

# **QUARTERLY STATEMENT**

**OF THE**

**American National Life Insurance Company of New York**

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**FOR THE QUARTER ENDED  
JUNE 30, 2020**

☒ **LIFE AND ACCIDENT AND HEALTH**

☐ **FRATERNAL BENEFIT SOCIETIES**

# **2020**



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2020  
OF THE CONDITION AND AFFAIRS OF THE

American National Life Insurance Company of New York

NAIC Group Code 0408 0408 NAIC Company Code 63126 Employer's ID Number 14-1400831  
(Current) (Prior)

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 10/20/1953 Commenced Business 01/20/1954

Statutory Home Office 344 Route 9W Glenmont, NY, US 12077  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office One Moody Plaza  
(Street and Number)  
Galveston, TX, US 77550 800-392-0644  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 656 Albany, NY, US 12201-0656  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 344 Route 9W  
(Street and Number)  
Glenmont, NY, US 12077 518-431-5201  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.americannational.com

Statutory Statement Contact Jennifer Jo Duncan 518-431-5201  
(Name) (Area Code) (Telephone Number)  
jennifer.duncan@americannational.com 518-431-5978  
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OFFICERS

President & Chief Marketing Officer	David Alan Behrens	Vice President, Controller & Treasurer	Michelle Annette Gage
Vice President, Corporate Secretary	John Mark Flippin	SVP, Actuary and Chief Risk Officer New York	Kathryn Lentivech FSA

OTHER

James Edward Pozzi, Chairman & CEO	Johnny David Johnson, EVP, Chief Administrative Officer & CIO	James Walter Pangburn, EVP, Specialty Markets Sales and Marketing
John Frederick Simon, EVP, Chief Actuary	Shannon Lee Smith, EVP, Chief Agencies Officer, Multiple Line	James Patrick Stelling #, EVP, Health and Specialty Markets Operations
Timothy Allen Walsh, EVP & CFO	Dwain Allen Akins, SVP, Chief Compliance Officer	Michele Mackay Bartkowski, SVP, Finance
Scott Frank Brast, SVP, Chief Mortgage Loan & Real Estate Investment Officer	Scott Christopher Campbell, SVP, Chief Client Officer	Lee Chadwick Ferrell, SVP, IMG Marketing
James Lee Flinn, SVP, Chief Risk Officer and P&C Actuarial	Deborah Kay Janson, SVP, Corporate Office & Chief Business Planning Officer	Sara Liane Latham, SVP, Actuary
Anne Marie LeMire, SVP, Chief Securities Investment Officer	Bradley Wayne Manning, SVP, Life Claims & Customer Service Center	Michael Scott Marquis, SVP, Life Underwriting
Meredith Myron Mitchell, SVP, Deputy Chief Information Officer	Michael Scott Nimmons, SVP, Internal Audit Services	Edward Bruce Pavelka, SVP, Life New Business & Administration
Ronald Clark Price, SVP, Career Life Agencies	Patrick Anthony Smith, SVP, Multiple Line Field Operations	

DIRECTORS OR TRUSTEES

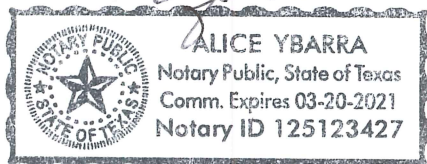
David Alan Behrens	Irwin Max Herz Jr.	Johnny David Johnson
Ashild Ingrid Moody	Edward Joseph Muhl	Matthew Richard Ostiguy
Elvin Jerome Pederson	James Edward Pozzi	John Frederick Simon
Timothy Allen Walsh	James Daniel Yarbrough	

State of Texas SS:  
County of Galveston

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Alan Behrens President & Chief Marketing Officer	John Mark Flippin Vice President, Corporate Secretary	Michelle Annette Gage Vice President, Controller & Treasurer

Subscribed and sworn to before me this 29th day of July, 2020



a. Is this an original filing? Yes [X] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 – 2)	
1. Bonds .....	2, 158, 677, 462	0	2, 158, 677, 462	2, 081, 294, 482
2. Stocks:				
2.1 Preferred stocks .....	4, 101, 988	0	4, 101, 988	4, 101, 988
2.2 Common stocks .....	60, 331	0	60, 331	61, 261
3. Mortgage loans on real estate:				
3.1 First liens .....	482, 524, 568	0	482, 524, 568	418, 989, 331
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	5, 226, 421	0	5, 226, 421	5, 400, 281
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ ..... encumbrances) .....				
5. Cash (\$ .....(2, 220, 038) ), cash equivalents (\$ ..... 14, 642, 519 ) and short-term investments (\$ ..... 3, 493, 557 ) .....	15, 916, 038		15, 916, 038	140, 321, 487
6. Contract loans (including \$ ..... premium notes) .....	36, 444, 197	0	36, 444, 197	37, 002, 704
7. Derivatives .....	5, 808, 236		5, 808, 236	11, 108, 748
8. Other invested assets .....	45, 999, 270		45, 999, 270	30, 733, 360
9. Receivables for securities .....	458, 901	0	458, 901	60, 220
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	2, 755, 217, 411	0	2, 755, 217, 411	2, 729, 073, 862
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	24, 232, 433	0	24, 232, 433	23, 132, 835
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1, 094, 548	196, 045	898, 503	564, 763
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	13, 859, 551	0	13, 859, 551	13, 366, 495
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	797, 767	0	797, 767	583, 659
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	17	0	17	2
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				
18.2 Net deferred tax asset .....	27, 435, 496	22, 114, 789	5, 320, 707	4, 544, 767
19. Guaranty funds receivable or on deposit .....	18, 939	0	18, 939	17, 464
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	486, 440	486, 440	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	1, 414, 779	0	1, 414, 779	7, 476, 081
24. Health care (\$ ..... ) and other amounts receivable .....	775, 192	775, 192	0	0
25. Aggregate write-ins for other than invested assets .....	2, 548, 965	1, 864, 661	684, 304	447, 667
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	2, 827, 881, 539	25, 437, 127	2, 802, 444, 412	2, 779, 207, 596
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27)	2, 827, 881, 539	25, 437, 127	2, 802, 444, 412	2, 779, 207, 596
DETAILS OF WRITE-INS				
1101. ....			0	
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Prepaid state premium taxes .....	684, 304		684, 304	131, 067
2502. Other receivables .....	252, 027	252, 027	0	316, 600
2503. Prepaid pension cost .....	3, 073, 708	3, 073, 708	0	
2598. Summary of remaining write-ins for Line 25 from overflow page .....	(1, 461, 074)	(1, 461, 074)	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2, 548, 965	1, 864, 661	684, 304	447, 667

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ .....2,382,206,114 less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	2,382,206,114	2,372,430,114
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	14,432,272	14,319,422
3. Liability for deposit-type contracts (including \$ ..... Modco Reserve) .....	79,914,498	78,844,832
4. Contract claims:		
4.1 Life .....	10,197,111	8,944,655
4.2 Accident and health .....	214,019	216,409
5. Policyholders' dividends/refunds to members \$ .....55,449 and coupons \$ ..... due and unpaid .....	55,449	106,164
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... Modco) .....	3,694,256	7,151,009
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... Modco) .....	3,548,775	
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ .....30,700 accident and health premiums .....	179,815	199,926
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ .....1,148,400 ceded .....	1,148,400	1,145,561
9.4 Interest Maintenance Reserve .....	0	55,245
10. Commissions to agents due or accrued-life and annuity contracts \$ .....(11,948) , accident and health \$ .....463,518 and deposit-type contract funds \$ ..... .....	451,570	487,317
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	237,479	242,851
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	198,501	315,102
15.1 Current federal and foreign income taxes, including \$ .....10,024,505 on realized capital gains (losses) .....	13,278,763	13,301,236
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	995,165	1,038,434
17. Amounts withheld or retained by reporting entity as agent or trustee .....	2,032,827	3,232,590
18. Amounts held for agents' account, including \$ .....98,318 agents' credit balances .....	98,318	64,677
19. Remittances and items not allocated .....	673,732	2,049,490
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ .....13,000,000 and interest thereon \$ .....26,712 .....	13,026,712	
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	28,320,144	30,173,187
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....	641,985	555,158
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	2,092,295	1,672,532
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....		
24.09 Payable for securities .....		109,250
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	7,690,285	13,654,397
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	2,565,328,485	2,550,309,558
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	2,565,328,485	2,550,309,558
29. Common capital stock .....	3,000,550	5,000,550
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	60,300,471	58,300,471
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	173,814,906	165,597,017
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	234,115,377	223,897,488
38. Totals of Lines 29, 30 and 37 .....	237,115,927	228,898,038
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	2,802,444,412	2,779,207,596
<b>DETAILS OF WRITE-INS</b>		
2501. Liability on derivative collateral .....	5,040,000	11,210,000
2502. Uncashed check reserve .....	2,650,285	2,444,397
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	7,690,285	13,654,397
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....		
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....		



SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts .....	45,078,149	359,904,728	437,799,103
2. Considerations for supplementary contracts with life contingencies .....	234,737	1,347,332	1,966,035
3. Net investment income .....	54,984,506	50,256,894	105,970,470
4. Amortization of Interest Maintenance Reserve (IMR) .....	105,474	133,321	268,517
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....			
6. Commissions and expense allowances on reinsurance ceded .....	298,705	316,576	621,550
7. Reserve adjustments on reinsurance ceded .....			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....			
8.2 Charges and fees for deposit-type contracts .....			
8.3 Aggregate write-ins for miscellaneous income .....	3,161	2,855	6,531
9. Totals (Lines 1 to 8.3) .....	100,704,733	411,961,706	546,632,206
10. Death benefits .....	16,191,826	16,245,720	28,032,027
11. Matured endowments (excluding guaranteed annual pure endowments) .....	75,586	156,820	260,937
12. Annuity benefits .....	15,697,447	13,882,375	29,784,480
13. Disability benefits and benefits under accident and health contracts .....	1,400,743	1,386,721	2,707,580
14. Coupons, guaranteed annual pure endowments and similar benefits .....			
15. Surrender benefits and withdrawals for life contracts .....	28,341,104	24,373,465	56,977,549
16. Group conversions .....			
17. Interest and adjustments on contract or deposit-type contract funds .....	1,306,854	846,643	2,357,852
18. Payments on supplementary contracts with life contingencies .....	1,659,482	1,723,415	3,302,459
19. Increase in aggregate reserves for life and accident and health contracts .....	9,885,485	334,111,151	403,662,111
20. Totals (Lines 10 to 19) .....	74,558,526	392,726,310	527,084,995
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) .....	3,624,457	14,046,453	19,877,572
22. Commissions and expense allowances on reinsurance assumed .....			
23. General insurance expenses and fraternal expenses .....	5,182,359	5,960,308	12,174,584
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	972,932	745,032	2,052,365
25. Increase in loading on deferred and uncollected premiums .....	(393,362)	(365,574)	(60,862)
26. Net transfers to or (from) Separate Accounts net of reinsurance .....			
27. Aggregate write-ins for deductions .....	101	10,188	10,237
28. Totals (Lines 20 to 27) .....	83,945,013	413,122,717	561,138,891
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	16,759,720	(1,161,011)	(14,506,685)
30. Dividends to policyholders and refunds to members .....	3,498,061	3,397,104	6,804,096
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30) .....	13,261,659	(4,558,115)	(21,310,781)
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	97,380	5,295,342	6,932,434
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	13,164,279	(9,853,457)	(28,243,215)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....296,074 (excluding taxes of \$ .....3,898 transferred to the IMR) .....	(1,126,097)	9,254,722	72,965,577
35. Net income (Line 33 plus Line 34) .....	12,038,182	(598,735)	44,722,362
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year .....	228,898,038	237,048,296	237,048,296
37. Net income (Line 35) .....	12,038,182	(598,735)	44,722,362
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(1,119,977)	(5,056,217)	8,944,527	(47,259,133)
39. Change in net unrealized foreign exchange capital gain (loss) .....			
40. Change in net deferred income tax .....	(2,007,181)	6,448,592	11,061,472
41. Change in nonadmitted assets .....	1,335,621	(6,606,557)	(14,702,789)
42. Change in liability for reinsurance in unauthorized and certified companies .....	(86,827)	879,636	1,066,385
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....			
44. Change in asset valuation reserve .....	1,853,043	(10,156,706)	(4,435,749)
45. Change in treasury stock .....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....			
47. Other changes in surplus in Separate Accounts Statement .....			
48. Change in surplus notes .....			
49. Cumulative effect of changes in accounting principles .....			
50. Capital changes:			
50.1 Paid in .....			
50.2 Transferred from surplus (Stock Dividend) .....	0		
50.3 Transferred to surplus .....	(2,000,000)		
51. Surplus adjustment:			
51.1 Paid in .....		0	0
51.2 Transferred to capital (Stock Dividend) .....			
51.3 Transferred from capital .....	2,000,000		
51.4 Change in surplus as a result of reinsurance .....			
52. Dividends to stockholders .....			
53. Aggregate write-ins for gains and losses in surplus .....	141,268	(18,558)	1,397,194
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	8,217,889	(1,107,801)	(8,150,258)
55. Capital and surplus, as of statement date (Lines 36 + 54) .....	237,115,927	235,940,495	228,898,038
DETAILS OF WRITE-INS			
08.301. Miscellaneous income .....	3,161	2,855	6,531
08.302. ....			
08.303. ....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) .....	3,161	2,855	6,531
2701. Fines and penalties to regulatory authorities .....	101	10,125	10,176
2702. Miscellaneous .....	0	63	61
2703. ....			
2798. Summary of remaining write-ins for Line 27 from overflow page .....			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above) .....	101	10,188	10,237
5301. Change in pension and post retirement plans net of deferred tax .....	0	0	1,024,268
5302. Change in deferred tax on non admitted items .....	141,268	(18,558)	372,926
5303. ....			
5398. Summary of remaining write-ins for Line 53 from overflow page .....			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above) .....	141,268	(18,558)	1,397,194

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance .....	44,779,277	361,453,289	440,069,976
2. Net investment income .....	55,966,812	48,538,017	104,180,222
3. Miscellaneous income .....	301,851	319,431	628,093
4. Total (Lines 1 to 3) .....	101,047,940	410,310,737	544,878,290
5. Benefit and loss related payments .....	62,462,787	58,709,179	122,134,580
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	9,864,222	20,863,114	34,249,897
8. Dividends paid to policyholders .....	3,456,754	4,488,168	9,649,340
9. Federal and foreign income taxes paid (recovered) net of \$ ..... (121,462) tax on capital gains (losses) .....	419,825	24,324	11,424,011
10. Total (Lines 5 through 9) .....	76,203,588	84,084,785	177,457,828
11. Net cash from operations (Line 4 minus Line 10) .....	24,844,353	326,225,952	367,420,462
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	126,699,021	43,811,165	164,634,578
12.2 Stocks .....	0	15,955,072	134,217,471
12.3 Mortgage loans .....	5,374,470	5,430,249	44,141,980
12.4 Real estate .....	0	1,752,703	1,752,703
12.5 Other invested assets .....	3,585,360	2,219,166	3,002,725
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	1,240,838	8,260,716	828,687
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	136,899,689	77,429,071	348,578,144
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	207,269,895	271,130,423	452,874,540
13.2 Stocks .....	0	125,799	599,415
13.3 Mortgage loans .....	68,402,786	71,941,491	112,401,323
13.4 Real estate .....	51,016	320,119	309,828
13.5 Other invested assets .....	21,072,469	8,143,243	24,249,362
13.6 Miscellaneous applications .....	507,931	7,175,544	88,770
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	297,304,096	358,836,619	590,523,238
14. Net increase (or decrease) in contract loans and premium notes .....	(558,507)	(76,069)	(15,768)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(159,845,900)	(281,331,479)	(241,929,326)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	13,026,712	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(149,660)	1,049,413	(168,686)
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(2,280,953)	7,943,502	6,293,746
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	10,596,099	8,992,915	6,125,060
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(124,405,449)	53,887,388	131,616,196
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	140,321,487	8,705,292	8,705,292
19.2 End of period (Line 18 plus Line 19.1) .....	15,916,038	62,592,679	140,321,487

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	27,641,611	28,406,188	58,405,091
3. Ordinary individual annuities .....	18,018,812	332,221,391	380,296,052
4. Credit life (group and individual) .....	349,492	367,891	773,604
5. Group life insurance .....			
6. Group annuities .....			
7. A & H - group .....			
8. A & H - credit (group and individual) .....	403,244	394,020	880,125
9. A & H - other .....	1,950,831	1,347,364	3,676,719
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	48,363,990	362,736,854	444,031,591
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	48,363,990	362,736,854	444,031,591
14. Deposit-type contracts .....	3,425,744	4,752,071	7,815,110
15. Total (Lines 13 and 14)	51,789,734	367,488,925	451,846,701
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices  
The financial statements of American National Insurance Company of New York ("the Company") are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the New York Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of New York.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices or permitted by the State of New York as of June 30, 2020 and December 31, 2019 is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 12,038,182	\$ 44,722,362
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 12,038,182	\$ 44,722,362
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 237,115,927	\$ 228,898,038
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 237,115,927	\$ 228,898,038

- B. Use of Estimates in the Preparation of the Financial Statements  
No significant change.
- C. Accounting Policy
- 1) No significant change.
  - 2) The Company has no investment in mandatory convertible securities or SVO identified investments. Bonds not backed by other loans, with NAIC ratings of 6, are stated at the lower of amortized cost or SVO market value; all other NAIC ratings are stated at amortized cost using the modified scientific method.
  - 3-5) No significant change.
  - 6) Loan-backed securities are carried at amortized cost using the retrospective method.
  - 7-13) No significant change.
- D. Going Concern
- Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

A. Accounting Changes  
INT 20-03, Troubled Debt Restructuring Due to COVID-19, was issued on April 15, 2020 by the NAIC's Statutory Accounting Principles Working Group. This interpretation clarifies that a modification of mortgage loan or bank loan terms in response to novel coronavirus disease (COVID-19) shall follow the provisions detailed in the April 7, 2020 "Interagency Statement on Loan Modifications and Reporting for Financial Institutions Working with Customers Affected by the Coronavirus", and the provisions of the Coronavirus Aid, Relief and Economic Security Act (CARES Act) in determining whether the modification shall be reported as a troubled debt restructuring within SSAP No. 36, Troubled Debt Restructuring.

American National Life Insurance Company of New York, has elected to apply the guidance of this interpretation in the second quarter for COVID-19 related commercial loan modifications that would otherwise have been subject to the troubled debt restructuring guidance noted above. The interpretation is only applicable for the term of the loan modification, but solely with respect to any modification, including a forbearance arrangement, interest rate modification, a repayment plan, and other similar arrangement that defers or delays the payment of principal or interest for a loan that was not more than 30 days past due as of December 31, 2019.

This interpretation will only be applicable for the period beginning on March 1, 2020 and ending on the earlir of December 31, 2020, or the date that is 60 days after the date on which the national emergency concerning the COVID–19 outbreak declared by the President on March 13, 2020 under the National Emergencies Act (50 U.S.C. 1601 et seq.) terminates.

B. Corrections of Errors

In May 2020, the Company reclassified the \$2,000,000 value of the American National Life Insurance Company of New York common capital stock prior to the merger with Farm Family Life Insurance Company in 2018 to gross paid in and contributed surplus. This reclassification was recorded in the Company's 2019 audited financial statements.

NOTE 3 Business Combinations and Goodwill

No significant change.

NOTE 4 Discontinued Operations

No significant change.

NOTE 5 Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans  
No significant change.
- B. Debt Restructuring  
No significant change.
- C. Reverse Mortgages  
No significant change.
- D. Loan-Backed Securities
- (1) Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from independent third party pricing services or internal estimates.
  - (2) At June 30, 2020, the Company did not have any securities within the scope of SSAP No. 43R, Revised Statutory Accounting for Loan-backed and Structured Securities, with a recognized other-than-temporary impairment due to the intent to sell or an inability or lack of intent to retain the security for a period of time sufficient to recover the amortized cost basis.
  - (3) At June 30, 2020, the Company did not hold any loan-backed and structured securities with a recognized credit-related other-than-temporary impairment.

NOTES TO FINANCIAL STATEMENTS

(4) Unrealized loss/ fair value information:		
a) The aggregate amount of unrealized losses:		
1. Less than 12 Months		
2. 12 Months or Longer	\$	(48,582)
b)The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 Months		
2. 12 Months or Longer	\$	1,177,524

(5) All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. As of June 30, 2020, the Company believes it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. Although the investment securities above did not meet management's criteria for other-than-temporary impairment at this time, it is possible that future events or information could cause them to conclude that declines in value are other-than-temporary.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions  
The Company has no repurchase agreements or securities lending transactions.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company has no repurchase agreements transactions accounted for as secured borrowing.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.
- H. Repurchase Agreements Transactions Accounted for as a Sale  
The Company has no repurchase agreements transactions accounted for as a sale.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
The Company has no reverse repurchase agreements transactions accounted for as a sale.
- J. Real Estate  
No significant change.
- K. Low Income Housing tax Credits (LIHTC)  
No significant change.
- L. Restricted Assets  
No significant change.
- M. Working Capital Finance Investments  
The Company has no working capital finance investments.
- N. Offsetting and Netting of Assets and Liabilities  
The Company has not offset or netted assets and liabilities in accordance with SSAP No. 64, Offsetting and Netting of Assets and Liabilities.
- O. 5GI Securities  
No significant change.
- P. Short Sales  
No significant change.
- Q. Prepayment Penalty and Acceleration Fees  
No significant change.

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**  
No significant change.

**NOTE 7 Investment Income**  
No significant change.

- NOTE 8 Derivative Instruments**

A. Derivatives under SSAP No. 86—Derivatives  
The Company issues indexed deferred annuities, with credit returns based on the performance of underlying equity indexes. However, the indexed credits cannot be less than minimum guarantees. The Company issues policies once a week and purchases options at the same time, thereby closely matching the timing and other characteristics of the option assets to the indexed-related liabilities. The Company purchases the options from a number of different well-regarded parties and further mitigates credit risk by negotiating collateral deposit agreements with the counter-parties. All options are stated at fair value net of collateral and are reported on the Derivatives line on the Asset page. Changes in the values of the options are recorded as changes in unrealized gains.

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees  
The Company has no derivatives hedging variable annuity guarantees under SSAP No. 108.

**NOTE 9 Income Taxes**  
No significant change.

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**  
No significant change.

- NOTE 11 Debt**

A. The Company has a line of credit established with American National Holdings, Inc for up to \$15,000,000 to meet short-term liquidity needs. Interest accrues on a 365 days accrual basis at a variable rate. The variable rate equals the prime rate at the end of each month.  
  
As of June 30,2020, there was an outstanding balance of \$13,026,712 under the line of credit with American National Holdings, Inc. including \$26,712 of accrued interest. The interest rate was 3.25% as of June 30, 2020.  
  
There were no outstanding borrowings on this line of credit at December 31, 2019.  
  
The Company has no long-term debt and no other short-term borrowing arrangements.

B. The Company has no Federal Home Loan Bank (FHLB) agreements.

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan	(1)-(3) No significant change.							
			Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
			2020	2019	2020	2019	2020	2019
(4) Components of net periodic benefit cost								
a. Service cost	\$	38,832	\$	77,664				
b. Interest cost	\$	535,118	\$	1,070,235	\$	18,547	\$	37,094
c. Expected return on plan assets	\$	(758,077)	\$	(1,516,154)				
d. Transition asset or obligation	\$	43,908	\$	87,816				
e. Gains and losses	\$	95,807	\$	191,610	\$	(2,012)	\$	(4,023)
f. Prior service cost or credit					\$	(9,155)	\$	(18,309)
g. Gain or loss recognized due to a settlement or curtailment								
h. Total net periodic benefit cost	\$	(44,412)	\$	(88,829)	\$	7,380	\$	14,762
							\$	-
							\$	-

(5)-(18) No significant change.

NOTES TO FINANCIAL STATEMENTS

- B. Defined Benefit Plan - Investment Policies and Strategies  
No significant change.
- C. The fair value of each class of plan assets  
No significant change.
- D. The overall expected long-term rate-of-return-on-assets assumption  
No significant change.
- E. Defined Contribution Plan  
No significant change.
- F. Multiemployer Plans  
No significant change.
- G. Consolidated/Holding Company Plans  
No significant change.
- H. Postemployment Benefits and Compensated Absences  
No significant change.
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
No significant change.

**NOTE 13 Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**  
No significant change.

**NOTE 14 Liabilities, Contingencies and Assessments**

- A. Contingent Commitments

1) The Company had aggregate commitments at June 30, 2020 to purchase other invested assets of \$58,926,645 of which \$7,394,035 is expected to be funded in 2020. The remaining balance of \$51,532,610 will be funded in 2021 and beyond.

2-3) The Company has made no guarantees under these commitments.
- B. Assessments  
No significant change.
- C. Gain Contingencies  
No significant change.
- D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits  
No significant change.
- E. Joint and Several Liabilities  
No significant change.
- F. All Other Contingencies  
No significant change.

**NOTE 15 Leases**

- A. Lessee Operating Lease:  
No significant change.
- B. Lessor Leases

(1) Operating leases  
The Company leases office space in its home office to Farm Family Casualty Insurance Company. The lease has been renewed and now expires on December 31, 2020.

(2) Leveraged Leases  
No significant change.

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**  
No significant change.

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**  
The Company had no sales, transfers or servicing of financial assets and extinguishment of liabilities during the reporting periods.

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**  
No significant change.

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**  
No significant change.

**NOTE 20 Fair Value Measurements**

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock- Unaffiliated	\$ 60,331				\$ 60,331
Preferred Stock	\$ 3,895,200				\$ 3,895,200
Bonds		\$ 9,500,000			\$ 9,500,000
Options			\$ 5,808,236		\$ 5,808,236
Total assets at fair value/NAV	\$ 3,955,531	\$ 9,500,000	\$ 5,808,236	\$ -	\$ 19,263,767

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -



NOTES TO FINANCIAL STATEMENTS

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance for Current Quarter End
a. Assets										
Options	\$ 1,505,015	\$ -	\$ -	\$ (1,053,185)	\$ 4,783,681	\$ 1,588,390		\$ (1,015,665)		\$ 5,808,236
Total Assets	\$ 1,505,015	\$ -	\$ -	\$ (1,053,185)	\$ 4,783,681	\$ 1,588,390	\$ -	\$ (1,015,665)	\$ -	\$ 5,808,236

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

(3) Transfers between levels, if any, are recognized at the beginning of the reporting period.

(4) The market values of equity and debt securities are obtained by the Securities Valuation Office of the NAIC and/or various pricing services. There has been no change in the valuation techniques and related inputs.

(5) The fair value information for derivative assets is included in the above tables.

B. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability. A fair value hierarchy is used to determine fair value based on a hypothetical transaction at the measurement date from the perspective of a market participant. An asset or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. The input levels are defined as follows:

Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities. The Company defines active markets based on average trading volume for equity securities. The size of the bid/ask spread is used as an indicator of market activity for fixed maturity securities.

Level 2 - Quoted prices in markets that are not active or inputs that are observable directly or indirectly. Level 2 inputs include quoted prices for similar assets or liabilities other than quoted prices in Level 1; quoted prices in markets that are not active; or other inputs that are observable or can be derived principally from or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets or liabilities. Unobservable inputs reflect the Company's own assumptions about the assumptions that market participants would use in pricing the asset or liability. Level 3 assets and liabilities include financial instruments whose values are determined using pricing models and third-party evaluation, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

The Company has evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Based on the results of this evaluation and investment class analysis, each price was classified into Level 1, 2, or 3.

There are some equity and fixed income securities whose market price is obtained from the Securities Valuation Office (SVO) of the National Association of Insurance Commissioners. For those securities that are not priced by the SVO, the price is obtained from independent pricing services.

The pricing service utilizes market quotations for fixed maturity securities that have quoted prices in active markets. Since fixed maturities generally do not trade on a daily basis, the pricing service prepares estimates of fair value measurements for these securities using its proprietary pricing applications, which include available relevant market information, benchmark curves, benchmarking of like securities, sector groupings and matrix pricing. Additionally, the pricing service uses an Option Adjusted Spread model to develop prepayment and interest rate scenarios.

The pricing service evaluates each asset class based on relevant market information, relevant credit information, perceived market movements and sector news. The market inputs utilized in the pricing evaluation, listed in the approximate order of priority, include benchmark yields, reported trades, broker/dealer quotes, issuer spreads, two-sided markets, benchmark securities, bids, offers, reference data, and economic events. The extent of the use of each market input depends on the asset class and the market conditions. Depending on the security, the priority of the use of inputs may change or some market inputs may not be relevant. For some securities additional inputs may be necessary.

The Company has reviewed the inputs and methodology used by the pricing service and the techniques applied by the pricing service to produce quotes that represent the fair value of a specific security. The review of the pricing service's methodology confirms the service is utilizing information from organized transactions or a technique that represents a market participant's assumptions. The Company does not adjust quotes received by the pricing service.

The pricing service utilized by the Company has indicated that they will only produce an estimate of fair value if there is objectively verifiable information available. If the pricing service discontinues pricing an investment, the Company would be required to produce an estimate of fair value using some of the same methodologies as the pricing service, but would have to make assumptions for market-based inputs that are unavailable due to market conditions.

The fair value estimates of most fixed maturity investments including municipal bonds are based on observable market information rather than market quotes. Accordingly, the estimates of fair value for such fixed maturities provided by the pricing service are included in the amount disclosed in Level 2 of the hierarchy.

Additionally, the Company holds a small amount of fixed maturities that have characteristics that make them unsuitable for matrix pricing. For these securities, a quote from a broker (typically a market maker) is obtained. Due to the disclaimers on these quotes that indicate that the price is indicative only, the Company includes these fair value estimates in Level 3. The pricing of certain private placement debt also includes significant non-observable inputs, the internally determined credit rating of the security and an externally provided credit spread, and are classified in Level 3.

For public common and preferred stocks, the Company receives prices from a nationally recognized pricing service that are based on observable market transactions and these securities are disclosed in Level 1. For certain preferred stock held, current market quotes in active markets are unavailable. In these instances, the Company receives an estimate of fair value from the pricing service that provides fair value estimates for the fixed maturity securities. The service utilizes some of the same methodologies to price the preferred stocks as it does for the fixed maturities. These estimates for equity securities are disclosed in Level 2.

The market value of derivative instruments is obtained by a pricing service. Due to the disclaimers that the prices is indicative only, the Company includes these fair value estimates in Level 3.

The fair value of surplus debentures is obtained from the SVO.

The fair value of mortgage loans is estimated using discounted cash flow analyses. Fair value is calculated on a loan by loan basis by applying a discount rate to expected cash flows from future installment and balloon payments. The discount rate takes into account general market trends and specific credit risk trends for the individual loan. Factors used to arrive at the discount rate include inputs from spreads based on U.S. Treasury notes and the loan's credit rating, region, property type, lien number, payment type and current status. The Company includes these fair values in Level 3.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall. The table below reflects the fair values and admitted values of all admitted assets that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in the Note 20A.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 2,294,027,271	\$ 2,158,677,462		\$ 2,274,165,046	\$ 19,862,225		
Common Stock-	\$ 60,331	\$ 60,331	\$ 60,331				
Preferred Stock	\$ 3,895,200	\$ 4,101,988	\$ 3,895,200				
Options	\$ 5,808,236	\$ 5,808,236			\$ 5,808,236		
Surplus Debentures/BA	\$ 5,901,258	\$ 4,814,672			\$ 5,901,258		
Mortgage Loans	\$ 488,936,524	\$ 482,524,568			\$ 488,936,524		

NOTES TO FINANCIAL STATEMENTS

- D. Not Practicable to Estimate Fair Value  
As of June 30, 2020, there were no financial instruments for which it is not practicable for the Company to estimate the fair value.
- E. Investments measured using Net Asset Value  
The Company had no investments measured using Net Asset Value.

NOTE 21 Other Items

- A. Unusual or Infrequent Items  
On March 11, 2020, the World Health Organization formally declared the outbreak of the novel coronavirus COVID-19 to be a pandemic. The spread of COVID-19 has caused illness, quarantines, cancellation of events and travel, business and school shutdowns, reduction in business activity, widespread unemployment, and overall economic and financial market instability. The wide-ranging social, economic and financial consequences of the COVID-19 pandemic and the possible effects of ongoing and future governmental action in response to COVID-19 compound this uncertainty.

Through the second quarter of 2020, the American National Family of Companies continues to be fully operational with the majority of our employees working remotely. We continue to monitor developments related to the COVID-19 pandemic to assess its impact on our business; however, due to the evolving and highly uncertain nature of this event, it currently is not possible to estimate with reasonable confidence the ultimate direct and indirect impact of COVID-19 on our business, results of operations, financial condition, or liquidity. To date, COVID-19 has impacted us most notably with economic uncertainty and volatility in the financial markets in which we invest and with the diminished ability of certain of our clients and borrowers to make timely payments to us. This has resulted in our taking protective measures to mitigate the impact of COVID-19 on performance and increase liquidity should a need arise.

We are monitoring our liquidity needs closely. In the second quarter of 2020, ANICO, and affiliates, deferred salary merit increases.

As a result of the economic disruption caused by COVID-19, we expect to modify mortgage loans with an aggregate outstanding balance of \$93.5 million. These modifications primarily relate to hotels, retail and parking operations. The terms of the modifications of these loans include forbearance of principal and interest payments for a period of up to six months, extensions of maturity dates, and/or provision of interest only payments.

As a result of the impacts of COVID-19, state insurance departments across the country had issued regulations that required us not to cancel policies for non-payment for varying amounts of time but generally for at least 90-day periods which began in March and early April 2020. The cancellation and grace periods have been lifted in most states. At this time, however, our liquidity requirements have been and are expected to continue to be met by funds from operations.

- B. - H.  
No significant change

NOTE 22 Events Subsequent

On July 1, 2020, the upstream indirect parent company, American National Insurance Company ("ANICO") completed its previously announced holding company reorganization pursuant to the Agreement and Plan of Merger dated February 11, 2020 (the "Reorganization Agreement"), among ANICO, American National Group, Inc., a Delaware corporation ("ANAT"), and AN MergerCo, Inc., a Texas corporation ("MergerCo"). The Reorganization Agreement provided for the merger of American National with and into MergerCo (the "Merger"), with ANICO surviving the Merger as a wholly owned subsidiary of ANAT, and the automatic conversion of each share of the common stock, par value \$1.00 per share, of ANICO issued and outstanding immediately prior to the effective time of the Merger ("ANICO Stock"), into one duly issued, fully paid and non-assessable share of the common stock, par value \$0.01 per share, of ANAT ("ANAT Stock") (collectively with the other transactions contemplated by the Reorganization Agreement, the "Reorganization").

As a result of the Reorganization, American National Group, Inc. became the publicly held company under the same ticker symbol "ANAT" on the NASDAQ exchange as previously used by American National Insurance Company. The directors and officers of ANICO just prior to the reorganization are now serving as directors and officers of ANAT. There is no change in the ultimate ownership of the organization and business operations will continue from our current office locations and companies. This reorganization will have no effect on our agents or policyholders.

- NOTE 23 Reinsurance  
No significant change.

- NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination  
The Company had no retrospectively rated contracts or contracts subject to redetermination during the reporting periods.

- NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses
- A. Claim reserves on accident and health contracts as of December 31, 2019 were \$7.5 million. As of June 30, 2020, \$1.0 million has been paid for claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$7.1 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on disability and credit lines of insurance. Therefore, there has been a \$0.6 million unfavorable development from December 31, 2019 to June 30, 2020. The increase in claim reserves is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.
- B. There were no significant changes in methods or assumptions.

- NOTE 26 Intercompany Pooling Arrangements  
No significant change.

- NOTE 27 Structured Settlements  
No significant change.

- NOTE 28 Health Care Receivables  
No significant change.

- NOTE 29 Participating Policies  
No significant change.

- NOTE 30 Premium Deficiency Reserves  
No significant change.

- NOTE 31 Reserves for Life Contracts and Annuity Contracts  
No significant change.

- NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics  
No significant change.

- NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics  
No significant change.

- NOTE 34 Premium & Annuity Considerations Deferred and Uncollected  
No significant change.

- NOTE 35 Separate Accounts  
No significant change.

- NOTE 36 Loss/Claim Adjustment Expenses  
No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]

1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☐ ] No [ ☒ ]

2.2

If yes, date of change: .....

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

Yes [ ☒ ] No [ ☐ ]

If yes, complete Schedule Y, Parts 1 and 1A.

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☐ ] No [ ☒ ]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☒ ] No [ ☐ ]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....

904163

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

Yes [ ☐ ] No [ ☒ ]

If yes, complete and file the merger history data file with the NAIC.

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]

If yes, attach an explanation.

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2015

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2015

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

06/13/2017

6.4

By what department or departments?  
New York State Department of Financial Services

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]

6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☐ ] No [ ☒ ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☒ ] No [ ☐ ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
American National Registered Investment Advisor Inc .....	League City, Texas .....	NO	NO	NO	YES
ANICO Financial Services Inc .....	Galveston, Texas .....	NO	NO	NO	YES

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? 

Yes ☒ No ☐

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? 

Yes ☒ No ☐
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

The following language was added to our Code of Business Conduct and Ethics:

Diversity and Inclusion The Company values diversity and is committed to respecting one another and celebrating differences. The Company believes diversity fuels innovation and connects us to our customers and the communities we serve. The Company expects you to refuse to engage in or tolerate unlawful discrimination or harassment.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? 

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? 

Yes ☒ No ☐
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$1,248,440

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) 

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: \$
13.

Amount of real estate and mortgages held in short-term investments: \$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? 

Yes ☒ No ☐
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End Book/Adjusted Carrying Value | Current Quarter Book/Adjusted Carrying Value |
| 14.21 Bonds   | \$0   | \$   |
| 14.22 Preferred Stock   | \$0   | \$   |
| 14.23 Common Stock  | \$0   | \$   |
| 14.24 Short-Term Investments  | \$0   | \$   |
| 14.25 Mortgage Loans on Real Estate   | \$0   | \$23,324,860                                 |
| 14.26 All Other   | \$0   | \$   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0   | \$23,324,860                                 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above                       | \$  | \$   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? 

Yes ☒ No ☐
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? 

Yes ☒ No ☐ N/A ☐

If no, attach a description with this statement.
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.3

Total payable for securities lending reported on the liability page. \$0

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address
Bank of New York Mellon .....	One Wall Street, New York, NY .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
Anne Le Mire .....	I.....
Scott Brast .....	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

477,525,857

1.14

Total Mortgages in Good Standing

\$

477,525,857

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

4,998,711

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

4,998,711

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

0

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

482,524,568

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

%

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[ ]

No

[ X ]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes

[ ]

No

[ X ]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[ X ]

No

[ ]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[ ]

No

[ ]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[ ]

No

[ ]

N/A

[ ]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[ ]

No

[ ]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount



STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

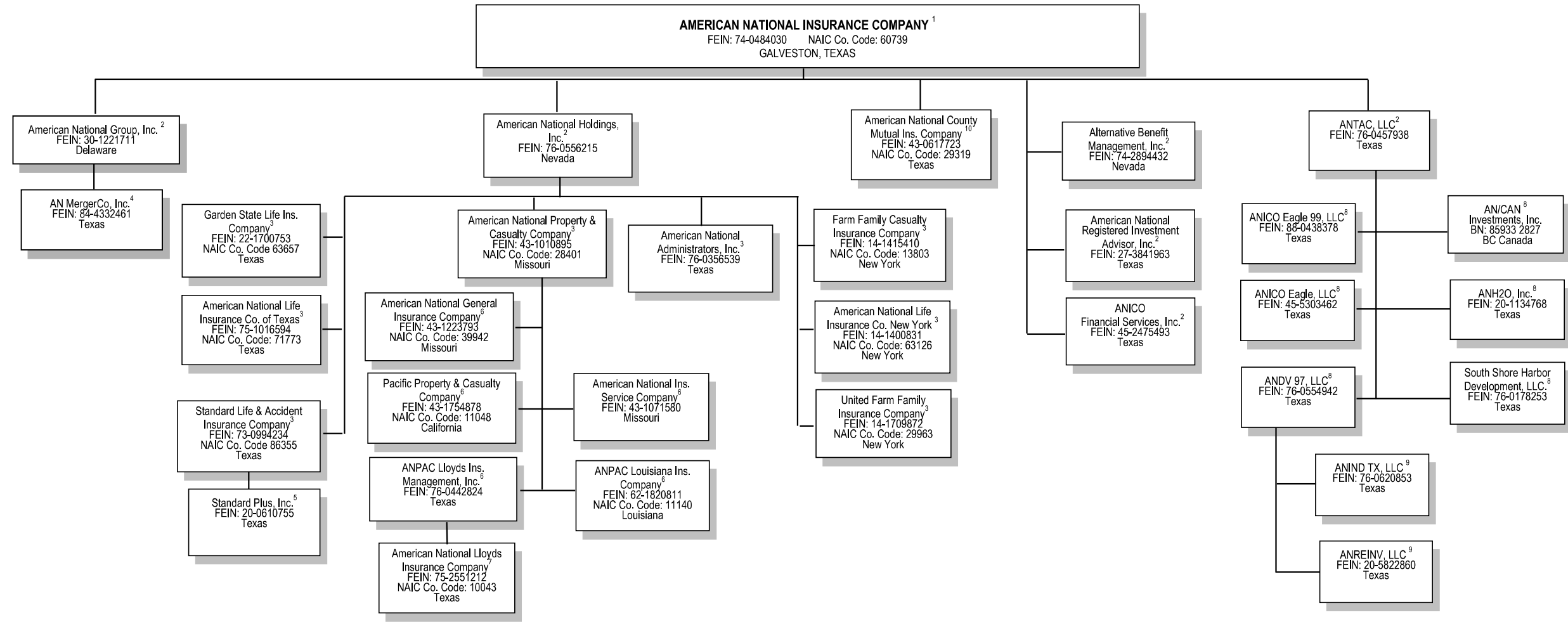
Current Year To Date - Allocated by States and Territories

States, Etc.			1	Direct Business Only					
				Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
				2 Life Insurance Premiums	3 Annuity Considerations				
Active Status (a)									
1.	Alabama	AL	N	11,627	0	0	0	11,627	
2.	Alaska	AK	N	496	0	0	0	496	
3.	Arizona	AZ	N	13,944	0	0	0	13,944	
4.	Arkansas	AR	N	1,677	0	0	0	1,677	
5.	California	CA	N	24,087	0	0	0	24,087	
6.	Colorado	CO	N	13,513	0	0	0	13,513	
7.	Connecticut	CT	L	907,629	108,874	146,832	0	1,163,335	
8.	Delaware	DE	L	618,327	84,505	25,353	0	728,185	
9.	District of Columbia	DC	N	1,427	0	0	0	1,427	
10.	Florida	FL	N	213,643	50,971	0	0	264,614	
11.	Georgia	GA	N	27,554	1,627	0	0	29,181	
12.	Hawaii	HI	N	2,068	0	0	0	2,068	
13.	Idaho	ID	N	1,508	0	0	0	1,508	
14.	Illinois	IL	N	10,979	0	0	0	10,979	
15.	Indiana	IN	N	7,867	0	0	0	7,867	
16.	Iowa	IA	N	4,622	7,000	0	0	11,622	
17.	Kansas	KS	N	277	0	0	0	277	
18.	Kentucky	KY	N	18,759	0	0	0	18,759	
19.	Louisiana	LA	N	1,258	0	0	0	1,258	
20.	Maine	ME	L	574,774	68,860	67,029	0	710,663	
21.	Maryland	MD	L	648,677	5,100	62,275	0	716,052	
22.	Massachusetts	MA	L	1,117,441	174,262	197,955	0	1,489,658	
23.	Michigan	MI	N	7,647	0	0	0	7,647	
24.	Minnesota	MN	N	11,968	0	0	0	11,968	
25.	Mississippi	MS	N	2,880	0	0	0	2,880	
26.	Missouri	MO	N	7,157	0	0	0	7,157	
27.	Montana	MT	N	2,414	0	0	0	2,414	
28.	Nebraska	NE	N	1,107	0	0	0	1,107	
29.	Nevada	NV	N	7,703	0	0	0	7,703	
30.	New Hampshire	NH	L	682,633	123,050	113,736	0	919,419	
31.	New Jersey	NJ	L	2,747,829	244,625	275,707	0	3,268,161	32,017
32.	New Mexico	NM	N	10,038	0	0	0	10,038	
33.	New York	NY	L	13,808,825	16,552,695	1,156,661	0	31,518,181	3,393,727
34.	North Carolina	NC	N	90,960	240	0	0	91,200	
35.	North Dakota	ND	N			0	0	0	
36.	Ohio	OH	N	25,630	0	0	0	25,630	
37.	Oklahoma	OK	N	4,192	0	0	0	4,192	
38.	Oregon	OR	N	2,139	0	0	0	2,139	
39.	Pennsylvania	PA	L	411,523	80,250	32,771	0	524,544	
40.	Rhode Island	RI	L	289,245	3,150	37,703	0	330,098	
41.	South Carolina	SC	N	101,625	30,813	0	0	132,438	
42.	South Dakota	SD	N	3,617	0	0	0	3,617	
43.	Tennessee	TN	N	20,237	2,653	0	0	22,890	
44.	Texas	TX	N	54,959	0	0	0	54,959	
45.	Utah	UT	N	1,433	1,200	0	0	2,633	
46.	Vermont	VT	L	676,688	154,387	77,754	0	908,829	
47.	Virginia	VA	L	151,558	2,333	20,094	0	173,985	
48.	Washington	WA	N	11,328	0	0	0	11,328	
49.	West Virginia	WV	L	1,292,217	322,216	33,997	0	1,648,430	
50.	Wisconsin	WI	N	13,231	0	0	0	13,231	
51.	Wyoming	WY	N	2,722	0	0	0	2,722	
52.	American Samoa	AS	N	0	0	0	0	0	
53.	Guam	GU	N	0	0	0	0	0	
54.	Puerto Rico	PR	N	0	0	0	0	0	
55.	U.S. Virgin Islands	VI	N	867	0	0	0	867	
56.	Northern Mariana Islands	MP	N	0	0	0	0	0	
57.	Canada	CAN	N	4,147	0	0	0	4,147	
58.	Aggregate Other Aliens	OT	XXX	2,948	0	0	0	2,948	0
59.	Subtotal	XXX		24,673,621	18,018,811	2,247,867	0	44,940,299	3,425,744
90.	Reporting entity contributions for employee benefits plans	XXX							
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX		2,421,899	0	0	0	2,421,899	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		322,942	0	67,359	0	390,301	
94.	Aggregate or other amounts not allocable by State	XXX							
95.	Totals (Direct Business)	XXX		27,418,462	18,018,811	2,315,226	0	47,752,499	3,425,744
96.	Plus Reinsurance Assumed	XXX							
97.	Totals (All Business)	XXX		27,418,462	18,018,811	2,315,226	0	47,752,499	3,425,744
98.	Less Reinsurance Ceded	XXX		2,527,313		685,296		3,212,609	
99.	Totals (All Business) less Reinsurance Ceded	XXX		24,891,149	18,018,811	1,629,930	0	44,539,890	3,425,744
DETAILS OF WRITE-INS									
58001.	JPN Japan	XXX		1,544				1,544	
58002.	UAE United Arab Emirates	XXX		254				254	
58003.	UK United Kingdom	XXX		1,150				1,150	
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		2,948	0	0	0	2,948	0
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:  
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....13  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....  
N - None of the above - Not allowed to write business in the state.....44  
R - Registered - Non-domiciled RRGs.....  
Q - Qualified - Qualified or accredited reinsurer.....

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



(1) 22.7% owned by The Moody Foundation and 37.0% owned by the Libbie S. Moody Trust.  
(2) 100.0% owned by American National Insurance Company.  
(3) 100.0% owned by American National Holdings, Inc.  
(4) 100.0% owned by American National Group, Inc.  
(5) 100.0% owned by Standard Life and Accident Insurance Company.  
(6) 100.0% owned by American National Property and Casualty Company (ANPAC).  
(7) Not a subsidiary company, but managed by ANPAC Lloyds Insurance Management, Inc.  
(8) 100.0% owned by ANTAC, LLC.

(9) 100.0% owned by ANDV 97, LLC.  
(10) Not a subsidiary company but managed by American National Insurance Company.

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Y/N)	16 *
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Relation- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					
.0408	American National Insurance Company	.60739	74-0484030	1343722	904163	NASDAQ	American National Insurance Company	TX	UIP	Libbie S. Moody Trust	Ownership	0.370	Moody National Bank	N	
.0408	American National Insurance Company	.60739	74-0484030	1343722	904163	NASDAQ	American National Insurance Company	TX	UIP	The Moody Foundation	Ownership, Board	0.227	Robert L. Moody, Ross R. Moody, Frances Moody-Dahlberg	N	
.0408	American National Insurance Company	.63126	14-1400831	0	0		American National Life Insurance Company of New York	NY	RE	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.63657	22-1700753	0	0		Garden State Life Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.71773	75-1016594	1343731	0		American National Life Insurance Company of Texas	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.86355	73-0994234	0	0		Standard Life and Accident Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.10043	75-2551212	0	0		American National Lloyds Insurance Company	TX	IA	ANPAC Lloyds Insurance Management, Inc.	Management	0.000	American National Insurance Company	N	
.0408	American National Insurance Company	.11048	43-1754878	0	0		Pacific Property and Casualty Company	CA	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.11140	62-1820811	0	0		ANPAC Louisiana Insurance Company	LA	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.13803	14-1415410	0	0		Farm Family Casualty Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.28401	43-1010895	1343946	0		American National Property and Casualty Company	MO	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	Y	
.0408	American National Insurance Company	.29319	43-0617723	0	0		American National County Mutual Insurance Company	TX	IA	American National Insurance Company	Management	0.000	American National Insurance Company	N	
.0408	American National Insurance Company	.29963	14-1709872	0	0		United Farm Family Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
.0408	American National Insurance Company	.39942	43-1223793	0	0		American National General Insurance Company	MO	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0556215	0	0		American National Holdings, Inc.	NV	UDP	American National Insurance Company	Ownership	1.000	American National Insurance Company	Y	
		.00000	76-0457938	0	0		ANTAC, LLC	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	Y	
		.00000	27-3841963	0	1518195		American National Registered Investment Advisor, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
		.00000	74-2894432	0	0		Alternative Benefit Management, Inc.	NV	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
		.00000	45-2475493	0	0		ANICO Financial Services, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
		.00000	30-1221711	0	0		American National Group, Inc.	DE	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0356539	0	0		American National Administrators, Inc.	TX	NIA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
		.00000	43-1071580	0	0		American National Insurance Service Company	MO	NIA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0442824	0	0		ANPAC Lloyds Insurance Management, Inc.	TX	NIA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0620853	0	0		ANIND TX, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	20-5822860	0	0		ANREINV, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0554942	0	0		ANDV 97, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	45-5303462	0	0		ANICO Eagle, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	88-0438378	0	0		ANICO Eagle 99, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	85-9332827	0	0		AN/CAN Investments, Inc.	CAN	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	20-1134768	0	0		AN#20, Inc.	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	76-0178253	0	0		South Shore Harbour Development, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		.00000	20-0610755	0	0		Standard Plus, Inc.	TX	NIA	Standard Life and Accident Insurance Company	Ownership	1.000	American National Insurance Company	N	
		.00000	84-4332461	0	0		AN MergerCo, Inc.	TX	NIA	American National Group, Inc.	Ownership	1.000	American National Insurance Company	N	

Asterisk	Explanation

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

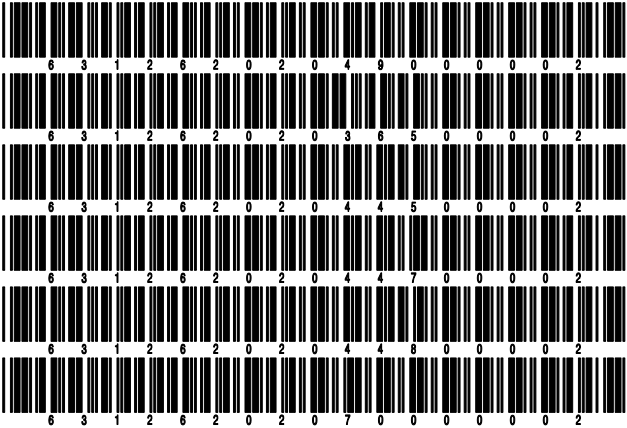
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	NO

Explanation:

1.
2.
3.
5.
6.
8.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
8. Life PBR Statement of Exemption (2nd Quarter Only) [Document Identifier 700]



STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4 December 31 Prior Year Net Admitted Assets
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504.	Overfunded postretirement plan asset .....	37,258	37,258	0	
2505.	Prepaid expenses .....	22,181	22,181	0	
2506.	Overfunded pension plan asset .....	(1,520,513)	(1,520,513)	0	
2597.	Summary of remaining write-ins for Line 25 from overflow page	(1,461,074)	(1,461,074)	0	0



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,400,281	7,546,398
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....	51,016	309,828
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		(155,968)
5. Deduct amounts received on disposals .....		1,752,703
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....	224,876	547,274
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	5,226,421	5,400,281
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10)	5,226,421	5,400,281

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	418,989,331	349,712,567
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	52,687,787	95,940,073
2.2 Additional investment made after acquisition .....	15,714,998	16,461,250
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase (decrease) .....	0	0
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	5,374,470	44,141,980
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	(506,921)	(1,017,421)
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	482,524,568	418,989,331
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	482,524,568	418,989,331
14. Deduct total nonadmitted amounts .....		0
15. Statement value at end of current period (Line 13 minus Line 14)	482,524,568	418,989,331

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	30,733,360	9,477,241
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	4,471,709	12,934,180
2.2 Additional investment made after acquisition .....	16,600,760	11,315,182
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....	21,344	39,716
5. Unrealized valuation increase (decrease) .....	(2,242,543)	(30,234)
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....	3,585,360	3,002,725
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	45,999,270	30,733,360
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	45,999,270	30,733,360

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	2,085,457,730	1,912,642,525
2. Cost of bonds and stocks acquired .....	207,269,895	453,473,955
3. Accrual of discount .....	933,099	2,419,395
4. Unrealized valuation increase (decrease) .....	353,601	(69,499,002)
5. Total gain (loss) on disposals .....	7,156	90,469,648
6. Deduct consideration for bonds and stocks disposed of .....	127,848,289	300,913,229
7. Deduct amortization of premium .....	3,436,483	4,579,749
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....	1,046,196	616,993
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	1,149,268	2,061,180
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	2,162,839,781	2,085,457,730
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	2,162,839,781	2,085,457,730

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	1,013,992,625	65,234,382	116,145,905	(21,396,556)	1,013,992,625	941,684,546	0	1,066,506,536
2. NAIC 2 (a) .....	1,103,671,122	48,370,272	17,851,068	8,549,395	1,103,671,122	1,142,739,721	0	1,059,697,240
3. NAIC 3 (a) .....	53,377,800	0	20,478	12,426,145	53,377,800	65,783,467	0	43,687,975
4. NAIC 4 (a) .....	8,139,259	0	0	(2,134,338)	8,139,259	6,004,921	0	2,912,549
5. NAIC 5 (a) .....	2,017,669	3,949,984	2,913,289	1,192,154	2,017,669	4,246,518	0	9,634,479
6. NAIC 6 (a) .....	1,836,615	0	124,769	0	1,836,615	1,711,846	0	1,931,853
7. Total Bonds	2,183,035,090	117,554,638	137,055,509	(1,363,200)	2,183,035,090	2,162,171,019	0	2,184,370,632
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0		
9. NAIC 2 .....	2,601,988				2,601,988	2,601,988		2,601,988
10. NAIC 3 .....	1,500,000				1,500,000	1,500,000		1,500,000
11. NAIC 4 .....	0				0	0		
12. NAIC 5 .....	0				0	0		
13. NAIC 6 .....	0				0	0		
14. Total Preferred Stock .....	4,101,988	0	0	0	4,101,988	4,101,988	0	4,101,988
15. Total Bonds and Preferred Stock	2,187,137,078	117,554,638	137,055,509	(1,363,200)	2,187,137,078	2,166,273,007	0	2,188,472,620

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 3,493,557 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	3,493,557	xxx	3,323,474	69,125	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,482,357	0
2. Cost of short-term investments acquired .....		3,479,943
3. Accrual of discount .....	11,200	2,414
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....		0
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	3,493,557	3,482,357
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	3,493,557	3,482,357

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	11,108,748
2.	Cost Paid/(Consideration Received) on additions	2,884,850
3.	Unrealized Valuation increase/(decrease)	(4,287,252)
4.	SSAP No. 108 adjustments	
5.	Total gain (loss) on termination recognized	227,578
6.	Considerations received/(paid) on terminations	4,125,688
7.	Amortization	
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
9.	Total foreign exchange change in Book/Adjusted Carrying Value	
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	5,808,236
11.	Deduct nonadmitted assets	
12.	Statement value at end of current period (Line 10 minus Line 11)	5,808,236

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.25	SSAP No. 108 adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item	
	4.22 Amount recognized	
	4.23 SSAP No. 108 adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	5,808,236
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2) .....	5,808,236
4.	Part D, Section 1, Column 5 .....	5,808,236
5.	Part D, Section 1, Column 6 .....	
6.	Total (Line 3 minus Line 4 minus Line 5) .....	0
		Fair Value Check
7.	Part A, Section 1, Column 16 .....	5,808,236
8.	Part B, Section 1, Column 13 .....	
9.	Total (Line 7 plus Line 8) .....	5,808,236
10.	Part D, Section 1, Column 8 .....	5,808,236
11.	Part D, Section 1, Column 9 .....	
12.	Total (Line 9 minus Line 10 minus Line 11) .....	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21 .....	
14.	Part B, Section 1, Column 20 .....	
15.	Part D, Section 1, Column 11 .....	
16.	Total (Line 13 plus Line 14 minus Line 15) .....	0



SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	137,152,112	9,174,064
2. Cost of cash equivalents acquired .....	1,086,037,515	2,620,282,106
3. Accrual of discount .....	395,201	1,051,374
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	1,208,942,310	2,493,355,432
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	14,642,518	137,152,112
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	14,642,518	137,152,112

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition
Home Office Building	Glenmont	NV	01/01/1985					.701
0199999. Acquired by Purchase					0	0	0	701
0399999 - Totals					0	0	0	701

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depreciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in Book/ Adjusted Carrying Value (11-9-10)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses Incurred
0399999 - Totals																			

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1  Loan Number	Location		4  Loan Type	5  Date Acquired	6  Rate of Interest	7  Actual Cost at Time of Acquisition	8  Additional Investment Made After Acquisition	9  Value of Land and Buildings
	2  City	3  State						
400201	LAYTON	UT		08/02/2018	5.000		80,327	10,750,000
400301	MEMPHIS	TN		01/29/2019	5.125		16,378	10,700,000
400401	AUSTIN	TX		05/28/2019	5.250		1,313,519	13,200,000
400501	OBETZ	OH		06/28/2019	5.000		4,124,255	24,200,000
400601	MURRAY	UT		09/17/2019	5.000		3,436,680	18,600,000
400701	SAN MARCOS	TX	S	09/27/2019	5.000		194,860	40,500,000
806901	FT. WORTH	TX	S	04/01/2020	4.000	13,612,500		25,400,000
807001	MELROSE PARK	IL		04/29/2020	3.625	3,900,000		6,150,000
807101	ST. CHARLES	IL		05/28/2020	3.750	14,129,000		20,900,000
0599999. Mortgages in good standing - Commercial mortgages-all other						31,641,500	9,166,019	170,400,000
0899999. Total Mortgages in good standing						31,641,500	9,166,019	170,400,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
3399999 - Totals						31,641,500	9,166,019	170,400,000

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1  Loan Number	Location		4  Loan Type	5  Date Acquired	6  Disposal Date	7  Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14  Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15  Consid-eration	16  Foreign Exchange Gain (Loss) on Disposal	17  Realized Gain (Loss) on Disposal	18  Total Gain (Loss) on Disposal
	2  City	3  State					8  Unrealized Valuation Increase (Decrease)	9  Current Year's (Amortization) /Accretion	10  Current Year's Other-Than-Temporary Impairment Recognized	11  Capitalized Deferred Interest and Other	12  Total Change in Book Value (8+9-10+11)	13  Total Foreign Exchange Change in Book Value					
803501	SAN DIEGO	CA		06/07/2018	06/26/2020	1,944,901		6,250			6,250		1,918,542	1,929,609			0
0199999. Mortgages closed by repayment						1,944,901	0	6,250	0	0	6,250	0	1,918,542	1,929,609	0	0	0
160101	LONG BEACH	CA		05/26/2004		1,779,612		125			125		15,428	15,428			0
163501	ALBANY	GA		11/29/2012		1,672,489		211			211		46,940	46,940			0
163801	THE WOODLANDS	TX		12/17/2013		2,225,394		824			824		37,298	37,298			0
163901	OMAHA	NE		06/26/2014		4,146,263		430			430		32,175	32,175			0
164201	HOUSTON	TX		11/06/2014		2,641,354		378			378		19,898	19,898			0
164301	SUIVANE	GA		12/16/2014		5,551,502		1,038			1,038		42,248	42,248			0
164401	SPRING	TX		12/17/2014		3,611,174		506			506		27,196	27,196			0
164501	NOVI	MI		02/02/2015		4,585,434		324			324		35,579	35,579			0
164601	WILMINGTON	NC		02/10/2015		2,809,842		312			312		55,128	55,128			0
164701	HOUSTON	TX		06/01/2015		2,659,674		268			268		28,263	28,263			0
164702	HOUSTON	TX		05/11/2017		467,830		246			246		3,173	3,173			0
164801	MATTHEWS	NC		10/01/2015		3,136,568		218			218		23,838	23,838			0
164901	VERNON HILLS	IL		12/16/2015		4,607,416		325			325		40,930	40,930			0
165001	PHOENIX	AZ		03/01/2016		3,925,797		280			280		41,785	41,785			0
165201	DENVER	CO		04/04/2016		4,388,205		300			300		31,049	31,049			0
165301	ANNAPOLIS	MD		05/26/2016		6,334,765		900			900		66,109	66,109			0
165401	BRIGHTON	NY		05/26/2016		5,588,889		362			362		35,277	35,277			0
165501	CORTLANDVILLE	NY		05/26/2016		4,818,007		312			312		30,412	30,412			0
165601	NORFOLK	VA		10/05/2016		5,040,087		1,291			1,291		36,531	36,531			0
165701	BUFORD	GA		12/08/2016		9,991,119		2,583			2,583		67,252	67,252			0
165801	COTTONWOOD HEIGHTS	UT		06/12/2017		12,008,007		9,162			9,162		69,745	69,745			0

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
165901	GLENDALE	AZ		08/29/2017		5,669,064		750			750		36,555	36,555			0
166001	MISSION VIEJO	CA		08/29/2017		1,802,155		119			119		11,228	11,228			0
800301	GREENVILLE	SC		10/30/2003		700,426		65			65		15,148	15,148			0
800401	MISSOURI CITY	TX		12/11/2014		1,650,276		125			125		13,271	13,271			0
800501	NORTHVILLE	MI		06/10/2015		2,764,648		188			188		14,670	14,670			0
800601	DAYTONA BEACH	FL		08/20/2015		2,108,015		1,891			1,891		24,638	24,638			0
800801	BEAUMONT	TX		09/17/2015		1,607,131		117			117		17,739	17,739			0
800901	VERNON HILLS	IL		01/12/2016		2,626,686		188			188		26,238	26,238			0
801001	PLAINFIELD	IN		04/19/2016		2,837,705		392			392		21,288	21,288			0
801101	HOUSTON	TX		04/25/2016		2,736,188		188			188		19,405	19,405			0
801301	CLEVELAND	OH		06/29/2016		2,874,840		392			392		20,134	20,134			0
801401	CLEVELAND	OH		10/27/2016		4,911,196		332			332		11,132	11,132			0
801601	DE PERE	WI		12/08/2016		4,735,179		319			319		32,941	32,941			0
801701	WILMINGTON	NC		12/19/2016		1,865,887		125			125		12,199	12,199			0
801801	CARMEL-BY-THE-SEA	CA		12/06/2016		5,538,272		363			363		11,560	11,560			0
801901	SAN FRANCISCO	CA		01/24/2017		2,420,199		235			235		16,734	16,734			0
802101	BOURBONNAIS	IL		06/07/2017		4,528,294		611			611		29,464	29,464			0
802401	SANTA CLARA	CA		09/07/2017		4,833,909		328			328		43,976	43,976			0
802601	ALBUQUERQUE	NM		09/15/2017		5,935,462		808			808		55,443	55,443			0
802901	ALBUQUERQUE	NM		09/15/2017		4,591,795		611			611		29,438	29,438			0
803001	ALBUQUERQUE	NM		09/15/2017		4,010,484		534			534		25,711	25,711			0
803101	ALBUQUERQUE	NM		09/15/2017		3,124,315		416			416		20,030	20,030			0
803201	SOMERSET	NJ		12/01/2017		6,135,664		4,958			4,958		40,383	40,383			0
803301	LEHI	UT		02/05/2018		12,753,131		2,268			2,268		23,233	23,233			0
803401	LAS VEGAS	NV		04/24/2018		2,646,670		344			344		20,500	20,500			0
803601	ARVADA	CO		06/26/2018		16,546,457		4,500			4,500		62,724	62,724			0
804301	PROVO	UT		10/16/2018		7,889,024		1,013			1,013		42,333	42,333			0
804601	CHICAGO	IL		11/13/2018		9,489,446		7,369			7,369		56,590	56,590			0
805101	LOGAN	UT		03/19/2019		2,447,513		156			156		18,188	18,188			0
805201	SAN DIEGO	CA		03/26/2019		2,176,918		137			137		11,250	11,250			0
805301	ARLINGTON HEIGHTS	IL		04/11/2019		14,518,624		11,175			11,175		27,015	27,015			0
806001	HOUSTON	TX		10/08/2019		2,241,342		201			201		3,144	3,144			0
806201	SANDSTON	VA		12/17/2019		1,990,000		250			250		13,848	13,848			0
807001	MELROSE PARK	IL		04/29/2020							0		6,005	6,005			0
0299999. Mortgages with partial repayments						244,696,341	0	61,860	0	0	61,860	0	1,620,409	1,620,409	0	0	0
0599999 - Totals						246,641,242	0	68,110	0	0	68,110	0	3,538,950	3,550,018	0	0	0

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SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Designation and Administrative Symbo	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
	Equity Fund 7069 – Centre Lane Partners Master Credit Fund II, LP	New York	NY	Centre Lane Partners		10/31/2018		0	135,135		1,289,441	6.760
	Equity Fund 7075 – Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Maranon Capital		01/18/2019		0	486,020		301,480	6.230
	Equity Fund 7086 – Northstar Mezzanine Partners VII Feeder LP	Minneapolis	MN	Northstar Capital, LLC		10/30/2019		0	48,276		384,759	0.138
	Equity Fund 7088 – Comvest Credit Partners V, LP	West Palm Beach	FL	Comvest Capital		11/25/2019		0	1,500,000		10,500,000	6.224
	Equity Fund 7090 – PineBridge Private Credit Rated Feeder, LP	New York	NY	PineBridge Investments, LLC		12/06/2019		0	181,284		949,534	0.811
	Equity Fund 7097 – Kayne Senior Credit Fund IV, LP	Los Angeles	CA	Kayne Anderson Capital Advisors, LP		01/30/2020		0	3,000,000		11,000,000	11.111
	Equity Fund 7099 – Crescent Private Credit Partners Levered Unitra	Los Angeles	CA	Crescent Capital Group		12/31/2019		0	525,076		1,257,968	1.939
	Equity Fund 7101 – Benefit Street Partners SOf II	New York	NY	Benefit Street Partners, LLC		04/01/2020		697,056	0		2,817,054	0.697
2599999. Joint Venture Interests - Other - Unaffiliated								697,056	5,875,791	0	28,500,236	XXX
4899999. Total - Unaffiliated								697,056	5,875,791	0	28,500,236	XXX
4999999. Total - Affiliated								0	0	0	0	XXX
5099999 - Totals								697,056	5,875,791	0	28,500,236	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (De-crease)	Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Capital-ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
	Equity Fund 7069 – Centre Lane Partners Master Credit Fund II, LP	New York	NY	Return of Capital	10/31/2018	06/29/2020	341,434					0		341,434	341,434			0	
	Equity Fund 7075 – Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Return of Capital	01/18/2019	06/23/2020	17,101					0		17,101	17,101			0	
	Equity Fund 7078 – CVC Credit Partners US Direct Lending Fund II, LP	New York	NY	Return of Capital	09/24/2018	05/29/2020	89,190					0		89,190	89,190			0	
	Equity Fund 7081 – Metropolitan Partners Fund VI, LP	New York	NY	Return of Capital	08/14/2019	06/26/2020	66,969					0		66,969	66,969			0	
	Equity Fund 7083 – First Eagle Direct Lending Fund I	New York	NY	Return of Capital	08/26/2019	06/02/2020	98,753					0		98,753	98,753			0	
	Equity Fund 7090 – PineBridge Private Credit Rated Feeder, LP	New York	NY	Return of Capital	12/06/2019	06/30/2020	433,914					0		433,914	433,914			0	
	Equity Fund 7101 – Benefit Street Partners SOf II	New York	NY	Return of Capital	04/10/2020	06/30/2020	467,539					0		467,539	467,539			0	
2599999. Joint Venture Interests - Other - Unaffiliated							1,514,900	0	0	0	0	0	0	1,514,900	1,514,900	0	0	0	0
4899999. Total - Unaffiliated							1,514,900	0	0	0	0	0	0	1,514,900	1,514,900	0	0	0	0

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recog- nized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
4999999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals								1,514,900	0	0	0	0	0	1,514,900	1,514,900	0	0	0	0

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
00287Y-CH-0	ABBVIE INC		.05/12/2020	CONVERSION TAX FREE EXCHANGE		2,997,246	3,000,000	13,300	2FE
008252-AP-3	AFFILIATED MANAGERS		.06/05/2020	OPPENHEIMER & CO., INC.		1,004,670	1,000,000	.367	2FE
084659-AU-5	BERKSHIRE HATHAWAY E		.04/02/2020	OPPENHEIMER & CO., INC.		5,338,100	5,000,000	4,625	1FE
29446M-AF-9	EQUINOR ASA CORPORATE		.04/01/2020	J.P. MORGAN		1,984,200	2,000,000	.0	1FE
315786-AC-7	FIDELITY & GTY LIFE		.06/09/2020	SOUTHWEST SECURITIES		3,893,750	3,500,000	21,389	2FE
361448-BF-9	GATX CORP		.05/21/2020	OPPENHEIMER & CO., INC.		1,020,710	1,000,000	1,556	2FE
40434L-AC-9	HP INC		.06/09/2020	J.P. MORGAN		1,995,800	2,000,000	.0	2FE
589400-AB-6	MERCURY GENL CORP NE		.05/29/2020	OPPENHEIMER & CO., INC.		1,675,249	1,575,000	14,823	2FE
608190-AL-8	MOHAWK INDS INC		.05/15/2020	OPPENHEIMER & CO., INC.		1,002,550	1,000,000	.503	2FE
62947A-AD-5	NP SPE X LP 2019-2		.06/09/2020	OPPENHEIMER & CO., INC.		5,025,000	5,000,000	15,516	2FE
75513E-AT-8	RAYTHEON TECHNOLOGIE		.06/08/2020	CONVERSION TAX FREE EXCHANGE		4,946,562	5,000,000	60,764	2FE
75513E-BA-8	RAYTHEON TECHNOLOGIE		.06/08/2020	CONVERSION TAX FREE EXCHANGE		3,004,524	3,000,000	6,458	2FE
759351-AP-4	REINSURANCE GRP OF A		.06/04/2020	VARIOUS		4,987,360	5,000,000	.0	2FE
78200J-AA-0	RUSH SYS FOR HEALTH		.06/04/2020	RBC Capital Markets		2,210,200	2,000,000	5,011	1FE
822582-CG-5	SHELL INTE CORPORATE		.04/01/2020	MORGAN, STANLEY		3,997,560	4,000,000	.0	1FE
969457-BX-7	WILLIAMS COS INC		.05/12/2020	BOK Financial Securities		4,974,750	5,000,000	.0	2FE
05971K-AF-6	BANCO SANTANDER S A	D	.05/20/2020	BANK OF AMERICA		2,000,000	2,000,000	.0	2FE
31575F-AA-4	FIDELIS INSURANCE HO	D	.06/11/2020	WELLS FARGO		4,628,241	4,674,000	.0	2FE
74435K-AA-3	PRUDENTIAL PLC	D	.05/04/2020	VARIOUS		6,595,230	6,404,000	11,934	1FE
056010-AA-8	BSP SOF II STRUCTURED NT		.04/01/2020	DIRECT		3,949,984	3,949,984	.0	5IF
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		.06/16/2020	VARIOUS		4,771,249		.0	2PL
66706*-AA-6	NORTHSTAR MEZZANINE PARTNERS VII FEEDER		.06/18/2020	VARIOUS		442,611	442,611	.0	2PL
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						72,445,546	71,316,844	156,246	XXX
8399997. Total - Bonds - Part 3						72,445,546	71,316,844	156,246	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						72,445,546	71,316,844	156,246	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						72,445,546	XXX	156,246	XXX

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
20772G-5P-9	CONNECTICUT ST		04/01/2020	CALL at 100.000		2,000,000	2,000,000	2,051,100	2,001,593	.0	(1,593)	.0	(1,593)	.0	2,000,000	.0	.0	.0	50,270	04/01/2024	1FE
70914P-WX-7	PENNSYLVANIA ST		05/01/2020	CALL at 100.000		1,000,000	1,000,000	992,560	997,629	.0	220	.0	220	.0	997,849	.0	2,151	2,151	23,000	05/01/2023	1FE
70914P-WY-5	PENNSYLVANIA ST		05/01/2020	CALL at 100.000		2,000,000	2,000,000	1,991,840	1,996,847	.0	220	.0	220	.0	1,997,067	.0	2,933	2,933	47,000	05/01/2024	1FE
17999999	Subtotal - Bonds - U.S. States, Territories and Possessions					5,000,000	5,000,000	5,035,500	4,996,069	.0	(1,153)	.0	(1,153)	.0	4,994,916	.0	5,084	5,084	120,270	XXX	XXX
033161-ZL-7	ANCHORAGE ALASKA		04/01/2020	CALL at 100.000		1,335,000	1,335,000	1,357,829	1,335,713	.0	(713)	.0	(713)	.0	1,335,000	.0	.0	.0	34,496	04/01/2024	1FE
109570-FW-6	BRILLION WTS PUB SCH		04/01/2020	CALL at 100.000		200,000	200,000	204,434	200,141	.0	(141)	.0	(141)	.0	200,000	.0	.0	.0	4,600	04/01/2020	1FE
387460-JE-9	GRANITE SCH DIST UTA		06/01/2020	CALL at 100.000		3,750,000	3,750,000	3,750,000	3,750,000	.0	.0	.0	.0	.0	3,750,000	.0	.0	.0	85,669	06/01/2022	1FE
700246-FQ-9	PARK CITY UTAH		05/06/2020	CALL at 100.000		465,000	465,000	474,123	465,379	.0	(379)	.0	(379)	.0	465,000	.0	.0	.0	12,187	05/01/2024	1FE
24999999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					5,750,000	5,750,000	5,786,386	5,751,233	.0	(1,233)	.0	(1,233)	.0	5,750,000	.0	.0	.0	136,952	XXX	XXX
155839-DW-2	CENTRAL WASH UNIV SY		05/01/2020	SINKING FUND REDEMPTION		65,000	65,000	65,000	65,000	.0	.0	.0	.0	.0	65,000	.0	.0	.0	1,625	05/01/2022	1FE
31292G-6L-5	FHLNC PC GOL C00875		06/01/2020	MBS PAYDOWN		481	481	482	481	.0	.0	.0	.0	.0	481	.0	.0	.0	16	10/15/2029	1
31293X-W6-1	FHLNC PC GOL C32469		06/01/2020	MBS PAYDOWN		216	216	216	216	.0	.0	.0	.0	.0	216	.0	.0	.0	7	11/01/2029	1
31371J-GZ-2	FNMA PASSTHRU 253888		06/01/2020	MBS PAYDOWN		533	533	517	532	.0	.0	.0	.0	.0	533	.0	.0	.0	15	07/01/2031	1
313743-AF-6	FHLNC REMIC SERIES		06/01/2020	MBS PAYDOWN		210,280	210,280	202,329	207,975	.0	2,305	.0	2,305	.0	210,280	.0	.0	.0	3,657	01/15/2039	1
31387V-J8-0	FNMA PASSTHRU 595607		06/01/2020	MBS PAYDOWN		501	501	494	500	.0	.0	.0	.0	.0	501	.0	.0	.0	14	07/01/2031	1
31392F-XU-6	FNMA REMIC TRUST		06/01/2020	MBS PAYDOWN		37,349	37,349	37,536	37,354	.0	(6)	.0	(6)	.0	37,349	.0	.0	.0	826	12/25/2022	1
31393N-QT-9	FHLNC REMIC SERIES		06/01/2020	MBS PAYDOWN		4,770	4,770	4,813	4,775	.0	(5)	.0	(5)	.0	4,770	.0	.0	.0	108	02/15/2023	1
31393V-F9-7	FHLNC REMIC SERIES		06/01/2020	MBS PAYDOWN		63,733	63,733	64,529	63,783	.0	(50)	.0	(50)	.0	63,733	.0	.0	.0	1,275	06/15/2023	1
38377F-WF-5	GNMA REMIC TRUST		06/01/2020	MBS PAYDOWN		74,338	74,338	77,799	75,750	.0	(1,412)	.0	(1,412)	.0	74,338	.0	.0	.0	1,589	03/20/2039	1
38378D-AH-8	GNMA REMIC TRUST		06/01/2020	MBS PAYDOWN		235,302	235,302	253,758	239,797	.0	(4,495)	.0	(4,495)	.0	235,302	.0	.0	.0	3,494	07/20/2039	1
647421-CX-2	NEW MEXICO ST UNIV R		04/01/2020	CALL at 100.000		680,000	680,000	702,658	680,693	.0	(693)	.0	(693)	.0	680,000	.0	.0	.0	17,051	04/01/2021	1
647421-DD-5	NEW MEXICO ST UNIV R		04/01/2020	CALL at 100.000		915,000	915,000	945,488	915,932	.0	(932)	.0	(932)	.0	915,000	.0	.0	.0	22,944	04/01/2021	1FE
800618-AC-4	SANFORD N C ENTERPRI		06/01/2020	CALL at 100.000		1,510,000	1,510,000	1,510,000	1,510,000	.0	.0	.0	.0	.0	1,510,000	.0	.0	.0	40,166	06/01/2021	1FE
914119-SE-3	UNIVERSITY CINCINNAT		06/01/2020	MATURITY		100,000	100,000	100,000	100,000	.0	.0	.0	.0	.0	100,000	.0	.0	.0	2,358	06/01/2020	1FE
31999999	Subtotal - Bonds - U.S. Special Revenues					3,897,503	3,897,503	3,965,620	3,902,788	.0	(5,288)	.0	(5,288)	.0	3,897,503	.0	.0	.0	95,145	XXX	XXX
00206R-AX-0	AT&T INC		06/29/2020	CALL at 103.572		517,859	500,000	500,950	500,158	.0	(56)	.0	(56)	.0	500,102	.0	(102)	(102)	31,703	05/15/2021	2FE
018490-AQ-5	ALLERGAN INC		05/12/2020	EXCHANGE		2,997,289	3,000,000	2,979,963	2,996,783	.0	506	.0	506	.0	2,997,289	.0	.0	.0	55,300	03/15/2023	2FE
03073E-AJ-4	AMERISOURCEBERGEN CO		06/18/2020	CALL at 104.290		2,085,792	2,000,000	1,997,160	1,999,391	.0	147	.0	147	.0	1,999,538	.0	462	462	127,209	11/15/2021	1FE
03215P-DP-1	AMRESCO RESIDENTIAL		06/01/2020	MBS PAYDOWN		21,671	21,671	21,670	21,606	.0	65	.0	65	.0	21,671	.0	.0	.0	574	01/25/2028	1FM
038222-AF-2	APPLIED MATLS INC		06/27/2020	CALL at 103.785		3,632,467	3,500,000	3,499,875	3,499,984	.0	5	.0	5	.0	3,499,989	.0	11	11	212,734	06/15/2021	1FE
039483-BB-7	ARCHER DANIELS MIDLA		06/30/2020	CALL at 102.775		513,875	500,000	506,160	500,872	.0	(369)	.0	(369)	.0	500,502	.0	(502)	(502)	32,475	03/01/2021	1FE
05463H-AA-9	AXIS SPECIALTY FINAN		06/01/2020	MATURITY		1,838,000	1,838,000	1,948,703	1,844,538	.0	(6,538)	.0	(6,538)	.0	1,838,000	.0	.0	.0	53,991	06/01/2020	2FE
075887-AW-9	BECTON DICKINSON & C		06/10/2020	CALL at 101.244		1,445,764	1,428,000	1,427,272	1,427,927	.0	37	.0	37	.0	1,427,964	.0	36	36	44,579	11/12/2020	2FE
12326Q-AA-2	BUSINESS JET SEC LLC		06/15/2020	MBS PAYDOWN		66,738	66,738	66,733	66,839	.0	(101)	.0	(101)	.0	66,738	.0	.0	.0	1,182	07/15/2034	1FE
12563L-AL-1	CLU FDG VI LLC		06/18/2020	MBS PAYDOWN		90,286	90,286	91,245	90,327	.0	(41)	.0	(41)	.0	90,286	.0	.0	.0	1,409	05/18/2044	1FE
12641Q-LK-3	CSX TRANSN INC		06/15/2020	MATURITY		420,000	420,000	430,244	420,451	.0	(451)	.0	(451)	.0	420,000	.0	.0	.0	20,475	06/15/2020	1FE
12665U-AA-2	CVS PTC 2013		05/30/2020	EXCHANGE		56,668	56,668	60,302	56,748	.0	(80)	.0	(80)	.0	56,668	.0	.0	.0	1,054	01/10/2036	2FE
22533A-BA-4	CREDIT AGRICOLE MTN BONDS		04/24/2020	CALL at 100.000		5,000,000	5,000,000	5,000,000	5,000,000	.0	.0	.0	.0	.0	5,000,000	.0	.0	.0	87,500	04/24/2031	1FE
23248Q-AA-4	CVC CREDITPARTNERS U.S. DIRECT SUB		04/06/2020	PRIOR YEAR INCOME		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(34,036)	08/06/2026	2PL
23248Q-AB-2	CVC CREDITPARTNERS U.S. DIRECT JR		04/06/2020	PRIOR YEAR INCOME		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(2,647)	08/06/2026	2PL
31368D-TE-6	FNMA REMIC TRUST		06/01/2020	MBS PAYDOWN		711,507	711,507	734,631	.0	(3,353)	.0	(3,353)	.0	711,507	.0	.0	.0	.0	8,357	02/25/2048	1
38141E-A6-6	GOLDMAN SACHS GRP IN		06/15/2020	MATURITY		500,000	500,000	541,480	502,539	.0	(2,539)	.0	(2,539)	.0	500,000	.0	.0	.0	15,000	06/15/2020	2FE
393505-WH-0	GREEN TREE FINANCIAL		06/15/2020	MBS PAYDOWN		108,138	124,769	126,797	124,306	.0	463	.0	463	.0	124,769	.0	(16,631)	(16,631)	3,984	01/15/2029	6FE
47233J-AB-4	JEFFERIES GROUP LLC		05/26/2020	CALL at 100.000		1,000,000	1,000,000	1,000,000	1,000,000	.0	.0	.0	.0	.0	1,000,000	.0	.0	.0	20,000	05/26/2026	2FE
55907R-AA-6	MAGELLAN MIDSTREAM H		06/19/2020	CALL at 102.344		511,721	500,000	520,790	502,786	.0	(1,188)	.0	(1,188)	.0	501,598	.0	(1,598)	(1,598)	30,492	02/01/2021	2FE
655855-FA-7	NORFOLK SOUTHN RY CO		06/15/2020	MATURITY		580,000	580,000	594,146	580,622	.0	(622)	.0	(622)	.0	580,000	.0	.0	.0	28,275	06/15/2020	1FE
69362B-AW-2	PSEG PHR LLC		04/15/2020	MATURITY		500,000	500,000	533,230	501,284	.0	(1,284)	.0	(1,284)	.0	500,000	.0	.0	.0	12,813	04/15/2020	2FE
74432Q-BW-6	PRUDENTIAL FINL INC		06/21/2020	MATURITY		200,000	200,000	208,452	200,530	.0	(530)	.0	(530)	.0	200,000	.0	.0	.0	5,405	06/21/2020	1FE
755111-BX-8	RAYTHEON CO		06/08/2020	EXCHANGE		4,947,066	5,000,000	4,814,450	4,938,165	.0	8,902	.0	8,902	.0	4,947,066	.0	.0	.0	60,764	12/15/2022	1FE
774341-AC-5	ROCKWELL COLLINS INC		06/08/2020	EXCHANGE		3,004,433	3,000,000	3,030,750	3,006,020	.0	(1,587)	.0	(1,587)	.0	3,004,433	.0	.0	.0	52,958	11/15/2021	2FE
79548K-ZL-5	SALOMON BRO MTG SEC		06/01/2020	MBS PAYDOWN		20,478	20,478	19,301	20,495	.0	(17)	.0	(17)	.0	20,478	.0	.0	.0	535	06/25/2028	3FM
874074-AA-5	TAL ADVANTAGE VI		06/20/2020	MBS PAYDOWN		128,256	128,256	131,543	128,445	.0	(188)	.0	(188)	.0	128,256	.0	.0	.0	2,405	04/20/2042	1FE



STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
88315L-AA-6	TEXTAINER MARINE VII		06/20/2020	MBS PAYDOWN		180,000	180,000	182,070	180,067	0	(67)	0	(67)	0	180,000	0	0	0	3,083	07/20/2043	1FE
939653-AL-5	WASHINGTON REAL ESTA		04/02/2020	CALL at 100.000		400,000	400,000	409,859	401,490	0	(1,490)	0	(1,490)	0	400,000	0	0	0	9,955	10/01/2020	2FE
78012K-CY-1	ROYAL BK CDA	A	04/21/2020	CALL at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	31,500	04/21/2025	1FE
037389-AW-3	AON CORP	D	06/30/2020	CALL at 101.120		2,275,197	2,250,000	2,364,135	2,261,062	0	(7,336)	0	(7,336)	0	2,253,727	0	(3,727)	(3,727)	109,572	09/30/2020	2FE
37956A-AA-1	GLOBAL SC FIN IV	D	06/17/2020	MBS PAYDOWN		117,696	117,695	117,280	117,494	0	202	0	202	0	117,695	0	0	0	1,883	04/15/2037	1FE
056018-AA-8	BSP SQF II STRUCTURED NT		06/30/2020	DIRECT		2,913,289	2,913,289	2,913,289	0	0	0	0	0	0	2,913,289	0	0	0	4,674	01/31/2028	51F
22576L-AA-9	CRESCENT PRIVATE CREDIT PARTNERS LEVERED		04/01/2020	VARIOUS		737,113	737,113	737,113	737,113	0	0	0	0	0	737,113	0	0	0	180,882	04/01/2029	2PL
23248Q-AA-4	CVC CREDIT PARTERS U.S DIRECT SENIOR NOT		06/30/2020	DIRECT		1,980,161	1,980,161	1,980,161	490,909	0	0	0	0	0	1,980,161	0	0	0	36,021	08/06/2026	2PL
23248Q-AB-2	CVC CREDIT PARTERS U.S DIRECT JUNIOR NOT		06/30/2020	DIRECT		154,013	154,013	154,013	38,182	0	0	0	0	0	154,013	0	0	0	2,971	08/06/2026	2PL
72303F-AA-7	PINEBRIDGE PRIVATE CREDIT RATED FEEDER		06/30/2020	VARIOUS		1,446,738	1,446,738	1,446,738	1,446,738	0	0	0	0	0	1,446,738	0	0	0	125,877	12/31/2031	1PL
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						44,102,215	43,865,382	44,092,505	38,603,871	0	(17,510)	0	(17,510)	0	43,819,590	0	(22,051)	(22,051)	1,380,908	XXX	XXX
8399997. Total - Bonds - Part 4						58,749,718	58,512,885	58,880,011	53,253,961	0	(25,184)	0	(25,184)	0	58,462,009	0	(16,967)	(16,967)	1,733,275	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						58,749,718	58,512,885	58,880,011	53,253,961	0	(25,184)	0	(25,184)	0	58,462,009	0	(16,967)	(16,967)	1,733,275	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
10922N-10-3	BRIGHTHOUSE FINANCAL		05/01/2020	FRS		0.910	0	0	36	0	0	0	0	0	36	0	(36)	(36)	0		
22052L-10-4	CORTEVA INC		05/01/2020	VARIOUS		0.326	0	6	10	(10)	0	0	(10)	0	0	0	0	0	0		
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						0	XXX	6	46	(10)	0	0	(10)	0	36	0	(36)	(36)	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	6	46	(10)	0	0	(10)	0	36	0	(36)	(36)	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	6	46	(10)	0	0	(10)	0	36	0	(36)	(36)	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	6	46	(10)	0	0	(10)	0	36	0	(36)	(36)	0	XXX	XXX
9999999 - Totals						58,749,718	XXX	58,880,017	53,254,007	(10)	(25,184)	0	(25,194)	0	58,462,045	0	(17,003)	(17,003)	1,733,275	XXX	XXX

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999999, Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0149999999, Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P 500 INDEX CALLSPREAD_1YR 853SPA954	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	07/01/2019	07/01/2020	0	2,400,000	2993.970/3087.940	43,440	0	68,261		68,261	8,508	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA955										3023.620/3254.240	136,400	0	104,064		104,064	(116,019)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPA956	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGJYJYYJLN8C3868	07/01/2019	07/01/2020	0	1,000,000	2,993.97	15,400	0	0		0	(10,608)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA962	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGJYJYYJLN8C3868	07/08/2019	07/08/2020	0	1,300,000	3005.710/3092.010	21,840	0	27,617		27,617	(1,692)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA963										3035.470/3262.830	70,560	0	59,307		59,307	(52,361)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA968	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	07/16/2019	07/16/2020	0	1,600,000	3034.080/3129.610	29,120	0	31,876		31,876	(6,353)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA969										3064.120/3293.030	77,050	0	61,203		61,203	(55,642)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA975	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020	0	1,500,000	3049.760/3143.660	27,300	0	28,121		28,121	(6,300)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA976										3079.950/3299.780	106,240	0	85,626		85,626	(66,900)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPA977	Multiple	N/A	EQ/IDX	Wells Fargo	KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020	0	1,000,000	3,049.76	14,600	0	0		0	(846)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA987	Multiple	N/A	EQ/IDX	Credit Suisse	E58DKGJYJYYJLN8C3868	08/05/2019	08/05/2020	0	1,300,000	2901.630/2952.560	13,650	0	18,635		18,635	(586)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA988										2930.080/3120.960	79,040	0	122,535		122,535	(13,004)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPA993	Multiple	N/A	EQ/IDX	SunTrust Capital	IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020	0	1,000,000	2,967.47	17,200	0	13,364		13,364	(773)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA994										2967.470/3059.730	28,640	0	36,165		36,165	(3,279)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPA995	Multiple	N/A	EQ/IDX	SunTrust Capital	IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020	0	2,400,000	2996.850/3214.270	81,360	0	104,156		104,156	(24,376)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB002										2917.570/3008.270	27,000	0	35,612		35,612	(2,382)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB003	Multiple	N/A	EQ/IDX	SunTrust Capital	IYDOJBGJWY9T8XKCSX06	08/16/2019	08/14/2020	0	2,700,000	2946.450/3160.790	93,150	0	132,704		132,704	(18,843)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB004										2,917.57	14,600	0	0		0	(10,517)	0	0	0	0		

STATEMENT AS OF JUNE 30, 2020 OF THE American National Life Insurance Company of New York

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB010	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	0	1,200,000	2875.580/2963.840	21,360	0	0	28,906		28,906	(1,672)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB011	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	0	2,900,000	2904.050/3120.150	104,690	0	0	154,565		154,565	(16,587)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB012	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020	0	1,000,000	2,875.58	12,500	0	0	0		0	(5,142)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB021	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	08/30/2019	08/28/2020	0	1,200,000	2955.720/3050.830	22,080	0	0	27,795		27,795	(2,742)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB022	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8KCSX06	08/30/2019	08/28/2020	0	3,000,000	2984.990/3202.430	103,500	0	0	136,791		136,791	(25,126)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB027	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	09/06/2019	09/04/2020	0	1,100,000	3008.500/3104.710	20,570	0	0	23,557		23,557	(3,136)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB028	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	09/06/2019	09/04/2020	0	3,100,000	3038.280/3243.220	101,060	0	0	118,420		118,420	(28,999)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB034	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	09/16/2019	09/16/2020	0	1,000,000	3027.940/3121.180	18,200	0	0	20,022		20,022	(2,887)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB035	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	09/16/2019	09/16/2020	0	3,000,000	3057.920/3276.770	103,500	0	0	115,549		115,549	(30,725)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB041	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8KCSX06	09/24/2019	09/24/2020	0	1,700,000	2996.270/3076.660	27,200	0	0	31,304		31,304	(3,604)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB042	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8KCSX06	09/24/2019	09/24/2020	0	2,400,000	3025.930/3243.380	83,520	0	0	101,589		101,589	(20,166)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB043	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	09/24/2019	09/24/2020	0	1,000,000	2,996.27	12,300	0	0	0		0	(12,413)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB050	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020	0	1,400,000	2969.650/3047.860	22,400	0	0	26,036		26,036	(2,655)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB051	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020	0	2,200,000	2999.060/3188.410	70,180	0	0	88,490		88,490	(13,432)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB057	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020	0	1,000,000	2,921.99	14,400	0	0	9,312		9,312	(962)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB058	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020	0	2,300,000	2921.990/2988.241	31,280	0	0	37,467		37,467	(4,151)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB059	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	10/08/2019	10/08/2020	0	4,400,000	2950.920/3086.605	108,680	0	0	140,853		140,853	(16,167)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB060	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	10/08/2019	10/08/2020	0	1,000,000	2,921.99	14,600	0	0	0		0	(14,890)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB065	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	10/16/2019	10/16/2020	0	1,700,000	3019.590/3078.480	20,400	0	0	22,125		22,125	(2,741)	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB066	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020	0	2,200,000	3049.480/3196.280	56,100	0	0	64,284		64,284	(10,870)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB072	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020	0	2,200,000	3040.390/3098.490	26,400	0	0	27,201		27,201	(3,694)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB073	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020	0	3,500,000	3070.500/3218.600	89,250	0	0	99,090		99,090	(17,829)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB083	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/01/2019	10/30/2020	0	1,900,000	3097.579/3169.651	26,980	0	0	26,141		26,141	(4,477)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB084	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8KCSX06	11/01/2019	10/30/2020	0	2,500,000	3128.248/3280.060	63,000	0	0	64,006		64,006	(14,318)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB089	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020	0	1,000,000	3124.010/3189.890	12,900	0	0	12,056		12,056	(2,173)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB090	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020	0	3,900,000	3154.940/3308.670	99,450	0	0	94,859		94,859	(23,178)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB096	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/15/2019	11/13/2020	0	1,300,000	3151.660/3223.120	17,940	0	0	16,092		16,092	(3,102)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB097	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020	0	2,100,000	3182.870/3333.900	52,290	0	0	47,226		47,226	(12,261)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB098	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020	0	1,000,000	3151.660/3223.120	13,000	0	0	0		0	(5,708)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB104	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	0	2,500,000	3141.390/3213.860	35,250	0	0	32,037		32,037	(5,844)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB105	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	0	3,800,000	3172.500/3323.970	96,140	0	0	89,190		89,190	(20,658)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB106	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020	0	1,300,000	3151.660/3223.120	9,490	0	0	0		0	(10,484)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB115	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/06/2019	12/04/2020	0	1,000,000	3177.370/3248.470	12,200	0	0	5,023		5,023	(871)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB116	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020	0	4,300,000	3208.830/3366.120	58,050	0	0	51,293		51,293	(9,128)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB117	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020	0	4,600,000	3223.360/3284.320	117,300	0	0	103,659		103,659	(24,950)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB123	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020	0	2,200,000	3255.280/3414.530	25,960	0	0	21,382		21,382	(3,409)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB124	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020	0	3,200,000	3255.280/3414.530	80,640	0	0	64,701		64,701	(17,571)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB125	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/16/2019	12/16/2020	0	1,000,000	3223.360/3284.320	16,500	0	0	0		0	(6,650)	0	0	0	0		

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S&P 500 INDEX CALLSPREAD_1YR 853SPB131	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020	0	1,300,000	3256.250/3322.987	16,380	0	0	12,647		12,647	(2,410)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB132	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020	0	2,300,000	3288.490/3445.500	57,730	0	0	41,413		41,413	(12,785)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB140	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	12/30/2019	12/30/2020	0	1,000,000	3253.500/3325.980	13,700	0	0	10,723		10,723	(1,867)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB141	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	12/30/2019	12/30/2020	0	3,100,000	3285.720/3446.780	79,050	0	0	58,669		58,669	(16,682)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB142	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	12/30/2019	12/30/2020	0	1,000,000	3,253.50	16,500	0	0	0		0	(6,239)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB147	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/08/2020	01/08/2021	0	1,000,000	3,253.05	0	9,500	0	7,226		7,226	(2,274)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB148	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	01/08/2020	01/08/2021	0	1,000,000	3285.580/3362.352	0	14,100	0	10,306		10,306	(3,794)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB149	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/08/2020	01/08/2021	0	2,800,000	3318.111/3476.859	0	68,880	0	47,423		47,423	(21,457)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB150	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	01/08/2020	01/08/2021	0	1,400,000	3,285.58	0	21,980	0	0		0	(21,980)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB156	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	01/16/2020	01/15/2021	0	1,900,000	3349.978/3434.888	0	28,500	0	17,258		17,258	(11,242)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB157	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	01/16/2020	01/15/2021	0	3,500,000	3383.146/3547.328	0	84,700	0	47,096		47,096	(37,604)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB163	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	01/24/2020	01/22/2021	0	1,900,000	3328.424/3403.891	0	26,410	0	17,176		17,176	(9,234)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB164	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	01/24/2020	01/22/2021	0	4,500,000	3361.379/3525.493	0	113,400	0	67,535		67,535	(45,865)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB165	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	01/24/2020	01/22/2021	0	1,000,000	3,328.42	0	14,200	0	0		0	(14,200)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB170	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	01/31/2020	01/29/2021	0	1,200,000	3257.775/3340.993	0	18,360	0	14,651		14,651	(3,709)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB171	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	01/31/2020	01/29/2021	0	3,700,000	3290.030/3448.403	0	92,130	0	71,160		71,160	(20,970)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB175	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	02/03/2020	02/03/2021	0	1,000,000	3248.920/3556.917	0	45,100	0	33,859		33,859	(11,241)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB180	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	02/07/2020	02/05/2021	0	1,500,000	3360.987/3435.527	0	19,800	0	12,171		12,171	(7,629)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB181	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCJFXT09	02/07/2020	02/05/2021	0	3,000,000	3394.264/3560.982	0	73,500	0	41,272		41,272	(32,228)	0	0	0	0		

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S&P 500 INDEX CLIQUET_1YR 853SPB182	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/07/2020	02/05/2021	0	1,000,000	3,360.99	0	14,500	0	0		0	(14,500)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB189	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	02/14/2020	02/12/2021	0	3,100,000	3413.961/3505.225	0	48,050	0	24,837		24,837	(23,213)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB190	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	02/14/2020	02/12/2021	0	3,000,000	3447.763/3614.405	0	71,700	0	32,876		32,876	(38,824)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB191	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	02/14/2020	02/12/2021	0	1,000,000	3,413.96	0	17,300	0	0		0	(17,300)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB196	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/24/2020	02/24/2021	0	1,000,000	3,225.89	0	5,300	0	3,788		3,788	(1,512)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB197	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	02/24/2020	02/24/2021	0	1,200,000	3258.148/3326.860	0	15,840	0	12,389		12,389	(3,451)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB198	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	02/24/2020	02/24/2021	0	2,800,000	3290.407/3452.347	0	71,960	0	56,504		56,504	(15,456)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB206	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	1,100,000	3121.132/3202.096	0	17,270	0	16,405		16,405	(865)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB207	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/02/2020	03/02/2021	0	2,300,000	3152.034/3305.928	0	59,800	0	60,586		60,586	786	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB212	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	1,700,000	3002.093/3068.972	0	22,780	0	24,633		24,633	1,853	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB213	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/06/2020	03/05/2021	0	3,100,000	3031.817/3183.111	0	84,940	0	95,344		95,344	10,404	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB219	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,500,000	2409.990/2460.340	0	31,750	0	43,214		43,214	11,464	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB220	Multiple	N/A	EQ/IDX	SunTrust Capital IYDQJBGJWY9T8XKCSX06	03/16/2020	03/16/2021	0	2,600,000	2433.850/2556.260	0	73,320	0	107,520		107,520	34,200	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB221	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/16/2020	03/16/2021	0	1,100,000	2,386.13	0	9,240	0	10,344		10,344	1,104	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB229	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	2,000,000	2471.803/2531.028	0	28,000	0	38,892		38,892	10,892	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB230	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/24/2020	03/24/2021	0	3,500,000	2496.276/2625.495	0	94,150	0	145,516		145,516	51,366	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB239	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,300,000	2495.205/2555.979	0	18,330	0	25,433		25,433	7,103	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB240	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2020	04/01/2021	0	1,900,000	2519.910/2650.105	0	52,630	0	78,068		78,068	25,438	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB241	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/01/2020	04/01/2021	0	1,000,000	2,470.50	0	5,200	0	9,335		9,335	4,135	0	0	0	0		

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SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB247	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	2,500,000	2777.479/2842.929	0	34,750	0	43,255		43,255	8,505	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB248	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2020	04/08/2021	0	4,600,000	2804.979/2959.253	0	135,240	0	180,355		180,355	45,115	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB254	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2020	04/16/2021	0	1,000,000	2,799.55	0	10,000	0	9,124		9,124	(876)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB255	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	1,300,000	2827.545/2896.694	0	19,370	0	22,641		22,641	3,271	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB256	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	04/16/2020	04/16/2021	0	3,400,000	2855.541/3001.677	0	93,840	0	120,676		120,676	26,836	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB262	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	2,000,000	2865.107/2936.025	0	29,400	0	34,400		34,400	5,000	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB263	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	3,200,000	2893.474/3040.985	0	89,600	0	110,844		110,844	21,244	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB264	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2020	04/23/2021	0	1,000,000	2,836.74	0	7,900	0	7,110		7,110	(790)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB275	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,100,000	2859.017/2940.824	0	36,330	0	41,668		41,668	5,338	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB276	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2020	04/30/2021	0	2,900,000	2887.324/3032.539	0	82,070	0	99,377		99,377	17,307	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB281	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2020	05/07/2021	0	2,000,000	2959.098/3052.265	0	37,000	0	41,595		41,595	4,595	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB282	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2020	05/07/2021	0	3,700,000	2988.396/3140.452	0	102,120	0	119,275		119,275	17,155	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB288	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	12,000	0	11,183		11,183	(817)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB289	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/15/2020	05/14/2021	0	1,400,000	2892.337/2982.829	0	25,900	0	29,562		29,562	3,662	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB290	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	05/15/2020	05/14/2021	0	5,400,000	2920.974/3070.745	0	151,740	0	184,169		184,169	32,429	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB291	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/15/2020	05/14/2021	0	1,000,000	2,863.70	0	8,300	0	6,662		6,662	(1,638)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB297	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/26/2020	05/26/2021	0	1,800,000	3021.687/3119.817	0	34,740	0	36,100		36,100	1,360	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB298	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/26/2020	05/26/2021	0	4,200,000	3051.605/3208.673	0	119,280	0	128,926		128,926	9,646	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB307	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	06/01/2020	06/01/2021	0	1,000,000	3086.287/3177.348	0	17,800	0	17,248		17,248	(552)	0	0	0	0		

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB308	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/01/2020	06/01/2021	0	3,000,000	3116.844/3279.409	0	85,800	0	89,480		89,480	3,680	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB313	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/08/2020	06/08/2021	0	1,400,000	3264.713/3351.342	0	22,120	0	18,515		18,515	(3,605)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB314	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/08/2020	06/08/2021	0	2,800,000	3297.037/3469.324	0	78,120	0	62,972		62,972	(15,148)	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB315	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	06/08/2020	06/08/2021	0	1,000,000	3,232.39	0	7,300	0	1,711		1,711	(5,589)	0	0	0	0		
S&P 500 INDEX DIGITAL_1YR 853SPB321	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMJMCJFT09	06/16/2020	06/16/2021	0	1,000,000	3,124.74	0	13,100	0	10,475		10,475	(2,625)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB322	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/16/2020	06/16/2021	0	1,500,000	3155.987/3250.979	0	27,000	0	26,059		26,059	(941)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB323	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/16/2020	06/16/2021	0	3,500,000	3187.234/3351.283	0	99,050	0	96,614		96,614	(2,436)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB329	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	06/24/2020	06/24/2021	0	1,900,000	3080.833/3175.088	0	35,150	0	33,785		33,785	(1,365)	0	0	0	0		
S&P 500 INDEX CALLSPREAD_1YR 853SPB330	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/24/2020	06/24/2021	0	3,100,000	3111.336/3273.919	0	90,210	0	93,474		93,474	3,264	0	0	0	0		
S&P 500 INDEX CLIQUET_1YR 853SPB331	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	06/24/2020	06/24/2021	0	1,000,000	3,050.33	0	7,000	0	4,445		4,445	(2,555)	0	0	0	0		
0159999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0429999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX
0449999999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0499999999. Total Purchased Options										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX



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SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un- discounted Premium (Received) Paid	Current Year Initial Cost of Un- discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti- zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0989999999. Total Written Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										2,901,210	2,884,850	0	5,808,236	XXX	5,808,236	(857,661)	0	0	0	0	XXX	XXX

(a)

Code	Description of Hedged Risk(s)
E .....	EQUITY INDEX .....

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

## SCHEDULE DB - PART D - SECTION 1

[illegible]

## Collateral for Derivative Instruments Open as of Current Statement Date

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
			NONE					
0199999999 - Total							XXX	XXX

1		2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)	
CREDIT SUISSE	E58DKGMJYYYJLN8C3868	Other.....	Money Market Fund .....	900,000	900,000	XXX	.01/01/2021	V	
SUNTRUST CAPITAL	IYDOJBGJIWY9T8XKCSX06	Other.....	Money Market Fund .....	1,840,000	1,840,000	XXX	.01/01/2021	V	
WELLS FARGO	KB1H1DSPRFMYMCMCFXT09	Other.....	Money Market Fund .....	2,300,000	2,300,000	XXX	.01/01/2021	V	
<b>0299999999 - Total</b>				5,040,000	5,040,000	XXX	XXX	XXX	

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Key Bank, NA ..... Albany, NY .....					632,895	779,156	493,960	XXX
Moody National Bank ..... Galveston, TX .....					3,095,742	1,635,001	2,786,962	XXX
Wells Fargo ..... Houston, TX .....					(6,692,485)	(4,749,732)	(5,500,960)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(2,963,848)	(2,335,575)	(2,220,038)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(2,963,848)	(2,335,575)	(2,220,038)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	(2,963,848)	(2,335,575)	(2,220,038)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]