

# **QUARTERLY STATEMENT**

**OF THE**

**American National Life Insurance Company of New York**

**TO THE**

**Insurance Department**

**OF THE**

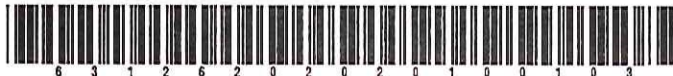
**STATE OF**

**FOR THE QUARTER ENDED  
SEPTEMBER 30, 2020**

☒ **LIFE AND ACCIDENT AND HEALTH**

☐ **FRATERNAL BENEFIT SOCIETIES**

# **2020**



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020  
OF THE CONDITION AND AFFAIRS OF THE

### American National Life Insurance Company of New York

NAIC Group Code 0408 0408 NAIC Company Code 63126 Employer's ID Number 14-1400831  
(Current) (Prior)

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ X ] Fraternal Benefit Societies [ ]

Incorporated/Organized 10/20/1953 Commenced Business 01/20/1954

Statutory Home Office 344 Route 9W Glenmont, NY, US 12077  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office One Moody Plaza  
(Street and Number)

Galveston, TX, US 77550 800-392-0644  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 656 Albany, NY, US 12201-0656  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 344 Route 9W  
(Street and Number)  
Glenmont, NY, US 12077 518-431-5201  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.americannational.com

Statutory Statement Contact Jennifer Jo Duncan 518-431-5201  
(Name) (Area Code) (Telephone Number)  
jennifer.duncan@americannational.com 518-431-5978  
(E-mail Address) (FAX Number)

#### OFFICERS

President & Chief Marketing Officer	<u>David Alan Behrens</u>	Vice President, Controller & Treasurer	<u>Michelle Annette Gage</u>
Vice President, Corporate Secretary	<u>John Mark Flippin</u>	SVP, Actuary and Chief Risk Officer New York	<u>Kathryn Lentivech FSA</u>

#### OTHER

<u>James Edward Pozzi, Chairman &amp; CEO</u>	<u>Johnny David Johnson, EVP, Chief Administrative Officer &amp; CIO</u>	<u>James Walter Pangburn, EVP, Specialty Markets Sales and Marketing</u>
<u>John Frederick Simon, EVP, Chief Actuary</u>	<u>Shannon Lee Smith, EVP, Chief Agencies Officer, Multiple Line</u>	<u>James Patrick Stelling #, EVP, Health and Specialty Markets Operations</u>
<u>Timothy Allen Walsh, EVP &amp; CFO</u>	<u>Dwain Allen Akins, SVP, Chief Compliance Officer</u>	<u>Michele Mackay Bartkowski, SVP, Finance</u>
<u>Scott Frank Brast, SVP, Chief Mortgage Loan &amp; Real Estate Investment Officer</u>	<u>Scott Christopher Campbell, SVP, Chief Client Officer</u>	<u>Lee Chadwick Ferrell, SVP, IMG Marketing</u>
<u>James Lee Flinn, SVP, Chief Risk Officer and P&amp;C Actuarial</u>	<u>Deborah Kay Janson, SVP, Corporate Office &amp; Chief Business Planning Officer</u>	<u>Sara Liane Latham, SVP, Actuary</u>
<u>Anne Marie LeMire, SVP, Chief Securities Investment Officer</u>	<u>Bradley Wayne Manning, SVP, Life Claims &amp; Customer Service Center</u>	<u>Michael Scott Marquis, SVP, Life Underwriting</u>
<u>Meredith Myron Mitchell, SVP, Deputy Chief Information Officer</u>	<u>Michael Scott Nimmons, SVP, Internal Audit Services</u>	<u>Edward Bruce Pavelka, SVP, Life New Business &amp; Administration</u>
<u>Ronald Clark Price, SVP, Career Life Agencies</u>	<u>Patrick Anthony Smith, SVP, Multiple Line Field Operations</u>	

#### DIRECTORS OR TRUSTEES

<u>David Alan Behrens</u>	<u>Irwin Max Herz Jr.</u>	<u>Johnny David Johnson</u>
<u>Ashild Ingrid Moody</u>	<u>Edward Joseph Muhl</u>	<u>Matthew Richard Ostiguy</u>
<u>Elvin Jerome Pederson</u>	<u>James Edward Pozzi</u>	<u>John Frederick Simon</u>
<u>Timothy Allen Walsh</u>	<u>James Daniel Yarbrough</u>	

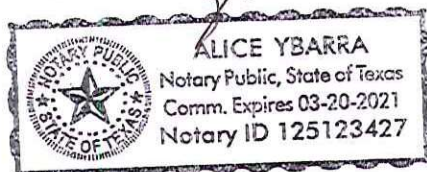
State of Texas SS:  
County of Galveston

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>David Alan Behrens</u> President & Chief Marketing Officer	<u>John Mark Flippin</u> Vice President, Corporate Secretary	<u>Michelle Annette Gage</u> Vice President, Controller & Treasurer
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Subscribed and sworn to before me this 28<sup>th</sup> day of October, 2020  
Alice Ybarra

a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	2,069,931,831	0	2,069,931,831	2,081,294,482
2. Stocks:				
2.1 Preferred stocks .....	4,101,988	0	4,101,988	4,101,988
2.2 Common stocks .....	53,443	0	53,443	61,261
3. Mortgage loans on real estate:				
3.1 First liens .....	493,233,773	0	493,233,773	418,989,331
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....	5,118,045	0	5,118,045	5,400,281
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	
5. Cash (\$ ..... (4,048,906) ), cash equivalents (\$ ..... 64,901,420 ) and short-term investments (\$ ..... 3,473,137 ) .....	64,325,651		64,325,651	140,321,487
6. Contract loans (including \$ ..... premium notes) .....	35,959,160	0	35,959,160	37,002,704
7. Derivatives .....	6,759,197		6,759,197	11,108,748
8. Other invested assets .....	55,448,534		55,448,534	30,733,360
9. Receivables for securities .....			0	60,220
10. Securities lending reinvested collateral assets .....			0	
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	2,734,931,621	0	2,734,931,621	2,729,073,862
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	
14. Investment income due and accrued .....	23,805,965	0	23,805,965	23,132,835
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	692,147	69,346	622,801	564,763
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	12,855,420	0	12,855,420	13,366,495
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	833,139	0	833,139	583,659
16.2 Funds held by or deposited with reinsured companies .....			0	
16.3 Other amounts receivable under reinsurance contracts .....	(58)	0	(58)	2
17. Amounts receivable relating to uninsured plans .....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon .....	1,050,665	0	1,050,665	0
18.2 Net deferred tax asset .....	28,091,528	22,857,747	5,233,781	4,544,767
19. Guaranty funds receivable or on deposit .....	18,939	0	18,939	17,464
20. Electronic data processing equipment and software .....			0	
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	452,284	452,284	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	
23. Receivables from parent, subsidiaries and affiliates .....	1,884,212	0	1,884,212	7,476,081
24. Health care (\$ ..... ) and other amounts receivable .....	811,828	811,828	0	0
25. Aggregate write-ins for other than invested assets .....	2,624,818	2,144,948	479,870	447,667
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	2,808,052,509	26,336,153	2,781,716,356	2,779,207,596
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	
28. Total (Lines 26 and 27)	2,808,052,509	26,336,153	2,781,716,356	2,779,207,596
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid state premium taxes .....	385,704		385,704	131,067
2502. Other receivables .....	193,539	99,373	94,166	316,600
2503. Prepaid pension cost .....	3,082,591	3,082,591	0	
2598. Summary of remaining write-ins for Line 25 from overflow page .....	(1,037,016)	(1,037,016)	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,624,818	2,144,948	479,870	447,667

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ .....2,384,532,549 less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	2,384,532,549	2,372,430,114
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	14,335,881	14,319,422
3. Liability for deposit-type contracts (including \$ ..... Modco Reserve) .....	79,769,549	78,844,832
4. Contract claims:		
4.1 Life .....	10,483,906	8,944,655
4.2 Accident and health .....	202,693	216,409
5. Policyholders' dividends/refunds to members \$ .....22,063 and coupons \$ ..... due and unpaid .....	22,063	106,164
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... Modco) .....	2,098,572	7,151,009
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... Modco) .....	5,142,150	
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ .....36,182 accident and health premiums .....	239,167	199,926
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ .....0 is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ .....1,041,916 ceded .....	1,041,916	1,145,561
9.4 Interest Maintenance Reserve .....		55,245
10. Commissions to agents due or accrued-life and annuity contracts \$ .....124,316 , accident and health \$ .....11,876 and deposit-type contract funds \$ ..... .....	136,192	487,317
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	223,757	242,851
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	210,727	315,102
15.1 Current federal and foreign income taxes, including \$ .....0 on realized capital gains (losses) .....	0	13,301,236
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	914,961	1,038,434
17. Amounts withheld or retained by reporting entity as agent or trustee .....	1,723,173	3,232,590
18. Amounts held for agents' account, including \$ .....99,840 agents' credit balances .....	99,840	64,677
19. Remittances and items not allocated .....	1,101,358	2,049,490
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ ..... .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	29,586,814	30,173,187
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....	637,475	555,158
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	1,038,753	1,672,532
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....	0	
24.09 Payable for securities .....	910,808	109,250
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	9,291,065	13,654,397
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	2,543,743,369	2,550,309,558
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	2,543,743,369	2,550,309,558
29. Common capital stock .....	3,000,550	5,000,550
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....	0	0
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	60,300,471	58,300,471
34. Aggregate write-ins for special surplus funds .....	0	0
35. Unassigned funds (surplus) .....	174,671,966	165,597,017
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	234,972,437	223,897,488
38. Totals of Lines 29, 30 and 37 .....	237,972,987	228,898,038
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	2,781,716,356	2,779,207,596
<b>DETAILS OF WRITE-INS</b>		
2501. Liability on derivative collateral .....	6,590,000	11,210,000
2502. Uncashed check reserve .....	2,701,065	2,444,397
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	9,291,065	13,654,397
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	0	0
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0



SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts .....	66,641,126	410,276,836	437,799,103
2. Considerations for supplementary contracts with life contingencies .....	381,674	1,716,544	1,966,035
3. Net investment income .....	82,847,129	78,444,656	105,970,470
4. Amortization of Interest Maintenance Reserve (IMR) .....	82,636	195,013	268,517
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....			0
6. Commissions and expense allowances on reinsurance ceded .....	441,900	469,949	621,550
7. Reserve adjustments on reinsurance ceded .....			0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....			0
8.2 Charges and fees for deposit-type contracts .....			0
8.3 Aggregate write-ins for miscellaneous income .....	5,646	5,453	6,531
9. Totals (Lines 1 to 8.3) .....	150,400,110	491,108,451	546,632,206
10. Death benefits .....	26,141,375	22,842,168	28,032,027
11. Matured endowments (excluding guaranteed annual pure endowments) .....	108,223	201,762	260,937
12. Annuity benefits .....	25,468,187	21,035,823	29,784,480
13. Disability benefits and benefits under accident and health contracts .....	2,127,593	1,985,376	2,707,580
14. Coupons, guaranteed annual pure endowments and similar benefits .....			0
15. Surrender benefits and withdrawals for life contracts .....	46,492,432	40,097,000	56,977,549
16. Group conversions .....			0
17. Interest and adjustments on contract or deposit-type contract funds .....	2,093,215	1,523,065	2,357,852
18. Payments on supplementary contracts with life contingencies .....	2,355,476	2,481,057	3,302,459
19. Increase in aggregate reserves for life and accident and health contracts .....	12,152,648	369,867,965	403,662,111
20. Totals (Lines 10 to 19) .....	116,939,149	460,034,216	527,084,995
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) .....	5,159,187	17,408,749	19,877,572
22. Commissions and expense allowances on reinsurance assumed .....		0	
23. General insurance expenses and fraternal expenses .....	7,725,292	8,927,576	12,174,584
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	1,564,870	1,157,706	2,052,365
25. Increase in loading on deferred and uncollected premiums .....	(32,799)	(305,400)	(60,862)
26. Net transfers to or (from) Separate Accounts net of reinsurance .....			0
27. Aggregate write-ins for deductions .....	300	10,187	10,237
28. Totals (Lines 20 to 27) .....	131,355,998	487,233,034	561,138,891
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	19,044,112	3,875,417	(14,506,685)
30. Dividends to policyholders and refunds to members .....	5,058,171	5,056,249	6,804,096
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30) .....	13,985,940	(1,180,832)	(21,310,781)
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	907,369	6,647,536	6,932,434
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	13,078,571	(7,828,368)	(28,243,215)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....742,512 (excluding taxes of \$ .....(105,061) transferred to the IMR) .....	(413,967)	10,562,655	72,965,577
35. Net income (Line 33 plus Line 34) .....	12,664,605	2,734,287	44,722,362
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year .....	228,898,038	237,048,296	237,048,296
37. Net income (Line 35) .....	12,664,605	2,734,287	44,722,362
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(888,332) .....	(3,552,070)	9,360,748	(47,259,133)
39. Change in net unrealized foreign exchange capital gain (loss) .....			
40. Change in net deferred income tax .....	(1,050,126)	7,174,879	11,061,472
41. Change in nonadmitted assets .....	436,595	(8,226,443)	(14,702,789)
42. Change in liability for reinsurance in unauthorized and certified companies .....	(82,317)	1,019,640	1,066,385
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....			0
44. Change in asset valuation reserve .....	586,373	(11,614,144)	(4,435,749)
45. Change in treasury stock .....		0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....			
47. Other changes in surplus in Separate Accounts Statement .....		0	
48. Change in surplus notes .....			
49. Cumulative effect of changes in accounting principles .....		0	
50. Capital changes:			
50.1 Paid in .....			
50.2 Transferred from surplus (Stock Dividend) .....			
50.3 Transferred to surplus .....	(2,000,000)		
51. Surplus adjustment:			
51.1 Paid in .....		0	0
51.2 Transferred to capital (Stock Dividend) .....			
51.3 Transferred from capital .....	2,000,000		
51.4 Change in surplus as a result of reinsurance .....			
52. Dividends to stockholders .....		0	
53. Aggregate write-ins for gains and losses in surplus .....	71,889	126,140	1,397,194
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	9,074,949	575,107	(8,150,258)
55. Capital and surplus, as of statement date (Lines 36 + 54) .....	237,972,987	237,623,403	228,898,038
DETAILS OF WRITE-INS			
08.301. Miscellaneous income .....	5,646	5,453	6,531
08.302. ....			
08.303. ....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) .....	5,646	5,453	6,531
2701. Fines and penalties to regulatory authorities .....	300	10,125	10,176
2702. Miscellaneous .....	0	62	61
2703. ....			
2798. Summary of remaining write-ins for Line 27 from overflow page .....	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above) .....	300	10,187	10,237
5301. Change in pension and post retirement plans net of deferred tax .....		0	1,024,268
5302. Change in deferred tax on non admitted items .....	71,889	126,140	372,926
5303. ....			
5398. Summary of remaining write-ins for Line 53 from overflow page .....	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above) .....	71,889	126,140	1,397,194

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	67,494,995	412,803,956	440,069,976
2. Net investment income .....	85,279,653	76,619,942	104,180,222
3. Miscellaneous income .....	447,606	475,371	628,093
4. Total (Lines 1 to 3) .....	153,222,253	489,899,269	544,878,290
5. Benefit and loss related payments .....	101,637,046	90,566,681	122,134,580
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	14,850,895	27,771,112	34,249,897
8. Dividends paid to policyholders .....	5,052,559	6,660,267	9,649,340
9. Federal and foreign income taxes paid (recovered) net of \$ ..... 10,674,066 tax on capital gains (losses) .....	15,896,721	1,096,836	11,424,011
10. Total (Lines 5 through 9) .....	137,437,221	126,094,896	177,457,828
11. Net cash from operations (Line 4 minus Line 10) .....	15,785,032	363,804,373	367,420,462
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	257,979,453	75,834,938	164,634,578
12.2 Stocks .....	0	19,575,217	134,217,471
12.3 Mortgage loans .....	13,166,816	24,117,368	44,141,980
12.4 Real estate .....	0	1,752,703	1,752,703
12.5 Other invested assets .....	4,445,117	2,445,630	3,002,725
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	3,445,387	16,675,806	828,687
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	279,036,774	140,401,661	348,578,144
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	251,818,395	385,862,591	452,874,540
13.2 Stocks .....	0	243,983	599,415
13.3 Mortgage loans .....	86,600,668	75,576,277	112,401,323
13.4 Real estate .....	51,016	309,827	309,828
13.5 Other invested assets .....	30,578,376	15,106,267	24,249,362
13.6 Miscellaneous applications .....	0	7,727,100	88,770
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	369,048,455	484,826,045	590,523,238
14. Net increase (or decrease) in contract loans and premium notes .....	(1,043,544)	(98,932)	(15,768)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(88,968,137)	(344,325,452)	(241,929,326)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(1,037,796)	872,321	(168,686)
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(1,774,935)	5,765,341	6,293,746
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(2,812,731)	6,637,662	6,125,060
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(75,995,837)	26,116,583	131,616,196
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	140,321,487	8,705,292	8,705,292
19.2 End of period (Line 18 plus Line 19.1) .....	64,325,651	34,821,875	140,321,487

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....		0	0
2. Ordinary life insurance .....	40,193,583	41,741,710	58,405,091
3. Ordinary individual annuities .....	27,166,847	369,248,781	380,296,052
4. Credit life (group and individual) .....	514,960	583,133	773,604
5. Group life insurance .....		0	0
6. Group annuities .....		0	0
7. A & H - group .....		0	0
8. A & H - credit (group and individual) .....	613,255	651,650	880,125
9. A & H - other .....	2,910,359	2,391,153	3,676,719
10. Aggregate of all other lines of business .....	0	0	0
11. Subtotal (Lines 1 through 10) .....	71,399,004	414,616,427	444,031,591
12. Fraternal (Fraternal Benefit Societies Only) .....			0
13. Subtotal (Lines 11 through 12) .....	71,399,004	414,616,427	444,031,591
14. Deposit-type contracts .....	4,527,082	6,221,031	7,815,110
15. Total (Lines 13 and 14)	75,926,086	420,837,458	451,846,701
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices  
The financial statements of American National Insurance Company of New York (“the Company”) are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the New York Insurance Law. The National Association of Insurance Commissioners’ (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of New York.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices or permitted by the State of New York as of September 30, 2020 and December 31, 2019 is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 12,664,605	\$ 44,722,362
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 12,664,605	\$ 44,722,362
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 237,972,987	\$ 228,898,038
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 237,972,987	\$ 228,898,038

- B. Use of Estimates in the Preparation of the Financial Statements  
No significant change.
- C. Accounting Policy
- 1) No significant change.
  - 2) The Company has no investment in mandatory convertible securities or SVO identified investments. Bonds not backed by other loans, with NAIC ratings of 6, are stated at the lower of amortized cost or SVO market value; all other NAIC ratings are stated at amortized cost using the modified scientific method.
  - 3-5) No significant change.
  - 6) Loan-backed securities are carried at amortized cost using the retrospective method.
  - 7-13) No significant change.
- D. Going Concern  
Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company’s ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

A. Accounting Changes  
INT 20-03: Troubled Debt Restructuring Due to COVID-19, was issued on April 15, 2020 by the NAIC’s Statutory Accounting Principles Working Group. This interpretation clarifies that a modification of mortgage loan or bank loan terms in response to novel coronavirus disease (COVID-19) shall follow the provisions detailed in the April 7, 2020 “Interagency Statement on Loan Modifications and Reporting for Financial Institutions Working with Customers Affected by the Coronavirus”, and the provisions of the Coronavirus Aid, Relief and Economic Security Act (CARES Act) in determining whether the modification shall be reported as a troubled debt restructuring within SSAP No. 36, Troubled Debt Restructuring.

The Company has elected to apply the guidance of this interpretation in the second and third quarter for COVID-19 related commercial loan modifications that would otherwise have been subject to the troubled debt restructuring guidance noted above. The interpretation is only applicable for the term of the loan modification, but solely with respect to any modification, including a forbearance arrangement, interest rate modification, a repayment plan, and other similar arrangement that defers or delays the payment of principal or interest for a loan that was not more than 30 days past due as of December 31, 2019.

This interpretation will only be applicable for the period beginning on March 1, 2020 and ending on the earlier of December 31, 2020, or the date that is 60 days after the date on which the national emergency concerning the COVID-19 outbreak declared by the President on March 13, 2020 under the National Emergencies Act (50 U.S.C. 1601 et seq.) terminates.

B. Corrections of Errors  
In May 2020, the Company reclassified the \$2,000,000 value of the American National Life Insurance Company of New York common capital stock prior to the merger with Farm Family Life Insurance Company in 2018 to gross paid in and contributed surplus. This reclassification was recorded in the Company’s 2019 audited financial statements.

NOTE 3 Business Combinations and Goodwill

No significant change.

NOTE 4 Discontinued Operations

No significant change.

NOTE 5 Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans  
No significant change.
- B. Debt Restructuring  
No significant change.
- C. Reverse Mortgages  
No significant change.
- D. Loan-Backed Securities
- (1) Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from independent third party pricing services or internal estimates.
  - (2) At September 30, 2020, the Company did not have any securities within the scope of SSAP No. 43R, Revised Statutory Accounting for Loan-backed and Structured Securities, with a recognized other-than-temporary impairment due to the intent to sell or an inability or lack of intent to retain the security for a period of time sufficient to recover the amortized cost basis.
  - (3) At September 30, 2020, the Company did not hold any loan-backed and structured securities with a recognized credit-related other-than-temporary impairment.
  - (4)
    - a) The aggregate amount of unrealized losses:
      - 1. Less than 12 Months
      - 2. 12 Months or Longer \$ (138,755)
    - b)The aggregate related fair value of securities with unrealized losses:
      - 1. Less than 12 Months
      - 2. 12 Months or Longer \$ 1,048,110



NOTES TO FINANCIAL STATEMENTS

(5) All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. As of September 30, 2020, the Company believes it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. Although the investment securities above did not meet management's criteria for other-than-temporary impairment at this time, it is possible that future events or information could cause them to conclude that declines in value are other-than-temporary.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions  
The Company has no repurchase agreements or securities lending transactions.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company has no repurchase agreements transactions accounted for as secured borrowing.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.
- H. Repurchase Agreements Transactions Accounted for as a Sale  
The Company has no repurchase agreements transactions accounted for as a sale.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
The Company has no reverse repurchase agreements transactions accounted for as a sale.
- J. Real Estate  
No significant change.
- K. Low Income Housing tax Credits (LIHTC)  
No significant change.
- L. Restricted Assets  
No significant change.
- M. Working Capital Finance Investments  
The Company has no working capital finance investments.
- N. Offsetting and Netting of Assets and Liabilities  
The Company has not offset or netted assets and liabilities in accordance with SSAP No. 64, Offsetting and Netting of Assets and Liabilities.
- O. 5GI Securities  
No significant change.
- P. Short Sales  
No significant change.
- Q. Prepayment Penalty and Acceleration Fees  
No significant change.

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**  
No significant change.

**NOTE 7 Investment Income**  
No significant change.

**NOTE 8 Derivative Instruments**

- A. Derivatives under SSAP No. 86—Derivatives  
The Company issues indexed deferred annuities, with credit returns based on the performance of underlying equity indexes. However, the indexed credits cannot be less than minimum guarantees. The Company issues policies once a week and purchases options at the same time, thereby closely matching the timing and other characteristics of the option assets to the indexed-related liabilities. The Company purchases the options from a number of different well-regarded parties and further mitigates credit risk by negotiating collateral deposit agreements with the counter-parties. All options are stated at fair value net of collateral and are reported on the Derivatives line on the Asset page. Changes in the values of the options are recorded as changes in unrealized gains.
- B. The Company has no derivatives hedging variable annuity guarantees under SSAP No. 108.

**NOTE 9 Income Taxes**  
No significant change.

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**  
A - F No significant change

- G. On July 1, 2020, the upstream indirect parent company, American National Insurance Company, a Texas insurance company (“ANICO”), completed its previously announced holding company reorganization. As a result of such reorganization, ANICO became a wholly owned subsidiary of American National Group, Inc., a Delaware corporation (“ANAT”), and ANAT replaced ANICO as the publicly held company. Consequently, all filings with the Securities and Exchange Commission from July 2, 2020 forward will be filed by ANAT under CIK No. 0001801075.

In addition, the directors and officers of ANICO became directors and officers of ANAT. There is no change in the ultimate ownership of the organization and business operations will continue from our current office locations and companies.

H - O No significant change.

**NOTE 11 Debt**

- A. As of December 31, 2019, the Company had a line of credit established with American National Holdings, Inc. for up to \$15,000,000 to meet short-term liquidity needs. The line of credit matured on June 30, 2020. On July 1, 2020, the Company established a line of credit with American National Insurance Company for up to \$35,000,000, maturing on July 1, 2023, to meet short-term liquidity needs. Interest accrues on a 365 days accrual basis at a variable rate. The variable rate equals the prime rate published by the Wall Street Journal on the first business day of the month.  
  
There were no outstanding borrowings on the lines of credit at September 30, 2020 and December 31, 2019.  
  
The Company has no long-term debt and no other short-term borrowing arrangements.
- B. FHLB (Federal Home Loan Bank) Agreements  
The Company has no Federal Home Loan Bank (FHLB) agreements.

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and OtherPostretirement Benefit Plans**

- A. Defined Benefit Plan  
(1)-(3) No significant change.

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2020	2019	2020	2019	2020	2019
(4) Components of net periodic benefit cost						
a. Service cost	\$ 58,248	\$ 77,664				
b. Interest cost	\$ 802,676	\$ 1,070,235	\$ 27,820	\$ 37,094		
c. Expected return on plan assets	\$ (1,137,115)	\$ (1,516,154)				
d. Transition asset or obligation	\$ 65,862	\$ 87,816				
e. Gains and losses	\$ 143,711	\$ 191,610	\$ (3,017)	\$ (4,023)		
f. Prior service cost or credit			\$ (13,732)	\$ (18,309)		
g. Gain or loss recognized due to a settlement or curtailment						
h. Total net periodic benefit cost	\$ (66,618)	\$ (88,829)	\$ 11,071	\$ 14,762	\$ -	\$ -

(5)-(18) No significant change.

NOTES TO FINANCIAL STATEMENTS

- B. Defined Benefit Plan - Investment Policies and Strategies  
No significant change.
- C. The fair value of each class of plan assets  
No significant change.
- D. The overall expected long-term rate-of-return-on-assets assumption  
No significant change.
- E. Defined Contribution Plan  
No significant change.
- F. Multiemployer Plans  
No significant change.
- G. Consolidated/Holding Company Plans  
No significant change.
- H. Postemployment Benefits and Compensated Absences  
No significant change.
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
No significant change.

**NOTE 13 Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**  
No significant change.

**NOTE 14 Liabilities, Contingencies and Assessments**

- A. Contingent Commitments

1) The Company had aggregate commitments at September 30, 2020 to purchase other invested assets of \$49,841,056 of which \$3,425,422 is expected to be funded in 2020. The remaining balance of \$46,415,634 will be funded in 2021 and beyond.

2-3) The Company has made no guarantees under these commitments.
- B. Assessments  
No significant change.
- C. Gain Contingencies  
No significant change.
- D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits  
No significant change.
- E. Joint and Several Liabilities  
No significant change.
- F. All Other Contingencies  
No significant change.

**NOTE 15 Leases**

- A. Lessee Operating Lease:  
No significant change.
- B. Lessor Leases

(1) Operating leases  
The Company leases office space in its home office to Farm Family Casualty Insurance Company. The lease has been renewed and now expires on December 31, 2020.

(2) Leveraged Leases  
No significant change.

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**  
No significant change.

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**  
The Company had no sales, transfers or servicing of financial assets and extinguishment of liabilities during the reporting periods.

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**  
No significant change.

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**  
No significant change.

**NOTE 20 Fair Value Measurements**

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock- Unaffiliated	\$ 53,443				\$ 53,443
Preferred Stock	\$ 3,934,850				\$ 3,934,850
Bonds		\$ 3,900,000			\$ 3,900,000
Options			\$ 6,759,197		\$ 6,759,197
Total assets at fair value/NAV	\$ 3,988,293	\$ 3,900,000	\$ 6,759,197	\$ -	\$ 14,647,490

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

(3) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance for Current Quarter End
a. Assets										
Options	\$ 5,808,236	\$ -	\$ -	\$ 1,103,970	\$ 1,189,761	\$ 1,288,270		\$ (2,631,040)		\$ 6,759,197
Total Assets	\$ 5,808,236	\$ -	\$ -	\$ 1,103,970	\$ 1,189,761	\$ 1,288,270	\$ -	\$ (2,631,040)	\$ -	\$ 6,759,197

(4) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

- (3) Transfers between levels, if any, are recognized at the beginning of the reporting period.
- (4) The market values of equity and debt securities are obtained by the Securities Valuation Office of the NAIC and/or various pricing services. There has been no change in the valuation techniques and related inputs.
- (5) The fair value information for derivative assets is included in the above tables.

B. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability. A fair value hierarchy is used to determine fair value based on a hypothetical transaction at the measurement date from the perspective of a market participant. An asset or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. The input levels are defined as follows:

Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities. The Company defines active markets based on average trading volume for equity securities. The size of the bid/ask spread is used as an indicator of market activity for fixed maturity securities.

Level 2 - Quoted prices in markets that are not active or inputs that are observable directly or indirectly. Level 2 inputs include quoted prices for similar assets or liabilities other than quoted prices in Level 1; quoted prices in markets that are not active; or other inputs that are observable or can be derived principally from or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets or liabilities. Unobservable inputs reflect the Company's own assumptions about the assumptions that market participants would use in pricing the asset or liability. Level 3 assets and liabilities include financial instruments whose values are determined using pricing models and third-party evaluation, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

The Company has evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Based on the results of this evaluation and investment class analysis, each price was classified into Level 1, 2, or 3.

There are some equity and fixed income securities whose market price is obtained from the Securities Valuation Office (SVO) of the National Association of Insurance Commissioners. For those securities that are not priced by the SVO, the price is obtained from independent pricing services.

The pricing service utilizes market quotations for fixed maturity securities that have quoted prices in active markets. Since fixed maturities generally do not trade on a daily basis, the pricing service prepares estimates of fair value measurements for these securities using its proprietary pricing applications, which include available relevant market information, benchmark curves, benchmarking of like securities, sector groupings and matrix pricing. Additionally, the pricing service uses an Option Adjusted Spread model to develop prepayment and interest rate scenarios.

The pricing service evaluates each asset class based on relevant market information, relevant credit information, perceived market movements and sector news. The market inputs utilized in the pricing evaluation, listed in the approximate order of priority, include benchmark yields, reported trades, broker/dealer quotes, issuer spreads, two-sided markets, benchmark securities, bids, offers, reference data, and economic events. The extent of the use of each market input depends on the asset class and the market conditions. Depending on the security, the priority of the use of inputs may change or some market inputs may not be relevant. For some securities additional inputs may be necessary.

The Company has reviewed the inputs and methodology used by the pricing service and the techniques applied by the pricing service to produce quotes that represent the fair value of a specific security. The review of the pricing service's methodology confirms the service is utilizing information from organized transactions or a technique that represents a market participant's assumptions. The Company does not adjust quotes received by the pricing service.

The pricing service utilized by the Company has indicated that they will only produce an estimate of fair value if there is objectively verifiable information available. If the pricing service discontinues pricing an investment, the Company would be required to produce an estimate of fair value using some of the same methodologies as the pricing service, but would have to make assumptions for market-based inputs that are unavailable due to market conditions.

The fair value estimates of most fixed maturity investments including municipal bonds are based on observable market information rather than market quotes. Accordingly, the estimates of fair value for such fixed maturities provided by the pricing service are included in the amount disclosed in Level 2 of the hierarchy.

Additionally, the Company holds a small amount of fixed maturities that have characteristics that make them unsuitable for matrix pricing. For these securities, a quote from a broker (typically a market maker) is obtained. Due to the disclaimers on these quotes that indicate that the price is indicative only, the Company includes these fair value estimates in Level 3. The pricing of certain private placement debt also includes significant non-observable inputs, the internally determined credit rating of the security and an externally provided credit spread, and are classified in Level 3.

For public common and preferred stocks, the Company receives prices from a nationally recognized pricing service that are based on observable market transactions and these securities are disclosed in Level 1. For certain preferred stock held, current market quotes in active markets are unavailable. In these instances, the Company receives an estimate of fair value from the pricing service that provides fair value estimates for the fixed maturity securities. The service utilizes some of the same methodologies to price the preferred stocks as it does for the fixed maturities. These estimates for equity securities are disclosed in Level 2.

The market value of derivative instruments is obtained by a pricing service. Due to the disclaimers that the prices is indicative only, the Company includes these fair value estimates in Level 3.

The fair value of surplus debentures is obtained from the SVO.

The fair value of mortgage loans is estimated using discounted cash flow analyses. Fair value is calculated on a loan by loan basis by applying a discount rate to expected cash flows from future installment and balloon payments. The discount rate takes into account general market trends and specific credit risk trends for the individual loan. Factors used to arrive at the discount rate include inputs from spreads based on U.S. Treasury notes and the loan's credit rating, region, property type, lien number, payment type and current status. The Company includes these fair values in Level 3.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall. The table below reflects the fair values and admitted values of all admitted assets that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in the Note 20A.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 2,222,044,751	\$ 2,069,931,831		\$ 2,197,192,314	\$ 24,852,437		
Common Stock-Unaffiliated	\$ 53,443	\$ 53,443	\$ 53,443				
Preferred Stock	\$ 3,934,850	\$ 4,101,988	\$ 3,934,850				
Options	\$ 6,759,197	\$ 6,759,197			\$ 6,759,197		
Surplus Debentures/BA Assets	\$ 5,951,128	\$ 4,824,464		\$ -	\$ 5,951,128		
Mortgage Loans	\$ 509,933,938	\$ 493,233,773			\$ 509,933,938		

- D. Not Practicable to Estimate Fair Value  
As of September 30, 2020, there were no financial instruments for which it is not practicable for the Company to estimate the fair value.
- E. Investments measured using Net Asset Value  
The Company had no investments measured using Net Asset Value.

NOTES TO FINANCIAL STATEMENTS

NOTE 21 Other Items

A. Unusual or Infrequent Items  
On March 11, 2020, the World Health Organization formally declared the outbreak of the novel coronavirus COVID-19 to be a pandemic. The spread of COVID-19 has caused illness, quarantines, cancellation of events and travel, alternative arrangement and shutdown for business and schools, reduction in business activity, widespread unemployment, and overall economic and financial market instability. The wide-ranging social, economic and financial consequences of the COVID-19 pandemic and the possible effects of ongoing and future governmental action in response to COVID-19 compound this uncertainty.

Through the third quarter of 2020, the American National Family of Companies continues to be fully operational with the majority of our employees working remotely. We continue to monitor developments related to the COVID-19 pandemic to assess its impact on our business; however, due to the evolving and highly uncertain nature of this event, it currently is not possible to estimate with reasonable confidence the ultimate direct and indirect impact of COVID-19 on our business, results of operations, financial condition, or liquidity. To date, COVID-19 has impacted us most notable with economic uncertainty and volatility in the financial markets in which we invest and with the diminished ability of certain of our clients and borrowers to make timely payments to us. This has resulted in our taking protective measures to mitigate the impact of COVID-19 on performance and increase liquidity should a need arise.

We are monitoring our liquidity needs closely. As a result of the economic disruption caused by COVID-19, we expect to modify mortgage loans with an aggregate outstanding balance of \$87.9 million. These modifications primarily relate to hotels, retail and parking operations. The terms of the modifications of these loans include forbearance of principal and interest payments for a period of up to six months, extensions of maturity dates, and/or provision of interest only payments.

Sales growth in our operating segments was negatively impacted by stay-at-home orders and the increased economic uncertainty caused by COVID-19. Life claims experience through September 2020 has increased compared to the same period 2019. We are analyzing the increase to determine if it is directly or indirectly related to COVID-19.

Also, as a result of the impacts of COVID-19, state insurance departments across the country had issued regulations that required us not to cancel policies for non-payment for varying amounts of time but generally for at least 90-day periods which began in March and early April 2020. The cancellation and grace periods have been lifted in most states. At this time, however, our liquidity requirements have been and are expected to continue to be met by funds from operations.

B-H.  
No significant change

NOTE 22 Events Subsequent

No significant change.

NOTE 23 Reinsurance

No significant change.

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company had no retrospectively rated contracts or contracts subject to redetermination during the reporting periods.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

- A. Claim reserves on accident and health contracts as of December 31, 2019 were \$7.5 million. As of September 30, 2020, \$1.5 million has been paid for claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$6.7 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on disability and credit lines of insurance. Therefore, there has been a \$0.7 million unfavorable development from December 31, 2019 to September 30, 2020. The increase in claim reserves is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.
- B. There were no significant changes in methods or assumptions.

NOTE 26 Intercompany Pooling Arrangements

No significant change.

NOTE 27 Structured Settlements

No significant change.

NOTE 28 Health Care Receivables

No significant change.

NOTE 29 Participating Policies

No significant change.

NOTE 30 Premium Deficiency Reserves

No significant change.

NOTE 31 Reserves for Life Contracts and Annuity Contracts

No significant change.

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

No significant change.

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

No significant change.

NOTE 35 Separate Accounts

No significant change.

NOTE 36 Loss/Claim Adjustment Expenses

No significant change.



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]
- 1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☐ ] No [ ☒ ]
- 2.2

If yes, date of change: .....
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ ☒ ] No [ ☐ ]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☒ ] No [ ☐ ]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
On July 1, 2020, American National Group, Inc., a Delaware corporation, became the parent company of American National Insurance Company and replaced it as the publicly held corporation on July 2, 2020. The directors and officers of American National Insurance Company immediately prior to the reorganization serve as the directors and officers of American National Group, Inc., and business operations continue from our current office locations and companies. See Schedule Y for additional information.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☒ ] No [ ☐ ]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....

1801075
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

If yes, complete and file the merger history data file with the NAIC.

Yes [ ☐ ] No [ ☒ ]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....

If yes, attach an explanation.

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2015
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

06/13/2017
- 6.4

By what department or departments?  
New York State Department of Financial Services
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☐ ] No [ ☒ ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☒ ] No [ ☐ ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
American National Registered Investment Advisor Inc .....	League City, Texas .....	NO	NO	NO	YES
ANICO Financial Services Inc .....	Galveston, Texas .....	NO	NO	NO	YES

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ X ] No [ ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).  
The following language was added to our Code of Business Conduct and Ethics:  
  
Diversity and Inclusion The Company values diversity and is committed to respecting one another and celebrating differences. The Company believes diversity fuels innovation and connects us to our customers and the communities we serve. The Company expects you to refuse to engage in or tolerate unlawful discrimination or harassment.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ X ] No [ ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....\$.....

1,715,603

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$.....
13.

Amount of real estate and mortgages held in short-term investments: .....

\$.....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ X ] No [ ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$.....0  | \$.....  |
| 14.22 Preferred Stock .....   | \$.....0  | \$.....  |
| 14.23 Common Stock .....  | \$.....0  | \$.....  |
| 14.24 Short-Term Investments .....  | \$.....0  | \$.....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$.....0  | \$.....28,283,961                                  |
| 14.26 All Other .....   | \$.....0  | \$.....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$.....0  | \$.....28,283,961                                  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$.....   | \$.....  |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ X ] No [ ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ X ] No [ ] N/A [ ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$.....0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$.....0
- 16.3

Total payable for securities lending reported on the liability page. ....

\$.....0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon .....	One Wall Street, New York, NY .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anne Le Mire .....	I.....
Scott Brast .....	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

488,233,763

1.14

Total Mortgages in Good Standing

\$

488,233,763

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

0

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

5,000,011

1.44

Total Mortgages in Process of Foreclosure

\$

5,000,011

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

493,233,774

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

%

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[ ]

No

[ X ]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes

[ ]

No

[ X ]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[ X ]

No

[ ]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[ ]

No

[ ]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[ ]

No

[ ]

N/A

[ ]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[ ]

No

[ ]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
			NONE						

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.		1	Direct Business Only					
			Life Contracts		4	5	6	7
			2	3				
		Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1.	Alabama .....	AL	N	12,577			12,577	
2.	Alaska .....	AK	N	862			862	
3.	Arizona .....	AZ	N	22,894			22,894	
4.	Arkansas .....	AR	N	2,402			2,402	
5.	California .....	CA	N	30,585			30,585	
6.	Colorado .....	CO	N	21,108			21,108	
7.	Connecticut .....	CT	L	1,329,358	165,860	212,582	1,707,800	
8.	Delaware .....	DE	L	907,102	108,880	36,069	1,052,051	
9.	District of Columbia .....	DC	N	1,912			1,912	
10.	Florida .....	FL	N	321,859	65,437		387,296	
11.	Georgia .....	GA	N	50,154	16,627		66,781	
12.	Hawaii .....	HI	N	3,285			3,285	
13.	Idaho .....	ID	N	1,643			1,643	
14.	Illinois .....	IL	N	17,689			17,689	
15.	Indiana .....	IN	N	13,033			13,033	
16.	Iowa .....	IA	N	6,364	7,000		13,364	
17.	Kansas .....	KS	N	277			277	
18.	Kentucky .....	KY	N	13,158			13,158	
19.	Louisiana .....	LA	N	1,576			1,576	
20.	Maine .....	ME	L	875,335	112,026	104,939	1,092,300	
21.	Maryland .....	MD	L	990,338	63,650	91,093	1,145,081	
22.	Massachusetts .....	MA	L	1,651,939	190,585	306,611	2,149,135	
23.	Michigan .....	MI	N	13,445			13,445	
24.	Minnesota .....	MN	N	14,208			14,208	
25.	Mississippi .....	MS	N	5,553			5,553	
26.	Missouri .....	MO	N	11,368			11,368	
27.	Montana .....	MT	N	3,562			3,562	
28.	Nebraska .....	NE	N	2,135			2,135	
29.	Nevada .....	NV	N	20,160			20,160	
30.	New Hampshire .....	NH	L	1,007,862	138,575	163,028	1,309,465	
31.	New Jersey .....	NJ	L	4,105,181	327,864	416,016	4,849,061	78,963
32.	New Mexico .....	NM	N	14,642			14,642	
33.	New York .....	NY	L	21,054,266	25,151,805	1,734,034	47,940,105	4,448,119
34.	North Carolina .....	NC	N	133,779	20,360		154,139	
35.	North Dakota .....	ND	N				0	
36.	Ohio .....	OH	N	34,845			34,845	
37.	Oklahoma .....	OK	N	5,557			5,557	
38.	Oregon .....	OR	N	3,308			3,308	
39.	Pennsylvania .....	PA	L	647,464	87,402	46,148	781,014	
40.	Rhode Island .....	RI	L	415,963	25,156	56,160	497,279	
41.	South Carolina .....	SC	N	133,906	32,851		166,757	
42.	South Dakota .....	SD	N	5,233			5,233	
43.	Tennessee .....	TN	N	29,790	2,653		32,443	
44.	Texas .....	TX	N	73,591			73,591	
45.	Utah .....	UT	N	3,147	1,800		4,947	
46.	Vermont .....	VT	L	1,015,477	187,107	118,113	1,320,697	
47.	Virginia .....	VA	L	234,258	2,333	30,861	267,452	
48.	Washington .....	WA	N	14,531			14,531	
49.	West Virginia .....	WV	L	1,907,730	458,876	52,878	2,419,484	
50.	Wisconsin .....	WI	N	19,608			19,608	
51.	Wyoming .....	WY	N	5,521			5,521	
52.	American Samoa .....	AS	N				0	
53.	Guam .....	GU	N				0	
54.	Puerto Rico .....	PR	N				0	
55.	U.S. Virgin Islands .....	VI	N	1,248			1,248	
56.	Northern Mariana Islands .....	MP	N				0	
57.	Canada .....	CAN	N	6,670			6,670	
58.	Aggregate Other Aliens .....	OT	XXX	3,813	0	0	3,813	0
59.	Subtotal .....	XXX		37,223,271	27,166,847	3,368,532	67,758,650	4,527,082
90.	Reporting entity contributions for employee benefits plans .....	XXX					0	
91.	Dividends or refunds applied to purchase paid-up additions and annuities .....	XXX		3,580,917			3,580,917	
92.	Dividends or refunds applied to shorten endowment or premium paying period .....	XXX					0	
93.	Premium or annuity considerations waived under disability or other contract provisions .....	XXX		446,061		100,032	546,093	
94.	Aggregate or other amounts not allocable by State .....	XXX		0	0	0	0	0
95.	Totals (Direct Business) .....	XXX		41,250,249	27,166,847	3,468,564	71,885,660	4,527,082
96.	Plus Reinsurance Assumed .....	XXX					0	
97.	Totals (All Business) .....	XXX		41,250,249	27,166,847	3,468,564	71,885,660	4,527,082
98.	Less Reinsurance Ceded .....	XXX		3,802,282		1,018,617	4,820,899	
99.	Totals (All Business) less Reinsurance Ceded .....	XXX		37,447,967	27,166,847	2,449,947	67,064,761	4,527,082
DETAILS OF WRITE-INS								
58001.	JPN Japan .....	XXX		2,312			2,312	
58002.	UAE United Arab Emirates .....	XXX		351			351	
58003.	UK United Kingdom .....	XXX		1,150			1,150	
58998.	Summary of remaining write-ins for Line 58 from overflow page .....	XXX		0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX		3,813	0	0	3,813	0
9401.	.....	XXX						
9402.	.....	XXX						
9403.	.....	XXX						
9498.	Summary of remaining write-ins for Line 94 from overflow page .....	XXX		0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) .....	XXX		0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....13

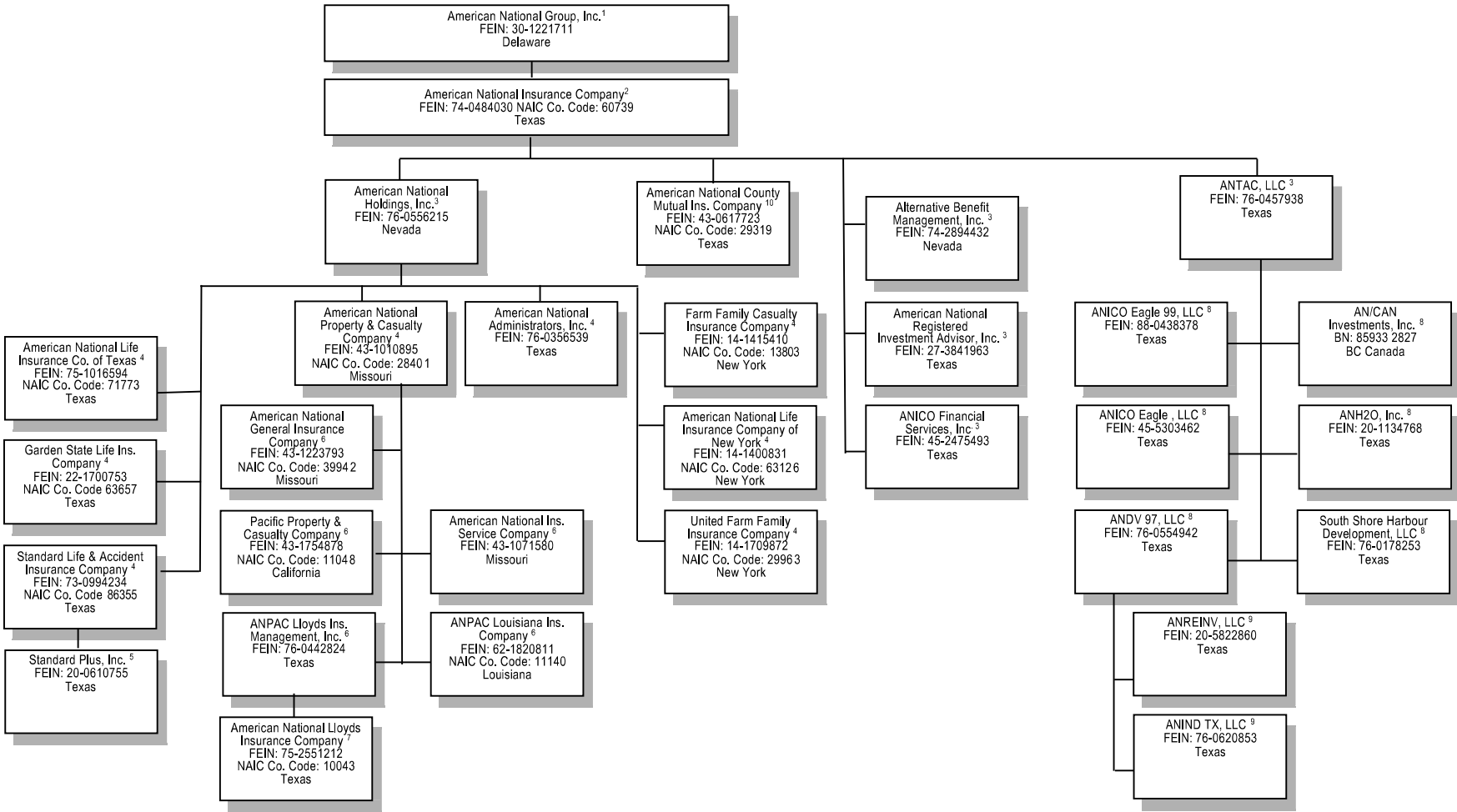
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0

N - None of the above - Not allowed to write business in the state.....44

R - Registered - Non-domiciled RRGs.....0

Q - Qualified - Qualified or accredited reinsurer.....0

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART



(1) 22.7% owned by The Moody Foundation and 37.0% owned by the Libbie S. Moody Trust.

(2) 100.0% owned by American National Group, Inc.

(3) 100.0% owned by American National Insurance Company

(4) 100.0% owned by American National Holdings, Inc.

(5) 100.0% owned by Standard Life and Accident Insurance Company.

(6) 100.0% owned by American National Property and Casualty Company (ANPAC).

(7) Not a subsidiary company, but managed by ANPAC Lloyds Insurance Management, Inc.

(8) 100.0% owned by ANTAC, LLC.

(9) 100.0% owned by ANDV 97, LLC.

(10) Not a subsidiary company but managed by American National Insurance Company.

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0408	American National Financial Group	.60739	74-0484030	1343722	0		American National Insurance Company	TX	UIP	American National Group, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.71773	75-1016594	1343731	0		American National Life Insurance Company of Texas	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.86355	73-0994234	0	0		Standard Life and Accident Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.63657	22-1700753	0	0		Garden State Life Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.63126	14-1400831	0	0		American National Life Insurance Company of New York	NY	RE	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.13803	14-1415410	0	0		Farm Family Casualty Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.29963	14-1709872	0	0		United Farm Family Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.28401	43-1010895	1343946	0		American National Property and Casualty Company	MO	IA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	Y	
.0408	American National Financial Group	.39942	43-1223793	0	0		American National General Insurance Company	MO	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.29319	43-0617723	0	0		American National County Mutual Insurance Company	TX	IA	American National Insurance Company	Management	0.000	American National Group, Inc.	N	
.0408	American National Financial Group	.10043	75-2551212	0	0		American National Lloyds Insurance Company	TX	IA	ANPAC Lloyds Insurance Management, Inc.	Management	0.000	American National Group, Inc.	N	
.0408	American National Financial Group	.11048	43-1754878	0	0		Pacific Property and Casualty Company	CA	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
.0408	American National Financial Group	.11140	62-1820811	0	0		ANPAC Louisiana Insurance Company	LA	IA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	30-1221711	0	1801075	NASDAQ	American National Group, Inc.	DE	UIP	Libbie S. Moody Trust	Ownership	0.370	Moody National Bank	N	
		.00000	30-1221711	0	1801075	NASDAQ	American National Group, Inc.	DE	UIP	The Moody Foundation	Ownership, Board	0.227	Moody-Dahlberg	N	
		.00000	76-0556215	0	0		American National Holdings, Inc.	NV	UDP	American National Insurance Company	Ownership	1.000	American National Group, Inc.	Y	
		.00000	76-0457938	0	0		ANTAC, LLC	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	Y	
		.00000	27-3841963	0	1518195		American National Registered Investment Advisor, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	74-2894432	0	0		Alternative Benefit Management, Inc.	NV	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	45-2475493	0	0		ANICO Financial Services, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	76-0356539	0	0		American National Administrators, Inc.	TX	NIA	American National Holdings, Inc.	Ownership	1.000	American National Group, Inc.	N	
		.00000	43-1071580	0	0		American National Insurance Service Company	MO	NIA	American National Property and Casualty Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	76-0442824	0	0		ANPAC Lloyds Insurance Management, Inc.	TX	NIA	Company	Ownership	1.000	American National Group, Inc.	N	
		.00000	76-0620853	0	0		ANIND TX, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	20-5822860	0	0		ANREINV, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	76-0554942	0	0		ANDV 97, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	45-5303462	0	0		ANICO Eagle, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	88-0438378	0	0		ANICO Eagle 99, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	85-9332827	0	0		AN/CAN Investments, Inc.	CAN	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	20-1134768	0	0		AN#20, Inc.	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	76-0178253	0	0		South Shore Harbour Development, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Group, Inc.	N	
		.00000	20-0610755	0	0		Standard Plus, Inc.	TX	NIA	Standard Life and Accident Insurance Company	Ownership	1.000	American National Group, Inc.	N	

Asterisk	Explanation



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

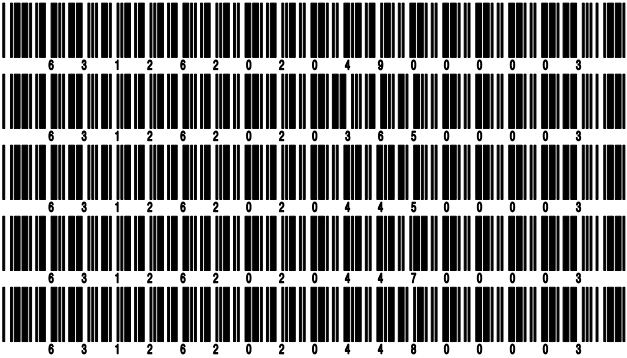
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

1.
2.
3.
5.
6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4 December 31 Prior Year Net Admitted Assets
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504.	Disallowed interest maintenance .....	422,624	422,624	0	
2505.	Overfunded postretirement plan asset .....	38,692	38,692	0	
2506.	Prepaid expenses .....	22,181	22,181	0	
2507.	Overfunded pension plan asset .....	(1,520,513)	(1,520,513)	0	
2597.	Summary of remaining write-ins for Line 25 from overflow page	(1,037,016)	(1,037,016)	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,400,281	7,546,398
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....	51,016	309,828
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		(155,968)
5. Deduct amounts received on disposals .....		1,752,703
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....	333,252	547,274
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	5,118,045	5,400,281
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10)	5,118,045	5,400,281

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	418,989,331	349,712,567
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	59,660,537	95,940,073
2.2 Additional investment made after acquisition .....	26,940,131	16,461,250
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase (decrease) .....	0	0
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	13,166,816	44,141,980
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	(810,590)	(1,017,421)
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	493,233,773	418,989,331
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	493,233,773	418,989,331
14. Deduct total nonadmitted amounts .....		0
15. Statement value at end of current period (Line 13 minus Line 14)	493,233,773	418,989,331

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	30,733,360	9,477,241
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	5,321,709	12,934,180
2.2 Additional investment made after acquisition .....	25,256,667	11,315,182
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....	31,135	39,716
5. Unrealized valuation increase (decrease) .....	(1,449,220)	(30,234)
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....	4,445,117	3,002,725
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	55,448,534	30,733,360
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	55,448,534	30,733,360

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	2,085,457,730	1,912,642,525
2. Cost of bonds and stocks acquired .....	251,818,395	453,473,955
3. Accrual of discount .....	708,352	2,419,395
4. Unrealized valuation increase (decrease) .....	106,309	(69,499,002)
5. Total gain (loss) on disposals .....	85,848	90,469,648
6. Deduct consideration for bonds and stocks disposed of .....	260,130,191	300,913,229
7. Deduct amortization of premium .....	4,520,774	4,579,749
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....	1,046,196	616,993
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	1,607,789	2,061,180
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	2,074,087,262	2,085,457,730
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	2,074,087,262	2,085,457,730

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	941,684,546	152,981,076	178,430,249	12,827,524	1,013,992,625	941,684,546	929,062,897	1,066,506,536
2. NAIC 2 (a) .....	1,142,739,721	36,433,617	51,164,691	(15,936,875)	1,103,671,122	1,142,739,721	1,112,071,772	1,059,697,240
3. NAIC 3 (a) .....	65,783,467	0	8,911,368	2,084,726	53,377,800	65,783,467	58,956,825	43,687,975
4. NAIC 4 (a) .....	6,004,921	0	25,000	(535,527)	8,139,259	6,004,921	5,444,394	2,912,549
5. NAIC 5 (a) .....	4,246,518	122,099	3,113,787	21,139	2,017,669	4,246,518	1,275,969	9,634,479
6. NAIC 6 (a) .....	1,711,846	0	119,679	0	1,836,615	1,711,846	1,592,167	1,931,853
7. Total Bonds	2,162,171,019	189,536,792	241,764,774	(1,539,013)	2,183,035,090	2,162,171,019	2,108,404,024	2,184,370,632
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0	0	
9. NAIC 2 .....	2,601,988				2,601,988	2,601,988	2,601,988	2,601,988
10. NAIC 3 .....	1,500,000				1,500,000	1,500,000	1,500,000	1,500,000
11. NAIC 4 .....	0				0	0	0	
12. NAIC 5 .....	0				0	0	0	
13. NAIC 6 .....	0				0	0	0	
14. Total Preferred Stock .....	4,101,988	0	0	0	4,101,988	4,101,988	4,101,988	4,101,988
15. Total Bonds and Preferred Stock	2,166,273,007	189,536,792	241,764,774	(1,539,013)	2,187,137,078	2,166,273,007	2,112,506,012	2,188,472,620

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....38,472,193 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	3,473,137	xxx	3,323,474	0	34,563

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,482,357	0
2. Cost of short-term investments acquired .....		3,479,943
3. Accrual of discount .....	(9,220)	2,414
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....		0
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	3,473,137	3,482,357
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	3,473,137	3,482,357

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	11,108,748
2.	Cost Paid/(Consideration Received) on additions	4,173,120
3.	Unrealized Valuation increase/(decrease)	(3,097,491)
4.	SSAP No. 108 adjustments	
5.	Total gain (loss) on termination recognized	1,331,549
6.	Considerations received/(paid) on terminations	6,756,729
7.	Amortization	
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
9.	Total foreign exchange change in Book/Adjusted Carrying Value	
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	6,759,197
11.	Deduct nonadmitted assets	
12.	Statement value at end of current period (Line 10 minus Line 11)	6,759,197

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.25	SSAP No. 108 adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item	
	4.22 Amount recognized	
	4.23 SSAP No. 108 adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	6,759,197
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2) .....	6,759,197
4.	Part D, Section 1, Column 5 .....	6,759,197
5.	Part D, Section 1, Column 6 .....	0
6.	Total (Line 3 minus Line 4 minus Line 5) .....	0
		Fair Value Check
7.	Part A, Section 1, Column 16 .....	6,759,197
8.	Part B, Section 1, Column 13 .....	
9.	Total (Line 7 plus Line 8) .....	6,759,197
10.	Part D, Section 1, Column 8 .....	6,759,197
11.	Part D, Section 1, Column 9 .....	0
12.	Total (Line 9 minus Line 10 minus Line 11) .....	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21 .....	0
14.	Part B, Section 1, Column 20 .....	
15.	Part D, Section 1, Column 11 .....	0
16.	Total (Line 13 plus Line 14 minus Line 15) .....	0



SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	137,152,112	9,174,064
2. Cost of cash equivalents acquired .....	1,578,731,542	2,620,282,106
3. Accrual of discount .....	399,522	1,051,374
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	1,651,381,756	2,493,355,432
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	64,901,420	137,152,112
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	64,901,420	137,152,112

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

## SCHEDULE B - PART 2

1  Loan Number	Location		4  Loan Type	5  Date Acquired	6  Rate of Interest	7  Actual Cost at Time of Acquisition	8  Additional Investment Made After Acquisition	9  Value of Land and Buildings
	2  City	3  State						
400201 .....	LAYTON .....	.UT.....		.08/02/2018 .....	5.000 .....		53,819 .....	10,750,000 .....
400401 .....	AUSTIN .....	.TX.....		.05/28/2019 .....	5.250 .....		1,237,212 .....	13,200,000 .....
400501 .....	OBEYZ .....	.OH.....		.06/28/2019 .....	5.000 .....		2,511,460 .....	24,200,000 .....
400601 .....	MURRAY .....	.UT.....		.09/17/2019 .....	5.000 .....		1,733,807 .....	18,600,000 .....
400701 .....	SAN MARCOS .....	.TX.....	S.....	.09/27/2019 .....	5.000 .....		4,780,300 .....	40,500,000 .....
400801 .....	BEAVERCREEK .....	.OH.....	S.....	.03/26/2020 .....	4.500 .....		908,535 .....	16,950,000 .....
807201 .....	MONTCLAIR .....	.CA.....		.09/10/2020 .....	4.000 .....	6,982,500 .....		14,050,000 .....
807001 .....	Melrose Park .....	.IL.....		.04/29/2020 .....	3.625 .....	(9,750) .....		6,150,000 .....
0599999. Mortgages in good standing - Commercial mortgages-all other						6,972,750	11,225,133	144,400,000
0899999. Total Mortgages in good standing						6,972,750	11,225,133	144,400,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
<b>3399999 - Totals</b>						<b>6,972,750</b>	<b>11,225,133</b>	<b>144,400,000</b>

## SCHEDULE B - PART 3

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
803201	SOMERSET	NJ		12/01/2017	09/09/2020	6,135,664		49,576			49,576		6,087,655		6,114,816		0
0199999. Mortgages closed by repayment						6,135,664	0	49,576	0		49,576	0	6,087,655		6,114,816	0	0
160101	LONG BEACH	CA		05/26/2004		1,779,612		125			125		7,813				0
161701	FORT WORTH	TX		04/30/2008		1,985,467		1,341			1,341		5,020		5,020		0
163501	ALBANY	GA		11/29/2012		1,672,489		206			206		47,530		47,530		0
163801	THE WOODLANDS	TX		12/17/2013		2,225,394		822			822		28,383		28,383		0
163901	OMAHA	NE		06/26/2014		4,146,263		430			430		32,571		32,571		0
164201	HOUSTON	TX		11/06/2014		2,641,354		378			378		20,145		20,145		0
164301	SUIVANE	GA		12/16/2014		5,551,502		1,038			1,038		42,752		42,752		0
164401	SPRING	TX		12/17/2014		3,611,174		506			506		27,504		27,504		0
164501	NOVI	MI		02/02/2015		4,585,434		324			324		35,980		35,980		0
164601	WILMINGTON	NC		02/10/2015		2,809,842		312			312		55,750		55,750		0
164701	HOUSTON	TX		06/01/2015		2,659,674		268			268		14,273		14,273		0
164702	HOUSTON	TX		05/11/2017		467,830		246			246		3,207		3,207		0
164801	MATTHEWS	NC		10/01/2015		3,136,568		218			218		24,092		24,092		0
164901	VERNON HILLS	IL		12/16/2015		4,607,416		325			325		41,341		41,341		0
165001	PHOENIX	AZ		03/01/2016		3,925,797		280			280		42,257		42,257		0
165201	DENVER	CO		04/04/2016		4,388,205		300			300		31,399		31,399		0
165301	ANNAPOLIS	MD		05/26/2016		6,334,765		900			900		66,856		66,856		0
165401	BRIGHTON	NY		05/26/2016		5,588,889		362			362		35,654		35,654		0
165501	CORTLANDVILLE	NY		05/26/2016		4,818,007		312			312		30,736		30,736		0
165601	NORFOLK	VA		10/05/2016		5,040,087		1,291			1,291		36,897		36,897		0
165701	BUFORD	GA		12/08/2016		9,991,119		2,583			2,583		67,927		67,927		0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
165801	COTTONWOOD HEIGHTS	UT		06/12/2017		12,008,007		9,162			9,162		70,511	70,511			0
165901	GLENDALE	AZ		08/29/2017		5,669,064		750			750		36,967	36,967			0
166001	MISSION VIEJO	CA		08/29/2017		1,802,155		119			119		11,362	11,362			0
800301	GREENVILLE	SC		10/30/2003		700,426		65			65		15,382	15,382			0
800401	MISSOURI CITY	TX		12/11/2014		1,650,276		125			125		26,826	26,826			0
800501	NORTHVILLE	MI		06/10/2015		2,764,648		188			188		14,836	14,836			0
800601	DAYTONA BEACH	FL		08/20/2015		2,108,015		1,891			1,891		24,885	24,885			0
800801	BEAUMONT	TX		09/17/2015		1,607,131		117			117		17,940	17,940			0
800901	VERNON HILLS	IL		01/12/2016		2,626,686		188			188		26,522	26,522			0
801001	PLAINFIELD	IN		04/19/2016		2,837,705		392			392		21,501	21,501			0
801101	HOUSTON	TX		04/25/2016		2,736,188		188			188		26,215	26,215			0
801301	CLEVELAND	OH		06/29/2016		2,874,840		392			392		20,361	20,361			0
801401	CLEVELAND	OH		10/27/2016		4,911,196		332			332		56,289	56,289			0
801601	DE PERE	WI		12/08/2016		4,735,179		319			319		33,293	33,293			0
801701	WILMINGTON	NC		12/19/2016		1,865,887		125			125		12,344	12,344			0
801901	SAN FRANCISCO	CA		01/24/2017		2,420,199		235			235		16,913	16,913			0
802101	BOURBONNAIS	IL		06/07/2017		4,528,294		611			611		29,796	29,796			0
802401	SANTA CLARA	CA		09/07/2017		4,833,909		328			328		44,500	44,500			0
802601	ALBUQUERQUE	NM		09/15/2017		5,935,462		808			808		56,069	56,069			0
802901	ALBUQUERQUE	NM		09/15/2017		4,591,795		611			611		29,770	29,770			0
803001	ALBUQUERQUE	NM		09/15/2017		4,010,484		534			534		26,002	26,002			0
803101	ALBUQUERQUE	NM		09/15/2017		3,124,315		416			416		20,256	20,256			0
803401	LAS VEGAS	NV		04/24/2018		2,646,670		344			344		15,598	15,598			0
804301	PROVO	UT		10/16/2018		7,889,024		1,013			1,013		42,891	42,891			0
804601	CHICAGO	IL		11/13/2018		9,489,446		7,369			7,369		57,229	57,229			0
805101	LOGAN	UT		03/19/2019		2,447,513		156			156		18,439	18,439			0
805201	SAN DIEGO	CA		03/26/2019		2,176,918		137			137		11,398	11,398			0
807001	MELROSE PARK	IL		04/29/2020							0		18,123	18,123			0
807101	ST. CHARLES	IL		05/28/2020				1,183			1,183		177,224	177,224			0
0299999. Mortgages with partial repayments						186,958,318	0	40,664	0	0	40,664	0	1,677,530	1,677,530	0	0	0
0599999 - Totals						193,093,982	0	90,240	0	0	90,240	0	7,765,186	7,792,346	0	0	0

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SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6 NAIC Designation and Admini- strative Symbo	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner		Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP	West Conshohocken	PA	Morgan Stanley AIP		06/21/2018		0	17,925		4,250,117	1.660
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Maranon Capital		01/18/2019		0	9,341		292,139	6.230
	Equity Fund 7078 - CVC Credit Partners US Direct Lending Fund II, LP	New York	NY	CVC Credit Partners US Direct Lending Fu		09/24/2018		0	11,416		112,326	4.651
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP	New York	NY	Metropolitan Partners Fund VI, GP		08/14/2019		0	2,000,000		4,000,000	4.414
	Equity Fund 7086 - Northstar Mezzanine Partners VII Feeder LP	Minneapolis	MN	Northstar Capital, LLC		10/30/2019		0	18,453		366,306	0.138
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP	Fort Lauderdale	FL	Deerpath Capital Management, LP		12/12/2019		0	3,141,965		6,653,765	9.275
	Equity Fund 7097 - Kayne Senior Credit Fund IV, LP	Los Angeles	CA	Kayne Anderson Capital Advisors, LP		01/30/2020		0	3,000,000		6,000,000	11.029
	Equity Fund 7099 - Crescent Private Credit Partners Levered Unitra	Los Angeles	CA	Crescent Capital Group		12/31/2019		0	455,340		802,628	1.937
	Equity Fund 7101 - Benefit Street Partners SOF II	New York	NY	Benefit Street Partners, LLC		04/01/2020		0	1,467		2,815,587	0.458
	Equity Fund 7105 - LBC Credit Partners V, LP	Radnor	PA	LBC Credit Partners		07/01/2020		850,000	0		9,150,000	
2599999. Joint Venture Interests - Other - Unaffiliated								850,000	8,655,907	0	34,442,868	XXX
4899999. Total - Unaffiliated								850,000	8,655,907	0	34,442,868	XXX
4999999. Total - Affiliated								0	0	0	0	XXX
5099999 - Totals								850,000	8,655,907	0	34,442,868	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recog- nized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Return of Capital	01/18/2019	09/30/2020	98,088					0	98,088	98,088	98,088			0	
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP	New York	NY	Return of Capital	08/14/2019	09/28/2020	33,154					0	33,154	33,154	33,154			0	
	Equity Fund 7083 - First Eagle Direct Lending Fund I	New York	NY	Return of Capital	08/26/2019	08/14/2020	103,771					0	103,771	103,771	103,771			0	
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP	Fort Lauderdale	FL	Return of Capital	12/12/2019	09/30/2020	359,982					0	359,982	359,982	359,982			0	
	Equity Fund 7097 - Kayne Senior Credit Fund IV, LP	Los Angeles	CA	Return of Capital	01/30/2020	09/23/2020	265,587					0	265,587	265,587	265,587			0	
	Equity Fund 7101 - Benefit Street Partners SOF II	New York	NY	Return of Capital	04/10/2020	06/30/2020	(825)					0	(825)	(825)	(825)			0	
2599999. Joint Venture Interests - Other - Unaffiliated							859,757	0	0	0	0	0	0	859,757	859,757	0	0	0	0
4899999. Total - Unaffiliated							859,757	0	0	0	0	0	0	859,757	859,757	0	0	0	0

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recog- nized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
4999999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals								859,757	0	0	0	0	0	859,757	859,757	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
91282C-AF-8	UNITED STATES TREAS		.08/18/2020	J.P. MORGAN		199,766	200,000	3	1FE
0599999	Subtotal - Bonds - U.S. Governments					199,766	200,000	3	XXX
110122-CI-6	BRISTOL-MYERS SQUIBB		.07/15/2020	CONVERSION TAX FREE EXCHANGE		1,245,604	1,250,000	16,927	1FE
125523-AP-5	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		2,996,543	3,000,000	49,333	2FE
125523-AV-2	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		3,550,110	3,500,000	27,806	2FE
125523-AZ-3	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		3,436,612	3,500,000	26,094	2FE
125523-BX-7	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		1,470,184	1,500,000	4,083	2FE
125523-BZ-2	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		5,580,808	5,500,000	94,875	2FE
125523-CB-4	CIGNA CORP NEW		.07/13/2020	CONVERSION TAX FREE EXCHANGE		961,826	1,000,000	12,467	2FE
17298C-JY-5	CITIGROUP INC		.08/14/2020	SOUTHWEST SECURITIES		5,000,000	5,000,000	0	1
25755T-AK-6	DOMINOS PIZZA MSTR		.07/17/2020	SOUTHWEST SECURITIES		2,706,903	2,466,075	25,497	2FE
346845-AG-5	FORT BENNING FAMILY		.08/03/2020	OPPENHEIMER & CO., INC.		6,132,674	4,783,677	20,231	2FE
37959E-AA-0	GLOBE LIFE INC		.09/30/2020	RAYMOND JAMES & ASSOCIATES, INC.		1,921,704	1,900,000	3,995	2FE
78200J-AA-0	RUSH SYS FOR HEALTH		.09/03/2020	SOUTHWEST SECURITIES		1,177,140	1,000,000	12,311	1FE
01273P-AB-8	ALBEMARLE WOODGINA PR	D	.07/23/2020	CONVERSION TAX FREE EXCHANGE		3,010,134	3,000,000	19,550	2FE
05601B-AA-8	BSP SOF II STRUCTURED NT		.09/30/2020	DIRECT		122,099	122,099		5IF
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		.09/29/2020	VARIOUS		4,130,907	4,130,907		2PL
232480-AA-4	CVC CREDIT PARTNERS U.S DIRECT SENIOR NOT		.07/24/2020	DIRECT		342,495	342,495		2PL
232480-AB-2	CVC CREDIT PARTNERS U.S DIRECT JUNIOR NOT		.07/24/2020	DIRECT		26,638			2PL
66706*-AA-6	NORTHSTAR MEZZANINE PARTNERS VII FEEDER		.08/14/2020	DIRECT		166,079	166,079		2PL
72303#-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER		.04/13/2020	DIRECT		370,274	370,274		1PL
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					44,348,734	42,558,244	313,169	XXX
8399997	Total - Bonds - Part 3					44,548,500	42,758,244	313,172	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX
8399999	Total - Bonds					44,548,500	42,758,244	313,172	XXX
8999997	Total - Preferred Stocks - Part 3					0	XXX	0	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	XXX
9799997	Total - Common Stocks - Part 3					0	XXX	0	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	XXX
9999999	Totals					44,548,500	XXX	313,172	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
912828-L3-2	UNITED STATES TREAS		08/31/2020	MATURITY		200,000	200,000	199,500	199,886	0	114	0	114	0	200,000	0	0	0	2,750	08/31/2020	1
0599999	Subtotal - Bonds - U.S. Governments					200,000	200,000	199,500	199,886	0	114	0	114	0	200,000	0	0	0	2,750	XXX	XXX
015302-H9-6	ALEXANDRIA VA		07/01/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	135,000	07/01/2023	1FE
180848-HU-0	CLARK CNTY NEV		07/01/2020	CALL at 100.000		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	28,000	07/01/2022	1FE
412486-4Z-2	HARFORD CNTY MD		07/01/2020	CALL at 100.000		3,000,000	3,000,000	3,064,890	3,004,027	0	(4,027)	0	(4,027)	0	3,000,000	0	0	0	153,750	07/01/2024	1FE
498530-SN-0	KLEIN TEX INDPY SCH		08/01/2020	CALL at 100.000		880,000	880,000	880,000	880,000	0	0	0	0	0	880,000	0	0	0	43,842	08/01/2024	1FE
596782-OP-0	MIDDLETON WIS		09/01/2020	CALL at 100.000		200,000	200,000	196,060	198,412	0	203	0	203	0	198,614	0	1,386	1,386	10,600	09/01/2024	1FE
770077-S8-9	ROANOKE VA		07/15/2020	CALL at 100.000		200,000	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	9,200	07/15/2022	1FE
798764-U8-7	SAN MARCOS TEX		08/15/2020	CALL at 100.000		1,185,000	1,185,000	1,191,589	1,185,545	0	(545)	0	(545)	0	1,185,000	0	0	0	59,843	08/15/2022	1FE
798764-U9-5	SAN MARCOS TEX		08/15/2020	CALL at 100.000		420,000	420,000	423,322	420,269	0	(269)	0	(269)	0	420,000	0	0	0	21,630	08/15/2024	1FE
815323-HX-1	SEDGWICK CNTY KANS		08/01/2020	MATURITY		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	12,300	08/01/2020	1FE
982671-VW-6	WYANDOTTE CNTY/KANS		08/01/2020	CALL at 100.000		1,905,000	1,905,000	1,893,227	1,900,698	0	843	0	843	0	1,901,540	0	3,460	3,460	93,345	08/01/2024	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					11,590,000	11,590,000	11,649,088	11,588,951	0	(3,795)	0	(3,795)	0	11,585,154	0	4,846	4,846	567,510	XXX	XXX
29270C-VN-9	ENERGY NORTHWEST WAS		07/01/2020	MATURITY		1,000,000	1,000,000	1,020,900	1,001,461	0	(1,461)	0	(1,461)	0	1,000,000	0	0	0	26,530	07/01/2020	1FE
31292G-6L-5	FILMPC PC GOL C00875		09/01/2020	MBS PAYDOWN		504	504	506	504	0	0	0	0	0	504	0	0	0	24	10/15/2020	1
31293X-W6-1	FILMPC PC GOL C32469		09/01/2020	MBS PAYDOWN		221	221	221	221	0	0	0	0	0	221	0	0	0	11	11/01/2029	1
31371J-6Z-2	FINMA PASSTHRU 253888		09/01/2020	MBS PAYDOWN		201	201	195	201	0	0	0	0	0	201	0	0	0	8	07/01/2031	1
3137A3-AF-6	FILMPC REMIC SERIES		09/01/2020	MBS PAYDOWN		290,181	290,181	279,209	282,886	0	7,295	0	7,295	0	290,181	0	0	0	7,846	01/15/2039	1
31387V-U8-0	FINMA PASSTHRU 595607		09/01/2020	MBS PAYDOWN		524	524	517	523	0	0	0	0	0	524	0	0	0	23	07/01/2031	1
31392F-XU-6	FINMA REMIC TRUST		09/01/2020	MBS PAYDOWN		31,832	31,832	31,992	31,829	0	3	0	3	0	31,832	0	0	0	1,166	12/25/2022	1
31393N-OT-9	FILMPC REMIC SERIES		09/01/2020	MBS PAYDOWN		4,290	4,290	4,328	4,295	0	(5)	0	(5)	0	4,290	0	0	0	158	02/15/2023	1
31393V-F9-7	FILMPC REMIC SERIES		09/01/2020	MBS PAYDOWN		56,322	56,322	57,026	56,390	0	(67)	0	(67)	0	56,322	0	0	0	1,853	06/15/2023	1
38377F-WF-5	GNMA REMIC TRUST		08/01/2020	MBS PAYDOWN		106,285	106,285	111,234	113,799	0	(7,514)	0	(7,514)	0	106,285	0	0	0	3,260	03/20/2039	1
38378D-AH-8	GNMA REMIC TRUST		09/01/2020	MBS PAYDOWN		463,555	463,555	499,915	481,093	0	(17,538)	0	(17,538)	0	463,555	0	0	0	10,634	07/20/2039	1
491501-CV-2	KENTUCKY ST MUN PIWR		09/01/2020	CALL at 100.000		1,000,000	1,000,000	1,007,600	1,000,627	0	(627)	0	(627)	0	1,000,000	0	0	0	57,600	09/01/2024	1FE
56045R-AK-5	MAINE MUN BD BK		09/01/2020	MATURITY		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	96,480	09/01/2020	1FE
746189-RF-8	PURDUE UNIV IND UNIV		07/01/2020	MATURITY		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	20,990	07/01/2020	1FE
79569T-AB-9	SALT LAKE CNTY UTAH		08/15/2020	MATURITY		2,745,000	2,745,000	2,644,560	2,737,414	0	7,586	0	7,586	0	2,745,000	0	0	0	94,922	08/15/2020	1FE
3199999	Subtotal - Bonds - U.S. Special Revenues					8,198,915	8,198,915	8,158,203	8,211,243	0	(12,328)	0	(12,328)	0	8,198,915	0	0	0	321,505	XXX	XXX
00206R-HL-9	AT&T INC		07/08/2020	CALL at 105.359		500,000	500,000	516,920	513,318	0	(3,325)	0	(3,325)	0	509,993	0	(9,993)	(9,993)	46,405	01/15/2022	2FE
03215P-OP-1	AIMESCO RESIDENTIAL		09/01/2020	MBS PAYDOWN		32,770	32,770	32,769	32,671	0	99	0	99	0	32,770	0	0	0	1,413	01/25/2028	1FM
037411-AZ-8	APACHE CORP		08/18/2020	TEN		1,020,000	1,000,000	998,430	999,476	0	141	0	141	0	999,616	0	20,384	20,384	27,354	04/15/2022	3FE
037411-BD-6	APACHE CORP		08/18/2020	TEN		3,000,000	3,000,000	2,827,590	2,936,980	0	12,509	0	12,509	0	2,949,489	0	50,511	50,511	85,969	01/15/2023	3FE
053332-AL-6	AUTOZONE INC		08/15/2020	CALL at 100.000		2,705,000	2,705,000	2,751,304	2,711,720	0	(7,466)	0	(7,466)	0	2,704,254	0	746	746	81,150	11/15/2020	2FE
075887-AW-9	BECTON DICKINSON & C		09/29/2020	CALL at 100.361		574,063	572,000	571,708	571,971	0	25	0	25	0	571,996	0	4	4	18,432	11/12/2020	2FE
084423-AR-3	BERKLEY W R CORP		09/15/2020	MATURITY		2,500,000	2,500,000	2,548,700	2,504,433	0	(4,433)	0	(4,433)	0	2,500,000	0	0	0	134,375	09/15/2020	2FE
110122-BG-2	BRISTOL MYERS SQUIBB		07/15/2020	EXCHANGE		1,245,682	1,250,000	1,231,693	1,244,590	0	1,091	0	1,091	0	1,245,682	0	0	0	37,240	08/15/2022	1FE
12326Q-AA-2	BUSINESS JET SEC LLC		09/15/2020	MBS PAYDOWN		331,687	331,687	341,602	331,602	0	(961)	0	(961)	0	331,687	0	0	0	9,328	07/15/2034	1FE
125523-AN-0	CIGNA CORP NEW		07/13/2020	EXCHANGE		2,996,612	3,000,000	2,981,160	2,995,478	0	1,134	0	1,134	0	2,996,612	0	0	0	109,333	02/15/2022	1FE
125523-AU-4	CIGNA CORP NEW		07/13/2020	EXCHANGE		3,549,765	3,500,000	3,592,210	3,555,338	0	(5,572)	0	(5,572)	0	3,549,765	0	0	0	84,681	04/15/2025	2FE
125523-AY-6	CIGNA CORP NEW		07/13/2020	EXCHANGE		3,436,869	3,500,000	3,416,945	3,432,793	0	4,076	0	4,076	0	3,436,869	0	0	0	79,469	10/15/2027	1FE
125523-BW-9	CIGNA CORP NEW		07/13/2020	EXCHANGE		1,470,418	1,500,000	1,441,905	1,466,739	0	3,678	0	3,678	0	1,470,418	0	0	0	30,333	06/15/2024	2FE
125523-BY-5	CIGNA CORP NEW		07/13/2020	EXCHANGE		5,580,386	5,500,000	5,630,335	5,586,980	0	(6,595)	0	(6,595)	0	5,580,386	0	0	0	218,625	02/25/2026	2FE
125523-CA-6	CIGNA CORP NEW		07/13/2020	EXCHANGE		961,992	1,000,000	946,610	958,973	0	3,019	0	3,019	0	961,992	0	0	0	29,467	03/01/2027	1FE
12563L-AL-1	CLU FDG VI LLC		09/18/2020	MBS PAYDOWN		88,895	88,895	89,839	88,969	0	(75)	0	(75)	0	88,895	0	0	0	2,193	05/18/2044	1FE
12665U-AA-2	CVS PTC 2013		09/01/2020			43,087	43,087	45,890	43,033	0	54	0	54	0	43,087	0	0	0	1,351	01/10/2036	2FE
178566-AC-9	CITY NATL CORP		09/15/2020	MATURITY		200,000	200,000	208,968	200,782	0	(782)	0	(782)	0	200,000	0	0	0	10,500	09/15/2020	1FE
25389J-AK-2	DIGITAL RLTY TR LP		08/03/2020	CALL at 106.002		5,464,393	5,155,000	5,090,280	5,132,299	0	4,619	0	4,619	0	5,136,919	0	18,081	18,081	466,156	10/01/2022	2FE
25755T-AK-6	DOMINOS PIZZA MSTR		07/25/2020	MBS PAYDOWN		6,275	6,275	6,888	0	0	0	0	0	0	6,275	0	0	0	68	07/25/2048	2FE



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
278642-AC-7	EBAY INC .....		07/23/2020	CALL at 100.000 SINKING FUND REDEMPTION		3,500,000	3,500,000	3,205,600	3,470,848	0	20,669	0	20,669	0	3,491,517	0	8,483	8,483	87,840	10/15/2020	2FE
28932M-AA-3	ELM ROAD GENERATING		08/11/2020			74,976	74,976	74,976	74,976	0	0	0	0	0	74,976	0	0	0	3,906	02/11/2030	1FE
30161M-AH-6	EXELON GENERATION CO .....		07/01/2020	CALL at 100.000		1,500,000	1,500,000	1,506,229	1,501,155	0	(1,658)	0	(1,658)	0	1,499,497	0	503	503	45,000	10/01/2020	2FE
313680-TE-6	FNMA REMIC TRUST .....		09/01/2020	MBS PAYDOWN		702,371	702,371	725,198	0	0	(8,864)	0	(8,864)	0	702,371	0	0	0	14,110	02/25/2048	1
340711-AT-7	FLORIDA GAS TRANSMIS .....		07/15/2020	MATURITY		300,000	300,000	330,729	302,050	0	(2,050)	0	(2,050)	0	300,000	0	0	0	16,350	07/15/2020	2FE
39121J-AE-0	GREAT RIV ENERGY .....		07/01/2020	SINKING FUND REDEMPTION		342,308	342,308	410,482	0	0	(982)	0	(982)	0	342,308	0	0	0	10,704	07/01/2038	1FE
393505-XH-0	GREEN TREE FINANCIAL		09/15/2020	MBS PAYDOWN		118,805	119,679	121,624	119,234	0	444	0	444	0	119,679	0	(874)	(874)	5,955	01/15/2029	6FE
40414L-AG-4	HCP INC .....		07/09/2020	CALL at 105.512		1,055,117	1,000,000	988,880	996,781	0	629	0	629	0	997,410	0	2,590	2,590	84,692	08/01/2022	2FE
428236-BF-9	HEWLETT PACKARD CO .....		07/22/2020	CALL at 101.252		2,025,044	2,000,000	1,996,540	1,999,626	0	226	0	226	0	1,999,853	0	147	147	73,169	12/01/2020	2FE
50540R-AJ-1	LABORATORY CORP AMER		08/17/2020	CALL at 100.000 SINKING FUND REDEMPTION		3,470,000	3,470,000	3,529,930	3,478,068	0	(9,025)	0	(9,025)	0	3,469,044	0	956	956	121,257	11/15/2020	2FE
577778-AS-2	MAY DEPT STORES CO .....		08/15/2020			25,000	25,000	25,125	25,000	0	0	0	0	0	25,000	0	0	0	2,438	02/15/2021	4FE
617446-7P-8	MORGAN STANLEY .....		07/24/2020	MATURITY		500,000	500,000	516,325	501,256	0	(1,256)	0	(1,256)	0	500,000	0	0	0	27,500	07/24/2020	2FE
629568-AV-8	NABORS INDS INC .....		09/15/2020	VARIOUS		3,000,000	3,000,000	3,109,890	3,010,056	0	7,893	17,949	(10,056)	0	3,000,000	0	0	0	150,000	09/15/2020	5FE
64110D-AE-4	NETAPP INC .....		07/27/2020	CALL at 102.674		1,540,110	1,500,000	1,468,875	1,491,057	0	3,461	0	3,461	0	1,494,518	0	5,482	5,482	71,329	06/15/2021	2FE
674599-CE-3	OCCIDENTAL PETE CORP .....		09/01/2020	TEN		189,630	196,000	194,167	195,360	0	132	0	132	0	195,492	0	(5,862)	(5,862)	5,527	02/15/2023	3FE
693476-BL-6	PNC FUNDING CORP .....		08/11/2020	MATURITY		500,000	500,000	501,305	500,104	0	(104)	0	(104)	0	500,000	0	0	0	21,875	08/11/2020	1FE
744320-BM-6	PRUDENTIAL FINL INC .....		07/03/2020	PRIOR YEAR INCOME		0	0	0	0	0	0	0	0	0	0	0	0	0	(30)	06/21/2020	1FE
78390X-AA-9	SAIC INC .....		09/02/2020	CALL at 100.000		4,465,000	4,465,000	4,667,472	4,483,737	0	(18,737)	0	(18,737)	0	4,465,000	0	0	0	149,571	12/01/2020	2FE
79548K-ZL-5	SALOMON BRO MTG SEC .....		09/01/2020	MBS PAYDOWN		(1,574)	5,994	5,556	5,990	0	23	0	23	0	5,994	0	(7,568)	(7,568)	491	06/25/2028	3FNL
803111-AS-2	SARA LEE CORP .....		09/15/2020	MATURITY		2,823,000	2,823,000	2,886,861	2,829,427	0	(6,427)	0	(6,427)	0	2,823,000	0	0	0	115,743	09/15/2020	2FE
808513-AD-7	SCHWAB CHARLES CORP .....		07/22/2020	MATURITY		3,000,000	3,000,000	3,195,780	3,014,983	0	(14,983)	0	(14,983)	0	3,000,000	0	0	0	133,500	07/22/2020	1FE
871503-AH-1	SYMANTEC CORP .....		09/15/2020	MATURITY		4,750,000	4,750,000	4,758,459	4,752,829	0	(2,829)	0	(2,829)	0	4,750,000	0	0	0	199,500	09/15/2020	3FE
874074-AA-5	TAL ADVANTAGE VI .....		09/20/2020	MBS PAYDOWN		3,389,288	3,389,288	3,476,139	3,480,048	0	(90,760)	0	(90,760)	0	3,389,288	0	0	0	113,913	04/20/2042	1FE
88315L-AA-6	TEXTAINER MARINE VII .....		09/20/2020	MBS PAYDOWN		8,620,000	8,620,000	8,719,147	8,719,431	0	(99,431)	0	(99,431)	0	8,620,000	0	0	0	265,095	07/20/2043	1FE
94973V-AS-6	ANTHEM INC .....		08/15/2020	MATURITY		500,000	500,000	530,900	502,469	0	(2,469)	0	(2,469)	0	500,000	0	0	0	21,750	08/15/2020	2FE
94986R-P6-2	WELLS FARGO & CO .....		07/06/2020	CALL at 100.000		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	25,000	07/06/2028	2FE
06367W-KG-7	BANK OF MONTREAL .....	A	07/17/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	116,250	04/17/2031	1FE
56501R-AB-2	MANULIFE FINL CORP .....	A	09/17/2020	MATURITY		3,500,000	3,500,000	3,492,580	3,499,324	0	676	0	676	0	3,500,000	0	0	0	171,500	09/17/2020	1FE
01273P-AA-0	ALBEMARLE WODGNA PR .....	D	07/23/2020	EXCHANGE		3,010,077	3,000,000	3,010,710	3,010,616	0	(539)	0	(539)	0	3,010,077	0	0	0	68,425	11/15/2029	2FE
06747N-TJ-7	BARCLAYS BANK PLC .....	D	08/03/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	150,000	08/01/2031	1FE
22533A-BG-1	CREDIT AGRICOLE CORP .....	D	07/08/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,009,744	0	0	0	0	0	5,009,744	0	(9,744)	(9,744)	150,000	07/08/2031	1FE
25243Y-AP-4	DIAGEO CAP PLC .....	D	07/15/2020	MATURITY		500,000	500,000	518,455	501,285	0	(1,285)	0	(1,285)	0	500,000	0	0	0	24,140	07/15/2020	1FE
37956A-AA-1	GLOBAL SC FIN IV .....	D	09/17/2020	MBS PAYDOWN		3,500,867	3,500,869	3,488,511	3,488,596	0	12,274	0	12,274	0	3,500,867	0	0	0	98,517	04/15/2037	1FE
928670-AB-4	VOLKSWAGEN INTERNATI .....	D	08/12/2020	MATURITY		3,490,000	3,490,000	3,598,940	3,498,943	0	(8,943)	0	(8,943)	0	3,490,000	0	0	0	139,600	08/12/2020	2FE
056018-AA-8	BSP SOF II STRUCTURED NT .....		09/30/2020	VARIOUS		113,787	113,787	113,787	0	0	0	0	0	0	113,787	0	0	0	25,722	01/31/2028	5IF
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED .....		08/11/2020	DIRECT		70,972	70,972	70,972	70,972	0	0	0	0	0	70,972	0	0	0	(7,152)	04/01/2029	2PL
23248Q-AA-4	CVC CREDIT PARTERS U.S DIRECT SENIOR NOT .....		06/30/2020	DIRECT		(7,899)	(7,899)	(7,899)	0	0	0	0	0	0	(7,899)	0	0	0	(141)	08/06/2026	2PL
23248Q-AB-2	CVC CREDIT PARTERS U.S DIRECT JUNIOR NOT .....		06/30/2020	DIRECT		(614)	(614)	(614)	0	0	0	0	0	0	(614)	0	0	0	(12)	08/06/2026	2PL
72303#-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER .....		08/24/2020	VARIOUS		(7,966)	(7,966)	(7,966)	497,065	0	0	0	0	0	(7,966)	0	0	0	61,862	12/31/2031	1PL
8399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						112,292,987	111,827,479	112,495,411	111,340,221	0	(222,679)	17,949	(240,628)	0	111,760,620	0	73,846	73,846	4,238,738	XXX	XXX
8399997. Total - Bonds - Part 4						132,281,902	131,816,394	132,502,202	131,340,301	0	(238,688)	17,949	(256,637)	0	131,744,689	0	78,692	78,692	5,130,503	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						132,281,902	131,816,394	132,502,202	131,340,301	0	(238,688)	17,949	(256,637)	0	131,744,689	0	78,692	78,692	5,130,503	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						132,281,902	XXX	132,502,202	131,340,301	0	(238,688)	17,949	(256,637)	0	131,744,689	0	78,692	78,692	5,130,503	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P 500 INDEX CALL SPREAD_1YR 853SPB050	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020	.0	1,400,000	2969.650/3047.8	22,400	0	37,238		37,238	8,547	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB051										2999.060/3188.4	70,180	0	141,673		141,673	39,752	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB057	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020	.0	1,000,000	2921.990/2988.2	14,400	0	12,756		12,756	2,482	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB058										2950.920/3086.6	0	0	0		0	(14,890)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB059	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	10/08/2019	10/08/2020	.0	1,000,000	3019.590/3078.4	14,600	0	0		0	0	0	0	0	0		
S&P 500 INDEX CLIQUE_T_1YR 853SPB060										3049.480/3196.2	0	0	0		0	0	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB065	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020	.0	1,700,000	3040.390/3098.4	20,400	0	32,064		32,064	7,198	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB066										3070.500/3218.6	56,100	0	99,429		99,429	24,275	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB072	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020	.0	2,200,000	3097.579/3169.6	26,400	0	39,137		39,137	8,241	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB073										3128.248/3280.0	89,250	0	150,317		150,317	33,398	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB083	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGMJYYYJLN8C3868	11/01/2019	10/30/2020	.0	1,900,000	3124.010/3189.8	26,980	0	38,363		38,363	7,746	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB084										3154.940/3308.6	63,000	0	99,394		99,394	21,071	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB089	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020	.0	1,000,000	3151.660/3223.1	12,900	0	17,288		17,288	3,059	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB090										3182.870/3333.9	99,450	0	145,911		145,911	27,874	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB096	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	11/15/2019	11/13/2020	.0	1,300,000	3182.870/3333.9	17,940	0	22,737		22,737	3,543	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB097										3182.870/3333.9	0	0	72,558		72,558	13,071	0	0	0	0		
S&P 500 INDEX CLIQUE_T_1YR 853SPB098	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020	.0	1,000,000	3141.390/3213.8	13,000	0	0		0	(5,708)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB104										3141.390/3213.8	35,250	0	43,893		43,893	6,012	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB105	Multiple	N/A	EQ/IDX	Credit Suisse	11/22/2019	11/20/2020	0	3,800,000	3172.500/3323.970	96,140	0	0	131,823		131,823	21,975	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB106	Multiple	N/A	EQ/IDX	Credit Suisse	11/22/2019	11/20/2020	0	1,300,000	3,141.39	9,490	0	0	0		0	(10,484)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB115	Multiple	N/A	EQ/IDX	Credit Suisse	12/06/2019	12/04/2020	0	1,000,000	3,177.37	12,200	0	0	6,641		6,641	747	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB116	Multiple	N/A	EQ/IDX	Wells Fargo	12/06/2019	12/04/2020	0	4,300,000	3177.370/3248.470	58,050	0	0	69,401		69,401	8,981	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB117	Multiple	N/A	EQ/IDX	Wells Fargo	12/06/2019	12/04/2020	0	4,600,000	3208.830/3366.120	117,300	0	0	154,648		154,648	26,040	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB123	Multiple	N/A	EQ/IDX	Wells Fargo	12/16/2019	12/16/2020	0	2,200,000	3223.360/3284.320	25,960	0	0	29,381		29,381	4,590	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB124	Multiple	N/A	EQ/IDX	Wells Fargo	12/16/2019	12/16/2020	0	3,200,000	3255.280/3414.530	80,640	0	0	100,285		100,285	18,014	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB125	Multiple	N/A	EQ/IDX	Credit Suisse	12/16/2019	12/16/2020	0	1,000,000	3,223.36	16,500	0	0	0		0	(6,650)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB131	Multiple	N/A	EQ/IDX	Credit Suisse	12/23/2019	12/23/2020	0	1,300,000	3256.250/3322.987	16,380	0	0	17,958		17,958	2,901	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB132	Multiple	N/A	EQ/IDX	Credit Suisse	12/23/2019	12/23/2020	0	2,300,000	3288.490/3445.500	57,730	0	0	66,875		66,875	12,676	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB140	Multiple	N/A	EQ/IDX	SunTrust Capital	12/30/2019	12/30/2020	0	1,000,000	3253.500/3325.980	13,700	0	0	14,945		14,945	2,355	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB141	Multiple	N/A	EQ/IDX	SunTrust Capital	12/30/2019	12/30/2020	0	3,100,000	3285.720/3446.780	79,050	0	0	92,795		92,795	17,444	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB142	Multiple	N/A	EQ/IDX	Wells Fargo	12/30/2019	12/30/2020	0	1,000,000	3,253.50	16,500	0	0	0		0	(6,239)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB147	Multiple	N/A	EQ/IDX	Credit Suisse	01/08/2020	01/08/2021	0	1,000,000	3,253.05	0	9,500	0	9,537		9,537	37	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB148	Multiple	N/A	EQ/IDX	Wells Fargo	01/08/2020	01/08/2021	0	1,000,000	3285.580/3362.352	0	14,100	0	14,941		14,941	841	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB149	Multiple	N/A	EQ/IDX	Credit Suisse	01/08/2020	01/08/2021	0	2,800,000	3318.111/3476.859	0	68,880	0	78,111		78,111	9,231	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB150	Multiple	N/A	EQ/IDX	Wells Fargo	01/08/2020	01/08/2021	0	1,400,000	3,285.58	0	21,980	0	0		0	(21,980)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB156	Multiple	N/A	EQ/IDX	Wells Fargo	01/16/2020	01/15/2021	0	1,900,000	3349.978/3434.888	0	28,500	0	28,017		28,017	(483)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB157	Multiple	N/A	EQ/IDX	SunTrust Capital	01/16/2020	01/15/2021	0	3,500,000	3383.146/3547.328	0	84,700	0	87,768		87,768	3,068	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB163	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/24/2020	01/22/2021	0	1,900,000	3328.424/3403.891	0	26,410	0	25,994		25,994	(416)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB164	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/24/2020	01/22/2021	0	4,500,000	3361.379/3525.493	0	113,400	0	118,889		118,889	5,489	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB165	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/24/2020	01/22/2021	0	1,000,000	3,328.42	0	14,200	0	0		0	(14,200)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB170	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	01/31/2020	01/29/2021	0	1,200,000	3257.775/3340.993	0	18,360	0	20,134		20,134	1,774	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB171	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	01/31/2020	01/29/2021	0	3,700,000	3290.030/3448.403	0	92,130	0	107,985		107,985	15,855	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB175	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/03/2020	02/03/2021	0	1,000,000	3248.920/3556.917	0	45,100	0	53,444		53,444	8,344	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB180	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	1,500,000	3360.987/3435.527	0	19,800	0	19,129		19,129	(671)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB181	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	3,000,000	3394.264/3560.982	0	73,500	0	75,393		75,393	1,893	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB182	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	1,000,000	3,360.99	0	14,500	0	0		0	(14,500)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB189	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	02/14/2020	02/12/2021	0	3,100,000	3413.961/3505.225	0	48,050	0	43,898		43,898	(4,152)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB190	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	02/14/2020	02/12/2021	0	3,000,000	3447.763/3614.405	0	71,700	0	66,420		66,420	(5,280)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB191	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	02/14/2020	02/12/2021	0	1,000,000	3,413.96	0	17,300	0	0		0	(17,300)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB196	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/24/2020	02/24/2021	0	1,000,000	3,225.89	0	5,300	0	4,807		4,807	(493)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB197	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	02/24/2020	02/24/2021	0	1,200,000	3258.148/3326.860	0	15,840	0	16,668		16,668	828	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB198	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJIY9T8XKCSX06	02/24/2020	02/24/2021	0	2,800,000	3290.407/3452.347	0	71,960	0	83,283		83,283	11,323	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB206	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	1,100,000	3121.132/3202.096	0	17,270	0	20,182		20,182	2,912	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB207	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	2,300,000	3152.034/3305.928	0	59,800	0	77,344		77,344	17,544	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB212	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	1,700,000	3002.093/3068.972	0	22,780	0	29,147		29,147	6,367	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB213	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	3,100,000	3031.817/3183.111	0	84,940	0	114,756		114,756	29,816	0	0	0	0		

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S&P 500 INDEX CALL SPREAD_1YR 853SPB219	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,500,000	2409.990/2460.340	0	31,750	0	48,440		48,440	16,690	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB220	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,600,000	2433.850/2556.260	0	73,320	0	120,928		120,928	47,608	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB221	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYJLN8C3868	03/16/2020	03/16/2021	0	1,100,000	2,386.13	0	9,240	0	17,176		17,176	7,936	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB229	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	2,000,000	2471.803/2531.028	0	28,000	0	43,685		43,685	15,685	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB230	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	3,500,000	2496.276/2625.495	0	94,150	0	163,647		163,647	69,497	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB239	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,300,000	2495.205/2555.979	0	18,330	0	28,484		28,484	10,154	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB240	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,900,000	2519.910/2650.105	0	52,630	0	87,575		87,575	34,945	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB241	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYJLN8C3868	04/01/2020	04/01/2021	0	1,000,000	2,470.50	0	5,200	0	4,335		4,335	(865)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB247	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	2,500,000	2777.479/2842.929	0	34,750	0	48,329		48,329	13,579	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB248	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	4,600,000	2804.979/2959.253	0	135,240	0	206,206		206,206	70,966	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB254	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/16/2020	04/16/2021	0	1,000,000	2,799.55	0	10,000	0	10,159		10,159	159	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB255	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	1,300,000	2827.545/2896.694	0	19,370	0	25,709		25,709	6,339	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB256	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	3,400,000	2855.541/3001.677	0	93,840	0	139,540		139,540	45,700	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB262	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	2,000,000	2865.107/2936.025	0	29,400	0	39,591		39,591	10,191	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB263	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	3,200,000	2893.474/3040.985	0	89,600	0	128,379		128,379	38,779	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB264	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	1,000,000	2,836.74	0	7,900	0	3,678		3,678	(4,222)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB275	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,100,000	2859.017/2940.824	0	36,330	0	47,905		47,905	11,575	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB276	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,900,000	2887.324/3032.539	0	82,070	0	114,750		114,750	32,680	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB281	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	05/08/2020	05/07/2021	0	2,000,000	2959.098/3052.265	0	37,000	0	47,943		47,943	10,943	0	0	0	0		

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 INDEX CALL SPREAD_1YR 853SPB282	Multiple	N/A	EQ/IDX	Wells Fargo	05/08/2020	05/07/2021	0	3,700,000	2988.396/3140.452	0	102,120	0	140,322		140,322	38,202	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB288	Multiple	N/A	EQ/IDX	Wells Fargo	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	12,000	0	12,655		12,655	655	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB289	Multiple	N/A	EQ/IDX	SunTrust Capital	05/15/2020	05/14/2021	0	1,400,000	2892.337/2982.829	0	25,900	0	34,211		34,211	8,311	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB290	Multiple	N/A	EQ/IDX	SunTrust Capital	05/15/2020	05/14/2021	0	5,400,000	2920.974/3070.745	0	151,740	0	213,013		213,013	61,273	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB291	Multiple	N/A	EQ/IDX	Wells Fargo	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	8,300	0	11,274		11,274	2,974	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB297	Multiple	N/A	EQ/IDX	Wells Fargo	05/26/2020	05/26/2021	0	1,800,000	3021.687/3119.817	0	34,740	0	42,709		42,709	7,969	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB298	Multiple	N/A	EQ/IDX	Wells Fargo	05/26/2020	05/26/2021	0	4,200,000	3051.605/3208.673	0	119,280	0	154,003		154,003	34,723	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB307	Multiple	N/A	EQ/IDX	SunTrust Capital	06/01/2020	06/01/2021	0	1,000,000	3086.287/3177.348	0	17,800	0	20,771		20,771	2,971	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB308	Multiple	N/A	EQ/IDX	SunTrust Capital	06/01/2020	06/01/2021	0	3,000,000	3116.844/3279.409	0	85,800	0	107,207		107,207	21,407	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB313	Multiple	N/A	EQ/IDX	SunTrust Capital	06/08/2020	06/08/2021	0	1,400,000	3264.713/3351.342	0	22,120	0	24,018		24,018	1,898	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB314	Multiple	N/A	EQ/IDX	SunTrust Capital	06/08/2020	06/08/2021	0	2,800,000	3297.037/3469.324	0	78,120	0	87,873		87,873	9,753	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB315	Multiple	N/A	EQ/IDX	Credit Suisse	06/08/2020	06/08/2021	0	1,000,000	3,232.39	0	7,300	0	4,181		4,181	(3,119)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB321	Multiple	N/A	EQ/IDX	Wells Fargo	06/16/2020	06/16/2021	0	1,000,000	3,124.74	0	13,100	0	12,766		12,766	(334)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB322	Multiple	N/A	EQ/IDX	SunTrust Capital	06/16/2020	06/16/2021	0	1,500,000	3155.987/3250.979	0	27,000	0	30,391		30,391	3,391	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB323	Multiple	N/A	EQ/IDX	SunTrust Capital	06/16/2020	06/16/2021	0	3,500,000	3187.234/3351.283	0	99,050	0	119,314		119,314	20,264	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB329	Multiple	N/A	EQ/IDX	Credit Suisse	06/24/2020	06/24/2021	0	1,900,000	3080.833/3175.088	0	35,150	0	40,784		40,784	5,634	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB330	Multiple	N/A	EQ/IDX	SunTrust Capital	06/24/2020	06/24/2021	0	3,100,000	3111.336/3273.919	0	90,210	0	110,794		110,794	20,584	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB331	Multiple	N/A	EQ/IDX	Credit Suisse	06/24/2020	06/24/2021	0	1,000,000	3,050.33	0	7,000	0	2,106		2,106	(4,894)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB337	Multiple	N/A	EQ/IDX	Wells Fargo	07/01/2020	07/01/2021	0	2,600,000	3147.018/3242.987	0	47,580	0	53,454		53,454	5,874	0	0	0	0		

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 INDEX CALL SPREAD_1YR 853SPB338	Multiple	N/A	EQ/IDX	Wells Fargo	07/01/2020	07/01/2021	0	3,900,000	3178.177/3340.825	0	110,370	0	132,469		132,469	22,099	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB339	Multiple	N/A	EQ/IDX	Credit Suisse	07/01/2020	07/01/2021	0	1,000,000	3,115.86	0	7,000	0	1,587		1,587	(5,413)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB348	Multiple	N/A	EQ/IDX	SunTrust Capital	07/08/2020	07/08/2021	0	1,500,000	3201.639/3288.485	0	24,750	0	26,745		26,745	1,995	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB349	Multiple	N/A	EQ/IDX	Credit Suisse	07/08/2020	07/08/2021	0	1,900,000	3233.338/3392.152	0	52,060	0	59,886		59,886	7,826	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB355	Multiple	N/A	EQ/IDX	SunTrust Capital	07/16/2020	07/16/2021	0	2,000,000	3247.725/3339.691	0	34,200	0	36,594		36,594	2,394	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB366	Multiple	N/A	EQ/IDX	SunTrust Capital	07/16/2020	07/16/2021	0	2,100,000	3279.881/3441.624	0	57,330	0	63,477		63,477	6,147	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB361	Multiple	N/A	EQ/IDX	Wells Fargo	07/24/2020	07/23/2021	0	1,400,000	3247.786/3337.823	0	23,520	0	25,036		25,036	1,516	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB362	Multiple	N/A	EQ/IDX	SunTrust Capital	07/24/2020	07/23/2021	0	2,800,000	3279.942/3441.045	0	76,160	0	84,159		84,159	7,999	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB370	Multiple	N/A	EQ/IDX	Wells Fargo	07/31/2020	07/30/2021	0	1,000,000	3,271.12	0	11,200	0	10,246		10,246	(954)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB371	Multiple	N/A	EQ/IDX	SunTrust Capital	07/31/2020	07/30/2021	0	2,400,000	3303.831/3392.805	0	38,880	0	39,748		39,748	868	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB372	Multiple	N/A	EQ/IDX	SunTrust Capital	07/31/2020	07/30/2021	0	2,000,000	3336.542/3503.042	0	54,800	0	57,304		57,304	2,504	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB373	Multiple	N/A	EQ/IDX	Credit Suisse	07/31/2020	07/30/2021	0	1,000,000	3,271.12	0	5,300	0	1,212		1,212	(4,088)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB378	Multiple	N/A	EQ/IDX	Wells Fargo	08/07/2020	08/06/2021	0	1,800,000	3384.790/3483.680	0	31,320	0	29,354		29,354	(1,966)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB379	Multiple	N/A	EQ/IDX	Wells Fargo	08/07/2020	08/06/2021	0	2,400,000	3418.310/3589.560	0	64,080	0	63,027		63,027	(1,053)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB385	Multiple	N/A	EQ/IDX	SunTrust Capital	08/17/2020	08/17/2021	0	1,800,000	3415.809/3515.916	0	31,320	0	28,690		28,690	(2,630)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB386	Multiple	N/A	EQ/IDX	Wells Fargo	08/17/2020	08/17/2021	0	2,600,000	3449.629/3623.125	0	70,460	0	66,061		66,061	(4,399)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB387	Multiple	N/A	EQ/IDX	Credit Suisse	08/17/2020	08/17/2021	0	1,000,000	3,381.99	0	6,300	0	3,616		3,616	(2,684)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB393	Multiple	N/A	EQ/IDX	SunTrust Capital	08/24/2020	08/24/2021	0	1,900,000	3465.592/3565.786	0	32,870	0	28,518		28,518	(4,352)	0	0	0	0		
S&P 500 INDEX CALL SPREAD_1YR 853SPB394	Multiple	N/A	EQ/IDX	Wells Fargo	08/24/2020	08/24/2021	0	2,500,000	3499.905/3673.185	0	66,500	0	57,901		57,901	(8,599)	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB403	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/01/2020	09/01/2021	0	1,300,000	3561.916/3668.421	0	22,880	0	17,107		17,107	(5,773)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB404	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/01/2020	09/01/2021	0	3,300,000	3597.183/3777.747	0	88,770	0	62,490		62,490	(26,280)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB405	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	09/01/2020	09/01/2021	0	1,000,000	3,526.65	0	5,200	0	430		430	(4,770)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB411	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/08/2020	09/08/2021	0	2,000,000	3365.158/3460.115	0	33,400	0	32,184		32,184	(1,216)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB412	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/08/2020	09/08/2021	0	2,900,000	3398.476/3566.401	0	78,300	0	77,796		77,796	(504)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB417	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/16/2020	09/16/2021	0	1,300,000	3419.340/3504.320	0	19,240	0	17,717		17,717	(1,523)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB418	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPPRFMYMCUFXT09	09/16/2020	09/16/2021	0	3,100,000	3453.200/3622.810	0	81,530	0	77,748		77,748	(3,782)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB424	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPPRFMYMCUFXT09	09/24/2020	09/24/2021	0	1,000,000	3,246.59	0	10,800	0	10,260		10,260	(540)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB425	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	2,000,000	3279.055/3365.739	0	31,400	0	32,557		32,557	1,157	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB426	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	2,500,000	3311.521/3471.578	0	64,750	0	70,865		70,865	6,110	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB427	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	09/24/2020	09/24/2021	0	1,000,000	3,246.59	0	6,000	0	5,816		5,816	(183)	0	0	0	0		
0159999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0429999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX
0449999999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0499999999. Total Purchased Options										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX



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0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										1,374,140	4,173,120	0	6,759,197	XXX	6,759,197	1,027,946	0	0	0	0	XXX	XXX

(a)	Code	Description of Hedged Risk(s)
	E .....	EQUITY INDEX .....

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

## SCHEDULE DB - PART D - SECTION 1

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
			NONE					
0199999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
CREDIT SUISSE .....	ES8DKGMJYYYJLN8C3868 ..	Other.....	Money Market Fund .....	1,120,000	1,120,000	XXX.....	01/01/2021 .....	V.....
TRUIST .....	IYDQJBGJWY9T8XKCSX06 ..	Other.....	Money Market Fund .....	2,300,000	2,300,000	XXX.....	01/01/2021 .....	V.....
WELLS FARGO .....	KB1H1DSPRFMYMCUFXT09 ..	Other.....	Money Market Fund .....	3,170,000	3,170,000	XXX.....	01/01/2021 .....	V.....
0299999999 - Total				6,590,000	6,590,000	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Key Bank, NA ..... Albany, NY .....					507,891	971,920	1,382,729	XXX
Moody National Bank ..... Galveston, TX .....					2,651,228	1,671,753	1,749,286	XXX
Wells Fargo ..... Houston, TX .....					(6,379,778)	(6,843,207)	(7,180,921)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(3,220,659)	(4,199,534)	(4,048,906)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(3,220,659)	(4,199,534)	(4,048,906)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	(3,220,659)	(4,199,534)	(4,048,906)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]