610	1,094,611	1,000,000	995,828	0	931	0	0	2.950	3.050	FA	10,489	29,500	11/17/2016	02/23/2025
69362B-BA-9	PSEG PWR LLC			1	2.B FE	3,655,984	109.1000	3,960,349	3,630,000	3,639,872	0	(3,422)	0	0	4.300	4.190	MN	19,945	156,090	12/10/2015	11/15/2023
703481-AB-7	PATTERSON UTI ENERGY			1	3.A FE	7,546,965	92.2760	7,174,517	7,775,000	7,587,645	0	18,080	0	0	3.950	4.340	FA	127,964	307,113	06/13/2019	02/01/2028
709599-AX-2	PENSKE TRUCK LEASING			1	2.B FE	7,050,460	115.0120	8,050,890	7,000,000	7,032,180	0	(4,718)	0	0	4.200	4.110	AO	73,500	294,000	04/02/2019	04/01/2027
712704-AA-3	PEOPLES UNITED FINAN			1	2.B FE	2,350,941	104.7650	2,498,653	2,385,000	2,376,067	0	4,366	0	0	3.650	3.850	JD	6,045	87,053	09/11/2015	12/06/2022
713448-CT-3	PEPSICO INC			1	1.E FE	2,687,845	108.8290	3,027,634	2,782,000	2,737,354	0	9,475	0	0	2.750	3.140	AO	12,963	76,505	06/08/2015	04/30/2025
717081-DM-2	PFIZER INC			1	1.F FE	1,421,810	109.8730	1,538,233	1,400,000	1,408,633	0	(2,390)	0	0	3.400	3.200	MN	6,082	47,600	05/06/2015	05/15/2024
717081-EW-9	PFIZER INC			1	1.F FE	2,989,500	111.5450	3,346,373	3,000,000	2,990,155	0	655	0	0	2.625	2.670	AO	20,125	40,250	03/25/2020	04/01/2030
718546-AC-8	PHILLIPS 66			1	2.A FE	2,849,055	104.7230	2,879,906	2,750,000	2,765,992	0	(12,264)	0	0	4.300	3.810	AO	29,563	118,250	07/12/2013	04/01/2022
718549-AB-4	PHILLIPS 66 PARTNERS			1	2.C FE	921,920	108.0560	1,080,561	1,000,000	961,215	0	8,354	0	0	3.605	4.650	FA	13,619	36,050	11/02/2015	02/15/2025
718549-AD-0	PHILLIPS 66 PARTNERS			1	2.C FE	1,200,663	109.5360	1,369,204	1,250,000	1,217,919	0	4,863	0	0	3.550	4.050	AO	11,094	44,375	03/29/2017	10/01/2026
720198-AC-4	PIEDMONT OPER PARTNE			1	2.B FE	1,755,834	104.0860	1,941,206	1,865,000	1,823,936	0	15,780	0	0	3.400	4.370	JD	5,284	63,410	12/12/2016	06/01/2023
720198-AD-2	PIEDMONT OPER PARTNE			1	2.B FE	6,399,478	107.0930	6,570,202	6,135,000	6,269,610	0	(42,399)	0	0	4.450	3.650	MS	80,386	273,008	11/06/2017	03/15/2024
72650R-BB-7	PLAINS ALL AMERN PIP			1	3.A FE	2,666,595	103.5450	3,106,370	3,000,000	2,887,940	0	49,905	0	0	2.850	4.730	JJ	35,863	85,500	04/08/2016	01/31/2023
737415-AL-3	POST APT HOMES L P			1	2.A FE	962,200	104.3270	1,043,274	1,000,000	989,108	0	5,367	0	0	3.375	3.970	JD	2,813	33,750	07/14/2015	12/01/2022
74005P-BA-1	PRAXAIR INC			1	1.F FE	4,321,149	101.8550	4,583,503	4,500,000	4,477,080	0	19,817	0	0	2.450	2.910	FA	41,650	110,250	03/19/2012	02/15/2022
74052B-AA-5	PREMIER HEALTH PARTN			1	2.A FE	4,746,147	105.0380	5,251,904	5,000,000	4,830,245	0	25,551	0	0	2.911	3.550	MN	18,598	145,550	09/26/2017	11/15/2026
741503-AW-6	PRICELINE GRP INC			2	1.G FE	1,004,900	110.6390	1,106,399	1,000,000	1,002,634	0	(600)	0	0	3.650	3.570	MS	10,747	36,500	12/07/2016	03/15/2025
74164M-AA-6	PRIMERICA INC			1	1.G FE	8,441,651	106.4750	8,518,022	8,000,000	8,105,669	0	(66,534)	0	0	4.750	3.830	JJ	175,222	380,000	07/29/2016	07/15/2022
74251V-AE-2	PRINCIPAL FINL GROUP			1	1.G FE	996,360	104.9150	1,049,157	1,000,000	999,293	0	397	0	0	3.300	3.340	MS	9,717	33,000	09/05/2012	09/15/2022
74256L-AU-3	PRINCIPAL LIFE GLOBA			1	1.E FE	2,925,227	111.1740	3,259,644	2,932,000	2,928,158	0	659	0	0	3.000	3.020	AO	17,836	87,960	05/19/2016	04/18/2026
74348Y-R6-0	PROSPECT CAP CORP		2		2.C FE	3,000,000	98.6540	2,959,640	3,000,000	2,999,998	0	0	0	0	5.000	4.990	JJ	69,167	154,167	07/01/2019	07/15/2029
74348Y-U6-6	PROSPECT CAP CORP		2		2.C FE	2,000,000	93.1020	1,862,046	2,000,000	2,000,000	0	0	0	0	4.000	4.000	FA	30,222	80,000	08/12/2019	08/15/2029
74348Y-V8-1	PROSPECT CAP CORP		2		2.C FE	1,999,500	94.3860	1,887,725	2,000,000	1,999,562	0	57	0	0	4.000	4.000	MS	23,556	77,556	09/23/2019	09/15/2026
743674-BD-4	PROTECTIVE LIFE CORP			1	2.A FE	9,055,416	116.8420	10,167,601	8,702,000	9,010,848	0	(37,193)	0	0	4.300	3.730	MS	94,586	374,186	09/06/2019	09/30/2028
74432Q-BZ-7	PRUDENTIAL FINL INC			1	1.G FE	2,021,260	110.0520	2,201,045	2,000,000	2,008,218	0	(2,265)	0	0	3.500	3.370	MN	8,944	70,000	09/03/2014	05/15/2024
747525-AF-0	QUALCOMM INC			1	1.G FE	7,911,275	111.3250	8,906,037	8,000,000	7,954,414	0	9,394	0	0	3.450	3.590	MN	31,433	276,000	12/20/2017	05/20/2025
747525-AU-7	QUALCOMM INC			1	1.G FE	1,946,880	113.4630	2,269,263	2,000,000	1,962,281	0	5,170	0	0	3.250	3.580	MN	7,403	65,000	11/28/2017	05/20/2027
74834L-AV-2	QUEST DIAGNOSTICS IN			1	2.B FE	2,525,625	110.6260	2,765,655	2,500,000	2,509,138	0	(2,783)	0	0	4.250	4.120	AO	26,563	106,250	04/23/2014	04/01/2024
749607-AC-1	RLI CORP			1	2.A FE	3,271,399	108.9960	3,498,801	3,210,000	3,231,077	0	(7,173)	0	0	4.875	4.610	MS	46,077	156,488	06/26/2015	09/15/2023
751277-AR-5	RALSTON PURINA CO				1.E FE	1,011,560	113.3450	1,133,454	1,000,000	1,002,138	0	(679)	0	0	8.125	8.010	FA	33,854	81,250	03/22/1994	02/01/2023
754730-AE-9	RAYMOND JAMES FINANC			1	2.A FE	5,985,060	114.6870	6,881,243	6,000,000	5,989,665	0	2,308	0	0	3.625	3.650	MS	64,042	217,500	12/15/2016	09/15/2026
75513E-BW-0	RAYTHEON TECHNOLOGIE				2.A	4,814,450	103.6340	5,181,700	5,000,000	4,820,136	0	(28,314)	0	0	2.500	4.440	JD	5,556	0	12/08/2020	12/15/2022

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
755763-AA-7	READYCAP HLDGS LLC			1	1.E PL	4,114,340	102.1050	4,084,202	4,000,000	4,040,498	0	(44,092)	0	0	7.500	6.270	FA	113,333	300,000	04/12/2019	02/15/2022
756109-AN-4	REALTY INCOME CORP			1	1.G FE	4,464,030	104.2510	4,691,313	4,500,000	4,490,584	0	5,020	0	0	3.250	3.370	AO	30,875	146,250	03/08/2016	10/15/2022
759351-AJ-8	REINSURANCE GROUP AM			1	2.A FE	3,592,265	101.8520	3,564,826	3,500,000	3,504,943	0	(11,511)	0	0	5.000	4.650	JD	14,583	175,000	12/07/2011	06/01/2021
759351-AL-3	REINSURANCE GROUP AM			1	2.A FE	3,135,350	110.4650	3,313,979	3,000,000	3,044,887	0	(15,405)	0	0	4.700	4.100	MS	41,517	141,000	10/29/2015	09/15/2023
759351-AM-1	REINSURANCE GROUP AM			1	2.A FE	3,568,095	114.7890	4,017,616	3,500,000	3,543,587	0	(7,065)	0	0	3.950	3.690	MS	40,707	138,250	10/25/2017	09/15/2026
759351-AN-9	REINSURANCE GRP OF A			1	2.A FE	4,609,817	114.6140	5,169,115	4,510,000	4,596,587	0	(8,580)	0	0	3.900	3.630	MN	22,475	175,890	07/17/2019	05/15/2029
759351-AP-4	REINSURANCE GRP OF A			1	2.A FE	4,987,360	109.7700	5,488,549	5,000,000	4,987,946	0	586	0	0	3.150	3.170	JD	7,000	81,375	06/04/2020	06/15/2030
75972Y-AA-9	RENAISSANCERE FINANC			1	1.G FE	3,048,047	109.6150	3,326,829	3,035,000	3,041,021	0	(1,346)	0	0	3.700	3.640	AO	28,074	112,295	04/10/2015	04/01/2025
75973Q-AA-5	RENAISSANCERE FIN IN			1	1.G FE	6,853,998	109.6680	7,638,388	6,965,000	6,887,669	0	10,367	0	0	3.450	3.640	JJ	120,146	240,293	10/05/2017	07/01/2027
778296-AA-1	ROSS STORES INC			1	2.A FE	1,854,106	107.1040	1,978,216	1,847,000	1,849,878	0	(812)	0	0	3.375	3.320	MS	18,355	62,336	03/20/2015	09/15/2024
78200J-AA-0	RUSH SYS FOR HEALTH			1	1.E FE	3,387,340	118.0070	3,540,231	3,000,000	3,370,107	0	(17,233)	0	0	3.922	2.320	MN	15,034	58,830	09/03/2020	11/15/2029
78409V-AD-6	S&P GLOBAL INC			1	1.G FE	1,466,395	113.8350	1,720,062	1,511,000	1,487,779	0	3,863	0	0	4.000	4.380	JD	2,686	60,440	12/02/2015	06/15/2025
784710-AA-3	SSM HEALTH CARE CORP			1	1.E FE	9,240,292	113.6960	10,289,495	9,050,000	9,178,614	0	(18,320)	0	0	3.823	3.560	JD	28,832	345,982	07/11/2017	06/01/2027
785592-AU-0	SABINE PASS LIQUEFAC			1	2.C FE	9,755,616	114.7350	10,809,224	9,421,000	9,707,285	0	(36,063)	0	0	4.200	3.680	MS	116,506	395,682	01/13/2020	03/15/2028
79588T-AC-4	SAMMONS FINANCIAL GR			1	2.A FE	8,266,810	105.5870	8,447,027	8,000,000	8,206,155	0	(29,346)	0	0	4.450	3.970	MN	48,456	356,000	08/15/2019	05/12/2027
80282K-AP-1	SANTANDER HLDGS USA			2	2.A FE	2,052,000	114.2420	2,284,854	2,000,000	2,037,979	0	(4,570)	0	0	4.400	4.050	JJ	41,067	88,000	03/01/2018	07/13/2027
806851-AE-1	SCHLUMBERGER HLDGS C			1	2.A FE	4,902,450	104.9300	5,246,517	5,000,000	4,969,279	0	14,696	0	0	3.625	3.950	JD	5,035	181,250	02/09/2016	12/21/2022
806851-AK-7	SCHLUMBERGER HLDGS C				2.A FE	5,028,650	112.9630	5,273,136	4,668,000	4,992,838	0	(35,811)	0	0	3.900	2.840	MN	22,251	182,052	01/28/2020	05/17/2028
808513-AG-0	SCHWAB CHARLES CORP			1	1.F FE	1,822,980	104.8300	1,834,538	1,750,000	1,764,460	0	(8,373)	0	0	3.225	2.710	MS	18,813	56,438	05/10/2013	09/01/2022
808513-AL-9	SCHWAB CHARLES CORP			1	1.F FE	1,000,000	109.3180	1,093,180	1,000,000	1,000,000	0	0	0	0	3.000	3.000	MS	9,250	30,000	03/04/2015	03/10/2025
808513-AM-7	SCHWAB CHARLES CORP			1	1.F FE	753,199	113.2900	849,676	750,000	751,692	0	(310)	0	0	3.450	3.400	FA	9,919	25,875	11/10/2015	02/13/2026
814120-AC-5	SECURITY BENEFIT LIF			1,2	2.B FE	10,354,840	102.3060	8,184,556	8,000,000	10,177,247	0	(123,329)	0	0	7.450	4.600	AO	149,000	596,000	08/14/2019	10/01/2033
81721M-AK-5	SENIOR HSG PPTYS TR			1	3.B FE	1,519,575	102.7090	1,540,635	1,500,000	1,508,756	0	(3,466)	0	0	4.750	4.520	MN	11,875	71,250	11/21/2016	05/01/2024
824348-AR-7	SHERWIN WILLIAMS CO			1	2.C FE	5,633,510	110.3370	6,068,577	5,500,000	5,571,282	0	(15,264)	0	0	3.450	3.120	FA	79,063	189,750	10/26/2016	08/01/2025
828807-CN-5	SIMON PPTY GROUP LP			1	1.G FE	1,953,520	104.2940	2,085,893	2,000,000	1,986,050	0	6,363	0	0	2.750	3.090	FA	22,917	55,000	09/16/2015	02/01/2023
828807-CV-7	SIMON PPTY GROUP LP			1	1.G FE	1,255,180	111.0290	1,371,210	1,235,000	1,246,243	0	(2,321)	0	0	3.500	3.270	MS	14,408	43,225	12/05/2016	09/01/2025
828807-CW-5	SIMON PPTY GROUP LP			1	1.G FE	989,910	109.8080	1,098,082	1,000,000	993,800	0	1,109	0	0	3.300	3.430	JJ	15,217	33,000	05/09/2017	01/15/2026
828807-CY-1	SIMON PPTY GROUP LP			1	1.G FE	1,968,300	110.7860	2,215,723	2,000,000	1,979,194	0	3,121	0	0	3.250	3.440	MN	5,597	65,000	05/08/2017	11/30/2026
835495-AK-8	SONOCO PRODS CO			1	2.B FE	497,715	102.0420	510,213	500,000	499,770	0	266	0	0	4.375	4.430	MN	3,646	21,875	10/20/2011	11/01/2021
843646-AM-2	SOUTHERN PWR CO			1	2.A FE	3,501,975	114.6780	4,013,745	3,500,000	3,501,167	0	(210)	0	0	4.150	4.140	JD	12,104	145,250	12/23/2015	12/01/2025
84861T-AC-2	SPIRIT REALTY LP			1	2.B FE	4,843,750	112.9040	5,645,221	5,000,000	4,883,132	0	17,351	0	0	4.450	4.920	MS	65,514	222,500	08/28/2018	09/15/2026
85590A-AP-9	STARWOOD HOTELS&RESO			1	2.C FE	2,833,380	103.2570	3,097,710	3,000,000	2,947,120	0	23,428	0	0	3.125	4.000	FA	35,417	93,750	09/21/2015	02/15/2023
857477-AG-8	STATE STR CORP				1.F FE	3,476,005	100.7140	3,525,024	3,500,000	3,499,462	0	2,880	0	0	4.375	4.460	MS	48,490	153,125	03/08/2011	03/07/2021
857477-AL-7	STATE STR CORP				1.G FE	2,388,430	106.3260	2,658,165	2,500,000	2,469,172	0	12,206	0	0	3.100	3.640	MN	9,903	77,500	07/24/2013	05/15/2023
858155-AE-4	STEELCASE INC			1	2.C FE	7,711,210	116.0530	8,123,718	7,000,000	7,626,630	0	(64,889)	0	0	5.125	3.820	JJ	162,434	358,750	12/05/2019	01/18/2029
863667-AF-8	STRYKER CORP			1	2.A FE	1,982,680	109.0890	2,181,788	2,000,000	1,993,468	0	1,796	0	0	3.375	3.470	MN	8,625	67,500	06/10/2014	05/15/2024
863667-AH-4	STRYKER CORP			1	2.A FE	1,484,310	111.7870	1,676,812	1,500,000	1,491,727	0	1,546	0	0	3.375	3.500	MN	8,438	50,625	11/06/2015	11/01/2025
866762-AK-3	SUN INC				2.C FE	978,660	121.5360	1,215,365	1,000,000	993,020	0	1,065	0	0	9.000	9.220	MN	15,000	90,000	11/04/1994	11/01/2024
866930-AB-6	SUNAMERICA INC				2.A FE	993,750	115.6400	1,156,408	1,000,000	998,763	0	369	0	0	8.125	8.180	AO	14,219	81,250	04/21/1993	04/28/2023
86765B-AN-9	SUNOCO LOGISTICS PAR			1	2.C FE	906,040	108.2630	1,082,636	1,000,000	958,749	0	11,266	0	0	4.250	5.650	AO	10,625	42,500	09/29/2015	04/01/2024
86765B-AT-6	SUNOCO LOGISTICS PAR			1	2.C FE	1,502,445	109.5450	1,643,189	1,500,000	1,501,473	0	(236)	0	0	3.900	3.880	JJ	26,975	58,500	07/12/2016	07/15/2026
86787E-AN-7	SUNTRUST BK ATL SR M			2	1.F FE	1,789,860	105.3060	2,106,134	2,000,000	1,941,260	0	23,539	0	0	2.750	4.080	MN	9,167	55,000	09/18/2013	05/01/2023
86802W-BC-1	SUNTRUST BANKS INC			1,2	1.G FE	3,000,000	98.7480	2,962,455	3,000,000	3,000,000	0	0	0	0	2.500	2.500	JAJO	12,708	75,000	10/26/2016	11/02/2026
86944B-AG-8	SUTTER HEALTH			1	1.E	3,010,050	104.6370	3,139,121	3,000,000	3,009,876	0	(174)	0	0	2.294	2.250	FA	11,852	0	11/03/2020	08/15/2030
871503-AK-4	SYMANTEC CORP			1	3.C FE	986,990	102.1250	1,021,250	1,000,000	997,762	0	1,463	0	0	3.950	4.110	JD	1,756	39,500	06/13/2012	06/15/2022
871829-AZ-0	SYSCO CORP			1	2.C FE	1,004,090	112.2470	1,122,472	1,000,000	1,002,092	0	(411)	0	0	3.750	3.700	AO	9,375	37,500	09/25/2015	10/01/2025
871911-AS-2	SYSTEM ENERGY RESOUR			1	1.G FE	3,160,470	106.5590	3,196,771	3,000,000	3,044,129	0	(20,948)	0	0	4.100	3.330	AO	30,750	123,000	01/26/2015	04/01/2023
872287-AL-1	TCI COMMUNICATIONS I				1.G FE	5,952,330	138.4900	6,232,075	4,500,000	5,522,315	0	(124,410)	0	0	7.125	3.490	FA	121,125	320,625	05/16/2017	02/15/2028
87233Q-AA-6	TC PIPELINES LP			1	2.B FE	3,933,367	100.8440	3,781,680	3,750,000	3,754,967	0	(28,479)	0	0	4.650	3.990	JD	7,750	174,375	04/02/2012	06/15/2021
87236Y-AE-8	TD AMERITRADE HLDG C			1	1.F FE	2,998,050	102.8960	3,086,907	3,000,000	2,999,634	0	284	0	0	2.950	2.960	AO	22,125	88,500	03/06/2015	04/01/2022
87265C-AU-4	TRW INC SR MEDTERMNT				2.B FE	1,021,910	102.0440	1,020,446	1,000,000	1,000,668	0	(1,913)	0	0	9.375	9.130	AO	19,792	93,750	11/19/1991	04/15/2021
87305Q-CE-9	TTX CO			1	1.F FE	1,926,296	109.3090	2,076,889	1,900,000	1,908,333	0	(2,816)	0	0	4.125	3.950	AO	19,594	78,375	10/15/2013	10/01/2023
87305Q-CF-6	TTX CO			1	1.F FE	1,593,970	109.7110	1,645,671	1,500,000	1,535,016	0	(10,784)	0	0	4.150	3.330	JJ	28,704	62,250	03/26/2015	01/15/2024

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
875484-AH-0	TANGER PPTYS LTD PAR			1	2.B FE	2,016,060	104.8440	2,096,882	2,000,000	2,008,790	0	(2,183)	0	0	3.750	3.620	JD	6,250	75,000	06/27/2017	12/01/2024
875484-AJ-6	TANGER PPTYS LTD PAR			1	2.B FE	2,836,374	103.1370	3,094,123	3,000,000	2,896,159	0	16,132	0	0	3.125	3.810	MS	31,250	93,750	03/06/2017	09/01/2026
87612E-AZ-9	TARGET CORP			1	1.G FE	992,950	102.7610	1,027,617	1,000,000	999,157	0	787	0	0	2.900	2.980	JJ	13,372	29,000	03/14/2012	01/15/2022
87612E-BD-7	TARGET CORP			1	1.G FE	2,028,820	110.6850	2,213,701	2,000,000	2,011,542	0	(3,060)	0	0	3.500	3.320	JJ	35,000	70,000	10/29/2014	07/01/2024
878091-BD-8	TEACHERS INS & ANNUI			1	1.D FE	7,678,536	135.1760	9,223,106	6,823,000	7,614,606	0	(19,394)	0	0	4.900	4.120	MS	98,441	334,327	06/30/2017	09/15/2044
879240-AQ-2	AT&T CORP /AT&T BROA				1.G FE	2,063,800	112.3850	2,247,700	2,000,000	2,007,519	0	(5,893)	0	0	10.125	9.780	AO	42,750	202,500	06/18/1992	04/15/2022
882384-AC-4	TEXAS EASTN TRANSMIS			1	2.A FE	2,902,350	103.3610	3,100,830	3,000,000	2,979,052	0	11,207	0	0	2.800	3.200	AO	17,733	84,000	05/31/2013	10/15/2022
88732J-BA-5	TIME WARNER CABLE IN			1	3.A FE	3,742,920	101.4190	4,056,762	4,000,000	3,974,218	0	37,195	0	0	4.000	4.990	MS	53,333	160,000	10/15/2013	09/01/2021
889184-AC-1	TOLEDO HOSPITAL			1	2.C FE	5,676,100	117.8440	5,892,238	5,000,000	5,617,237	0	(58,863)	0	0	5.325	3.510	MN	34,021	266,250	02/12/2020	11/15/2028
891027-AQ-7	TORCHMARK CORP			1	2.A FE	4,106,280	105.4010	4,216,076	4,000,000	4,026,882	0	(15,164)	0	0	3.800	3.380	MS	44,756	152,000	04/17/2015	09/15/2022
891027-AS-3	TORCHMARK CORP			1	2.A FE	7,902,422	119.9560	9,029,109	7,527,000	7,848,128	0	(37,540)	0	0	4.550	3.860	MS	100,841	342,479	09/04/2019	09/15/2028
891906-AB-5	TOTAL SYS SVCS INC			1	2.C FE	949,050	106.8860	1,068,868	1,000,000	984,513	0	5,945	0	0	3.750	4.430	JD	3,125	37,500	04/03/2014	06/01/2023
89236T-EE-1	TOYOTA MOTOR CREDIT			1,2	1.E FE	4,956,750	97.9050	4,895,259	5,000,000	4,976,953	0	(27,875)	0	0	2.750	3.000	MN	12,222	137,500	03/26/2019	11/29/2032
89236T-GY-5	TOYOTA MOTOR CREDIT			1	1.E FE	4,996,650	116.9300	5,846,533	5,000,000	4,996,864	0	214	0	0	3.375	3.380	AO	42,188	84,375	03/27/2020	04/01/2030
902120-AD-6	TYCO INTERNATIONAL L				5.C	25,000	1.2500	25,000	2,000,000	25,000	0	(7,173)	1,987,402	0	9.500	920.290	MN	126,667	95,000	06/24/1992	05/01/2022
905572-AD-5	UNION CARBIDE CHEMS&				2.C FE	993,960	113.8430	1,138,435	1,000,000	977,973	0	8,322	0	0	7.875	8.960	AO	19,688	78,750	03/24/1993	04/01/2023
907818-DM-7	UNION PAC CORP			1	2.A FE	1,998,860	104.5170	2,090,349	2,000,000	1,999,763	0	(10,957)	0	0	2.950	2.950	JJ	27,206	59,000	06/06/2012	01/15/2023
91159H-HK-9	U S BANCORP MTNS BK			2	1.G FE	755,720	110.5900	829,430	750,000	752,476	0	(629)	0	0	3.600	3.500	MS	8,250	27,000	08/28/2015	09/11/2024
91159J-AA-4	U S BANCORP MTN SUB			2	1.G FE	4,838,489	103.9080	4,997,982	4,810,000	4,812,422	0	(2,828)	0	0	2.950	2.900	JJ	65,429	141,895	03/05/2013	07/15/2022
91324P-BV-3	UNITEDHEALTH GROUP I			1	1.G FE	1,982,280	102.4390	2,048,786	2,000,000	1,997,580	0	1,944	0	0	2.875	2.970	MS	16,931	57,500	03/09/2012	03/15/2022
91529Y-AL-0	UNUM GROUP			1	2.C FE	4,854,810	110.7800	5,295,319	4,780,000	4,822,632	0	(7,906)	0	0	3.875	3.670	MN	28,813	185,225	10/06/2016	11/05/2025
91529Y-AN-6	UNUM GROUP			1	2.B FE	1,712,563	112.4210	1,911,162	1,700,000	1,710,940	0	(1,059)	0	0	4.000	3.900	JD	3,022	68,000	06/13/2019	06/15/2029
91913Y-AS-9	VALERO ENERGY CORP N			1	2.B FE	7,228,805	109.3860	8,203,964	7,500,000	7,361,400	0	29,249	0	0	3.650	4.120	MS	80,604	273,750	07/27/2016	03/15/2025
91913Y-AU-4	VALERO ENERGY CORP N			1	2.B FE	1,881,860	109.6180	2,192,368	2,000,000	1,923,610	0	11,640	0	0	3.400	4.150	MS	20,022	68,000	03/10/2017	09/15/2026
919794-AB-3	VALLEY NATL BANCORP				2.B FE	3,560,935	108.9560	3,780,800	3,470,000	3,505,003	0	(11,787)	0	0	5.125	4.720	MS	46,435	177,838	01/05/2016	09/27/2023
920253-AF-8	VALMONT INDS INC			1	2.C FE	5,274,650	115.6360	5,781,807	5,000,000	5,266,818	0	(6,346)	0	0	5.000	4.620	AO	62,500	250,000	09/27/2019	10/01/2044
92276M-AZ-8	VENTAS RLTY LTD PART			1	2.A FE	242,785	103.7520	259,381	250,000	248,603	0	822	0	0	3.250	3.600	FA	3,069	8,125	01/04/2013	08/15/2022
92277G-AD-9	VENTAS RLTY LTD PART			1	2.A FE	3,234,778	109.0010	3,542,565	3,250,000	3,244,214	0	1,599	0	0	3.750	3.800	MN	20,313	121,875	08/08/2014	05/01/2024
92277G-AE-7	VENTAS RLTY LTD PART			1	2.A FE	1,760,889	110.1090	2,012,809	1,828,000	1,796,061	0	7,072	0	0	3.500	3.960	FA	26,658	63,980	11/12/2015	02/01/2025
92277G-AJ-6	VENTAS RLTY LTD PART			1	2.A FE	637,842	110.1940	740,510	672,000	649,938	0	3,338	0	0	4.250	3.880	AO	4,611	21,840	03/03/2017	10/15/2026
92343V-EN-0	VERIZON COMMUNICATIO			1	2.A FE	5,813,065	111.0220	6,639,127	5,980,000	5,952,906	0	6,002	0	0	3.376	3.490	FA	76,268	201,885	01/19/2018	02/15/2025
929089-AB-6	VOYA FINL INC			1	2.B FE	5,009,260	114.3450	5,717,251	5,000,000	5,005,656	0	(927)	0	0	3.650	3.620	JD	8,111	182,500	08/30/2016	06/15/2026
92936U-AE-9	W P CAREY INC			1	2.B FE	2,649,085	115.0580	3,016,844	2,622,000	2,643,683	0	(3,207)	0	0	4.250	4.080	AO	27,859	111,435	04/22/2019	10/01/2026
931427-AH-1	WALGREENS BOOTS ALLI			1	2.B FE	3,438,185	110.5070	3,867,776	3,500,000	3,470,791	0	6,821	0	0	3.800	4.030	MN	15,886	133,000	11/10/2015	11/18/2024
939653-AM-3	WASHINGTON REAL ESTA			1	2.B FE	5,191,813	104.0400	5,566,188	5,350,000	5,312,647	0	19,637	0	0	3.950	4.350	AO	44,613	211,325	12/01/2016	10/15/2022
948741-AK-9	WEINGARTEN RLTY INVS			1	2.B FE	1,554,255	106.9790	1,604,694	1,500,000	1,521,041	0	(6,981)	0	0	4.450	3.910	JJ	30,779	66,750	10/29/2015	01/15/2024
948741-AM-5	WEINGARTEN RLTY INVS			1	2.B FE	3,568,913	106.1110	3,975,987	3,747,000	3,631,234	0	18,107	0	0	3.250	3.860	FA	46,005	121,778	07/05/2017	08/15/2026
94874R-AX-4	WEINGARTEN RLTY INVS				2.B FE	1,918,380	120.4000	2,408,012	2,000,000	1,965,083	0	4,012	0	0	6.650	6.980	MS	39,161	133,000	08/17/2000	07/12/2027
94874R-AY-2	WEINGARTEN RLTY INVS				2.B FE	1,115,144	119.6530	1,376,019	1,150,000	1,136,896	0	1,888	0	0	6.640	6.890	MS	22,484	76,360	10/13/2000	07/15/2026
94973V-AW-7	ANTHEM INC			1	2.B FE	268,420	101.2070	253,018	250,000	251,532	0	(1,911)	0	0	3.700	2.690	FA	3,494	9,250	05/03/2013	08/15/2021
94973V-AX-5	ANTHEM INC			1	2.B FE	995,650	103.7490	1,037,494	1,000,000	999,306	0	487	0	0	3.125	3.170	MN	3,993	31,250	08/30/2012	05/15/2022
94973V-BA-4	ANTHEM INC			1	2.B FE	996,630	105.7670	1,057,677	1,000,000	999,277	0	337	0	0	3.300	3.330	JJ	15,217	33,000	09/05/2012	01/15/2023
94973V-BJ-5	ANTHEM INC			1	2.B FE	2,817,520	109.7060	3,016,918	2,750,000	2,776,482	0	(7,396)	0	0	3.500	3.190	FA	36,361	96,250	12/03/2015	08/15/2024
949746-RE-3	WELLS FARGO CO NEW				2.B FE	1,037,300	111.2180	1,112,182	1,000,000	1,013,238	0	(4,015)	0	0	4.480	4.010	JJ	20,533	44,800	04/03/2014	01/16/2024
94974B-GA-2	WELLS FARGO CO MTN B				2.A FE	999,390	109.5610	1,095,616	1,000,000	999,761	0	60	0	0	3.300	3.300	MS	10,267	33,000	11/10/2014	09/09/2024
94974B-GL-8	WELLS FARGO CO MTN B				2.B FE	4,236,240	117.1200	4,684,800	4,000,000	4,155,153	0	(20,608)	0	0	4.300	3.620	JJ	75,967	172,000	04/24/2017	07/22/2027
960413-AT-9	WESTLAKE CHEM CORP			1	2.B FE	1,916,900	112.5060	2,250,128	2,000,000	1,946,115	0	6,341	0	0	3.600	4.140	FA	27,200	72,000	04/21/2017	08/15/2026
96332H-CE-7	WHIRLPOOL CORP SERA			1	2.B FE	3,011,160	105.7710	3,173,153	3,000,000	3,001,908	0	(1,275)	0	0	4.700	4.650	JD	11,750	141,000	05/29/2012	06/01/2022
969457-BX-7	WILLIAMS COS INC			1	2.B FE	4,974,750	113.1960	5,659,835	5,000,000	4,976,014	0	1,264	0	0	3.500	3.550	MN	22,361	87,986	05/12/2020	11/15/2030
96949L-AD-7	WILLIAMS PARTNERS L			1	2.B FE	4,846,950	114.0610	5,703,060	5,000,000	4,887,765	0	14,876	0	0	3.750	4.150	JD	8,333	187,500	02/28/2018	06/15/2027
984121-CJ-0	XEROX CORP			1	3.B FE	3,363,960	104.2500	3,648,750	3,500,000	3,441,752	0	15,715	0	0	3.800	4.330	MN	16,994	133,000	09/28/2015	05/15/2024
98419M-AB-6	XYLEM INC			1	2.B FE	1,045,790	103.2290	1,032,292	1,000,000	1,004,924	0	(6,343)	0	0	4.875	4.200	AO	12,188	48,750	08/29/2013	10/01/2021
98956P-AC-6	ZIMMER BIOMET HLDGS			1	2.B FE	3,970,360	101.9590	4,078,360	4,000,000	3,996,773	0	3,222	0	0	3.375	3.460	MN	11,625	135,000	03/14/2012	11/30/2021

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
06366R-JJ-5	BANK MONTREAL MTN SR		A	1	1.C FE	3,745,388	103.9820	3,899,346	3,750,000	3,749,058	0	492	0	0	2.550	2.560	MN	14,609	95,625	11/01/2012	11/06/2022
064159-PB-6	BANK OF NOVA SCOTIA		A	1	1.G FE	10,000,000	99.0830	9,908,377	10,000,000	10,000,000	0	0	0	0	3.000	3.000	MN	25,833	300,000	05/29/2019	05/30/2031
112585-AH-7	BROOKFIELD ASSET MGM		A	1	1.G FE	6,003,717	111.4860	6,708,129	6,017,000	6,009,257	0	1,728	0	0	4.000	4.030	JJ	110,980	240,680	02/22/2019	01/15/2025
11271L-AA-0	BROOKFIELD FIN INC		A	1	1.G FE	4,982,820	116.2950	5,814,779	5,000,000	4,982,046	0	2,714	0	0	4.250	4.320	JD	17,118	212,500	02/22/2019	06/02/2026
11271L-AC-6	BROOKFIELD FIN INC		A	2	1.G FE	1,398,108	114.6950	1,508,252	1,315,000	1,386,765	0	(9,338)	0	0	3.900	3.000	JJ	22,224	51,285	10/09/2019	01/25/2028
11271L-AD-4	BROOKFIELD FIN INC		A	1	1.G FE	3,148,236	122.4480	3,581,617	2,925,000	3,117,690	0	(20,181)	0	0	4.850	3.880	MS	36,254	141,863	06/11/2019	03/29/2029
136385-AV-3	CANADIAN NAT RES LTD		A	1	2.B FE	2,020,100	110.5970	2,211,958	2,000,000	2,008,998	0	(2,237)	0	0	3.900	3.770	FA	32,500	78,000	03/26/2015	02/01/2025
29250N-AF-2	ENBRIDGE INC		A	1	2.B FE	2,878,407	108.7640	3,262,943	3,000,000	2,950,560	0	16,067	0	0	4.000	4.600	AO	30,000	120,000	07/21/2016	10/01/2023
448055-AK-9	HUSKY ENERGY INC		A	1	2.B FE	4,946,770	107.1550	5,357,762	5,000,000	4,977,653	0	6,179	0	0	4.000	4.140	AO	42,222	200,000	09/03/2015	04/15/2024
448055-AP-8	HUSKY ENERGY INC		A	1	2.B FE	5,060,453	111.2130	5,560,664	5,000,000	5,052,021	0	(5,298)	0	0	4.400	4.240	AO	46,444	220,000	04/17/2019	04/15/2029
559222-AQ-7	MAGNA INTL INC		A	1	1.G FE	3,090,150	109.5400	3,286,220	3,000,000	3,039,830	0	(11,591)	0	0	3.625	3.180	JD	4,833	108,750	05/24/2016	06/15/2024
56501R-AC-0	MANULIFE FINL CORP		A	1	1.G FE	3,068,290	116.2890	3,488,689	3,000,000	3,000,000	0	0	0	0	4.150	3.380	MS	40,463	124,500	01/26/2017	03/04/2026
67077M-AD-0	NUTRIEN LTD		A	1	2.B FE	3,228,042	107.9950	3,779,847	3,500,000	3,394,786	0	22,426	0	0	3.000	3.770	AO	26,250	105,000	04/06/2018	04/01/2025
67077M-AL-2	NUTRIEN LTD		A	1	2.B FE	1,391,925	109.9130	1,648,698	1,500,000	1,446,059	0	11,465	0	0	3.375	4.310	MS	14,906	50,625	04/06/2018	03/15/2025
775109-BE-0	ROGERS COMMUNICATION		A	1	2.A FE	2,969,640	113.1840	3,395,522	3,000,000	2,983,581	0	2,963	0	0	3.625	3.740	JD	4,833	108,750	12/08/2015	12/15/2025
780082-AD-5	ROYAL BANK OF CANADA		A		1.G FE	7,160,420	118.0260	8,261,875	7,000,000	7,112,444	0	(19,478)	0	0	4.650	4.290	JJ	139,242	325,500	09/21/2018	01/27/2026
78014R-AX-8	ROYAL BK OF CDA BD C		A	1	1.F FE	3,000,000	100.5750	3,017,259	3,000,000	3,000,000	0	0	0	0	3.000	3.000	JJ	41,500	90,000	07/11/2019	07/15/2031
867224-AA-5	SUNCOR ENERGY INC NE		A	1	2.A FE	6,519,435	110.2990	7,169,492	6,500,000	6,508,011	0	(2,211)	0	0	3.600	3.550	JD	19,500	234,000	09/30/2015	12/01/2024
891160-MJ-9	TORONTO DOMINION BK		A	1	1.F FE	4,912,350	113.3020	5,665,141	5,000,000	4,930,427	0	5,185	0	0	3.625	3.780	MS	53,368	181,250	05/15/2017	09/15/2031
89352H-AK-5	TRANSCANADA PIPELINE		A	1	2.A FE	5,363,687	108.0320	5,839,149	5,405,000	5,388,135	0	5,234	0	0	3.750	3.860	AO	42,227	202,688	04/06/2016	10/16/2023
000800-AF-2	ROYAL BK SCOTLAND N		D		2.B FE	3,061,860	115.0850	3,452,553	3,000,000	3,040,906	0	(7,905)	0	0	4.750	4.410	JJ	60,563	142,500	03/20/2018	07/28/2025
00131L-AH-8	AIA GROUP LTD HONG K		D	2	1.F FE	5,431,700	112.4650	5,623,258	5,000,000	5,393,127	0	(38,573)	0	0	3.600	2.510	AO	41,000	180,000	02/11/2020	04/09/2029
00131L-AJ-4	AIA GROUP LTD HONG K		D	2	1.F	5,636,250	112.0300	5,601,531	5,000,000	5,621,308	0	(14,942)	0	0	3.375	1.870	AO	39,375	84,375	10/02/2020	04/07/2030
00185A-AF-1	AON PLC		D	1	2.A FE	2,020,920	109.0220	2,180,446	2,000,000	2,011,523	0	(3,336)	0	0	3.500	3.310	JD	3,306	70,000	01/25/2018	06/14/2024
00185A-AK-0	AON PLC		D	1	2.A FE	3,066,090	113.9820	3,419,461	3,000,000	3,039,282	0	(7,514)	0	0	3.875	3.570	JD	5,167	116,250	03/22/2017	12/15/2025
00203Q-AD-9	AP MOLLER MAERSK AS		D	1	2.C FE	2,457,770	111.5500	2,788,756	2,500,000	2,477,715	0	4,173	0	0	3.875	4.080	MS	25,026	96,875	11/09/2015	09/28/2025
00203Q-AE-7	AP MOLLER MAERSK AS		D	1	2.C FE	2,220,066	116.9050	2,542,688	2,175,000	2,214,304	0	(3,909)	0	0	4.500	4.230	JD	2,991	97,875	06/28/2019	06/20/2029
01273P-AB-8	ALBEMARLE WODGINA PR		D	1	2.C FE	3,010,710	106.3730	3,191,208	3,000,000	3,010,365	0	231	0	0	3.450	3.400	MN	13,225	51,750	07/23/2020	11/15/2029
01609W-AT-9	ALIBABA GROUP HLDG L		D	1	1.E FE	4,534,403	111.9050	5,102,881	4,560,000	4,541,328	0	2,346	0	0	3.400	3.460	JD	10,767	155,040	12/21/2017	12/06/2027
01959E-AC-2	ALLIED WORLD ASSURN		D	1	2.B FE	2,510,870	108.5990	2,714,978	2,500,000	2,505,964	0	(1,129)	0	0	4.350	4.290	AO	18,729	108,750	05/24/2016	10/29/2025
034863-AG-5	ANGLO AMERICAN CAPIT		D	1	2.B FE	2,836,290	105.2930	3,158,813	3,000,000	2,963,197	0	19,829	0	0	4.125	4.860	MS	32,313	123,750	07/25/2013	09/27/2022
04530D-AD-4	ASPEN INS HLDGS LTD		D	1	2.B FE	5,140,851	109.4540	5,415,817	4,948,000	5,024,937	0	(24,773)	0	0	4.650	4.070	MN	29,399	230,082	04/20/2016	11/15/2023
04686J-AA-9	ATHENE HOLDING LTD		D	1	2.B FE	11,137,900	111.3970	12,398,572	11,130,000	11,158,148	0	(4,368)	0	0	4.125	4.060	JJ	215,528	459,113	01/08/2020	01/12/2028
052528-AK-2	AUSTRALIA&NEW ZEALAN		D		2.A FE	2,492,976	115.0000	2,760,006	2,400,000	2,460,211	0	(9,879)	0	0	4.400	3.870	MN	12,320	105,600	06/30/2017	05/19/2026
05464H-AC-4	AXIS SPECIALTY FINAN		D	1	2.A FE	3,408,484	111.4560	3,789,507	3,400,000	3,406,205	0	(785)	0	0	4.000	3.960	JD	9,444	136,000	12/20/2017	12/06/2027
055451-AU-2	BHP BILLITON FIN USA		D	1	1.F FE	1,984,580	109.2850	2,185,702	2,000,000	1,994,075	0	2,004	0	0	3.850	3.960	MS	19,464	77,000	11/30/2015	09/30/2023
055650-CJ-5	BP CAP MKTS P L C		D	1	1.F FE	1,559,250	109.4650	1,641,988	1,500,000	1,522,119	0	(7,588)	0	0	3.994	3.420	MS	15,810	59,910	09/25/2015	09/26/2023
055650-DH-8	BP CAP MKTS P L C		D	1	1.F FE	1,003,802	116.0550	1,131,543	975,000	995,972	0	(2,356)	0	0	3.723	3.400	MN	3,327	36,299	07/05/2017	11/28/2028
05964H-AF-2	BANCO SANTANDER SA		D		1.G FE	2,770,819	113.2120	2,971,837	2,625,000	2,755,175	0	(15,644)	0	0	3.800	3.020	FA	35,467	99,750	01/08/2020	02/23/2028
05971K-AF-6	BANCO SANTANDER S A		D		1.G FE	2,000,000	112.1460	2,242,935	2,000,000	2,000,000	0	0	0	0	3.490	3.490	MN	6,398	34,900	05/20/2020	05/28/2030
06747P-2M-0	BARCLAYS BANK PLC		D	2	1.F FE	4,999,500	100.0440	5,002,227	5,000,000	4,999,533	0	33	0	0	3.000	3.000	JJ	63,750	75,000	01/23/2020	01/28/2032
09659W-2C-7	BNP PARIBAS		D		1.G FE	4,852,760	112.0340	5,601,726	5,000,000	4,890,879	0	13,614	0	0	3.500	3.860	MN	21,875	175,000	02/09/2018	11/16/2027
09659W-2F-0	BNP PARIBAS		D		1.G FE	4,982,400	118.4540	5,922,749	5,000,000	4,985,919	0	1,522	0	0	4.400	4.440	FA	83,722	220,000	08/09/2018	08/14/2028
15639K-AA-0	CENTRICA PLC		D	1	2.B FE	3,771,690	106.0370	4,029,422	3,800,000	3,790,763	0	3,064	0	0	4.000	4.090	AO	31,667	152,000	01/23/2014	10/16/2023
19123M-AC-7	COCA-COLA EUROPEAN P		D	1	2.A FE	498,975	101.7290	508,648	500,000	499,781	0	(1,249)	0	0	4.500	4.560	MS	7,500	22,500	04/09/2018	09/01/2021
22533A-BV-8	CREDIT AGRICOLE CORP		D	2	1.E FE	5,000,000	100.0340	5,001,706	5,000,000	5,000,000	0	0	0	0	3.000	3.000	JJ	65,417	75,000	01/22/2020	01/24/2032
22546Q-AP-2	CREDIT SUISSE NEW YO		D		1.F FE	1,935,473	111.0720	2,110,380	1,900,000	1,918,707	0	(4,691)	0	0	3.625	3.330	MS	21,428	68,875	03/29/2017	09/09/2024
251526-BY-4	DEUTSCHE BK AG N Y B		D		2.C FE	2,986,470	107.5550	3,226,656	3,000,000	2,993,755	0	1,684	0	0	3.700	3.760	MN	9,558	111,000	05/30/2018	05/30/2024
25152R-3B-7	DEUTSCHE BK AG		D		2.C FE	3,000,000	100.0920	3,002,788	3,000,000	3,000,000	0	0	0	0	3.250	3.250	MN	12,458	97,500	05/09/2016	08/15/2023
268317-AU-8	EDF S A		D	1	1.G FE	5,085,950	119.2310	5,961,588	5,000,000	5,071,859	0	(7,975)	0	0	4.500	4.270	MS	62,500	225,000	03/08/2019	09/21/2028
29359U-AB-5	ENSTAR GROUP LTD		D	1	2.C FE	5,160,840	113.0650	5,653,279	5,000,000	5,142,309	0	(13,568)	0	0	4.950	4.530	JD	20,625	247,500	08/20/2019	06/01/2029
29446M-AF-9	EQUINOR ASA		C	1	1.D FE	1,984,200	113.3030	2,266,063	2,000,000	1,985,200	0	1,000	0	0	3.125	3.210	AO	14,757	31,250	04/01/2020	04/06/2030
30217A-AB-9	EXPERIAN FINANCE PLC		D	1	2.A FE	2,304,610	120.3360	2,755,715	2,290,000	2,302,232	0	(1,283)	0	0	4.250	4.170	FA	40,552	97,325	02/04/2019	02/01/2029

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
31575F-AA-4	FIDELIS INSURANCE HO		D	1	2.C FE	4,628,242	104.7770	4,897,299	4,674,000	4,630,072	0	1,831	0	0	4.875	5.000	JD	633	121,524	06/11/2020	06/30/2030
404280-AW-9	HSBC HLDGS PLC		D		1.F FE	4,159,420	115.1980	4,607,925	4,000,000	4,090,945	0	(15,585)	0	0	4.300	3.810	MS	53,989	172,000	04/25/2016	03/08/2026
404280-BB-4	HSBC HLDGS PLC		D		1.F FE	2,097,860	114.1170	2,282,346	2,000,000	2,063,400	0	(10,577)	0	0	3.900	3.250	MN	7,800	78,000	08/07/2017	05/25/2026
46132F-AA-8	INVESCO FIN PLC		D	1	2.A FE	2,847,761	105.0210	2,893,341	2,755,000	2,775,516	0	(10,307)	0	0	3.125	2.720	MN	7,414	86,094	04/30/2013	11/30/2022
46132F-AD-2	INVESCO FIN PLC		D	1	2.A FE	5,426,612	112.7850	5,915,580	5,245,000	5,350,892	0	(19,207)	0	0	3.750	3.300	JJ	90,695	196,688	12/08/2016	01/15/2026
478375-AE-8	JOHNSON CONTROLS INT		D	1	2.B FE	1,768,467	100.4960	1,808,946	1,800,000	1,799,239	0	3,613	0	0	4.250	4.500	MS	25,500	76,500	12/23/2016	03/01/2021
552081-AK-7	LYONDELLBASELL INDUS		D	1	2.C FE	2,176,209	115.0230	2,260,212	1,965,000	2,054,610	0	(27,105)	0	0	5.750	4.130	AO	23,853	112,988	03/04/2016	04/15/2024
55608P-AQ-7	MACQUARIE BK LTD		D		1.F FE	993,420	114.2710	1,142,712	1,000,000	996,641	0	655	0	0	4.000	4.080	JJ	16,889	40,000	09/15/2015	07/29/2025
55608X-AA-5	MACQUARIE BK LTD		D		2.A FE	509,550	101.5600	507,803	500,000	500,337	0	(1,225)	0	0	6.625	6.360	AO	7,729	33,125	04/04/2011	04/07/2021
606822-AD-6	MITSUBISHI UFJ FINL		D		1.G FE	5,211,350	114.9480	5,747,423	5,000,000	5,137,767	0	(24,107)	0	0	3.850	3.260	MS	64,167	192,500	10/30/2017	03/01/2026
60687Y-AD-1	MIZUHO FINL GROUP IN		D		1.G FE	3,028,800	111.9650	3,358,960	3,000,000	3,016,411	0	(2,796)	0	0	3.477	3.360	AO	22,890	104,310	04/12/2016	04/12/2026
63859W-AE-9	NATIONWIDE BLDG SOC		D		2.B FE	5,048,350	111.5780	5,578,934	5,000,000	5,032,986	0	(5,078)	0	0	4.000	3.860	MS	59,444	200,000	10/20/2017	09/14/2026
63861V-AE-7	NATIONWIDE BLDG SOC		D	2	2.A FE	2,421,877	116.4480	2,678,308	2,300,000	2,408,582	0	(10,808)	0	0	3.960	3.320	JJ	41,239	91,080	10/02/2019	07/18/2030
65557F-AH-9	NORDEA BK AB EURO MT		D	2	1.G FE	4,870,293	116.0170	5,160,465	4,448,000	4,846,913	0	(23,381)	0	0	4.625	3.730	MS	61,716	205,720	01/08/2020	09/13/2033
656531-AG-5	NORSK HYDRO A S		D	1	1.D FE	4,530,855	132.6940	4,644,299	3,500,000	4,223,546	0	(89,326)	0	0	6.800	3.460	JJ	109,744	238,000	05/23/2017	01/15/2028
656531-AM-2	NORSK HYDRO A S		D		1.D FE	1,448,761	135.5900	1,479,296	1,091,000	1,341,013	0	(32,548)	0	0	7.250	3.400	MS	21,532	79,098	07/14/2017	09/23/2027
686330-AJ-0	ORIX CORP		D		1.G FE	5,003,300	114.0760	5,703,811	5,000,000	5,002,294	0	(305)	0	0	3.700	3.690	JJ	83,764	185,000	07/11/2017	07/18/2027
74435K-AA-3	PRUDENTIAL PLC		D		1.F FE	6,595,230	113.3120	7,256,506	6,404,000	6,584,245	0	(10,984)	0	0	3.125	2.770	AO	42,805	100,063	05/04/2020	04/14/2030
75625Q-AE-9	RECKITT BENCKISER TR		D	1	1.G FE	3,711,143	111.7070	4,300,757	3,850,000	3,747,636	0	13,587	0	0	3.000	3.450	JD	1,604	115,500	03/25/2020	06/26/2027
75968N-AD-3	RENAISSANCERE HLDGS		D	1	1.G FE	1,963,840	113.1510	2,263,032	2,000,000	1,969,133	0	3,112	0	0	3.600	3.810	AO	15,200	72,000	04/15/2019	04/15/2029
767201-AS-5	RIO TINTO FIN USA LT		D	2	1.F FE	1,152,036	112.8510	1,354,215	1,200,000	1,175,163	0	4,962	0	0	3.750	4.260	JD	2,000	45,000	12/02/2015	06/15/2025
77578J-AB-4	ROLLS-ROYCE PLC		D	1	3.C FE	1,515,150	100.7500	1,511,250	1,500,000	1,507,983	0	(1,586)	0	0	3.625	3.490	AO	11,630	54,375	02/17/2016	10/14/2025
80414L-2D-6	SAUDI ARABIAN OIL CO		D		1.F FE	4,900,940	111.0970	5,554,897	5,000,000	4,915,352	0	8,563	0	0	3.500	3.730	AO	36,458	175,000	04/16/2019	04/16/2029
806213-AB-0	SCENTRE GR TR 1 / SC		D	1	1.F FE	4,009,232	106.8850	4,264,743	3,990,000	4,000,851	0	(2,549)	0	0	3.500	3.420	FA	53,920	139,650	07/13/2017	02/12/2025
806213-AD-6	SCENTRE GR TR 1 / SC		D	1	1.F FE	1,000,365	106.4540	1,075,187	1,010,000	1,004,737	0	989	0	0	3.250	3.360	AO	5,744	32,825	04/19/2016	10/28/2025
822582-CG-5	SHELL INTE CORPORATE		C	1	1.D FE	3,997,560	110.2740	4,410,975	4,000,000	3,997,718	0	158	0	0	2.750	2.750	AO	25,972	55,000	04/01/2020	04/06/2030
85771P-AK-8	STATOIL ASA		D	1	1.D FE	1,388,205	106.1800	1,592,709	1,500,000	1,453,236	0	14,272	0	0	2.650	3.740	JJ	18,329	39,750	02/08/2016	01/15/2024
87854X-AD-3	TECHNIPFMC PLC		D	1	2.B FE	2,997,450	103.3700	3,101,115	3,000,000	2,998,968	0	563	0	0	3.450	3.470	AO	25,875	103,500	05/22/2018	10/01/2022
87969N-AD-7	TELSTRA CORP LTD		D	1	1.G FE	1,446,060	109.8020	1,647,034	1,500,000	1,473,279	0	5,701	0	0	3.125	3.570	AO	10,938	46,875	11/12/2015	04/07/2025
88032W-AN-6	TENCENT HLDGS LTD		D	1	1.E FE	5,016,650	113.8920	5,694,609	5,000,000	5,014,223	0	(1,412)	0	0	3.975	3.930	AO	44,167	198,750	04/04/2019	04/11/2029
88165F-AG-7	TEVA PHARMACEUTICAL		D	1	3.C FE	1,831,660	99.7500	1,995,000	2,000,000	1,957,507	0	20,378	0	0	2.950	4.080	JD	2,131	59,000	01/17/2014	12/18/2022
89153V-AG-4	TOTAL CAPITAL		D	1	1.D FE	1,024,450	109.5100	1,095,102	1,000,000	1,009,686	0	(2,979)	0	0	3.700	3.360	JJ	17,061	37,000	08/28/2015	01/15/2024
90351D-AB-3	UBS GROUP AG		D		1.G FE	5,003,550	114.4810	5,724,091	5,000,000	5,002,543	0	(478)	0	0	4.125	4.110	MS	55,573	206,250	09/10/2018	09/24/2025
980236-AL-7	WOODSIDE FIN LTD		D	1	2.A FE	499,605	100.3890	501,950	500,000	499,983	0	47	0	0	4.600	4.600	MN	3,258	23,000	05/03/2011	05/10/2021
980888-AF-8	WOOLWORTHS GROUP LTD		D	1	2.B FE	501,075	101.1260	505,635	500,000	500,037	0	(129)	0	0	4.550	4.520	AO	4,992	22,750	04/07/2011	04/12/2021
98420E-AC-9	XLIT LTD SUB NT		D	1	2.A FE	993,770	114.0970	1,140,976	1,000,000	995,874	0	862	0	0	4.450	4.550	MS	11,249	44,500	07/18/2018	03/31/2025
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						1,896,046,472	XXX	2,061,335,737	1,871,864,414	1,893,690,183	0	(2,011,345)	3,558,598	0	XXX	XXX	XXX	19,054,160	69,764,800	XXX	XXX
12327F-AA-5	BUSINESS JET SEC LLC				1.F	2,934,213	101.3510	2,951,717	2,912,370	2,912,370	0	(22,149)	0	0	2.981	(13.730)	MON	3,859	11,335	10/23/2020	11/15/2035
25755T-AK-6	DOMINOS PIZZA MSTR				2.A FE	2,693,127	108.6920	2,666,785	2,453,525	2,691,039	0	(3,312)	0	0	4.328	3.510	JAJO	19,468	53,094	07/17/2020	07/25/2048
3136B0-TE-6	FNMA REMIC TRUST			4	1.B	1,772,047	103.9120	1,783,413	1,716,269	1,770,840	0	(49,322)	0	0	3.500	3.300	MON	5,006	55,064	01/22/2020	02/25/2048
79548K-ZL-5	SALOMON BRO MTG SEC			4	4.B FM	435,846	73.4030	339,372	462,338	339,372	(108,850)	1,120	0	0	7.000	7.450	MON	2,697	32,234	08/11/2000	06/25/2028
3399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities						7,835,233	XXX	7,741,287	7,544,502	7,713,621	(108,850)	(73,663)	0	0	XXX	XXX	XXX	31,030	151,727	XXX	XXX
12326Q-AA-2	BUSINESS JET SEC LLC			4	1.G FE	2,360,697	102.1120	2,340,605	2,292,179	2,356,161	0	(18,250)	0	0	4.212	3.760	MON	4,291	96,547	12/06/2019	07/15/2034
12563L-AL-1	CLU FDG VI LLC			4	1.F FE	7,261,935	101.9770	7,297,836	7,156,300	7,260,180	0	(6,087)	0	0	3.710	3.560	MON	9,587	135,352	11/13/2020	05/18/2044
393505-XH-0	GREEN TREE FINANCIAL			4	6. FE	1,967,662	102.1940	1,978,680	1,936,198	1,459,519	0	7,190	0	0	7.210	18.120	MON	6,204	147,207	03/26/1999	01/15/2029
449670-DG-0	IMC HOME EQUITY LN T			4	1.D FM	157,023	101.0050	162,135	160,520	160,161	0	19	0	0	7.080	7.030	MON	947	8,436	09/16/1997	11/20/2028
501673-AA-5	LA ARENA FDG 1999			4	1.F FE	80,573	99.5620	84,652	85,024	83,535	0	312	0	0	7.656	8.260	JD	289	6,509	08/22/2000	12/15/2026
543190-AB-8	LONGTRAIN LEASING II			4	1.F FE	5,015,052	102.2650	5,011,999	4,900,951	4,966,024	0	(42,941)	0	0	4.060	3.400	MON	8,843	198,979	09/27/2017	01/15/2045
62946A-AB-0	NP SPE II LLC 2016-1			4	1.F FE	2,133,594	107.7280	2,154,564	2,000,000	2,124,353	0	(3,679)	0	0	5.438	4.830	MON	3,323	108,760	06/20/2018	04/20/2046
62947A-AD-5	NP SPE X LP 2019-2			4	2.B FE	5,025,000	105.0500	5,252,522	5,000,000	5,024,695	0	(305)	0	0	5.078	5.030	MON	8,463	148,108	06/09/2020	11/19/2049

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
89690E-AG-2	TRIP RAIL MASTER FDG			4	1.F FE	4,071,875	104.2790	4,171,198	4,000,000	4,069,314	0	(2,049)	0	0	3.736	3.580	MON	6,642	149,440	10/15/2019	08/15/2047
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						28,073,411	XXX	28,454,191	27,531,172	27,503,942	0	(65,790)	0	0	XXX	XXX	XXX	48,589	999,338	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						1,931,955,116	XXX	2,097,531,215	1,906,940,088	1,928,907,746	(108,850)	(2,150,798)	3,558,598	0	XXX	XXX	XXX	19,133,779	70,915,865	XXX	XXX
4899999. Total - Hybrid Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6099999. Subtotal - SVO Identified Funds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6599999. Subtotal - Unaffiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7699999. Total - Issuer Obligations						2,038,458,327	XXX	2,212,764,673	2,001,799,414	2,034,628,062	0	(2,642,628)	3,558,598	0	XXX	XXX	XXX	21,015,046	75,239,103	XXX	XXX
7799999. Total - Residential Mortgage-Backed Securities						16,384,389	XXX	16,725,503	15,875,161	16,253,437	(108,850)	(109,571)	0	0	XXX	XXX	XXX	56,243	395,959	XXX	XXX
7899999. Total - Commercial Mortgage-Backed Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7999999. Total - Other Loan-Backed and Structured Securities						28,073,411	XXX	28,454,191	27,531,172	27,503,942	0	(65,790)	0	0	XXX	XXX	XXX	48,589	999,338	XXX	XXX
8099999. Total - SVO Identified Funds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8199999. Total - Affiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8299999. Total - Unaffiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8399999 - Total Bonds						2,082,916,127	XXX	2,257,944,367	2,045,205,747	2,078,385,441	(108,850)	(2,817,989)	3,558,598	0	XXX	XXX	XXX	21,119,878	76,634,400	XXX	XXX

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ..$ 47,740,589 1B ...$ 56,389,585 1C ...$ 30,431,871 1D ...$ 58,373,065 1E ...$ 114,080,127 1F ...$ 233,681,224 1G ..$ 340,924,420

2A ..$ 399,601,539 2B ...$ 484,256,116 2C ...$ 226,119,156

3A ..$ 34,664,356 3B ...$ 12,118,999 3C ...$ 29,880,115

4A ..$ 2,312,070 4B ...$ 364,375 4C ...$ 2,930,806

5A ..$ 0 5B ...$ 0 5C ...$ 3,057,509

6 ....$ 1,459,519

SCHEDULE D - PART 2 - SECTION 1

Showing All PREFERRED STOCKS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Fair Value		11	Dividends			Change in Book/Adjusted Carrying Value					20	21
		3	4					9	10		12	13	14	15	16	17	18	19	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
CUSIP Identification	Description	Code	Foreign	Number of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (15 + 16 - 17)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value		Date Acquired
25525P-30-5	DIVERSIFIED HEALTHCA			60,000.000	0.00	0.000	1,500,000	24.500	1,470,000	1,500,000	0	93,750	0	0	0	0	0	0	3.B FE	01/02/2020
263534-30-7	DU PONT E I DE NEMOU			1,500.000	100.00	0.000	101,988	115.750	173,625	101,988	0	6,750	0	0	0	0	0	0	2.B FE	09/01/1995
416518-60-3	THE HARTFORD FINANCI			40,000.000	0.00	0.000	1,000,000	28.990	1,159,600	1,000,000	0	60,000	0	0	0	0	0	0	2.C FE	10/30/2018
48251W-20-3	KKR & CO INC			60,000.000	25.00	0.000	1,500,000	26.720	1,603,200	1,500,000	0	101,250	0	0	0	0	0	0	2.A FE	03/10/2016
8499999. Subtotal - Preferred Stock - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred							4,101,988	XXX	4,406,425	4,101,988	0	261,750	0	0	0	0	0	0	XXX	XXX
8999999 - Total Preferred Stocks							4,101,988	XXX	4,406,425	4,101,988	0	261,750	0	0	0	0	0	0	XXX	XXX

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ...$ 0 1B ...$ 0 1C ...$ 0 1D ...$ 0 1E ...$ 0 1F ...$ 0 1G ...$ 0

2A ...$ 1,500,000 2B ...$ 101,988 2C ...$ 1,000,000

3A ...$ 0 3B ...$ 1,500,000 3C ...$ 0

4A ...$ 0 4B ...$ 0 4C ...$ 0

5A ...$ 0 5B ...$ 0 5C ...$ 0

6 ...$ 0

SCHEDULE D - PART 2 - SECTION 2

Showing All COMMON STOCKS Owned December 31 of Current Year

1	2	Codes		5	6	Fair Value		9	Dividends			Change in Book/Adjusted Carrying Value				17	18
		3	4			7	8		10	11	12	13	14	15	16		
CUSIP Identification	Description	Code	Foreign	Number of Shares	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Date Acquired	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
00142C-70-6	AIM INVT SECS FD INC			10,414.010	41,552	3.990	41,552	100,000	577	2,462	0	(1,146)	0	(1,146)	0	08/01/1996	
110122-15-7	BRISTOL MYERS SQUIBB			6,125.000	4,227	0.690	4,227	13,046	0	0	0	(14,209)	0	(14,209)	0	11/19/2019	
26441C-20-4	DUKE ENERGY CORP			1.000	91	91.560	91	3,477,919	0	0	0	0	0	0	0	01/27/2009	
9099999. Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					45,870	XXX	45,870	3,590,965	577	2,462	0	(15,355)	0	(15,355)	0	XXX	XXX
9799999 - Total Common Stocks					45,870	XXX	45,870	3,590,965	577	2,462	0	(15,355)	0	(15,355)	0	XXX	XXX
9899999 - Total Preferred and Common Stocks					4,147,858	XXX	4,452,295	7,692,953	577	264,212	0	(15,355)	0	(15,355)	0	XXX	XXX

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ...$ 0 1B ...$ 0 1C ...$ 0 1D ...$ 0 1E ...$ 0 1F ...$ 0 1G ...$ 0

2A ...$ 0 2B ...$ 0 2C ...$ 0

3A ...$ 0 3B ...$ 0 3C ...$ 0

4A ...$ 0 4B ...$ 0 4C ...$ 0

5A ...$ 0 5B ...$ 0 5C ...$ 0

6 ...$ 0

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
38382E-AC-1	GNMA REMIC TRUST		03/19/2020	SOUTHWEST SECURITIES		5,188,281	5,000,000	14,097
91282C-AF-8	UNITED STATES TREAS		08/18/2020	J.P. MORGAN		199,766	200,000	3
0599999. Subtotal - Bonds - U.S. Governments						5,388,047	5,200,000	14,100
59333N-R5-4	COUNTY OF MIAMI-DADE FL		11/10/2020	OPPENHEIMER & CO., INC.		1,563,312	1,570,000	3,751
914437-VE-5	UNIV OF MASSACHUSETTS MA BLDG AUTH REV		11/10/2020	CITIGROUP		2,956,110	3,000,000	2,635
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						4,519,422	4,570,000	6,386
341271-AF-1	FLORIDA ST BRD ADMIN		10/29/2020	VARIOUS		4,034,960	4,000,000	9,514
353174-JG-1	FRANKLIN CNTY OHIO C		11/13/2020	SOUTHWEST SECURITIES		3,021,090	3,000,000	8,688
531643-WH-3	LICKING CNTY OHIO		10/20/2020	SOUTHWEST SECURITIES		1,000,000	1,000,000	0
63607V-AA-4	NATIONAL FIN AUTH N		12/04/2020	SOUTHWEST SECURITIES		5,194,600	5,000,000	26,726
3199999. Subtotal - Bonds - U.S. Special Revenues						13,250,650	13,000,000	44,928
05601@-AA-8	BSP SOF II STRUCTURED NT		12/22/2020	DIRECT		5,923,196	5,923,196	0
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		12/28/2020	VARIOUS		13,991,538	13,991,538	0
23248Q-AA-4	CVC CREDIT PARTERS U.S DIRECT SENIOR NOT		12/01/2020	DIRECT		3,125,328	3,125,328	0
23248Q-AB-2	CVC CREDIT PARTERS U.S DIRECT JUNIOR NOT		12/01/2020	DIRECT		243,081	243,081	0
66706*-AA-6	NORTHSTAR MEZZANINE PARTNERS VII FEEDER		11/05/2020	VARIOUS		1,310,017	1,310,017	0
72303#-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER,		11/20/2020	DIRECT		5,676,423	5,676,423	0
001055-BJ-0	AFLAC INC		03/30/2020	WELLS FARGO		4,987,100	5,000,000	0
00287Y-CU-1	ABBVIE INC		11/17/2020	CONVERSION TAX FREE EXCHANGE		2,983,214	3,000,000	14,467
008252-AP-3	AFFILIATED MANAGERS		06/05/2020	OPPENHEIMER & CO., INC.		1,004,670	1,000,000	367
05463H-AB-7	AXIS SPECIALTY FINAN		02/19/2020	RAYMOND JAMES & ASSOCIATES, INC.		3,435,949	3,190,000	12,441
05565E-BL-8	BMW US CAP LLC		10/02/2020	OPPENHEIMER & CO., INC.		5,932,850	5,000,000	102,021
084659-AU-5	BERKSHIRE HATHAWAY E		04/02/2020	VARIOUS		8,337,620	8,000,000	4,625
09062X-AH-6	BIOGEN INC		10/15/2020	MORGAN, STANLEY		1,153,270	1,127,000	11,904
09857L-AR-9	BOOKING HOLDINGS INC		10/02/2020	STIFEL, NICOLAUS & CO		6,230,148	5,170,000	114,628
110122-CW-6	BRISTOL-MYERS SQUIBB		07/15/2020	CONVERSION TAX FREE EXCHANGE		1,245,604	1,250,000	16,927
12327F-AA-5	BUSINESS JET SEC LLC		10/23/2020	OPPENHEIMER & CO., INC.		3,022,500	3,000,000	0
125523-AP-5	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		2,996,543	3,000,000	49,333
125523-AV-2	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		3,550,110	3,500,000	27,806
125523-AZ-3	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		3,436,612	3,500,000	26,094
125523-BX-7	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		1,470,184	1,500,000	4,083
125523-BZ-2	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		5,580,808	5,500,000	94,875
125523-CB-4	CIGNA CORP NEW		07/13/2020	CONVERSION TAX FREE EXCHANGE		961,826	1,000,000	12,467
12563L-AL-1	CLU FDG VI LLC		11/13/2020	OPPENHEIMER & CO., INC.		4,371,214	4,295,374	12,837
17298C-JY-5	CITIGROUP INC		08/14/2020	SOUTHWEST SECURITIES		5,000,000	5,000,000	0
191216-CT-5	COCA COLA CO		03/20/2020	WELLS FARGO		2,989,950	3,000,000	0
19565C-AA-8	COLONIAL ENTERPRISES		10/23/2020	OPPENHEIMER & CO., INC.		3,324,900	3,000,000	44,417
209111-EJ-8	CONSOLIDATED EDISON		12/03/2020	FTN FINANCIAL		2,491,718	1,877,000	42,702
25755T-AK-6	DOMNOS PIZZA MSTR		07/17/2020	SOUTHWEST SECURITIES		2,706,903	2,466,075	25,497
3136B0-TE-6	FNMA REMIC TRUST		01/22/2020	OPPENHEIMER & CO., INC.		4,091,403	3,962,618	10,017
315786-AC-7	FIDELITY & GTY LIFE		06/09/2020	SOUTHWEST SECURITIES		3,893,750	3,500,000	21,389
346845-AG-5	FORT BENNING FAMILY		08/03/2020	OPPENHEIMER & CO., INC.		6,132,674	4,783,677	20,231
361448-BF-9	GATX CORP		05/21/2020	OPPENHEIMER & CO., INC.		1,020,710	1,000,000	1,556
37045V-AS-9	GENERAL MTRS CO		01/13/2020	RBC Capital Markets		5,476,050	5,000,000	72,222
37959E-AA-0	GLOBE LIFE INC		10/30/2020	VARIOUS		3,387,964	3,365,000	10,295
39121J-AE-0	GREAT RIV ENERGY		01/28/2020	OPPENHEIMER & CO., INC.		5,113,182	4,263,970	21,482
40434L-AC-9	HP INC		06/09/2020	J.P. MORGAN		1,995,800	2,000,000	0
406216-BJ-9	HALLIBURTON CO		02/04/2020	MORGAN, STANLEY		5,615,553	4,903,000	53,504
437076-CB-6	HOME DEPOT INC		03/26/2020	J.P. MORGAN		2,983,230	3,000,000	0
48128G-X8-8	JPMORGAN CHASE & CO		12/09/2020	FTN FINANCIAL		3,000,000	3,000,000	0
521865-BA-2	LEAR CORP CORPORATE		01/16/2020	RBC Capital Markets		3,411,761	3,255,000	25,362
58933Y-AX-3	MERCK & CO. INC		03/19/2020	WELLS FARGO		5,251,285	5,009,000	7,569
589400-AB-6	MERCURY GENL CORP NE		05/29/2020	OPPENHEIMER & CO., INC.		1,675,249	1,575,000	14,823
608190-AL-8	MOHAWK INDS INC		05/15/2020	OPPENHEIMER & CO., INC.		1,002,550	1,000,000	503
62947A-AD-5	NP SPE X LP 2019-2		06/09/2020	OPPENHEIMER & CO., INC.		5,025,000	5,000,000	15,516
637071-AM-3	NATIONAL OILWELL VAR		01/15/2020	J.P. MORGAN		5,037,150	5,000,000	31,500
637432-NS-0	NATIONAL RURAL UTILS		03/19/2020	SOUTHWEST SECURITIES		5,267,100	5,000,000	4,111
68389X-BN-4	ORACLE CORP		03/24/2020	J.P. MORGAN		3,073,170	3,000,000	35,479
68389X-BV-6	ORACLE CORP		03/30/2020	J.P. MORGAN		4,994,850	5,000,000	0
717081-EW-9	PFIZER INC		03/25/2020	BANK OF AMERICA		2,989,500	3,000,000	0
75513E-BW-0	RAYTHEON TECHNOLOGIE		12/08/2020	CONVERSION TAX FREE EXCHANGE		4,848,450	5,000,000	60,069
759351-AP-4	REINSURANCE GRP OF A		06/04/2020	VARIOUS		4,987,360	5,000,000	0
78200J-AA-0	RUSH SYS FOR HEALTH		09/03/2020	VARIOUS		3,387,340	3,000,000	17,322
785592-AU-0	SABINE PASS LIQUEFAC		01/13/2020	J.P. MORGAN		4,691,786	4,421,000	61,894

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
806851-AK-7	SCHLUMBERGER HLDGS C		01/28/2020	CITIGROUP GLOBAL MARKETS INC		5,028,650	4,668,000	36,916
86944B-AG-8	SUTTER HEALTH		11/03/2020	MORGAN, STANLEY		3,010,050	3,000,000	1,147
889184-AC-1	TOLEDO HOSPITAL		02/12/2020	RBC Capital Markets		5,676,100	5,000,000	65,823
89236T-GY-5	TOYOTA MOTOR CREDIT		03/27/2020	BANK OF AMERICA		4,996,650	5,000,000	0
969457-BX-7	WILLIAMS COS INC		05/12/2020	BOK Financial Securities		4,974,750	5,000,000	0
00131L-AH-8	AIA GROUP LTD HONG K	D	02/11/2020	STIFEL, NICOLAUS & CO		5,431,700	5,000,000	62,000
00131L-AJ-4	AIA GROUP LTD HONG K	D	10/02/2020	RAYMOND JAMES & ASSOCIATES, INC.		5,636,250	5,000,000	83,906
01273P-AB-8	ALBEMARLE WODGINA PR	D	07/23/2020	CONVERSION TAX FREE EXCHANGE		3,010,134	3,000,000	19,550
04686J-AA-9	ATHENE HOLDING LTD	D	01/08/2020	MORGAN, STANLEY		4,304,410	4,130,000	84,235
05964H-AF-2	BANCO SANTANDER SA	D	01/08/2020	OPPENHEIMER & CO., INC.		2,770,819	2,625,000	37,960
05971K-AF-6	BANCO SANTANDER S A	D	05/20/2020	BANK OF AMERICA		2,000,000	2,000,000	0
06747P-2M-0	BARCLAYS BANK PLC	D	01/23/2020	BOK Financial Securities		4,999,500	5,000,000	0
22533A-BV-8	CREDIT AGRICOLE CORP	D	01/22/2020	FTN FINANCIAL		5,000,000	5,000,000	0
29446M-AF-9	EQUINOR ASA	C	04/01/2020	J.P. MORGAN		1,984,200	2,000,000	0
31575F-AA-4	FIDELIS INSURANCE HO	D	06/11/2020	WELLS FARGO		4,628,242	4,674,000	0
65557F-AH-9	NORDEA BK AB EURO MT	D	01/08/2020	OPPENHEIMER & CO., INC.		4,870,293	4,448,000	66,859
74435K-AA-3	PRUDENTIAL PLC	D	05/04/2020	VARIOUS		6,595,230	6,404,000	11,934
75625Q-AE-9	RECKITT BENCKISER TR	D	03/25/2020	FTN FINANCIAL		1,997,340	2,000,000	15,167
822582-CG-5	SHELL INTE CORPORATE	C	04/01/2020	MORGAN, STANLEY		3,997,560	4,000,000	0
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						286,748,021	274,632,297	1,587,832
8399997. Total - Bonds - Part 3						309,906,140	297,402,297	1,653,246
8399998. Total - Bonds - Part 5						24,738,728	24,795,398	101,500
8399999. Total - Bonds						334,644,868	322,197,695	1,754,746
25525P-30-5	DIVERSIFIED HEALTHCA		01/02/2020	DIRECT	60,000.000	1,500,000	0.00	0
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						1,500,000	XXX	0
8999997. Total - Preferred Stocks - Part 3						1,500,000	XXX	0
8999998. Total - Preferred Stocks - Part 5						0	XXX	0
8999999. Total - Preferred Stocks						1,500,000	XXX	0
9799997. Total - Common Stocks - Part 3						0	XXX	0
9799998. Total - Common Stocks - Part 5						0	XXX	0
9799999. Total - Common Stocks						0	XXX	0
9899999. Total - Preferred and Common Stocks						1,500,000	XXX	0
9999999 - Totals						336,144,868	XXX	1,754,746

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
912828-L3-2	UNITED STATES TREAS		08/31/2020	MATURITY		200,000	200,000	199,500	199,886	0	114	0	114	0	200,000	0	0	0	2,750	08/31/2020
0599999. Subtotal - Bonds - U.S. Governments						200,000	200,000	199,500	199,886	0	114	0	114	0	200,000	0	0	0	2,750	XXX
20772G-5P-9	CONNECTICUT ST		04/01/2020	CALL at 100.000		2,000,000	2,000,000	2,051,100	2,001,593	0	(1,593)	0	(1,593)	0	2,000,000	0	0	0	50,270	04/01/2024
70914P-MX-7	PENNSYLVANIA ST		05/01/2020	CALL at 100.000		1,000,000	1,000,000	992,560	997,629	0	220	0	220	0	997,849	0	2,151	2,151	23,000	05/01/2023
70914P-MY-5	PENNSYLVANIA ST		05/01/2020	CALL at 100.000		2,000,000	2,000,000	1,991,840	1,996,847	0	220	0	220	0	1,997,067	0	2,933	2,933	47,000	05/01/2024
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						5,000,000	5,000,000	5,035,500	4,996,069	0	(1,153)	0	(1,153)	0	4,994,916	0	5,084	5,084	120,270	XXX
015302-H9-6	ALEXANDRIA VA		07/01/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	135,000	07/01/2023
033161-ZL-7	ANCHORAGE ALASKA		04/01/2020	CALL at 100.000		1,335,000	1,335,000	1,357,829	1,335,713	0	(713)	0	(713)	0	1,335,000	0	0	0	34,496	04/01/2024
09088R-VA-1	BIRMINGHAM ALA		02/01/2020	MATURITY		1,295,000	1,295,000	1,295,000	1,295,000	0	0	0	0	0	1,295,000	0	0	0	29,785	02/01/2020
109570-FW-6	BRILLION WIS PUB SCH		04/01/2020	MATURITY		200,000	200,000	204,434	200,141	0	(141)	0	(141)	0	200,000	0	0	0	4,600	04/01/2020
180848-HU-0	CLARK CNTY NEV		07/01/2020	CALL at 100.000		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	28,000	07/01/2022
349545-K4-4	FORT ZUMWALT MO SCH		03/20/2020	CALL at 100.000		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	7,380	03/01/2022
387460-JE-9	GRANITE SCH DIST UTA		06/01/2020	CALL at 100.000		3,750,000	3,750,000	3,750,000	3,750,000	0	0	0	0	0	3,750,000	0	0	0	85,669	06/01/2022
392641-UN-4	GREEN BAY WIS		10/01/2020	CALL at 100.000		200,000	200,000	203,568	200,114	0	(114)	0	(114)	0	200,000	0	0	0	10,000	04/01/2023
412486-4Z-2	HARFORD CNTY MD		07/01/2020	CALL at 100.000		3,000,000	3,000,000	3,064,890	3,004,027	0	(4,027)	0	(4,027)	0	3,000,000	0	0	0	153,750	07/01/2024
486063-MC-1	KATY TEX INDPT SCH D		02/15/2020	CALL at 100.000		1,015,000	1,015,000	1,015,000	1,015,000	0	0	0	0	0	1,015,000	0	0	0	23,030	02/15/2022
49474E-4B-6	KING CNTY WASH		12/01/2020	MATURITY		1,000,000	1,000,000	998,500	999,834	0	166	0	166	0	1,000,000	0	0	0	43,300	12/01/2020
498530-5N-0	KLEIN TEX INDPT SCH		08/01/2020	CALL at 100.000		880,000	880,000	880,000	880,000	0	0	0	0	0	880,000	0	0	0	43,842	08/01/2024
534366-AP-4	LINCOLN NEB WEST HAY		12/15/2020	MATURITY		1,840,000	1,840,000	1,840,000	1,840,000	0	0	0	0	0	1,840,000	0	0	0	73,600	12/15/2020
596782-QP-0	MIDDLETON WIS		09/01/2020	CALL at 100.000		200,000	200,000	196,060	198,412	0	203	0	203	0	198,614	0	1,386	1,386	10,600	09/01/2024
602366-LS-3	MILWAUKEE WIS		02/01/2020	CALL at 100.000		1,000,000	1,000,000	999,900	999,978	0	1	0	1	0	999,979	0	21	21	24,500	02/01/2023
613579-W4-9	MONTGOMERY CNTY PA		10/01/2020	MATURITY		30,000	30,000	30,258	30,033	0	(33)	0	(33)	0	30,000	0	0	0	1,200	10/01/2020
613579-Y3-9	MONTGOMERY CNTY PA		10/01/2020	MATURITY		1,120,000	1,120,000	1,119,742	1,119,968	0	32	0	32	0	1,120,000	0	0	0	44,800	10/01/2020
659868-QH-9	NORTH HILLS PA SCH D		10/15/2020	CALL at 100.000		200,000	200,000	217,884	201,665	0	(1,665)	0	(1,665)	0	200,000	0	0	0	10,168	10/15/2021
674667-QH-6	OCEAN CITY MD		11/01/2020	MATURITY		300,000	300,000	306,018	300,605	0	(605)	0	(605)	0	300,000	0	0	0	13,350	11/01/2020
681712-YV-1	OMAHA NEB		12/21/2020	CALL at 100.000		300,000	300,000	305,646	300,614	0	(614)	0	(614)	0	300,000	0	0	0	13,458	12/01/2022
700246-FQ-9	PARK CITY UTAH		05/06/2020	CALL at 100.000		465,000	465,000	474,123	465,379	0	(379)	0	(379)	0	465,000	0	0	0	12,187	05/01/2024
750021-5T-0	RACINE WIS		12/01/2020	CALL at 100.000		200,000	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	8,600	12/01/2022
770077-S8-9	ROANOKE VA		07/15/2020	CALL at 100.000		200,000	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	9,200	07/15/2022
798764-U8-7	SAN MARCOS TEX		08/15/2020	CALL at 100.000		1,185,000	1,185,000	1,191,589	1,185,545	0	(545)	0	(545)	0	1,185,000	0	0	0	59,843	08/15/2023
798764-U9-5	SAN MARCOS TEX		08/15/2020	CALL at 100.000		420,000	420,000	423,322	420,269	0	(269)	0	(269)	0	420,000	0	0	0	21,630	08/15/2024
815323-HX-1	SEDGWICK CNTY KANS		08/01/2020	MATURITY		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	12,300	08/01/2020
968717-QS-0	WILL CNTY ILL CMNTY		10/01/2020	CALL at 100.000		200,000	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	9,000	10/01/2021
982671-VW-6	WYANDOTTE CNTY/KANS		08/01/2020	CALL at 100.000		1,905,000	1,905,000	1,893,227	1,900,698	0	843	0	843	0	1,901,540	0	3,460	3,460	93,345	08/01/2024
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						26,340,000	26,340,000	26,466,990	26,342,995	0	(7,860)	0	(7,860)	0	26,335,133	0	4,867	4,867	1,016,633	XXX
052476-WX-2	AUSTIN TEX WTR & WAS		11/15/2020	MATURITY		1,250,000	1,250,000	1,250,000	1,250,000	0	0	0	0	0	1,250,000	0	0	0	53,113	11/15/2020
155839-DM-2	CENTRAL WASH UNIV SY		05/01/2020	SINKING FUND REDEMPTION		65,000	65,000	65,000	65,000	0	0	0	0	0	65,000	0	0	0	1,625	05/01/2022
16639E-AR-5	CHESTERFIELD CNTY VA		10/01/2020	CALL at 100.000		200,000	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	10,208	01/01/2022
19923P-AZ-1	COLUMBUS IND MULTI-H		01/15/2020	CALL at 100.000		1,500,000	1,500,000	1,552,800	1,500,264	0	(264)	0	(264)	0	1,500,000	0	0	0	42,788	07/15/2024
276318-AM-4	EASTERN CONN RES REC		01/01/2020	MATURITY		440,000	440,000	413,600	440,000	0	0	0	0	0	440,000	0	0	0	16,500	01/01/2020
29270C-YN-9	ENERGY NORTHWEST WAS		07/01/2020	MATURITY		1,000,000	1,000,000	1,020,900	1,001,461	0	(1,461)	0	(1,461)	0	1,000,000	0	0	0	26,530	07/01/2020
31292G-6L-5	FHLMC PC GOL C00875		12/01/2020	MBS PAYDOWN		1,599	1,599	1,602	1,597	0	1	0	1	0	1,599	0	0	0	63	10/15/2029
31293X-W6-1	FHLMC PC GOL C32469		12/01/2020	MBS PAYDOWN		874	874	876	874	0	1	0	1	0	874	0	0	0	36	11/01/2029
31371J-6Z-2	FNMA PASSTHRU 253888		12/01/2020	MBS PAYDOWN		1,136	1,136	1,104	1,136	0	0	0	0	0	1,136	0	0	0	36	07/01/2031
3137A3-AF-6	FHLMC REMIC SERIES		12/01/2020	MBS PAYDOWN		908,376	908,376	874,028	882,412	0	25,964	0	25,964	0	908,376	0	0	0	21,656	01/15/2039
31387V-U8-0	FNMA PASSTHRU 595607		12/01/2020	MBS PAYDOWN		2,035	2,035	2,010	2,034	0	1	0	1	0	2,035	0	0	0	73	07/01/2031
31392F-XU-6	FNMA REMIC TRUST		12/01/2020	MBS PAYDOWN		133,203	133,203	133,905	133,202	0	1	0	1	0	133,203	0	0	0	3,823	12/25/2022
31393N-QT-9	FHLMC REMIC SERIES		12/01/2020	MBS PAYDOWN		17,626	17,626	17,784	17,644	0	(19)	0	(19)	0	17,626	0	0	0	513	02/15/2023
31393V-F9-7	FHLMC REMIC SERIES		12/01/2020	MBS PAYDOWN		252,056	252,056	255,206	252,293	0	(237)	0	(237)	0	252,056	0	0	0	6,523	06/15/2023
38377F-MF-5	GNMA REMIC TRUST		08/01/2020	MBS PAYDOWN		240,236	240,236	251,422	249,491	0	(9,254)	0	(9,254)	0	240,236	0	0	0	5,355	03/20/2039
38378D-AH-8	GNMA REMIC TRUST		12/01/2020	MBS PAYDOWN		1,196,552	1,196,552	1,290,407	1,243,480	0	(46,928)	0	(46,928)	0	1,196,552	0	0	0	25,280	07/20/2039
485429-CX-0	KANSAS ST DEV FIN AU		03/01/2020	CALL at 100.000		750,000	750,000	750,000	750,000	0	0	0	0	0	750,000	0	0	0	16,950	03/01/2022
48542K-X9-5	KANSAS ST DEV FIN AU		11/01/2020	CALL at 100.000		1,680,000	1,680,000	1,717,632	1,683,814	0	(3,814)	0	(3,814)	0	1,680,000	0	0	0	96,096	11/01/2026
491501-CV-2	KENTUCKY ST MUN PWR		09/01/2020	CALL at 100.000		1,000,000	1,000,000	1,007,600	1,000,627	0	(627)	0	(627)	0	1,000,000	0	0	0	57,600	09/01/2024
56045R-AK-5	MAINE MUN BD BK		09/01/2020	MATURITY		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	96,480	09/01/2020

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
				SINKING FUND REDEMPTION																
60534R-YW-3	MISSISSIPPI DEV BK S		03/01/2020			35,000	35,000	35,263	34,906	0	94	0	94	0	35,000	0	0	0	765	03/01/2024
647421-CX-2	NEW MEXICO ST UNIV R		04/01/2020	CALL at 100.000		680,000	680,000	702,658	680,693	0	(693)	0	(693)	0	680,000	0	0	0	17,051	04/01/2021
647421-DD-5	NEW MEXICO ST UNIV R		04/01/2020	CALL at 100.000		915,000	915,000	945,488	915,932	0	(932)	0	(932)	0	915,000	0	0	0	22,944	04/01/2021
746189-RF-8	PURDUE UNIV IND UNIV		07/01/2020	MATURITY		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	20,990	07/01/2020
79569T-AB-9	SALT LAKE CNTY UTAH		08/15/2020	MATURITY		2,745,000	2,745,000	2,644,560	2,737,414	0	7,586	0	7,586	0	2,745,000	0	0	0	94,922	08/15/2020
800618-AC-4	SANFORD N C ENTERPRI		06/01/2020	CALL at 100.000		1,510,000	1,510,000	1,510,000	1,510,000	0	0	0	0	0	1,510,000	0	0	0	40,166	06/01/2021
914119-SE-3	UNIVERSITY CINCINNAT		06/01/2020	MATURITY		100,000	100,000	100,000	100,000	0	0	0	0	0	100,000	0	0	0	2,358	06/01/2020
91428L-FL-5	UNIVERSITY HAWAII RE		10/01/2020	MATURITY		300,000	300,000	300,981	300,089	0	(89)	0	(89)	0	300,000	0	0	0	12,483	10/01/2020
3199999. Subtotal - Bonds - U.S. Special Revenues						19,423,693	19,423,693	19,544,826	19,454,363	0	(30,670)	0	(30,670)	0	19,423,693	0	0	0	692,927	XXX
056018-AA-8	BSP SOF II STRUCTURED NT		12/22/2020	VARIOUS		3,070,207	3,070,207	3,070,207	0	0	0	0	0	0	3,070,207	0	0	0	29,364	01/31/2028
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		12/03/2020	VARIOUS		8,770,462	8,770,462	8,770,462	7,743,171	0	0	0	0	0	8,770,462	0	0	0	63,703	04/01/2029
23248Q-AA-4	CVC CREDIT PARTERS U.S DIRECT SENIOR NOT		05/13/2020	DIRECT		1,972,262	1,972,262	1,972,262	490,909	0	0	0	0	0	1,972,262	0	0	0	35,879	08/06/2026
23248Q-AB-2	CVC CREDIT PARTERS U.S DIRECT JUNIOR NOT		05/13/2020	DIRECT		153,398	153,398	153,398	38,182	0	0	0	0	0	153,398	0	0	0	2,959	08/06/2026
72303#-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER,		12/07/2020	VARIOUS		3,614,500	3,614,500	3,614,500	3,614,500	0	0	0	0	0	3,614,500	0	0	0	196,456	12/31/2031
001055-AJ-1	AFLAC INC		01/10/2020	CALL at 104.257		3,127,712	3,000,000	3,003,420	3,000,848	0	(10)	0	(10)	0	3,000,839	0	(839)	(839)	176,045	02/15/2022
00206R-AX-0	AT&T INC		06/29/2020	CALL at 103.572		517,859	500,000	500,950	500,158	0	(56)	0	(56)	0	500,102	0	(102)	(102)	31,703	05/15/2021
00206R-HL-9	AT&T INC		07/08/2020	CALL at 105.359		526,794	500,000	516,920	513,318	0	(3,325)	0	(3,325)	0	509,993	0	(9,993)	(9,993)	46,405	01/15/2022
017175-AB-6	ALLEGHANY CORP DEL		01/15/2020	CALL at 102.365		3,070,950	3,000,000	3,074,194	3,006,638	0	(359)	0	(359)	0	3,006,279	0	(6,279)	(6,279)	127,200	09/15/2020
				CONVERSION TAX FREE																
018490-AQ-5	ALLERGAN INC		05/12/2020	EXCHANGE		2,997,289	3,000,000	2,979,963	2,996,783	0	506	0	506	0	2,997,289	0	0	0	55,300	03/15/2023
03073E-AJ-4	AMERISOURCEBERGEN CO		06/18/2020	CALL at 104.290		2,085,792	2,000,000	1,997,160	1,999,391	0	147	0	147	0	1,999,538	0	462	462	127,209	11/15/2021
03076C-AE-6	AMERIPRISE FINL INC		03/15/2020	MATURITY		500,000	500,000	535,410	501,031	0	(1,031)	0	(1,031)	0	500,000	0	0	0	13,250	03/15/2020
031162-BD-1	AMGEN INC		03/22/2020	CALL at 100.905		504,525	500,000	466,450	496,825	0	939	0	939	0	497,764	0	2,236	2,236	12,719	10/01/2020
03215P-DP-1	AMRESCO RESIDENTIAL		11/01/2020	MBS PAYDOWN		64,874	64,874	64,872	64,678	0	197	0	197	0	64,874	0	0	0	3,026	01/25/2028
037411-AZ-8	APACHE CORP		08/18/2020	TEN		1,020,000	1,000,000	998,430	999,476	0	141	0	141	0	999,616	0	20,384	20,384	27,354	04/15/2022
037411-BD-6	APACHE CORP		08/18/2020	TEN		3,000,000	3,000,000	2,827,590	2,936,980	0	12,509	0	12,509	0	2,949,489	0	50,511	50,511	85,969	01/15/2023
038222-AF-2	APPLIED MATLS INC		06/27/2020	CALL at 103.785		3,632,467	3,500,000	3,499,875	3,499,984	0	5	0	5	0	3,499,989	0	11	11	212,734	06/15/2021
039483-BB-7	ARCHER DANIELS MIDLA		06/30/2020	CALL at 102.775		513,875	500,000	506,160	500,872	0	(369)	0	(369)	0	500,502	0	(502)	(502)	32,475	03/01/2021
053332-AL-6	AUTOZONE INC		08/15/2020	CALL at 100.000		2,705,000	2,705,000	2,751,304	2,711,720	0	(7,466)	0	(7,466)	0	2,704,254	0	746	746	81,150	11/15/2020
05463H-AA-9	AXIS SPECIALTY FINAN		06/01/2020	MATURITY		1,838,000	1,838,000	1,948,703	1,844,538	0	(6,538)	0	(6,538)	0	1,838,000	0	0	0	53,991	06/01/2020
075887-AW-9	BECTON DICKINSON & C		09/29/2020	CALL at 101.244		2,019,827	2,000,000	1,998,980	1,999,898	0	62	0	62	0	1,999,960	0	40	40	63,011	11/12/2020
084423-AR-3	BERKLEY W R CORP		09/15/2020	MATURITY		2,500,000	2,500,000	2,548,700	2,504,433	0	(4,433)	0	(4,433)	0	2,500,000	0	0	0	134,375	09/15/2020
				CONVERSION TAX FREE																
110122-BG-2	BRISTOL MYERS SQUIBB		07/15/2020	EXCHANGE		1,245,682	1,250,000	1,231,693	1,244,590	0	1,091	0	1,091	0	1,245,682	0	0	0	37,240	08/15/2022
12326Q-AA-2	BUSINESS JET SEC LLC		12/15/2020	MBS PAYDOWN		526,506	526,506	542,245	528,040	0	(1,534)	0	(1,534)	0	526,506	0	0	0	13,352	07/15/2034
12327F-AA-5	BUSINESS JET SEC LLC		12/15/2020	MBS PAYDOWN		87,630	87,630	88,287	0	0	(351)	0	(351)	0	87,630	0	0	0	224	11/15/2035
				CONVERSION TAX FREE																
125523-AN-0	CIGNA CORP NEW		07/13/2020	EXCHANGE		2,996,612	3,000,000	2,981,160	2,995,478	0	1,134	0	1,134	0	2,996,612	0	0	0	109,333	02/15/2022
				CONVERSION TAX FREE																
125523-AU-4	CIGNA CORP NEW		07/13/2020	EXCHANGE		3,549,765	3,500,000	3,592,210	3,555,338	0	(5,572)	0	(5,572)	0	3,549,765	0	0	0	84,681	04/15/2025
				CONVERSION TAX FREE																
125523-AY-6	CIGNA CORP NEW		07/13/2020	EXCHANGE		3,436,869	3,500,000	3,416,945	3,432,793	0	4,076	0	4,076	0	3,436,869	0	0	0	79,469	10/15/2027
				CONVERSION TAX FREE																
125523-BW-9	CIGNA CORP NEW		07/13/2020	EXCHANGE		1,470,418	1,500,000	1,441,905	1,466,739	0	3,678	0	3,678	0	1,470,418	0	0	0	30,333	06/15/2024
				CONVERSION TAX FREE																
125523-BY-5	CIGNA CORP NEW		07/13/2020	EXCHANGE		5,580,386	5,500,000	5,630,335	5,586,980	0	(6,595)	0	(6,595)	0	5,580,386	0	0	0	218,625	02/25/2026
				CONVERSION TAX FREE																
125523-CA-6	CIGNA CORP NEW		07/13/2020	EXCHANGE		961,992	1,000,000	946,610	958,973	0	3,019	0	3,019	0	961,992	0	0	0	29,467	03/01/2027
12563L-AL-1	CLU FDG VI LLC		12/18/2020	MBS PAYDOWN		433,181	433,181	438,386	347,633	0	(245)	0	(245)	0	433,181	0	0	0	7,535	05/18/2044
126410-LK-3	CSX TRANSN INC		06/15/2020	MATURITY		420,000	420,000	430,244	420,451	0	(451)	0	(451)	0	420,000	0	0	0	20,475	06/15/2020
12665U-AA-2	CVS PTC 2013		12/01/2020	VARIOUS		171,354	171,354	182,449	172,665	0	(501)	0	(501)	0	172,163	0	(809)	(809)	4,450	01/10/2036
134429-AW-9	CAMPBELL SOUP CO		01/22/2020	CALL at 103.022		3,605,755	3,500,000	3,480,715	3,497,045	0	130	0	130	0	3,497,175	0	2,825	2,825	145,835	04/15/2021
142339-AE-0	CARLISLE COS INC		03/29/2020	CALL at 103.345		775,089	750,000	812,543	757,830	0	(3,911)	0	(3,911)	0	753,919	0	(3,919)	(3,919)	36,193	12/15/2020
17275R-AH-5	CISCO SYS INC		01/15/2020	MATURITY		3,000,000	3,000,000	2,968,530	2,999,849	0	151	0	151	0	3,000,000	0	0	0	66,750	01/15/2020
17326Y-L7-9	CITIGROUP GLOBAL MKT		02/01/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	50,000	02/08/2029
178566-AC-9	CITY NATL CORP		09/15/2020	MATURITY		200,000	200,000	208,968	200,782	0	(782)	0	(782)	0	200,000	0	0	0	10,500	09/15/2020

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
210805-CV-7	CONTINENTAL AIRLINES		03/15/2020	MBS PAYDOWN		360	360	297	360	0	0	0	0	0	360	0	0	0	14	09/15/2021
216871-AE-3	COOPER US INC		12/15/2020	MATURITY		2,000,000	2,000,000	2,118,500	2,014,572	0	(14,572)	0	(14,572)	0	2,000,000	0	0	0	77,500	12/15/2020
22533A-BA-4	CREDIT AGRICOLE MTN BONDS		04/24/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	87,500	04/24/2031
23311R-AD-8	DCP MIDSTREAM LLC		03/15/2020	MATURITY		750,000	750,000	817,635	751,975	0	(1,975)	0	(1,975)	0	750,000	0	0	0	20,063	03/15/2020
25389J-AK-2	DIGITAL RLTY TR LP		08/03/2020	CALL at 106.002		5,464,393	5,155,000	5,090,280	5,132,299	0	4,619	0	4,619	0	5,136,919	0	18,081	18,081	466,156	10/01/2022
25755T-AK-6	DOMINOS PIZZA MSTR		10/25/2020	MBS PAYDOWN		12,550	12,550	13,776	0	0	(2)	0	(2)	0	12,550	0	0	0	204	07/25/2048
26054L-E7-4	DOW CHEM CO SR INTER		12/15/2020	CALL at 100.000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	78,000	06/15/2025
26884L-AB-5	EQT CORP		03/02/2020	TEN		2,946,780	2,889,000	2,919,449	2,895,970	0	(627)	0	(627)	0	2,895,342	0	51,438	51,438	42,643	11/15/2021
26884T-AA-0	ERAC USA FINANCE COM		10/01/2020	MATURITY		750,000	750,000	822,480	757,390	0	(7,390)	0	(7,390)	0	750,000	0	0	0	39,375	10/01/2020
278642-AC-7	EBAY INC		07/23/2020	CALL at 100.000		3,500,000	3,500,000	3,205,600	3,470,848	0	20,669	0	20,669	0	3,491,517	0	8,483	8,483	87,840	10/15/2020
28932M-AA-3	ELM ROAD GENERATING		08/11/2020	SINKING FUND REDEMPTION		148,047	148,047	148,047	148,047	0	0	0	0	0	148,047	0	0	0	5,809	02/11/2030
30161M-AH-6	EXELON GENERATION CO		07/01/2020	CALL at 100.000		1,500,000	1,500,000	1,506,229	1,501,155	0	(1,658)	0	(1,658)	0	1,499,497	0	503	503	45,000	10/01/2020
31331F-BB-6	FEDERAL EXPRESS PASS		01/15/2020	MBS PAYDOWN		39,933	39,933	39,933	39,926	0	6	0	6	0	39,933	0	0	0	1,577	07/15/2021
3136B0-TE-6	FNMA REMIC TRUST		12/01/2020	MBS PAYDOWN		2,246,350	2,246,350	2,319,356	0	0	(24,892)	0	(24,892)	0	2,246,350	0	0	0	40,213	02/25/2048
313747-AS-6	FEDERAL REALTY INVT		12/31/2020	CALL at 104.144		2,603,600	2,500,000	2,463,560	2,488,724	0	4,256	0	4,256	0	2,492,980	0	7,020	7,020	209,850	08/01/2022
340711-AT-7	FLORIDA GAS TRANSMIS		07/15/2020	MATURITY		300,000	300,000	330,729	302,050	0	(2,050)	0	(2,050)	0	300,000	0	0	0	16,350	07/15/2020
38141E-A6-6	GOLDMAN SACHS GRP IN		06/15/2020	MATURITY		500,000	500,000	541,480	502,539	0	(2,539)	0	(2,539)	0	500,000	0	0	0	15,000	06/15/2020
38148T-N4-7	GOLDMAN SACHS GROUP		03/20/2020	CALL at 100.000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	15,000	06/20/2031
39121J-AE-0	GREAT RIV ENERGY		07/01/2020	SINKING FUND REDEMPTION		342,308	342,308	410,482	0	0	(982)	0	(982)	0	342,308	0	0	0	10,704	07/01/2038
393505-XH-0	GREEN TREE FINANCIAL		12/15/2020	MBS PAYDOWN		445,109	481,311	487,819	479,523	0	1,787	0	1,787	0	481,311	0	(36,202)	(36,202)	19,595	01/15/2029
40414L-AG-4	HCP INC		07/09/2020	CALL at 105.512		1,055,117	1,000,000	988,880	996,781	0	629	0	629	0	997,410	0	2,590	2,590	84,692	08/01/2022
428236-BF-9	HEWLETT PACKARD CO		07/22/2020	CALL at 101.252		2,025,044	2,000,000	1,996,540	1,999,626	0	226	0	226	0	1,999,853	0	147	147	73,169	12/01/2020
45822P-AA-3	INTEGRYS ENERGY GROU		11/01/2020	MATURITY		300,000	300,000	303,051	300,304	0	(304)	0	(304)	0	300,000	0	0	0	12,510	11/01/2020
47233J-AB-4	JEFFERIES GROUP LLC		05/26/2020	CALL at 100.000		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	20,000	05/26/2026
48127D-QC-5	JP MORGAN CHASE BANK		02/19/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	37,500	02/19/2025
487836-BD-9	KELLOGG CO		12/15/2020	MATURITY		500,000	500,000	488,350	498,639	0	1,361	0	1,361	0	500,000	0	0	0	20,000	12/15/2020
501673-AA-5	LA ARENA FDG 1999		12/15/2020	MBS PAYDOWN		77,143	77,143	73,105	77,659	0	(516)	0	(516)	0	77,143	0	0	0	5,906	12/15/2026
50540R-AJ-1	LABORATORY CORP AMER		08/17/2020	CALL at 100.000		3,470,000	3,470,000	3,529,930	3,478,068	0	(9,025)	0	(9,025)	0	3,469,044	0	956	956	121,257	11/15/2020
53117C-AN-2	LIBERTY PPTY LTD PAR		03/05/2020	CALL at 105.034		262,586	250,000	261,025	252,875	0	(224)	0	(224)	0	252,651	0	(2,651)	(2,651)	14,877	06/15/2022
534187-AY-5	LINCOLN NATL CORP IN		02/15/2020	MATURITY		500,000	500,000	515,000	500,273	0	(273)	0	(273)	0	500,000	0	0	0	15,625	02/15/2020
543190-AB-8	LONGTRAIN LEASING II		02/01/2020	MBS PAYDOWN		99,049	99,049	101,355	99,599	0	(550)	0	(550)	0	99,049	0	0	0	670	01/15/2045
55907R-AA-6	MAGELLAN MIDSTREAM H		06/19/2020	CALL at 102.344		511,721	500,000	520,790	502,786	0	(1,188)	0	(1,188)	0	501,598	0	(1,598)	(1,598)	30,492	02/01/2021
577778-AS-2	MAY DEPT STORES CO		08/15/2020	SINKING FUND REDEMPTION		50,000	50,000	50,250	49,988	0	12	0	12	0	50,000	0	0	0	3,656	02/15/2021
58155Q-AD-5	MCKESSON CORP		12/01/2020	CALL at 100.000		500,000	500,000	507,665	501,084	0	(1,084)	0	(1,084)	0	500,000	0	0	0	29,688	03/01/2021
585055-AZ-9	MEDTRONIC INC		10/29/2020	CALL at 105.938		1,059,380	1,000,000	998,013	999,293	0	174	0	174	0	999,467	0	533	533	89,019	04/01/2023
585055-BC-9	MEDTRONIC INC		10/29/2020	CALL at 110.930		1,109,300	1,000,000	1,002,360	1,001,092	0	(208)	0	(208)	0	1,000,884	0	(884)	(884)	149,981	03/15/2024
617446-7P-8	MORGAN STANLEY		07/24/2020	MATURITY		500,000	500,000	516,325	501,256	0	(1,256)	0	(1,256)	0	500,000	0	0	0	27,500	07/24/2020
629568-AV-8	NABORS INDS INC		09/15/2020	VARIOUS		3,000,000	3,000,000	3,109,890	3,010,056	0	7,893	17,949	(10,056)	0	3,000,000	0	0	0	150,000	09/15/2020
629568-AX-4	NABORS INDS INC		01/21/2020	TEN		1,832,320	1,792,000	1,876,582	1,809,964	0	(593)	0	(593)	0	1,809,371	0	22,949	22,949	29,238	09/15/2021
631005-BB-0	NARRAGANSETT ELEC CO		03/15/2020	MATURITY		500,000	500,000	506,205	500,169	0	(169)	0	(169)	0	500,000	0	0	0	11,335	03/15/2020
637417-AE-6	NATIONAL RETAIL PPTY		03/20/2020	CALL at 105.132		788,491	750,000	748,680	749,605	0	30	0	30	0	749,635	0	365	365	50,762	10/15/2022
63946B-AE-0	NBCUNIVERSAL MEDIA L		03/06/2020	CALL at 103.453		2,379,419	2,300,000	2,321,932	2,303,353	0	(268)	0	(268)	0	2,303,085	0	(3,085)	(3,085)	122,744	04/01/2021
64110D-AE-4	NETAPP INC		07/27/2020	CALL at 102.674		1,540,110	1,500,000	1,468,875	1,491,057	0	3,461	0	3,461	0	1,494,518	0	5,482	5,482	71,329	06/15/2021
655855-FA-7	NORFOLK SOUTHN RY CO		06/15/2020	MATURITY		580,000	580,000	594,146	580,622	0	(622)	0	(622)	0	580,000	0	0	0	28,275	06/15/2020
674599-CC-7	OCCIDENTAL PETE CORP		12/18/2020	TEN		1,017,500	1,000,000	985,240	995,834	0	1,879	0	1,879	0	997,712	0	2,288	2,288	59,774	02/15/2022
674599-CE-3	OCCIDENTAL PETE CORP		12/18/2020	TEN		1,117,965	1,129,000	1,118,444	1,125,311	0	1,056	0	1,056	0	1,126,367	0	(3,737)	(3,737)	34,940	02/15/2023
682134-AC-5	OMNICOM GROUP INC		03/23/2020	CALL at 101.585		2,255,187	2,220,000	2,164,827	2,215,578	0	1,606	0	1,606	0	2,217,184	0	2,816	2,816	95,010	08/15/2020
693476-BL-6	PNC FUNDING CORP		08/11/2020	MATURITY		500,000	500,000	501,305	500,104	0	(104)	0	(104)	0	500,000	0	0	0	21,875	08/11/2020
69362B-AW-2	PSEG PWR LLC		04/15/2020	MATURITY		500,000	500,000	533,230	501,284	0	(1,284)	0	(1,284)	0	500,000	0	0	0	12,813	04/15/2020
694476-AC-6	PACIFIC LIFECORP		02/10/2020	MATURITY		72,000	72,000	80,122	72,115	0	(115)	0	(115)	0	72,000	0	0	0	2,160	02/10/2020
744320-BM-6	PRUDENTIAL FINL INC		06/21/2020	VARIOUS		200,000	200,000	208,452	200,530	0	(530)	0	(530)	0	200,000	0	0	0	5,375	06/21/2020
744320-BP-9	PRUDENTIAL FINL INC		11/15/2020	MATURITY		300,000	300,000	297,714	299,757	0	243	0	243	0	300,000	0	0	0	13,500	11/15/2020
74834L-AS-9	QUEST DIAGNOSTICS IN		11/18/2020	CALL at 101.605		3,556,175	3,500,000	3,495,095	3,499,266	0	513	0	513	0	3,499,779	0	221	221	242,152	04/01/2021
755111-BX-8	RAYTHEON CO		06/08/2020	CONVERSION TAX FREE EXCHANGE		4,947,066	5,000,000	4,814,450	4,938,165	0	8,902	0	8,902	0	4,947,066	0	0	0	60,764	12/15/2022

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
774341-AC-5	ROCKWELL COLLINS INC		06/08/2020	CONVERSION TAX FREE EXCHANGE		3,004,433	3,000,000	3,030,750	3,006,020	0	(1,587)	0	(1,587)	0	3,004,433	0	0	0	52,958	11/15/2021
78390X-AA-9	SAIC INC		09/02/2020	CALL at 100.000		4,465,000	4,465,000	4,667,472	4,483,737	0	(18,737)	0	(18,737)	0	4,465,000	0	0	0	149,571	12/01/2020
79548K-ZL-5	SALOMON BRO MTG SEC		12/01/2020	MBS PAYDOWN		41,715	49,340	46,410	49,335	0	4	0	4	0	49,340	0	(7,625)	(7,625)	1,524	06/25/2028
803111-AS-2	SARA LEE CORP		09/15/2020	MATURITY		2,823,000	2,823,000	2,886,861	2,829,427	0	(6,427)	0	(6,427)	0	2,823,000	0	0	0	115,743	09/15/2020
80589M-AD-4	SCANA CORP NEW		03/05/2020	CALL at 103.880		3,635,785	3,500,000	3,622,905	3,517,650	0	(2,713)	0	(2,713)	0	3,514,937	0	(14,937)	(14,937)	186,584	05/15/2021
808513-AD-7	SCHWAB CHARLES CORP		07/22/2020	MATURITY		3,000,000	3,000,000	3,195,780	3,014,983	0	(14,983)	0	(14,983)	0	3,000,000	0	0	0	133,500	07/22/2020
854502-AD-3	STANLEY BLACK & DECK		12/02/2020	CALL at 104.853		3,145,595	3,000,000	3,029,140	3,009,098	0	(9,283)	0	(9,283)	0	2,999,815	0	185	185	240,087	11/01/2022
86765B-AG-4	SUNOCO LOGISTICS PAR		02/15/2020	MATURITY		500,000	500,000	538,150	500,640	0	(640)	0	(640)	0	500,000	0	0	0	13,750	02/15/2020
871503-AH-1	SYMANTEC CORP		09/15/2020	MATURITY		4,750,000	4,750,000	4,758,459	4,752,829	0	(2,829)	0	(2,829)	0	4,750,000	0	0	0	199,500	09/15/2020
874074-AA-5	TAL ADVANTAGE VI		09/20/2020	MBS PAYDOWN		3,675,580	3,642,064	3,735,392	3,733,068	0	(10,431)	0	(10,431)	0	3,722,637	0	(47,057)	(47,057)	117,239	04/20/2042
88166H-AD-9	TEVA PHARMACEUTICAL		03/18/2020	MATURITY		1,000,000	1,000,000	1,004,510	1,000,144	0	(144)	0	(144)	0	1,000,000	0	0	0	11,250	03/18/2020
882384-AB-6	TEXAS EASTN TRANSMIS		10/01/2020	CALL at 100.000		500,000	500,000	526,060	502,268	0	(2,268)	0	(2,268)	0	500,000	0	0	0	17,188	12/01/2020
88315L-AA-6	TEXTAINER MARINE VII		09/20/2020	MBS PAYDOWN		8,980,000	8,980,000	9,083,271	9,079,515	0	(99,515)	0	(99,515)	0	8,980,000	0	0	0	269,411	07/20/2043
89417E-AG-4	TRAVELERS COMPANIES		11/01/2020	MATURITY		3,500,000	3,500,000	3,326,840	3,481,946	0	18,054	0	18,054	0	3,500,000	0	0	0	136,500	11/01/2020
913017-BV-0	UNITED TECHNOLOGIES		03/04/2020	CALL at 104.522		2,090,444	2,000,000	1,998,460	1,999,583	0	29	0	29	0	1,999,613	0	387	387	106,460	06/01/2022
91324P-BM-3	UNITEDHEALTH GROUP I		10/15/2020	MATURITY		2,655,000	2,655,000	2,620,528	2,651,587	0	3,413	0	3,413	0	2,655,000	0	0	0	102,881	10/15/2020
918204-AV-0	V F CORP		03/04/2020	CALL at 103.390		3,618,650	3,500,000	3,612,945	3,522,409	0	(5,110)	0	(5,110)	0	3,517,299	0	(17,299)	(17,299)	180,921	09/01/2021
939653-AL-5	WASHINGTON REAL ESTA		04/02/2020	CALL at 100.000		400,000	400,000	409,859	401,490	0	(1,490)	0	(1,490)	0	400,000	0	0	0	9,955	10/01/2020
94973V-AS-6	ANTHEM INC		08/15/2020	MATURITY		500,000	500,000	530,900	502,469	0	(2,469)	0	(2,469)	0	500,000	0	0	0	21,750	08/15/2020
94986R-G7-0	WELLS FARGO & CO		03/23/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,014,282	0	0	0	0	0	3,014,282	0	(14,282)	(14,282)	45,000	03/21/2028
94986R-P6-2	WELLS FARGO & CO		07/06/2020	CALL at 100.000		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	25,000	07/06/2028
06367W-KG-7	BANK OF MONTREAL	A	07/17/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	116,250	04/17/2031
56501R-AB-2	MANULIFE FINL CORP	A	09/17/2020	MATURITY		3,500,000	3,500,000	3,492,580	3,499,324	0	676	0	676	0	3,500,000	0	0	0	171,500	09/17/2020
78012K-CY-1	ROYAL BK CDA	A	04/21/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	31,500	04/21/2025
893526-DK-6	TRANSCANADA CORP	A	10/01/2020	MATURITY		1,000,000	1,000,000	953,390	995,628	0	4,372	0	4,372	0	1,000,000	0	0	0	38,000	10/01/2020
01273P-AA-0	ALBEMARLE WODGINA PR	D	07/23/2020	CONVERSION TAX FREE EXCHANGE		3,010,077	3,000,000	3,010,710	3,010,616	0	(539)	0	(539)	0	3,010,077	0	0	0	68,425	11/15/2029
037389-AW-3	AON CORP	D	06/30/2020	CALL at 101.120		2,275,197	2,250,000	2,364,135	2,261,062	0	(7,336)	0	(7,336)	0	2,253,727	0	(3,727)	(3,727)	109,572	09/30/2020
05541V-AA-4	BG ENERGY CAPITAL PL	D	12/09/2020	MATURITY		2,100,000	2,100,000	2,295,804	2,124,155	0	(24,155)	0	(24,155)	0	2,100,000	0	0	0	84,000	12/09/2020
06739G-AR-0	BARCLAYS BANK PLC	D	01/08/2020	MATURITY		500,000	500,000	511,680	500,031	0	(31)	0	(31)	0	500,000	0	0	0	12,813	01/08/2020
06747M-NW-2	BARCLAYS BANK PLC	D	10/26/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	175,000	04/26/2029
06747N-7J-7	BARCLAYS BANK PLC	D	08/03/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	150,000	08/01/2031
22533A-BG-1	CREDIT AGRICOLE CORP	D	07/08/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,009,744	0	0	0	0	0	5,009,744	0	(9,744)	(9,744)	150,000	07/08/2031
25243Y-AP-4	DIAGEO CAP PLC	D	07/15/2020	MATURITY		500,000	500,000	518,455	501,285	0	(1,285)	0	(1,285)	0	500,000	0	0	0	24,140	07/15/2020
37956A-AA-1	GLOBAL SC FIN IV	D	09/17/2020	VARIOUS		3,735,789	3,735,789	3,722,601	3,723,108	0	12,680	0	12,680	0	3,735,789	0	0	0	101,148	04/15/2037
55608J-AC-2	MACQUARIE GRP LTD SR	D	01/14/2020	MATURITY		2,000,000	2,000,000	1,969,200	1,999,853	0	147	0	147	0	2,000,000	0	0	0	60,000	01/14/2020
63250D-BY-6	NATIONAL AUSTRALIA B	D	12/10/2020	MATURITY		500,000	500,000	485,250	498,268	0	1,732	0	1,732	0	500,000	0	0	0	21,880	12/10/2020
928670-AB-4	VOLKSWAGEN INTERNATI	D	08/12/2020	MATURITY		3,490,000	3,490,000	3,598,940	3,498,943	0	(8,948)	0	(8,948)	0	3,490,000	0	0	0	139,600	08/12/2020
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						257,218,300	255,071,618	256,654,052	246,841,487	0	(211,235)	17,949	(229,184)	0	255,107,238	0	16,388	16,388	9,174,320	XXX
8399997. Total - Bonds - Part 4						308,181,993	306,035,311	307,900,868	297,834,800	0	(250,804)	17,949	(268,753)	0	306,060,980	0	26,339	26,339	11,006,900	XXX
8399998. Total - Bonds - Part 5						24,646,515	24,795,398	24,738,728		0	(96,753)	0	(96,753)	0	24,518,806	0	4,540	4,540	450,403	XXX
8399999. Total - Bonds						332,828,508	330,830,709	332,639,596	297,834,800	0	(347,557)	17,949	(365,506)	0	330,579,786	0	30,879	30,879	11,457,303	XXX
81721M-30-7	SENIOR HSG PPTYS		01/02/2020	DIRECT	60,000.000	1,500,000	25.00	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	0	
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						1,500,000	XXX	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	0	XXX
8999997. Total - Preferred Stocks - Part 4						1,500,000	XXX	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	0	XXX
8999998. Total - Preferred Stocks - Part 5						0	XXX	0		0	0	0	0	0	0	0	0	0	0	XXX
8999999. Total - Preferred Stocks						1,500,000	XXX	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	0	XXX
10922N-10-3	BRIGHTHOUSE FINANCAL		05/01/2020	FRS	0.910	0		0	36	0	0	0	0	0	36	0	(36)	(36)	0	
22052L-10-4	CORTEVA INC		05/01/2020	VARIOUS	0.326	10		6	10	0	0	0	0	0	0	0	0	0	0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						10	XXX	6	46	0	0	0	0	0	36	0	(36)	(36)	0	XXX
9799997. Total - Common Stocks - Part 4						10	XXX	6	46	0	0	0	0	0	36	0	(36)	(36)	0	XXX
9799998. Total - Common Stocks - Part 5						0	XXX	0		0	0	0	0	0	0	0	0	0	0	XXX

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
9799999. Total - Common Stocks						10	XXX	6	46	0	0	0	0	0	36	0	(36)	(36)	0	XXX
9899999. Total - Preferred and Common Stocks						1,500,010	XXX	1,500,006	1,500,046	0	0	0	0	0	1,500,036	0	(36)	(36)	0	XXX
9999999 - Totals						334,328,518	XXX	334,139,602	299,334,846	0	(347,557)	17,949	(365,506)	0	332,079,822	0	30,843	30,843	11,457,303	XXX

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identi-fication	Description	For-eign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consid-eration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort-ization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		06/16/2020	DIRECT	12/03/2020	VARIOUS	6,344,824	6,344,825	6,344,825	6,344,825	0	0	0	0	0	0	0	0	94,753	0
00287Y-CH-0	ABBVIE INC		05/12/2020	CONVERSION TAX FREE EXCHANGE	11/17/2020	CONVERSION TAX FREE EXCHANGE	3,000,000	2,997,246	2,983,518	2,966,235	0	(13,728)	0	(13,728)	0	0	0	0	56,467	13,300
06048W-G3-8	BANK OF AMERICA CORP		01/10/2020	SOUTHWEST SECURITIES	12/24/2020	CALL at 100.0000	5,005,000	4,999,995	5,005,000	5,000,460	0	465	0	465	0	0	4,540	4,540	150,150	8,342
23248Q-AA-4	CVC CREDITPARTNERS U.S. DIRECT SUB		01/07/2020	CVC CREDIT PARTNER	03/30/2020	VARIOUS	2,269,090	2,269,091	2,269,091	2,269,091	0	0	0	0	0	0	0	0	(24,582)	11,724
23248Q-AB-2	CVC CREDITPARTNERS U.S. DIRECT JR		01/07/2020	CVC CREDIT PARTNER	03/30/2020	VARIOUS	176,484	176,485	176,485	176,485	0	0	0	0	0	0	0	0	(1,912)	912
75513E-AT-8	RAYTHEON TECHNOLOGIE		06/08/2020	CONVERSION TAX FREE EXCHANGE	12/08/2020	CONVERSION TAX FREE EXCHANGE	5,000,000	4,946,562	4,849,825	4,717,713	0	(96,737)	0	(96,737)	0	0	0	0	122,569	60,764
75513E-BA-8	RAYTHEON TECHNOLOGIE		06/08/2020	CONVERSION TAX FREE EXCHANGE	12/08/2020	CONVERSION TAX FREE EXCHANGE	3,000,000	3,004,524	3,017,771	3,043,997	0	13,247	0	13,247	0	0	0	0	52,958	6,458
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							24,795,398	24,738,728	24,646,515	24,518,806	0	(96,753)	0	(96,753)	0	0	4,540	4,540	450,403	101,500
8399998. Total - Bonds							24,795,398	24,738,728	24,646,515	24,518,806	0	(96,753)	0	(96,753)	0	0	4,540	4,540	450,403	101,500
8999998. Total - Preferred Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0
9799998. Total - Common Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0
9899999. Total - Preferred and Common Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0
9999999 - Totals								24,738,728	24,646,515	24,518,806	0	(96,753)	0	(96,753)	0	0	4,540	4,540	450,403	101,500

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	Codes		4	5	6	7	Change in Book/Adjusted Carrying Value				12	13	Interest						20
	2	3					8	9	10	11			14	15	16	17	18	19	
Description	Code	For-eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bond Not in Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
0599999. Total - U.S. Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1099999. Total - All Other Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
RAYTHEON TECHNOLOGIE			12/08/2020	CONVERSION TAX FREE E	11/15/2021	3,028,592	0	10,171	0	0	3,000,000	3,030,750	11,883	0	3.100	1.989	MN	0	5,942
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						3,028,592	0	10,171	0	0	3,000,000	3,030,750	11,883	0	XXX	XXX	XXX	0	5,942
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						3,028,592	0	10,171	0	0	3,000,000	3,030,750	11,883	0	XXX	XXX	XXX	0	5,942
4899999. Total - Hybrid Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7699999. Total - Issuer Obligations						3,028,592	0	10,171	0	0	3,000,000	3,030,750	11,883	0	XXX	XXX	XXX	0	5,942
7799999. Total - Residential Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8099999. Total - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8199999. Total - Affiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999. Total Bonds						3,028,592	0	10,171	0	0	3,000,000	3,030,750	11,883	0	XXX	XXX	XXX	0	5,942
8699999. Total - Parent, Subsidiaries and Affiliates						0	0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
9199999 - Totals						3,028,592	0	10,171	0	0	XXX	3,030,750	11,883	0	XXX	XXX	XXX	0	5,942

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ..$ 0 1B ..$ 0 1C ..$ 0 1D ..$ 0 1E ..$ 0 1F ..$ 0 1G ..$ 0

2A ..$ 3,028,592 2B ..$ 0 2C ..$ 0

3A ..$ 0 3B ..$ 0 3C ..$ 0

4A ..$ 0 4B ..$ 0 4C ..$ 0

5A ..$ 0 5B ..$ 0 5C ..$ 0

6 ....$ 0

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P 500 INDEX DIGITAL_1YR 853SPB147	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/08/2020	01/08/2021	0	1,000,000	3,253.05	0	9,500	0	13,839		13,839	4,339	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB148	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/08/2020	01/08/2021	0	1,000,000	3285.580/3362.352	0	14,100	0	23,451		23,451	9,351	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB149	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/08/2020	01/08/2021	0	2,800,000	3318.111/3476.859	0	68,880	0	135,436		135,436	66,556	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB150	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/08/2020	01/08/2021	0	1,400,000	3,285.58	0	21,980	0	0		0	(21,980)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB156	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/16/2020	01/15/2021	0	1,900,000	3349.978/3434.888	0	28,500	0	47,466		47,466	18,966	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB157	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	01/16/2020	01/15/2021	0	3,500,000	3383.146/3547.328	0	84,700	0	166,360		166,360	81,660	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB163	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/24/2020	01/22/2021	0	1,900,000	3328.424/3403.891	0	26,410	0	41,884		41,884	15,474	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB164	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/24/2020	01/22/2021	0	4,500,000	3361.379/3525.493	0	113,400	0	211,569		211,569	98,169	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB165	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/24/2020	01/22/2021	0	1,000,000	3,328.42	0	14,200	0	0		0	(14,200)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB170	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	01/31/2020	01/29/2021	0	1,200,000	3257.775/3340.993	0	18,360	0	29,735		29,735	11,375	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB171	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	01/31/2020	01/29/2021	0	3,700,000	3290.030/3448.403	0	92,130	0	171,737		171,737	79,607	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB175	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/03/2020	02/03/2021	0	1,000,000	3248.920/3556.917	0	45,100	0	87,396		87,396	42,296	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB180	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	1,500,000	3360.987/3435.527	0	19,800	0	31,067		31,067	11,267	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB181	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	3,000,000	3394.264/3560.982	0	73,500	0	134,356		134,356	60,856	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB182	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	1,000,000	3,360.99	0	14,500	0	0		0	(14,500)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB189	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	02/14/2020	02/12/2021	0	3,100,000	3413.961/3505.225	0	48,050	0	74,403		74,403	26,353	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB190	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	02/14/2020	02/12/2021	0	3,000,000	3447.763/3614.405	0	71,700	0	125,936		125,936	54,236	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB191	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	02/14/2020	02/12/2021	0	1,000,000	3,413.96	0	17,300	0	0		0	(17,300)	0	0	0	0		

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX DIGITAL_1YR 853SPB196	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/24/2020	02/24/2021	0	1,000,000	3,225.89	0	5,300	0	6,654		6,654	1,354	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB197	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/24/2020	02/24/2021	0	1,200,000	3258.148/3326.860	0	15,840	0	23,561		23,561	7,721	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB198	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/24/2020	02/24/2021	0	2,800,000	3290.407/3452.347	0	71,960	0	126,363		126,363	54,403	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB206	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	1,100,000	3121.132/3202.096	0	17,270	0	27,288		27,288	10,018	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB207	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	2,300,000	3152.034/3305.928	0	59,800	0	106,540		106,540	46,740	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB212	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	1,700,000	3002.093/3068.972	0	22,780	0	36,705		36,705	13,925	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB213	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	3,100,000	3031.817/3183.111	0	84,940	0	150,022		150,022	65,082	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB219	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,500,000	2409.990/2460.340	0	31,750	0	52,149		52,149	20,399	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB220	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,600,000	2433.850/2556.260	0	73,320	0	131,705		131,705	58,385	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB221	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/16/2020	03/16/2021	0	1,100,000	2,386.13	0	9,240	0	55,913		55,913	46,673	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB229	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	2,000,000	2471.803/2531.028	0	28,000	0	47,700		47,700	19,700	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB230	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	3,500,000	2496.276/2625.495	0	94,150	0	181,889		181,889	87,739	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB239	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,300,000	2495.205/2555.979	0	18,330	0	31,441		31,441	13,111	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB240	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,900,000	2519.910/2650.105	0	52,630	0	98,255		98,255	45,625	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB241	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/01/2020	04/01/2021	0	1,000,000	2,470.50	0	5,200	0	1,495		1,495	(3,705)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB247	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	2,500,000	2777.479/2842.929	0	34,750	0	57,405		57,405	22,655	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB248	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	4,600,000	2804.979/2959.253	0	135,240	0	246,638		246,638	111,398	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB254	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2020	04/16/2021	0	1,000,000	2,799.55	0	10,000	0	12,037		12,037	2,037	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB255	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	1,300,000	2827.545/2896.694	0	19,370	0	30,584		30,584	11,214	0	0	0	0		

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB256	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	3,400,000	2855.541/3001.677	0	93,840	0	167,851		167,851	74,011	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB262	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	2,000,000	2865.107/2936.025	0	29,400	0	47,222		47,222	17,822	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB263	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	3,200,000	2893.474/3040.985	0	89,600	0	155,461		155,461	65,861	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB264	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	1,000,000	2,836.74	0	7,900	0	12,084		12,084	4,184	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB275	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,100,000	2859.017/2940.824	0	36,330	0	57,062		57,062	20,732	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB276	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,900,000	2887.324/3032.539	0	82,070	0	138,520		138,520	56,450	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB281	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/08/2020	05/07/2021	0	2,000,000	2959.098/3052.265	0	37,000	0	58,343		58,343	21,343	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB282	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/08/2020	05/07/2021	0	3,700,000	2988.396/3140.452	0	102,120	0	173,446		173,446	71,326	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB288	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	12,000	0	14,980		14,980	2,980	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB289	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/15/2020	05/14/2021	0	1,400,000	2892.337/2982.829	0	25,900	0	41,007		41,007	15,107	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB290	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/15/2020	05/14/2021	0	5,400,000	2920.974/3070.745	0	151,740	0	258,295		258,295	106,555	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB291	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	8,300	0	36,742		36,742	28,442	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB297	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/26/2020	05/26/2021	0	1,800,000	3021.687/3119.817	0	34,740	0	52,496		52,496	17,756	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB298	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/26/2020	05/26/2021	0	4,200,000	3051.605/3208.673	0	119,280	0	193,332		193,332	74,052	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB307	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/01/2020	06/01/2021	0	1,000,000	3086.287/3177.348	0	17,800	0	25,985		25,985	8,185	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB308	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/01/2020	06/01/2021	0	3,000,000	3116.844/3279.409	0	85,800	0	137,453		137,453	51,653	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB313	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/08/2020	06/08/2021	0	1,400,000	3264.713/3351.342	0	22,120	0	31,392		31,392	9,272	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB314	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/08/2020	06/08/2021	0	2,800,000	3297.037/3469.324	0	78,120	0	121,387		121,387	43,267	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB315	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	06/08/2020	06/08/2021	0	1,000,000	3,232.39	0	7,300	0	14,825		14,825	7,525	0	0	0	0		

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S&P 500 INDEX DIGITAL_1YR 853SPB321	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/16/2020	06/16/2021	0	1,000,000	3,124.74	0	13,100	0	15,828		15,828	2,728	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB322	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/16/2020	06/16/2021	0	1,500,000	3155.987/3250.979	0	27,000	0	38,890		38,890	11,890	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB323	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/16/2020	06/16/2021	0	3,500,000	3187.234/3351.283	0	99,050	0	154,639		154,639	55,589	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB329	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	06/24/2020	06/24/2021	0	1,900,000	3080.833/3175.088	0	35,150	0	50,472		50,472	15,322	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB330	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/24/2020	06/24/2021	0	3,100,000	3111.336/3273.919	0	90,210	0	140,474		140,474	50,264	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB331	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	06/24/2020	06/24/2021	0	1,000,000	3,050.33	0	7,000	0	7,464		7,464	464	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB337	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/01/2020	07/01/2021	0	2,600,000	3147.018/3242.987	0	47,580	0	67,788		67,788	20,208	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB338	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/01/2020	07/01/2021	0	3,900,000	3178.177/3340.825	0	110,370	0	170,322		170,322	59,952	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB339	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/01/2020	07/01/2021	0	1,000,000	3,115.86	0	7,000	0	104		104	(6,896)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB348	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/08/2020	07/08/2021	0	1,500,000	3201.639/3288.495	0	24,750	0	34,402		34,402	9,652	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB349	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/08/2020	07/08/2021	0	1,900,000	3233.338/3392.152	0	52,060	0	78,160		78,160	26,100	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB355	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/16/2020	07/16/2021	0	2,000,000	3247.725/3339.691	0	34,200	0	47,034		47,034	12,834	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB356	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/16/2020	07/16/2021	0	2,100,000	3279.881/3441.624	0	57,330	0	84,843		84,843	27,513	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB361	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2020	07/23/2021	0	1,400,000	3247.786/3337.823	0	23,520	0	32,106		32,106	8,586	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB362	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/24/2020	07/23/2021	0	2,800,000	3279.942/3441.045	0	76,160	0	112,196		112,196	36,036	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB370	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/31/2020	07/30/2021	0	1,000,000	3,271.12	0	11,200	0	13,049		13,049	1,849	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB371	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/31/2020	07/30/2021	0	2,400,000	3303.831/3392.805	0	38,880	0	52,297		52,297	13,417	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB372	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/31/2020	07/30/2021	0	2,000,000	3336.542/3503.042	0	54,800	0	79,109		79,109	24,309	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB373	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/31/2020	07/30/2021	0	1,000,000	3,271.12	0	5,300	0	344		344	(4,956)	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB378	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/07/2020	08/06/2021	0	1,800,000	3384.790/3483.660	0	31,320	0	40,834		40,834	9,514	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB379	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/07/2020	08/06/2021	0	2,400,000	3418.310/3589.560	0	64,080	0	91,126		91,126	27,046	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB385	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/17/2020	08/17/2021	0	1,800,000	3415.809/3515.916	0	31,320	0	40,161		40,161	8,841	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB386	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/17/2020	08/17/2021	0	2,600,000	3449.629/3623.125	0	70,460	0	97,048		97,048	26,588	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB387	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/17/2020	08/17/2021	0	1,000,000	3,381.99	0	6,300	0	12,187		12,187	5,887	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB393	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/24/2020	08/24/2021	0	1,900,000	3465.592/3565.786	0	32,870	0	40,648		40,648	7,778	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB394	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/24/2020	08/24/2021	0	2,500,000	3499.905/3673.185	0	66,500	0	89,460		89,460	22,960	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB403	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/01/2020	09/01/2021	0	1,300,000	3561.916/3668.421	0	22,880	0	27,397		27,397	4,517	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB404	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/01/2020	09/01/2021	0	3,300,000	3597.183/3777.747	0	88,770	0	111,714		111,714	22,944	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB405	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/01/2020	09/01/2021	0	1,000,000	3,526.65	0	5,200	0	0		0	(5,200)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB411	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/08/2020	09/08/2021	0	2,000,000	3365.158/3460.115	0	33,400	0	43,498		43,498	10,098	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB412	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/08/2020	09/08/2021	0	2,900,000	3398.476/3566.401	0	78,300	0	108,067		108,067	29,767	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB417	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/16/2020	09/16/2021	0	1,300,000	3419.340/3504.320	0	19,240	0	24,291		24,291	5,051	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB418	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/16/2020	09/16/2021	0	3,100,000	3453.200/3622.810	0	81,530	0	111,583		111,583	30,053	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB424	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/24/2020	09/24/2021	0	1,000,000	3,246.59	0	10,800	0	12,785		12,785	1,985	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB425	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	2,000,000	3279.055/3365.739	0	31,400	0	41,908		41,908	10,508	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB426	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	2,500,000	3311.521/3471.578	0	64,750	0	94,159		94,159	29,409	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB427	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	1,000,000	3,246.59	0	6,000	0	15,211		15,211	9,211	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB432	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/01/2020	10/01/2021	0	1,700,000	3414.608/3507.580	0	27,370	0	34,582		34,582	7,212	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB433	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/01/2020	10/01/2021	0	2,200,000	3448.416/3594.128	0	50,820	0	68,192		68,192	17,372	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB441	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/08/2020	10/08/2021	0	2,600,000	3481.298/3556.439	0	34,580	0	40,639		40,639	6,059	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB442	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/08/2020	10/08/2021	0	4,500,000	3515.766/3670.184	0	107,100	0	140,314		140,314	33,214	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB443	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	10/08/2020	10/08/2021	0	1,000,000	3,446.83	0	6,300	0	14,638		14,638	8,338	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB444	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/08/2020	10/08/2021	0	1,000,000	3446.830/3748.427	0	44,600	0	60,439		60,439	15,839	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB449	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/16/2020	10/15/2021	0	1,700,000	3518.648/3586.582	0	20,230	0	23,253		23,253	3,023	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB450	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/16/2020	10/15/2021	0	2,200,000	3553.486/3720.360	0	55,000	0	71,376		71,376	16,376	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB456	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/23/2020	10/22/2021	0	3,000,000	3500.043/3563.460	0	34,200	0	38,768		38,768	4,568	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB457	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/23/2020	10/22/2021	0	3,300,000	3534.697/3702.422	0	84,150	0	108,907		108,907	24,757	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB465	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/30/2020	10/29/2021	0	2,100,000	3302.659/3365.115	0	24,150	0	30,912		30,912	6,762	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB466	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	10/30/2020	10/29/2021	0	2,400,000	3335.359/3491.009	0	59,760	0	85,112		85,112	25,352	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB472	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	11/06/2020	11/05/2021	0	1,200,000	3544.534/3615.425	0	14,400	0	16,598		16,598	2,198	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB473	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	11/06/2020	11/05/2021	0	3,700,000	3579.628/3751.591	0	91,760	0	119,627		119,627	27,867	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB474	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	11/06/2020	11/05/2021	0	1,000,000	3,509.44	0	4,600	0	9,532		9,532	4,932	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB480	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	11/16/2020	11/16/2021	0	1,000,000	3,626.91	0	7,100	0	6,580		6,580	(520)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB481	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	11/16/2020	11/16/2021	0	1,500,000	3663.179/3744.059	0	20,100	0	21,381		21,381	1,281	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB482	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	11/16/2020	11/16/2021	0	2,100,000	3699.448/3873.902	0	51,450	0	60,391		60,391	8,941	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB488	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	11/23/2020	11/23/2021	0	2,700,000	3613.365/3695.292	0	36,990	0	41,327		41,327	4,337	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB489	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	11/23/2020	11/23/2021	0	3,500,000	3649.141/3823.012	0	87,850	0	105,591		105,591	17,741	0	0	0	0		

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CLIQUET_1YR 853SPB490	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/23/2020	11/23/2021	0	1,700,000	3,577.59	0	10,030	0	18,593		18,593	8,563	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB499	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/01/2020	12/01/2021	0	2,600,000	3699.074/3785.508	0	36,400	0	37,679		37,679	1,279	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB500	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/01/2020	12/01/2021	0	2,500,000	3735.699/3916.257	0	62,000	0	71,503		71,503	9,503	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB507	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/08/2020	12/08/2021	0	2,200,000	3739.272/3819.241	0	28,380	0	28,291		28,291	(89)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB508	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/08/2020	12/08/2021	0	1,700,000	3776.295/3956.594	0	42,160	0	46,053		46,053	3,893	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB513	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	12/16/2020	12/16/2021	0	2,400,000	3738.181/3808.503	0	28,320	0	27,241		27,241	(1,079)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB514	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2020	12/16/2021	0	3,100,000	3775.193/3955.810	0	79,670	0	84,426		84,426	4,756	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB515	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/16/2020	12/16/2021	0	1,400,000	3,701.17	0	9,240	0	12,807		12,807	3,567	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB522	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/21/2020	12/21/2021	0	2,100,000	3731.869/3815.743	0	28,980	0	28,443		28,443	(537)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB523	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/21/2020	12/21/2021	0	2,000,000	3768.818/3942.110	0	50,000	0	53,055		53,055	3,055	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB531	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/29/2020	12/29/2021	0	1,000,000	3,727.04	0	7,000	0	5,359		5,359	(1,641)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB532	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/29/2020	12/29/2021	0	1,100,000	3764.310/3841.832	0	14,300	0	13,416		13,416	(884)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB533	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/29/2020	12/29/2021	0	2,700,000	3801.580/3986.069	0	70,200	0	72,542		72,542	2,342	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB534	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/29/2020	12/29/2021	0	1,100,000	3,727.04	0	8,470	0	9,727		9,727	1,257	0	0	0	0		
0159999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0429999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX
0449999999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0499999999. Total Purchased Options										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
0709999999. Subtotal - Written Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										0	5,510,780	0	8,245,754	XXX	8,245,754	2,734,974	0	0	0	0	XXX	XXX

(a)

Code	Description of Hedged Risk(s)
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART A - SECTION 2

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Termination Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	14 Current Year Initial Cost of Undiscounted Premium (Received) Paid	15 Consideration Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortization)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
S&P 500 INDEX CALLSPREAD_1YR 853SPA757	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/02/2019	01/02/2020	01/02/2020	EXERCISE	0	1,000,000	2535.130/2616.71 0	17,600	0	32,502	0	17,600		(14,891)	0	0	14,902	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA763	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/08/2019	01/08/2020	01/08/2020	EXERCISE	0	1,000,000	2,600.15	17,500	0	28,200	0	17,500		(10,669)	0	0	10,700	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA764	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/08/2019	01/08/2020	01/08/2020	EXERCISE	0	2,700,000	2625.900/2889.52 0	114,480	0	276,480	0	114,480		(161,569)	0	0	162,000	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA765	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/08/2019	01/08/2020	01/08/2020	EXERCISE	0	1,400,000	2,600.15	18,620	0	1,073	0	18,620		4,977	0	0	(17,547)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA772	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/16/2019	01/16/2020	01/16/2020	EXERCISE	0	2,300,000	2642.260/2733.04 0	44,160	0	79,811	0	44,160		(35,407)	0	0	35,651	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA773	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/16/2019	01/16/2020	01/16/2020	EXERCISE	0	3,200,000	2668.420/2938.14 0	136,640	0	329,920	0	136,640		(191,276)	0	0	193,280	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA778	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/24/2019	01/24/2020	01/24/2020	EXERCISE	0	1,800,000	2668.750/2757.01 0	33,840	0	60,120	0	33,840		(25,947)	0	0	26,280	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA779	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/24/2019	01/24/2020	01/24/2020	SALE	0	4,300,000	2695.180/2967.87 0	184,040	0	443,763	0	184,040		(253,211)	0	0	259,723	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA780	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/24/2019	01/24/2020	01/24/2020	EXPIRATION	0	1,000,000	2,668.75	13,500	0	0	0	13,500		13,500	0	0	(13,500)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA789	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/01/2019	01/31/2020	01/31/2020	EXERCISE	0	1,000,000	2733.600/2835.09 0	20,500	0	37,498	0	20,500		(16,488)	0	0	16,998	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA790	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/01/2019	01/31/2020	01/31/2020	EXERCISE	0	3,500,000	2760.660/3038.35 0	145,600	0	359,100	0	145,600		(201,043)	0	0	213,500	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA795	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/08/2019	02/07/2020	02/07/2020	EXERCISE	0	1,600,000	2734.960/2836.50 0	32,800	0	59,997	0	32,800		(26,018)	0	0	27,197	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA796	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/08/2019	02/07/2020	02/07/2020	EXERCISE	0	2,800,000	2762.040/3039.60 0	117,040	0	287,002	0	117,040		(157,511)	0	0	169,962	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA797	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	02/08/2019	02/07/2020	02/07/2020	EXPIRATION	0	1,000,000	2,734.96	14,000	0	0	0	14,000		12,872	0	0	(14,000)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA803	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020	02/14/2020	EXERCISE	0	2,500,000	2803.360/2907.44 0	51,250	0	93,746	0	51,250		(38,868)	0	0	42,496	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA804	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020	02/14/2020	EXERCISE	0	3,000,000	2831.110/3120.88 0	123,300	0	313,197	0	123,300		(165,622)	0	0	189,897	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA805	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020	02/14/2020	EXPIRATION	0	1,000,000	2,803.36	13,800	0	0	0	13,800		13,800	0	0	(13,800)	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA810	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020	02/21/2020	EXERCISE	0	1,000,000	2,820.60	18,200	0	28,100	0	18,200		805	0	0	9,900	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA811	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020	02/21/2020	EXERCISE	0	1,000,000	2820.600/2925.32 0	20,800	0	37,498	0	20,800		(14,827)	0	0	16,698	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA812	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020	02/21/2020	EXERCISE	0	3,000,000	2848.520/3140.92 0	122,400	0	314,108	0	122,400		(161,699)	0	0	191,708	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA819	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	03/01/2019	02/28/2020	02/28/2020	EXERCISE	0	1,000,000	2831.730/2925.65 0	19,300	0	33,499	0	19,300		(12,241)	0	0	14,199	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA820	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	03/01/2019	02/28/2020	02/28/2020	EXERCISE	0	2,300,000	2859.760/3100.04 0	84,870	0	77,490	0	84,870		(94,059)	0	0	(7,380)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA827	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/08/2019	03/06/2020	03/06/2020	EXERCISE	0	1,000,000	2770.500/2859.65 0	18,700	0	32,500	0	18,700		(12,134)	0	0	13,800	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA828	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/08/2019	03/06/2020	03/06/2020	EXERCISE	0	2,900,000	2797.930/3037.68 0	111,650	0	184,420	0	111,650		(122,196)	0	0	72,770	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA834	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/15/2019	03/13/2020	03/13/2020	EXPIRATION	0	1,700,000	2850.700/2943.85 0	31,960	0	0	0	31,960		(19,916)	0	0	(31,960)	0	0	

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira-tion	8 Termina-tion Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	14 Current Year Initial Cost of Un-discounted Premium (Received) Paid	15 Considera-tion Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortiza-tion)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPA835	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/15/2019	03/13/2020	03/13/2020	EXPIRATION	0	3,100,000	2878.930/3123.92 0	114,390	0	0	0	114,390		(122,092)	0	0	(114,390)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA836	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/15/2019	03/13/2020	03/13/2020	EXPIRATION	0	1,000,000	2.850.70	13,900	0	0	0	13,900		13,900	0	0	(13,900)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA842	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/22/2019	03/20/2020	03/20/2020	EXPIRATION	0	1,500,000	2828.720/2922.26 0	28,500	0	0	0	28,500		(17,828)	0	0	(28,500)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA843	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/22/2019	03/20/2020	03/20/2020	EXPIRATION	0	3,800,000	2856.720/3107.39 0	145,920	0	0	0	145,920		(153,882)	0	0	(145,920)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA852	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2019	04/01/2020	04/01/2020	EXPIRATION	0	1,000,000	2895.860/2988.47 0	18,600	0	0	0	18,600		(10,306)	0	0	(18,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA853	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2019	04/01/2020	04/01/2020	EXPIRATION	0	2,800,000	2924.530/3172.26 0	102,200	0	0	0	102,200		(98,233)	0	0	(102,200)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA860	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2019	04/08/2020	04/08/2020	EXPIRATION	0	1,500,000	2924.730/3015.95 0	27,000	0	0	0	27,000		(14,397)	0	0	(27,000)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA861	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/08/2019	04/08/2020	04/08/2020	EXPIRATION	0	5,100,000	2953.690/3205.04 0	188,190	0	0	0	188,190		(164,285)	0	0	(188,190)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA862	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/08/2019	04/08/2020	04/08/2020	EXPIRATION	0	1,000,000	2.924.73	14,700	0	0	0	14,700		10,639	0	0	(14,700)	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA868	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	04/16/2019	04/16/2020	04/16/2020	EXPIRATION	0	1,000,000	2.936.13	18,600	0	0	0	18,600		2,669	0	0	(18,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA869	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2019	04/16/2020	04/16/2020	EXPIRATION	0	1,100,000	2936.130/3030.03 0	20,460	0	0	0	20,460		(10,192)	0	0	(20,460)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA870	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/16/2019	04/16/2020	04/16/2020	EXPIRATION	0	3,600,000	2965.200/3215.79 0	131,040	0	0	0	131,040		(111,041)	0	0	(131,040)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA871	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2019	04/24/2020	04/24/2020	EXPIRATION	0	1,000,000	2956.520/3048.73 0	18,400	0	0	0	18,400		(8,230)	0	0	(18,400)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA872	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2019	04/24/2020	04/24/2020	EXPIRATION	0	3,900,000	2985.800/3235.20 0	141,570	0	0	0	141,570		(109,723)	0	0	(141,570)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA887	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020	05/01/2020	EXPIRATION	0	1,600,000	2952.970/3045.36 0	29,280	0	0	0	29,280		(13,302)	0	0	(29,280)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA888	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020	05/01/2020	EXPIRATION	0	3,200,000	2982.200/3233.94 0	117,760	0	0	0	117,760		(90,136)	0	0	(117,760)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA889	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020	05/01/2020	EXPIRATION	0	1,000,000	2.952.97	15,100	0	0	0	15,100		14,724	0	0	(15,100)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA895	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2019	05/08/2020	05/08/2020	EXERCISE	0	1,400,000	2908.210/3001.22 0	26,320	0	10,495	0	26,320		(12,563)	0	0	(15,825)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA896	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/08/2019	05/08/2020	05/08/2020	EXPIRATION	0	3,900,000	2937.010/3186.94 0	151,710	0	0	0	151,710		(115,651)	0	0	(151,710)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA904	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2019	05/15/2020	05/15/2020	EXPIRATION	0	2,300,000	2905.080/2992.81 0	40,940	0	0	0	40,940		(19,252)	0	0	(40,940)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA905	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2019	05/15/2020	05/15/2020	EXPIRATION	0	5,400,000	2933.850/3182.07 0	203,580	0	0	0	203,580		(164,131)	0	0	(203,580)	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA909	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020	05/22/2020	EXERCISE	0	1,000,000	2.854.32	18,000	0	27,500	0	18,000		2,432	0	0	9,500	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA910	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020	05/22/2020	EXERCISE	0	1,500,000	2854.320/2945.88 0	28,050	0	48,598	0	28,050		(14,423)	0	0	20,548	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA911	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/24/2019	05/22/2020	05/22/2020	EXERCISE	0	4,800,000	2882.580/3129.30 0	183,840	0	123,768	0	183,840		(159,646)	0	0	(60,072)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA912	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020	05/22/2020	EXPIRATION	0	1,000,000	2.854.32	13,900	0	0	0	13,900		8,305	0	0	(13,900)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA921	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/31/2019	05/29/2020	05/29/2020	EXERCISE	0	1,000,000	2779.580/2866.82 0	17,700	0	31,700	0	17,700		(10,638)	0	0	14,000	0	0	

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S&P 500 INDEX CALLSPREAD_1YR 853SPA922	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/31/2019	05/29/2020	05/29/2020	EXERCISE	0	3,400,000	2807.100/3017.63 0	118,320	0	260,097	0	118,320		(106,108)	0	0	141,777	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA928	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	06/07/2019	06/05/2020	06/05/2020	EXERCISE	0	1,000,000	2902.070/2990.00 0	17,300	0	30,602	0	17,300		(8,628)	0	0	13,302	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA929	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/07/2019	06/05/2020	06/05/2020	EXERCISE	0	2,700,000	2930.810/3150.33 0	91,260	0	206,280	0	91,260		(72,184)	0	0	115,020	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA935	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	06/14/2019	06/12/2020	06/12/2020	EXERCISE	0	1,000,000	2915.850/3004.77 0	17,400	0	30,800	0	17,400		(8,380)	0	0	13,400	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA936	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	06/14/2019	06/12/2020	06/12/2020	EXERCISE	0	3,600,000	2944.720/3170.19 0	122,400	0	120,446	0	122,400		(96,280)	0	0	(1,954)	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA943	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	06/24/2019	06/24/2020	06/24/2020	EXERCISE	0	1,000,000	2,974.80	17,400	0	27,600	0	17,400		(5,365)	0	0	10,200	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA944	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	06/24/2019	06/24/2020	06/24/2020	EXERCISE	0	1,800,000	2974.800/3067.29 0	31,680	0	46,159	0	31,680		(13,525)	0	0	14,479	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA945	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/24/2019	06/24/2020	06/24/2020	EXERCISE	0	3,300,000	3004.260/3228.99 0	110,550	0	51,621	0	110,550		(72,967)	0	0	(58,929)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA946	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	06/24/2019	06/24/2020	06/24/2020	EXPIRATION	0	1,000,000	2,974.80	15,600	0	0	0	15,600		10,767	0	0	(15,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA954	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/01/2019	07/01/2020	07/01/2020	EXERCISE	0	2,400,000	2993.970/3087.94 0	43,440	0	76,080	0	43,440		(16,313)	0	0	32,640	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA955	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/01/2019	07/01/2020	07/01/2020	EXERCISE	0	4,000,000	3023.620/3254.24 0	136,400	0	124,471	0	136,400		(83,684)	0	0	(11,929)	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA956	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/01/2019	07/01/2020	07/01/2020	EXPIRATION	0	1,000,000	2,993.97	15,400	0	0	0	15,400		4,792	0	0	(15,400)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA962	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2020	07/08/2020	EXERCISE	0	1,300,000	3005.710/3092.01 0	21,840	0	37,699	0	21,840		(7,469)	0	0	15,859	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA963	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2020	07/08/2020	EXERCISE	0	2,100,000	3035.470/3262.83 0	70,560	0	94,890	0	70,560		(41,107)	0	0	24,330	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA968	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/16/2019	07/16/2020	07/16/2020	EXERCISE	0	1,600,000	3034.080/3129.61 0	29,120	0	50,880	0	29,120		(9,109)	0	0	21,760	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA969	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	07/16/2019	07/16/2020	07/16/2020	EXERCISE	0	2,300,000	3064.120/3293.03 0	77,050	0	115,956	0	77,050		(39,795)	0	0	38,906	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA975	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020	07/24/2020	EXERCISE	0	1,500,000	3049.760/3143.66 0	27,300	0	46,650	0	27,300		(7,120)	0	0	19,350	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA976	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020	07/24/2020	EXERCISE	0	3,200,000	3079.950/3299.78 0	106,240	0	143,787	0	106,240		(46,286)	0	0	37,547	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPA977	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020	07/24/2020	EXPIRATION	0	1,000,000	3,049.76	14,600	0	0	0	14,600		13,754	0	0	(14,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA987	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/05/2019	08/05/2020	08/05/2020	EXERCISE	0	1,300,000	2901.630/2952.56 0	13,650	0	23,274	0	13,650		(5,572)	0	0	9,624	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA988	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/05/2019	08/05/2020	08/05/2020	EXERCISE	0	2,600,000	2930.080/3120.96 0	79,040	0	174,458	0	79,040		(56,498)	0	0	95,418	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPA993	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020	08/07/2020	EXERCISE	0	1,000,000	2,967.47	17,200	0	27,500	0	17,200		3,063	0	0	10,300	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA994	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020	08/07/2020	EXERCISE	0	1,600,000	2967.470/3059.73 0	28,640	0	50,242	0	28,640		(10,804)	0	0	21,602	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPA995	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020	08/07/2020	EXERCISE	0	2,400,000	2996.850/3214.27 0	81,360	0	177,601	0	81,360		(47,172)	0	0	96,241	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB002	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/16/2019	08/14/2020	08/14/2020	EXERCISE	0	1,500,000	2917.570/3008.27 0	27,000	0	47,098	0	27,000		(10,994)	0	0	20,098	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB003	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/16/2019	08/14/2020	08/14/2020	EXERCISE	0	2,700,000	2946.450/3160.79 0	93,150	0	200,340	0	93,150		(58,397)	0	0	107,190	0	0	

SCHEDULE DB - PART A - SECTION 2

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S&P 500 INDEX CLIQUET_1YR 853SPB004	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/16/2019	08/14/2020	08/14/2020	EXPIRATION	0	1,000,000	2,917.57	14,600	0	0	0	14,600		4,083	0	0	(14,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB010	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	08/21/2020	EXERCISE	0	1,200,000	2875.580/2963.84 0	21,360	0	37,200	0	21,360		(9,218)	0	0	15,840	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB011	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	08/21/2020	EXERCISE	0	2,900,000	2904.050/3120.15 0	104,690	0	220,114	0	104,690		(66,462)	0	0	115,424	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB012	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	08/21/2020	EXPIRATION	0	1,000,000	2,875.58	12,500	0	0	0	12,500		7,358	0	0	(12,500)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB021	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/30/2019	08/28/2020	08/28/2020	EXERCISE	0	1,200,000	2955.720/3050.83 0	22,080	0	39,000	0	22,080		(8,457)	0	0	16,920	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB022	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	08/30/2019	08/28/2020	08/28/2020	EXERCISE	0	3,000,000	2984.990/3202.43 0	103,500	0	222,904	0	103,500		(58,417)	0	0	119,404	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB027	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/06/2019	09/04/2020	09/04/2020	EXERCISE	0	1,100,000	3008.500/3104.71 0	20,570	0	35,530	0	20,570		(6,123)	0	0	14,960	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB028	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/06/2019	09/04/2020	09/04/2020	EXERCISE	0	3,100,000	3038.280/3243.22 0	101,060	0	213,280	0	101,060		(46,360)	0	0	112,220	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB034	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/16/2019	09/16/2020	09/16/2020	EXERCISE	0	1,000,000	3027.940/3121.18 0	18,200	0	31,101	0	18,200		(4,709)	0	0	12,901	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB035	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/16/2019	09/16/2020	09/16/2020	EXERCISE	0	3,000,000	3057.920/3276.77 0	103,500	0	219,000	0	103,500		(42,773)	0	0	115,500	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB041	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	09/24/2019	09/24/2020	09/24/2020	EXERCISE	0	1,700,000	2996.270/3076.66 0	27,200	0	46,067	0	27,200		(7,708)	0	0	18,867	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB042	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	09/24/2019	09/24/2020	09/24/2020	EXERCISE	0	2,400,000	3025.930/3243.38 0	83,520	0	175,919	0	83,520		(38,235)	0	0	92,399	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB043	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/24/2019	09/24/2020	09/24/2020	EXPIRATION	0	1,000,000	2,996.27	12,300	0	0	0	12,300		(113)	0	0	(12,300)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB050	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020	10/01/2020	EXERCISE	0	1,400,000	2969.650/3047.86 0	22,400	0	37,240	0	22,400		(6,291)	0	0	14,840	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB051	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020	10/01/2020	EXERCISE	0	2,200,000	2999.060/3188.41 0	70,180	0	141,678	0	70,180		(31,741)	0	0	71,498	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPB057	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020	10/08/2020	EXERCISE	0	1,000,000	2,921.99	14,400	0	22,800	0	14,400		4,126	0	0	8,400	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB058	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020	10/08/2020	EXERCISE	0	2,300,000	2921.990/2988.24 1	31,280	0	52,669	0	31,280		(10,338)	0	0	21,389	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB059	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/08/2019	10/08/2020	10/08/2020	EXERCISE	0	4,400,000	2950.920/3086.60 5	108,680	0	206,360	0	108,680		(48,340)	0	0	97,680	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB060	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/08/2019	10/08/2020	10/08/2020	EXPIRATION	0	1,000,000	2,921.99	14,600	0	0	0	14,600		(290)	0	0	(14,600)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB065	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020	10/16/2020	EXERCISE	0	1,700,000	3019.590/3078.48 0	20,400	0	33,490	0	20,400		(4,466)	0	0	13,090	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB066	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020	10/16/2020	EXERCISE	0	2,200,000	3049.480/3196.28 0	56,100	0	108,020	0	56,100		(19,054)	0	0	51,920	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB072	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020	10/23/2020	EXERCISE	0	2,200,000	3040.390/3098.49 0	26,400	0	42,460	0	26,400		(4,495)	0	0	16,060	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB073	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020	10/23/2020	EXERCISE	0	3,500,000	3070.500/3218.60 0	89,250	0	172,200	0	89,250		(27,669)	0	0	82,950	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB083	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/01/2019	10/30/2020	10/30/2020	EXERCISE	0	1,900,000	3097.579/3169.65 1	26,980	0	44,649	0	26,980		(3,637)	0	0	17,669	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB084	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	11/01/2019	10/30/2020	10/30/2020	EXERCISE	0	2,500,000	3128.248/3280.06 0	63,000	0	115,515	0	63,000		(15,324)	0	0	52,515	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB089	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020	11/06/2020	EXERCISE	0	1,000,000	3124.010/3189.89 0	12,900	0	21,299	0	12,900		(1,330)	0	0	8,399	0	0	

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S&P 500 INDEX CALLSPREAD_1YR 853SPB090	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020	11/06/2020	EXERCISE	0	3,900,000	3154.940/3308.67 0	99,450	0	193,835	0	99,450		(18,588)	0	0	94,385	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB096	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/15/2019	11/13/2020	11/13/2020	EXERCISE	0	1,300,000	3151.660/3223.12 0	17,940	0	29,770	0	17,940		(1,254)	0	0	11,830	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB097	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020	11/13/2020	EXERCISE	0	2,100,000	3182.870/3333.90 0	52,290	0	101,640	0	52,290		(7,197)	0	0	49,350	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB098	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020	11/13/2020	EXPIRATION	0	1,000,000	3,151.66	13,000	0	0	0	13,000		7,292	0	0	(13,000)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB104	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	11/20/2020	EXERCISE	0	2,500,000	3141.390/3213.86 0	35,250	0	58,250	0	35,250		(2,631)	0	0	23,000	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB105	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	11/20/2020	EXERCISE	0	3,800,000	3172.500/3323.97 0	96,140	0	185,057	0	96,140		(13,708)	0	0	88,919	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB106	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	11/20/2020	EXPIRATION	0	1,300,000	3,141.39	9,490	0	0	0	9,490		(994)	0	0	(9,490)	0	0	
S&P 500 INDEX DIGITAL_1YR 853SPB115	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/06/2019	12/04/2020	12/04/2020	EXERCISE	0	1,000,000	3,177.37	12,200	0	19,100	0	12,200		6,306	0	0	6,900	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB116	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020	12/04/2020	EXERCISE	0	4,300,000	3177.370/3248.47 0	58,050	0	97,180	0	58,050		(2,371)	0	0	39,130	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB117	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020	12/04/2020	EXERCISE	0	4,600,000	3208.830/3366.12 0	117,300	0	230,000	0	117,300		(11,309)	0	0	112,700	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB123	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020	12/16/2020	EXERCISE	0	2,200,000	3223.360/3284.32 0	25,960	0	42,020	0	25,960		1,169	0	0	16,060	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB124	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020	12/16/2020	EXERCISE	0	3,200,000	3255.280/3414.53 0	80,640	0	159,680	0	80,640		(1,632)	0	0	79,040	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB125	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/16/2019	12/16/2020	12/16/2020	EXPIRATION	0	1,000,000	3,223.36	16,500	0	0	0	16,500		9,850	0	0	(16,500)	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB131	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020	12/23/2020	EXERCISE	0	1,300,000	3256.250/3322.98 7	16,380	0	26,911	0	16,380		1,323	0	0	10,531	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB132	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020	12/23/2020	EXERCISE	0	2,300,000	3288.490/3445.50 0	57,730	0	112,011	0	57,730		3,531	0	0	54,281	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB140	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	12/30/2019	12/30/2020	12/30/2020	EXERCISE	0	1,000,000	3253.500/3325.98 0	13,700	0	22,500	0	13,700		1,110	0	0	8,800	0	0	
S&P 500 INDEX CALLSPREAD_1YR 853SPB141	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	12/30/2019	12/30/2020	12/30/2020	EXERCISE	0	3,100,000	3285.720/3446.78 0	79,050	0	154,996	0	79,050		3,699	0	0	75,944	0	0	
S&P 500 INDEX CLIQUET_1YR 853SPB142	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/30/2019	12/30/2020	12/30/2020	EXPIRATION	0	1,000,000	3,253.50	16,500	0	0	0	16,500		10,260	0	0	(16,500)	0	0	
0159999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX
0219999999. Subtotal - Purchased Options - Hedging Other												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX
0289999999. Subtotal - Purchased Options - Replications												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0359999999. Subtotal - Purchased Options - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0429999999. Subtotal - Purchased Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0439999999. Total Purchased Options - Call Options and Warrants												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX
0449999999. Total Purchased Options - Put Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0459999999. Total Purchased Options - Caps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0469999999. Total Purchased Options - Floors												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0479999999. Total Purchased Options - Collars												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0489999999. Total Purchased Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0499999999. Total Purchased Options												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0709999999. Subtotal - Written Options - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0779999999. Subtotal - Written Options - Replications												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0849999999. Subtotal - Written Options - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0919999999. Subtotal - Written Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira-tion	8 Termina-tion Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	14 Current Year Initial Cost of Un-discounted Premium (Received) Paid	15 Considera-tion Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortiza-tion)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
0929999999. Total Written Options - Call Options and Warrants												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0939999999. Total Written Options - Put Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0949999999. Total Written Options - Caps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0959999999. Total Written Options - Floors												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0969999999. Total Written Options - Collars												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0979999999. Total Written Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0989999999. Total Written Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1169999999. Subtotal - Swaps - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1229999999. Subtotal - Swaps - Replication												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1289999999. Subtotal - Swaps - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1349999999. Subtotal - Swaps - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1359999999. Total Swaps - Interest Rate												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1369999999. Total Swaps - Credit Default												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1379999999. Total Swaps - Foreign Exchange												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1389999999. Total Swaps - Total Return												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1399999999. Total Swaps - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1409999999. Total Swaps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1479999999. Subtotal - Forwards												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1709999999. Subtotal - Hedging Other												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX
1719999999. Subtotal - Replication												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1729999999. Subtotal - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1739999999. Subtotal - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1759999999 - Totals												6,799,320	0	9,188,061	0	6,799,320	XXX	(4,309,428)	0	0	2,388,741	0	0	XXX

(a)

Code	Description of Hedged Risk(s)
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open December 31 of Current Year

1	2	3	4	Book/Adjusted Carrying Value			Fair Value			11	12
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	Fair Value of Acceptable Collateral	5 Contracts With Book/Adjusted Carrying Value >0	6 Contracts With Book/Adjusted Carrying Value <0	7 Exposure Net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Fair Value <0	10 Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX			0			0		
CREDIT SUISSE E58DKGMJYYYJLN8C3868	Y	Y	740,000	874,615	0	134,615	874,615	0	134,615	0	0
SUNTRUST CAPITAL IYDOJBGJWY9T8XKCSX06	Y	Y	3,520,000	3,371,110	0	0	3,371,110	0	0	0	0
WELLS FARGO KB1H1DSPRFMYMCUFXT09	Y	Y	3,970,000	4,000,029	0	30,029	4,000,029	0	30,029	0	0
0299999999. Total NAIC 1 Designation			8,230,000	8,245,754	0	164,644	8,245,754	0	164,644	0	0
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)						0			0		
0999999999 - Gross Totals			8,230,000	8,245,754	0	164,644	8,245,754	0	164,644	0	0
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64				8,245,754	0						

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open December 31 of Current Year

Collateral Pledged by Reporting Entity

NONE

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
0199999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
CREDIT SUISSE E58DKGMJYYYJLN8C3868	Other	000000-00-0	Money Market Fund	740,000	740,000	XXX	01/01/2021	V
SUNTRUST CAPITAL IYDOJBGJIIY9T8XKCSX06	Other	000000-00-0	Money Market Fund	3,520,000	3,520,000	XXX	01/01/2021	V
WELLS FARGO KB1H1DSPRFMYMCUFXT09	Other	000000-00-0	Money Market Fund	3,970,000	3,970,000	XXX	01/01/2021	V
0299999999 - Total				8,230,000	8,230,000	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees as of December 31 of Current Year

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
Key Bank, NA ..... Albany, NY					697,559	XXX
Wells Fargo ..... Houston, TX					(1,319,691)	XXX
Moody National Bank ..... Galveston, TX					2,636,469	XXX
0199998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX				XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	2,014,337	XXX
0299998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	2,014,337	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
0599999 Total - Cash	XXX	XXX	0	0	2,014,337	XXX

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January	(2,419,719)	4. April	(2,963,848)	7. July	(3,220,659)	10. October	275,338
2. February	(364,875)	5. May	(2,335,575)	8. August	(4,199,534)	11. November	(41,875)
3. March	(1,008,146)	6. June	(2,220,038)	9. September	(4,048,906)	12. December	2,014,337

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
	DELMARVA POWER & LIGHT		12/18/2020	0.200	01/07/2021	1,380,954	0	107
	TORONTO DOMINION BANK		12/23/2020	0.190	01/13/2021	4,986,684	0	237
	WISCONSIN GAS CO		12/28/2020	0.150	01/04/2021	5,365,933	0	89
	L'OREAL USA INC		12/29/2020	0.090	01/06/2021	4,999,938	0	38
	ARCHER DANIELS MIDLAND		12/29/2020	0.090	01/14/2021	9,999,675	0	75
	ARCHER DANIELS MIDLAND		12/30/2020	0.090	01/14/2021	2,082,932	0	10
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						28,816,116	0	556
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						28,816,116	0	556
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						28,816,116	0	556
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						28,816,116	0	556
61747C-70-7	MORGAN STANLEY INST		12/31/2020	0.000		3,229,445	0	0
	MORGAN STANLEY LIQ MM		12/31/2020	0.000		8,230,000		
	WF MM		12/31/2020	0.000				16,751
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						11,459,445	0	16,751
8899999 - Total Cash Equivalents						40,275,561	0	17,307

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

1A ..$ 0 1B ..$ 0 1C ..$ 0 1D ..$ 0 1E ..$ 0 1F ..$ 0 1G ..$ 28,816,116

2A ..$ 0 2B ..$ 0 2C ..$ 0

3A ..$ 0 3B ..$ 0 3C ..$ 0

4A ..$ 0 4B ..$ 0 4C ..$ 0

5A ..$ 0 5B ..$ 0 5C ..$ 0

6 ....$ 0

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders 3 Book/Adjusted Carrying Value	 4 Fair Value	All Other Special Deposits 5 Book/Adjusted Carrying Value	 6 Fair Value
1. Alabama AL						
2. Alaska AK						
3. Arizona AZ						
4. Arkansas AR						
5. California CA						
6. Colorado CO						
7. Connecticut CT						
8. Delaware DE						
9. District of Columbia DC						
10. Florida FL						
11. Georgia GA						
12. Hawaii HI						
13. Idaho ID						
14. Illinois IL						
15. Indiana IN						
16. Iowa IA						
17. Kansas KS						
18. Kentucky KY						
19. Louisiana LA						
20. Maine ME						
21. Maryland MD						
22. Massachusetts MA						
23. Michigan MI						
24. Minnesota MN						
25. Mississippi MS						
26. Missouri MO						
27. Montana MT						
28. Nebraska NE						
29. Nevada NV						
30. New Hampshire NH						
31. New Jersey NJ						
32. New Mexico NM						
33. New York NY	B	NY Ins Law Section 1314/	897,332	975,821	0	0
34. North Carolina NC						
35. North Dakota ND						
36. Ohio OH						
37. Oklahoma OK						
38. Oregon OR						
39. Pennsylvania PA						
40. Rhode Island RI						
41. South Carolina SC						
42. South Dakota SD						
43. Tennessee TN						
44. Texas TX						
45. Utah UT						
46. Vermont VT						
47. Virginia VA	B	VA Code Sec 38.2-1047	398,471	446,188	0	0
48. Washington WA						
49. West Virginia WV						
50. Wisconsin WI						
51. Wyoming WY						
52. American Samoa AS						
53. Guam GU						
54. Puerto Rico PR						
55. U.S. Virgin Islands VI						
56. Northern Mariana Islands MP						
57. Canada CAN						
58. Aggregate Alien and Other OT	XXX	XXX	0	0	0	0
59. Subtotal	XXX	XXX	1,295,803	1,422,009	0	0
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0

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