ANNUAL STATEMENT

OF THE

American National Life Insurance Company of New York

TO THE

Insurance Department

OF THE

STATE OF

FOR THE YEAR ENDED
DECEMBER 31, 2019

[X] LIFE, ACCIDENT AND HEALTH [ ] FRATERNAL BENEFIT SOCIETIES

2019

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LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2019

OF THE CONDITION AND AFFAIRS OF THE

American National Life Insurance Company of New York

NAIC Group Code 0408 (Current) 0408 (Prior) NAIC Company Code 63126 Employer's ID Number 14-1400831

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 10/20/1953 Commenced Business 01/20/1954

Statutory Home Office 344 Route 9W (Street and Number), Glenmont, NY, US 12077 (City or Town, State, Country and Zip Code)

Main Administrative Office One Moody Plaza (Street and Number)

Galveston, TX, US 77550 (City or Town, State, Country and Zip Code), 800-392-0644 (Area Code) (Telephone Number)

Mail Address P.O. Box 656 (Street and Number or P.O. Box), Albany, NY, US 12201-0656 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 344 Route 9W (Street and Number)

Glenmont, NY, US 12077 (City or Town, State, Country and Zip Code), 518-431-5201 (Area Code) (Telephone Number)

Internet Website Address www.americannational.com

Statutory Statement Contact Jennifer Jo Duncan (Name), 518-431-5201 (Area Code) (Telephone Number)

jennifer.duncan@americannational.com (E-mail Address), 518-431-5978 (FAX Number)

OFFICERS

President & Chief Marketing Officer	David Alan Behrens	Vice President, Controller & Treasurer	Michelle Annette Gage
Vice President, Corporate Secretary	John Mark Flippin	Senior Vice President, Actuary and Chief Risk Officer New York	Kathryn Lentivech FSA #

OTHER

James Edward Pozzi, Chairman & Chief Executive Officer	Johnny David Johnson, Executive Vice President, Chief Administrative Officer & Chief Information Officer	James Walter Pangburn, Executive Vice President, Specialty Markets Group
John Frederick Simon, Executive Vice President & Chief Actuary	Shannon Lee Smith, Executive Vice President, Chief Agencies Officer, Multiple Line	Timothy Allen Walsh, Executive Vice President & Chief Financial Officer
Dwain Allen Akins, Senior Vice President, Chief Compliance Officer	Michele Mackay Bartkowski, Senior Vice President, Finance	Scott Frank Brast, Senior Vice President, Real Estate/Mortgage Loan
Scott Christopher Campbell, Senior Vice President, Chief Client Officer	Lee Chadwick Ferrell, Senior Vice President, IMG Marketing Operations	James Lee Flinn #, Senior Vice President, Chief Risk Officer and P&C Actuarial
Deborah Kay Janson #, Senior Vice President, Corporate Office	Sara Liane Latham, Senior Vice President & Actuary	Anne Marie LeMire, Senior Vice President, Fixed Income & Equity Investments
Bradley Wayne Manning, Senior Vice President, Life Claims & Customer Contact Center	Michael Scott Marquis, Senior Vice President, Life Underwriting	Meredith Myron Mitchell, Senior Vice President, Application Development & Support
Michael Scott Nimmons, Senior Vice President, Internal Audit Services	Edward Bruce Pavelka, Senior Vice President, Life New Business & Administration	Ronald Clark Price, Senior Vice President, Career Life Agencies, Multiple Line
Patrick Anthony Smith, Senior Vice President, Multiple Line Field Operations		

DIRECTORS OR TRUSTEES

David Alan Behrens	Irwin Max Herz Jr.	Johnny David Johnson
Ashild Ingrid Moody	Edward Joseph Muhl	Matthew Richard Ostiguy
Elvin Jerome Pederson	James Edward Pozzi	John Frederick Simon
Timothy Allen Walsh	James Daniel Yarbrough	

State of Texas
County of Galveston SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Alan Behrens	John Mark Flippin	Michelle Annette Gage
President & Chief Marketing Officer	Vice President, Corporate Secretary	Vice President, Controller & Treasurer

Subscribed and sworn to before me this 10th day of February, 2020

a. Is this an original filing? ..... Yes [X] No [ ]
b. If no,
1. State the amendment number .....
2. Date filed .....
3. Number of pages attached .....

ALICE YBARRA
Notary Public, State of Texas
Comm. Expires 03-20-2021
Notary ID 125123427

ASSETS

		Current Year			Prior Year
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1.	Bonds (Schedule D)	2,081,294,482		2,081,294,482	1,796,863,482
2.	Stocks (Schedule D):				
	2.1 Preferred stocks	4,101,988		4,101,988	4,101,988
	2.2 Common stocks	61,261		61,261	111,677,055
3.	Mortgage loans on real estate (Schedule B):				
	3.1 First liens	418,989,331	0	418,989,331	349,712,567
	3.2 Other than first liens			0	
4.	Real estate (Schedule A):				
	4.1 Properties occupied by the company (less $ 0 encumbrances)	5,400,281	0	5,400,281	5,627,959
	4.2 Properties held for the production of income (less $ 0 encumbrances)			0	1,918,439
	4.3 Properties held for sale (less $ 0 encumbrances)			0	
5.	Cash ($ (312,982) , Schedule E - Part 1), cash equivalents ($ 137,152,112 , Schedule E - Part 2) and short-term investments ($ 3,482,357 , Schedule DA)	140,321,487		140,321,487	8,705,292
6.	Contract loans (including $ premium notes)	37,002,704	0	37,002,704	37,018,472
7.	Derivatives (Schedule DB)	11,108,748		11,108,748	1,884,167
8.	Other invested assets (Schedule BA)	30,733,360		30,733,360	9,477,241
9.	Receivables for securities	60,220	0	60,220	0
10.	Securities lending reinvested collateral assets (Schedule DL)			0	
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	2,729,073,862	0	2,729,073,862	2,326,986,662
13.	Title plants less $ charged off (for Title insurers only)			0	
14.	Investment income due and accrued	23,132,835	0	23,132,835	19,705,239
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	629,511	64,748	564,763	600,690
	15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including $ earned but unbilled premiums)	13,366,495	0	13,366,495	13,605,513
	15.3 Accrued retrospective premiums ($) and contracts subject to redetermination ($)			0	
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers	583,659	0	583,659	584,652
	16.2 Funds held by or deposited with reinsured companies			0	
	16.3 Other amounts receivable under reinsurance contracts	2	0	2	14
17.	Amounts receivable relating to uninsured plans			0	
18.1	Current federal and foreign income tax recoverable and interest thereon		0	0	208,726
18.2	Net deferred tax asset	28,181,434	23,636,667	4,544,767	0
19.	Guaranty funds receivable or on deposit	17,464	0	17,464	35,988
20.	Electronic data processing equipment and software			0	
21.	Furniture and equipment, including health care delivery assets ($)	377,390	377,390	0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	
23.	Receivables from parent, subsidiaries and affiliates	7,476,081	0	7,476,081	2,035,664
24.	Health care ($) and other amounts receivable	920,934	920,934	0	0
25.	Aggregate write-ins for other than invested assets	2,220,676	1,773,009	447,667	3,549,598
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	2,805,980,344	26,772,748	2,779,207,596	2,367,312,746
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28.	Total (Lines 26 and 27)	2,805,980,344	26,772,748	2,779,207,596	2,367,312,746
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Prepaid pension cost	3,055,936	3,055,936	0	
2502.	Other receivables	421,195	104,595	316,600	3,391,420
2503.	Prepaid state premium taxes	131,067		131,067	158,178
2598.	Summary of remaining write-ins for Line 25 from overflow page	(1,387,522)	(1,387,522)	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	2,220,676	1,773,009	447,667	3,549,598

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Year	2 Prior Year
1.	Aggregate reserve for life contracts $ 2,372,430,114 (Exh. 5, Line 9999999) less $ included in Line 6.3 (including $ Modco Reserve)	2,372,430,114	1,967,234,824
2.	Aggregate reserve for accident and health contracts (including $ 0 Modco Reserve)	14,319,422	15,361,846
3.	Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including $ Modco Reserve)	78,844,832	76,798,073
4.	Contract claims:		
	4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	8,944,655	10,456,725
	4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)	216,409	131,082
5.	Policyholders' dividends/refunds to members $ 106,164 and coupons $ due and unpaid (Exhibit 4, Line 10)	106,164	0
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including $ Modco)	7,151,009	10,102,417
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including $ Modco)		
	6.3 Coupons and similar benefits (including $ Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $ discount; including $ 32,429 accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)	199,926	199,194
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of $ accident and health experience rating refunds of which $ is for medical loss ratio rebate per the Public Health Service Act		
	9.3 Other amounts payable on reinsurance, including $ assumed and $ 1,145,561 ceded	1,145,561	1,162,486
	9.4 Interest maintenance reserve (IMR, Line 6)	55,245	1,256,511
10.	Commissions to agents due or accrued-life and annuity contracts $ 91,031 accident and health $ 396,286 and deposit-type contract funds $	487,317	579,553
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	242,851	278,617
13.	Transfers to Separate Accounts due or accrued (net) (including $ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	315,102	362,613
15.1	Current federal and foreign income taxes, including $ 9,603,071 on realized capital gains (losses)	13,301,236	0
15.2	Net deferred tax liability		6,244,926
16.	Unearned investment income	1,038,434	1,029,727
17.	Amounts withheld or retained by reporting entity as agent or trustee	3,232,590	1,393,641
18.	Amounts held for agents' account, including $ 64,677 agents' credit balances	64,677	85,436
19.	Remittances and items not allocated	2,049,490	3,799,320
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money $ and interest thereon $		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	30,173,187	25,737,438
	24.02 Reinsurance in unauthorized and certified ($ 0) companies	555,158	1,621,543
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates	1,672,532	2,888,040
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives	0	
	24.09 Payable for securities	109,250	137,800
	24.10 Payable for securities lending		
	24.11 Capital notes $ and interest thereon $		
25.	Aggregate write-ins for liabilities	13,654,397	3,402,639
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,550,309,558	2,130,264,450
27.	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	2,550,309,558	2,130,264,450
29.	Common capital stock	5,000,550	5,000,550
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds	0	0
32.	Surplus notes	0	
33.	Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	58,300,471	58,300,471
34.	Aggregate write-ins for special surplus funds	0	0
35.	Unassigned funds (surplus)	165,597,017	173,747,275
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 29 $)		
	36.2 shares preferred (value included in Line 30 $)		
37.	Surplus (Total Lines 31+32+33+34+35-36) (including $ in Separate Accounts Statement)	223,897,488	232,047,746
38.	Totals of Lines 29, 30 and 37 (Page 4, Line 55)	228,898,038	237,048,296
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	2,779,207,596	2,367,312,746
	DETAILS OF WRITE-INS		
2501.	Liability on derivative collateral	11,210,000	1,720,000
2502.	Uncashed check reserve	2,444,397	1,682,153
2503.	Miscellaneous investment liabiliites	0	486
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	13,654,397	3,402,639
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page	0	0
3199.	Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)	0	0
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0
3499.	Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

		1 Current Year	2 Prior Year
1.	Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	437,799,103	262,310,531
2.	Considerations for supplementary contracts with life contingencies	1,966,035	1,230,155
3.	Net investment income (Exhibit of Net Investment Income, Line 17)	105,970,470	89,903,450
4.	Amortization of Interest Maintenance Reserve (IMR, Line 5)	268,517	510,600
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0	
6.	Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)	621,550	674,948
7.	Reserve adjustments on reinsurance ceded	0	
8.	Miscellaneous Income:		
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	
	8.2 Charges and fees for deposit-type contracts	0	
	8.3 Aggregate write-ins for miscellaneous income	6,531	15,673
9.	Total (Lines 1 to 8.3)	546,632,206	354,645,357
10.	Death benefits	28,032,027	30,126,332
11.	Matured endowments (excluding guaranteed annual pure endowments)	260,937	233,501
12.	Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)	29,784,480	24,619,139
13.	Disability benefits and benefits under accident and health contracts	2,707,580	2,633,422
14.	Coupons, guaranteed annual pure endowments and similar benefits	0	
15.	Surrender benefits and withdrawals for life contracts	56,977,549	65,404,384
16.	Group conversions	0	
17.	Interest and adjustments on contract or deposit-type contract funds	2,357,852	3,307,480
18.	Payments on supplementary contracts with life contingencies	3,302,459	3,291,920
19.	Increase in aggregate reserves for life and accident and health contracts	403,662,111	190,876,714
20.	Totals (Lines 10 to 19)	527,084,995	320,492,892
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)	19,877,572	16,299,904
22.	Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)	0	
23.	General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6)	12,174,584	11,480,512
24.	Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	2,052,365	1,785,582
25.	Increase in loading on deferred and uncollected premiums	(60,862)	(293,128)
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0	
27.	Aggregate write-ins for deductions	10,237	13,697
28.	Totals (Lines 20 to 27)	561,138,891	349,779,459
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(14,506,685)	4,865,898
30.	Dividends to policyholders and refunds to members	6,804,096	9,797,157
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(21,310,781)	(4,931,259)
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	6,932,434	5,073,498
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(28,243,215)	(10,004,757)
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ 18,249,486 (excluding taxes of $ (247,946) transferred to the IMR)	72,965,577	8,921,559
35.	Net income (Line 33 plus Line 34)	44,722,362	(1,083,198)
	CAPITAL AND SURPLUS ACCOUNT		
36.	Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	237,048,296	250,687,145
37.	Net income (Line 35)	44,722,362	(1,083,198)
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ (12,554,517)	(47,259,133)	(20,074,058)
39.	Change in net unrealized foreign exchange capital gain (loss)		
40.	Change in net deferred income tax	11,061,472	6,937,152
41.	Change in nonadmitted assets	(14,702,789)	(6,817,143)
42.	Change in liability for reinsurance in unauthorized and certified companies	1,066,385	(1,238,485)
43.	Change in reserve on account of change in valuation basis, (increase) or decrease	0	0
44.	Change in asset valuation reserve	(4,435,749)	7,528,830
45.	Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)	0	
46.	Surplus (contributed to) withdrawn from Separate Accounts during period		
47.	Other changes in surplus in Separate Accounts Statement		
48.	Change in surplus notes		
49.	Cumulative effect of changes in accounting principles		
50.	Capital changes:		
	50.1 Paid in		
	50.2 Transferred from surplus (Stock Dividend)		
	50.3 Transferred to surplus		
51.	Surplus adjustment:		
	51.1 Paid in	0	0
	51.2 Transferred to capital (Stock Dividend)		
	51.3 Transferred from capital		
	51.4 Change in surplus as a result of reinsurance		
52.	Dividends to stockholders		
53.	Aggregate write-ins for gains and losses in surplus	1,397,194	1,108,053
54.	Net change in capital and surplus for the year (Lines 37 through 53)	(8,150,258)	(13,638,849)
55.	Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	228,898,038	237,048,296
	DETAILS OF WRITE-INS		
08.301.	Miscellaneous income	6,531	15,673
08.302.			
08.303.			
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)	6,531	15,673
2701.	Fines and penalties to regulatory authorities	10,176	13,639
2702.	Miscellaneous	61	58
2703.			
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)	10,237	13,697
5301.	Change in pension and post retirement plans net of deferred tax	1,024,268	1,041,555
5302.	Change in deferred tax on non admitted items	372,926	66,498
5303.			
5398.	Summary of remaining write-ins for Line 53 from overflow page	0	0
5399.	Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)	1,397,194	1,108,053

CASH FLOW

		1 Current Year	2 Prior Year
	Cash from Operations		
1.	Premiums collected net of reinsurance	440,069,976	263,932,432
2.	Net investment income	104,180,222	90,339,451
3.	Miscellaneous income	628,093	690,659
4.	Total (Lines 1 through 3)	544,878,290	354,962,542
5.	Benefit and loss related payments	122,134,580	123,498,087
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	34,249,897	29,205,423
8.	Dividends paid to policyholders	9,649,340	6,046,555
9.	Federal and foreign income taxes paid (recovered) net of $ 8,355,547 tax on capital gains (losses)	11,424,011	5,729,166
10.	Total (Lines 5 through 9)	177,457,828	164,479,231
11.	Net cash from operations (Line 4 minus Line 10)	367,420,462	190,483,311
	Cash from Investments		
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	164,634,578	149,785,514
	12.2 Stocks	134,217,471	12,487,066
	12.3 Mortgage loans	44,141,980	13,964,588
	12.4 Real estate	1,752,703	0
	12.5 Other invested assets	3,002,725	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0
	12.7 Miscellaneous proceeds	828,687	1,086,320
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	348,578,144	177,323,488
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	452,874,540	214,662,625
	13.2 Stocks	599,415	5,434,145
	13.3 Mortgage loans	112,401,323	146,854,516
	13.4 Real estate	309,828	2,668,304
	13.5 Other invested assets	24,249,362	4,791,356
	13.6 Miscellaneous applications	88,770	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	590,523,238	374,410,946
14.	Net increase (decrease) in contract loans and premium notes	(15,768)	(3,020,203)
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(241,929,326)	(194,067,255)
	Cash from Financing and Miscellaneous Sources		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0
	16.3 Borrowed funds	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(168,686)	(5,692,883)
	16.5 Dividends to stockholders	0	0
	16.6 Other cash provided (applied)	6,293,746	(7,194,690)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	6,125,060	(12,887,573)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	131,616,196	(16,471,517)
19.	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	8,705,292	25,176,809
	19.2 End of year (Line 18 plus Line 19.1)	140,321,487	8,705,292

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Real estate acquired in satisfaction of debt.	0	1,940,150

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

		1 Total	2 Individual Life	3 Group Life	4 Individual Annuities	5 Group Annuities	6 Accident and Health	7 Fraternal	8 Other Lines of Business	9 YRT Mortality Risk Only
1.	Premiums and annuity considerations for life and accident and health contracts	437,799,103	53,590,464	773,604	380,296,051		3,138,984			0
2.	Considerations for supplementary contracts with life contingencies	1,966,035	XXX	XXX	1,966,035	0	XXX	XXX		XXX
3.	Net investment income	105,970,470	38,014,291	(14,805)	66,811,762	237,570	921,652			0
4.	Amortization of Interest Maintenance Reserve (IMR)	268,517	96,624	11,843	156,974	976	2,099			0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0				XXX		0
6.	Commissions and expense allowances on reinsurance ceded	621,550	300,292	0			321,258	XXX	0	0
7.	Reserve adjustments on reinsurance ceded	0	0	0				XXX		0
8.	Miscellaneous Income:									
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0				XXX		0
	8.2 Charges and fees for deposit-type contracts	0	0	0			XXX	XXX		0
	8.3 Aggregate write-ins for miscellaneous income	6,531	4,960	89	957	0	525	0	0	0
9.	Totals (Lines 1 to 8.3)	546,632,205	92,006,631	770,731	449,231,779	238,546	4,384,518	0	0	0
10.	Death benefits	28,032,027	27,407,843	624,184			XXX	XXX		0
11.	Matured endowments (excluding guaranteed annual pure endowments)	260,937	260,937	0			XXX	XXX		0
12.	Annuity benefits	29,784,480	XXX	XXX	29,784,480		XXX	XXX		XXX
13.	Disability benefits and benefits under accident and health contracts	2,707,580	520,980	0			2,186,600	XXX		0
14.	Coupons, guaranteed annual pure endowments and similar benefits	0	0	0				XXX		0
15.	Surrender benefits and withdrawals for life contracts	56,977,550	18,290,232	0	38,687,317		XXX	XXX		0
16.	Group conversions	0	0	0				XXX		0
17.	Interest and adjustments on contract or deposit-type contract funds	2,357,852	1,075,489	0	1,124,596	157,576	191	XXX		0
18.	Payments on supplementary contracts with life contingencies	3,302,459	0	0	3,302,459		XXX	XXX		0
19.	Increase in aggregate reserves for life and accident and health contracts	403,662,111	37,199,310	55,166	367,940,814		(1,533,179)	XXX		0
20.	Totals (Lines 10 to 19)	527,084,995	84,754,791	679,350	440,839,666	157,576	653,612	XXX	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	19,877,572	5,629,703	132,780	13,489,889	2,251	622,949	0	0	XXX
22.	Commissions and expense allowances on reinsurance assumed	0	0	0			0	XXX	0	0
23.	General insurance expenses and fraternal expenses	12,174,584	8,870,336	69,602	2,865,519	8,558	360,569			0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	2,052,365	1,497,236	23,982	362,471	24,116	144,560			0
25.	Increase in loading on deferred and uncollected premiums	(60,862)	(60,862)	0				XXX		0
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0				XXX		0
27.	Aggregate write-ins for deductions	10,237	8,350	0	1,545	0	342	0	0	0
28.	Totals (Lines 20 to 27)	561,138,891	100,699,554	905,714	457,559,090	192,501	1,782,032	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(14,506,685)	(8,692,923)	(134,983)	(8,327,311)	46,045	2,602,486	0	0	0
30.	Dividends to policyholders and refunds to members	6,804,096	6,804,096	0			0	XXX		0
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(21,310,782)	(15,497,019)	(134,983)	(8,327,311)	46,045	2,602,486	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	6,932,431	1,531,300	(34,312)	4,884,879	9,781	540,783			0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(28,243,213)	(17,028,319)	(100,671)	(13,212,190)	36,264	2,061,703	0	0	0
34.	Policies/certificates in force end of year	95,721	64,882	3,832	19,684	12	7,311	XXX		0
	DETAILS OF WRITE-INS									
08.301.	Miscellaneous income	6,531	4,960	89	957		525			
08.302.										
08.303.										
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	6,531	4,960	89	957	0	525	0	0	0
2701.	Fines and penalties to regulatory authorities	10,176	8,300		1,536		340			
2702.	Miscellaneous	61	50		9		2			
2703.										
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	10,237	8,350	0	1,545	0	342	0	0	0

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

		1 Total	2 Industrial Life	3 Whole Life	4 Term Life	5 Indexed Life	6 Universal Life	7 Universal Life With Secondary Guarantees	8 Variable Life	9 Variable Universal Life	10 Credit Life (c)	11 Other Individual Life	12 YRT Mortality Risk Only
1.	Premiums for life contracts (a)	53,590,464		27,068,904	13,960,713	1,694,113	4,800,446	6,066,287					
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	38,014,291		24,087,975	2,559,432	38,671	7,783,483	3,544,730			0		
4.	Amortization of Interest Maintenance Reserve (IMR)	96,624		58,285	6,983	33	22,455	8,868					
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0											
6.	Commissions and expense allowances on reinsurance ceded	300,292	0	320	299,862	7		103			0		
7.	Reserve adjustments on reinsurance ceded	0											
8.	Miscellaneous Income:												
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0											
	8.2 Charges and fees for deposit-type contracts	0											
	8.3 Aggregate write-ins for miscellaneous income	4,960	0	3,496	635	35	534	260	0	0	0	0	0
9.	Totals (Lines 1 to 8.3)	92,006,632	0	51,218,980	16,827,625	1,732,860	12,606,918	9,620,249	0	0	0	0	0
10.	Death benefits	27,407,843		10,799,899	5,621,193	0	9,222,251	1,764,500					
11.	Matured endowments (excluding guaranteed annual pure endowments)	260,937		192,096		0	68,841	0			0		
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	520,980		220,849	107,943	0	192,188	0			0		
14.	Coupons, guaranteed annual pure endowments and similar benefits	0											
15.	Surrender benefits and withdrawals for life contracts	18,290,232		12,056,234			5,522,034	711,964					
16.	Group conversions	0											
17.	Interest and adjustments on contract or deposit-type contract funds	1,075,489		1,018,175	16,703	0	27,147	13,464			0		
18.	Payments on supplementary contracts with life contingencies	0											
19.	Increase in aggregate reserves for life and accident and health contracts	37,199,310		17,589,084	4,775,416	693,317	6,609,535	7,531,958					
20.	Totals (Lines 10 to 19)	84,754,791	0	41,876,337	10,521,254	693,317	21,641,996	10,021,886	0	0	0	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	5,629,703	0	2,119,206	628,999	922,836	174,883	1,783,779					XXX
22.	Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	0			0		
23.	General insurance expenses	8,870,336		5,058,300	1,422,823	291,236	628,843	1,469,135					
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,497,236		626,884	456,654	40,871	141,498	231,330					
25.	Increase in loading on deferred and uncollected premiums	(60,862)		65,100	(125,962)	0	0	0			0		
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0											
27.	Aggregate write-ins for deductions	8,350	0	5,953	343	0	1,710	343	0	0	0	0	0
28.	Totals (Lines 20 to 27)	100,699,554	0	49,751,780	12,904,111	1,948,261	22,588,929	13,506,473	0	0	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(8,692,922)	0	1,467,200	3,923,514	(215,401)	(9,982,011)	(3,886,224)	0	0	0	0	0
30.	Dividends to policyholders and refunds to members	6,804,096		6,792,123	11,973	0	0	0			0		
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(15,497,018)	0	(5,324,923)	3,911,541	(215,401)	(9,982,011)	(3,886,224)	0	0	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	1,531,300		188,113	1,365,162	(38,378)	286,624	(270,221)			0		
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(17,028,318)	0	(5,513,036)	2,546,379	(177,023)	(10,268,635)	(3,616,003)	0	0	0	0	0
34.	Policies/certificates in force end of year	64,882		39,730	16,550	584	6,575	1,443					
	DETAILS OF WRITE-INS												
08.301.	Miscellaneous income	4,960		3,496	635	35	534	260					
08.302.													
08.303.													
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	4,960	0	3,496	635	35	534	260	0	0	0	0	0
2701.	Fines and penalties to regulatory authorities	8,299		5,903	343	0	1,710	343					
2702.	Miscellaneous	50		50									
2703.													
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	8,349	0	5,953	343	0	1,710	343	0	0	0	0	0

(a) Include premium amounts for preneed plans included in Line 1

(b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP LIFE INSURANCE (c)

		1 Total	2 Whole Life	3 Term Life	4 Universal Life	5 Variable Life	6 Variable Universal Life	7 Credit Life (d)	8 Other Group Life (a)	9 YRT Mortality Risk Only
1.	Premiums for life contracts (b)	773,604						773,604		
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	(14,805)						(14,805)		
4.	Amortization of Interest Maintenance Reserve (IMR)	11,843						11,843		
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0						0		
6.	Commissions and expense allowances on reinsurance ceded	0						0		
7.	Reserve adjustments on reinsurance ceded	0						0		
8.	Miscellaneous Income:									
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0						0		
	8.2 Charges and fees for deposit-type contracts	0						0		
	8.3 Aggregate write-ins for miscellaneous income	89	0	0	0	0	0	89	0	0
9.	Totals (Lines 1 to 8.3)	770,731	0	0	0	0	0	770,731	0	0
10.	Death benefits	624,184						624,184		
11.	Matured endowments (excluding guaranteed annual pure endowments)	0						0		
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	0						0		
14.	Coupons, guaranteed annual pure endowments and similar benefits	0						0		
15.	Surrender benefits and withdrawals for life contracts	0								
16.	Group conversions	0						0		
17.	Interest and adjustments on contract or deposit-type contract funds	0						0		
18.	Payments on supplementary contracts with life contingencies	0						0		
19.	Increase in aggregate reserves for life and accident and health contracts	55,166						55,166		
20.	Totals (Lines 10 to 19)	679,350	0	0	0	0	0	679,350	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	132,780						132,780		XXX
22.	Commissions and expense allowances on reinsurance assumed	0						0		
23.	General insurance expenses	69,602						69,602		
24.	Insurance taxes, licenses and fees, excluding federal income taxes	23,982						23,982		
25.	Increase in loading on deferred and uncollected premiums	0						0		
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0						0		
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	905,714	0	0	0	0	0	905,714	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(134,983)	0	0	0	0	0	(134,983)	0	0
30.	Dividends to policyholders and refunds to members	0						0		
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(134,983)	0	0	0	0	0	(134,983)	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	(34,312)						(34,312)		
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(100,671)	0	0	0	0	0	(100,671)	0	0
34.	Policies/certificates in force end of year	3,832						3,832		
	DETAILS OF WRITE-INS									
08.301.	Miscellaneous income	89						89		
08.302.										
08.303.										
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	89	0	0	0	0	0	89	0	0
2701.										
2702.										
2703.										
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0	0	0

(a) Includes the following amounts for FEGLI/SGLI: Line 1 ..... , Line 10 ..... , Line 16 ..... , Line 23 ..... , Line 24 .....

(b) Include premium amounts for preneed plans included in Line 1 .....

(c) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. .....

(d) Individual and Group Credit Life are combined and included on ..... page. (Indicate whether included with Individual or Group.)

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL ANNUITIES [a]

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees		Other Annuities
1.	Premiums for individual annuity contracts	380,296,051	328,958,685	42,425,568			8,911,799	0
2.	Considerations for supplementary contracts with life contingencies	1,966,035	XXX	XXX	XXX	XXX	1,966,035	XXX
3.	Net investment income	66,811,762	43,733,522	15,457,759			6,883,093	737,388
4.	Amortization of Interest Maintenance Reserve (IMR)	156,974	107,561	32,524			15,676	1,212
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0						
6.	Commissions and expense allowances on reinsurance ceded	0						
7.	Reserve adjustments on reinsurance ceded	0						
8.	Miscellaneous Income:							
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0						
	8.2 Charges and fees for deposit-type contracts	0						
	8.3 Aggregate write-ins for miscellaneous income	957	628	293	0	0	36	0
9.	Totals (Lines 1 to 8.3)	449,231,779	372,800,396	57,916,143	0	0	17,776,638	738,601
10.	Death benefits	0						
11.	Matured endowments (excluding guaranteed annual pure endowments)	0						
12.	Annuity benefits	29,784,480	17,030,005	3,623,718			9,130,757	
13.	Disability benefits and benefits under accident and health contracts	0						
14.	Coupons, guaranteed annual pure endowments and similar benefits	0						
15.	Surrender benefits and withdrawals for life contracts	38,687,317	28,032,327	10,654,990			0	0
16.	Group conversions	0						
17.	Interest and adjustments on contract or deposit-type contract funds	1,124,596	12,495	138			0	1,111,963
18.	Payments on supplementary contracts with life contingencies	3,302,459	0	0			3,302,459	0
19.	Increase in aggregate reserves for life and accident and health contracts	367,940,814	319,130,626	46,464,538			2,345,649	0
20.	Totals (Lines 10 to 19)	440,839,666	364,205,454	60,743,384	0	0	14,778,866	1,111,963
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	13,489,889	9,859,375	3,098,799			353,801	177,913
22.	Commissions and expense allowances on reinsurance assumed	0						
23.	General insurance expenses	2,865,519	1,985,804	679,432			197,096	3,187
24.	Insurance taxes, licenses and fees, excluding federal income taxes	362,471	182,998	167,431			18,721	(6,679)
25.	Increase in loading on deferred and uncollected premiums	0						
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0						
27.	Aggregate write-ins for deductions	1,545	1,545	0	0	0	0	0
28.	Totals (Lines 20 to 27)	457,559,090	376,235,176	64,689,045	0	0	15,348,484	1,286,384
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(8,327,311)	(3,434,780)	(6,772,902)	0	0	2,428,154	(547,783)
30.	Dividends to policyholders and refunds to members	0						
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(8,327,311)	(3,434,780)	(6,772,902)	0	0	2,428,154	(547,783)
32.	Federal income taxes incurred (excluding tax on capital gains)	4,884,879	4,261,565	109,156			630,527	(116,369)
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(13,212,190)	(7,696,345)	(6,882,058)	0	0	1,797,627	(431,414)
34.	Policies/certificates in force end of year	19,684	13,735	3,816			1,433	700
	DETAILS OF WRITE-INS							
08.301.	Miscellaneous income	957	628	293			36	
08.302.								
08.303.								
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	957	628	293	0	0	36	0
2701.	Fines and penalties to regulatory agencies	1,536	1,536					
2702.	Miscellaneous	9	9					
2703.								
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	1,545	1,545	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP ANNUITIES (a)

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees		Other Annuities
1.	Premiums for group annuity contracts	0						
2.	Considerations for supplementary contracts with life contingencies	0	XXX	XXX	XXX	XXX		XXX
3.	Net investment income	237,570	237,570					
4.	Amortization of Interest Maintenance Reserve (IMR)	976	976					
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0						
6.	Commissions and expense allowances on reinsurance ceded	0						
7.	Reserve adjustments on reinsurance ceded	0						
8.	Miscellaneous Income:							
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0						
	8.2 Charges and fees for deposit-type contracts	0						
	8.3 Aggregate write-ins for miscellaneous income	0	0	0	0	0	0	0
9.	Totals (Lines 1 to 8.3)	238,546	238,546	0	0	0	0	0
10.	Death benefits	0						
11.	Matured endowments (excluding guaranteed annual pure endowments)	0						
12.	Annuity benefits	0						
13.	Disability benefits and benefits under accident and health contracts	0						
14.	Coupons, guaranteed annual pure endowments and similar benefits	0						
15.	Surrender benefits and withdrawals for life contracts	0						
16.	Group conversions	0						
17.	Interest and adjustments on contract or deposit-type contract funds	157,576	157,576					
18.	Payments on supplementary contracts with life contingencies	0						
19.	Increase in aggregate reserves for life and accident and health contracts	0						
20.	Totals (Lines 10 to 19)	157,576	157,576	0	0	0	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	2,251	2,251					
22.	Commissions and expense allowances on reinsurance assumed	0						
23.	General insurance expenses	8,558	8,558					
24.	Insurance taxes, licenses and fees, excluding federal income taxes	24,116	24,116					
25.	Increase in loading on deferred and uncollected premiums	0						
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0						
27.	Aggregate write-ins for deductions	0	0	0	0	0	0	0
28.	Totals (Lines 20 to 27)	192,501	192,501	0	0	0	0	0
29.	Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	46,045	46,045	0	0	0	0	0
30.	Dividends to policyholders and refunds to members	0						
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	46,045	46,045	0	0	0	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	9,781	9,781					
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	36,264	36,264	0	0	0	0	0
34.	Policies/certificates in force end of year	12	12					
	DETAILS OF WRITE-INS							
08.301.								
08.302.								
08.303.								
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	0	0	0	0	0	0	0
2701.								
2702.								
2703.								
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - ACCIDENT AND HEALTH (a)

		1	Comprehensive		4	5	6	7	8	9	10	11	12	13
			2	3				Federal Employees Health						
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
1.	Premiums for accident and health contracts	3,138,984									880,124	2,258,860		0
2.	Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3.	Net investment income	921,652									41,778	879,874		0
4.	Amortization of Interest Maintenance Reserve (IMR)	2,099									50	2,049		0
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0												
6.	Commissions and expense allowances on reinsurance ceded	321,258									0	321,258		0
7.	Reserve adjustments on reinsurance ceded	0												
8.	Miscellaneous Income:													
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0												
	8.2 Charges and fees for deposit-type contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	8.3 Aggregate write-ins for miscellaneous income	524	0	0	0	0	0	0	0	0	38	486	0	0
9.	Totals (Lines 1 to 8.3)	4,384,517	0	0	0	0	0	0	0	0	921,990	3,462,527	0	0
10.	Death benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Matured endowments (excluding guaranteed annual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12.	Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13.	Disability benefits and benefits under accident and health contracts	2,186,600									186,918	1,999,682		0
14.	Coupons, guaranteed annual pure endowments and similar benefits	0												
15.	Surrender benefits and withdrawals for life contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
16.	Group conversions	0												
17.	Interest and adjustments on contract or deposit-type contract funds	191										191		0
18.	Payments on supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
19.	Increase in aggregate reserves for life and accident and health contracts	(1,533,179)									123,291	(1,656,470)		0
20.	Totals (Lines 10 to 19)	653,612	0	0	0	0	0	0	0	0	310,209	343,403	0	0
21.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	622,949									281,665	341,284		0
22.	Commissions and expense allowances on reinsurance assumed	0									0			0
23.	General insurance expenses	360,569									48,865	311,704		0
24.	Insurance taxes, licenses and fees, excluding federal income taxes	144,560									28,004	116,556		0
25.	Increase in loading on deferred and uncollected premiums	0												
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0												
27.	Aggregate write-ins for deductions	342	0	0	0	0	0	0	0	0	0	342	0	0
28.	Totals (Lines 20 to 27)	1,782,032	0	0	0	0	0	0	0	0	668,743	1,113,289	0	0
29.	Net gain from operations before dividends to policyholders, and refunds to members and federal income taxes (Line 9 minus Line 28)	2,602,485	0	0	0	0	0	0	0	0	253,247	2,349,238	0	0
30.	Dividends to policyholders and refunds to members	0		0										0
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	2,602,485	0	0	0	0	0	0	0	0	253,247	2,349,238	0	0
32.	Federal income taxes incurred (excluding tax on capital gains)	540,783		0							55,086	485,697		0
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	2,061,702	0	0	0	0	0	0	0	0	198,161	1,863,541	0	0
34.	Policies/certificates in force end of year	7,311									3,400	3,911		
	DETAILS OF WRITE-INS													
08.301.	Miscellaneous income	524									38	486		
08.302.														
08.303.														
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	524	0	0	0	0	0	0	0	0	38	486	0	0
2701.	Fines and penalties to regulatory authorities	340										340		
2702.	Miscellaneous	2										2		
2703.														
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	342	0	0	0	0	0	0	0	0	0	342	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. Major Medical block is included in Column 11.

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

		1 Total	2 Industrial Life	3 Whole Life	4 Term Life	5 Indexed Life	6 Universal Life	7 Universal Life With Secondary Guarantees	8 Variable Life	9 Variable Universal Life	10 Credit Life (b) (N/A Fratermal)	11 Other Individual Life	12 YRT Mortality Risk Only
	Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)												
1.	Reserve December 31 of prior year	726,502,348	0	427,378,463	64,578,176	605,831	220,165,077	13,774,801					
2.	Tabular net premiums or considerations	59,076,253		25,351,044	22,241,613	1,589,806	4,955,392	4,938,398					
3.	Present value of disability claims incurred	45,612		38,753	6,859								
4.	Tabular interest	31,509,468		17,475,867	2,789,047	47,458	10,584,257	612,839					
5.	Tabular less actual reserve released	296,782		83,988	66,473		146,321						
6.	Increase in reserve on account of change in valuation basis	0											
6.1	Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve	0	XXX								XXX		
7.	Other increases (net)	15,786,746		2,100,000		1,843	11,500,000	2,184,903					
8.	Totals (Lines 1 to 7)	833,217,209	0	472,428,115	89,682,168	2,244,938	247,351,047	21,510,941	0	0	0	0	0
9.	Tabular cost	46,001,991		22,781,031	15,639,461	854,471	6,700,943	26,085					
10.	Reserves released by death	15,205,675		7,397,615	252,451		7,518,109	37,500					
11.	Reserves released by other terminations (net)	14,386,954		5,223,544	2,761,806	91,414	6,397,581	(87,391)					
12.	Annuity, supplementary contract and disability payments involving life contingencies	520,980		220,849	107,943		192,188						
13.	Net transfers to or (from) Separate Accounts	0											
14.	Total Deductions (Lines 9 to 13)	76,115,600	0	35,623,039	18,761,661	945,885	20,808,821	(23,806)	0	0	0	0	0
15.	Reserve December 31 of current year	757,101,609	0	436,805,076	70,920,507	1,299,053	226,542,226	21,534,747	0	0	0	0	0
	Cash Surrender Value and Policy Loans												
16.	CSV Ending balance December 31, current year	568,917,712		369,363,779		656,702	194,647,379	4,249,852					
17.	Amount Available for Policy Loans Based upon Line 16 CSV	568,917,712		369,363,779		656,702	194,647,379	4,249,852					

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP LIFE INSURANCE [a]

(N/A Fraternal)

		1 Total	2 Whole Life	3 Term Life	4 Variable Life	5 Universal Life	6 Variable Universal Life	7 Credit Life [b]	8 Other Group Life	9 YRT Mortality Risk Only
	Involving Life or Disability Contingencies (Reserves)									
	(Net of Reinsurance Ceded)									
1.	Reserve December 31 of prior year	369,017						369,017		
2.	Tabular net premiums or considerations	386,802						386,802		
3.	Present value of disability claims incurred	0								
4.	Tabular interest	21,091						21,091		
5.	Tabular less actual reserve released	0								
6.	Increase in reserve on account of change in valuation basis	0								
7.	Other increases (net)	0								
8.	Totals (Lines 1 to 7)	776,910	0	0	0	0	0	776,910	0	0
9.	Tabular cost	346,485						346,485		
10.	Reserves released by death	6,242						6,242		
11.	Reserves released by other terminations (net)	0								
12.	Annuity, supplementary contract and disability payments involving life contingencies	0								
13.	Net transfers to or (from) Separate Accounts	0								
14.	Total Deductions (Lines 9 to 13)	352,727	0	0	0	0	0	352,727	0	0
15.	Reserve December 31 of current year	424,183	0	0	0	0	0	424,183	0	0
	Cash Surrender Value and Policy Loans									
16.	CSV Ending balance December 31, current year	0								
17.	Amount Available for Policy Loans Based upon Line 16 CSV	0								

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL ANNUITIES (a)

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	Other Annuities
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities without Guarantees		
	Involving Life or Disability Contingencies (Reserves)							
	(Net of Reinsurance Ceded)							
1.	Reserve December 31 of prior year	1,240,363,459	774,833,277	345,124,883			120,405,299	
2.	Tabular net premiums or considerations	382,262,086	328,958,685	42,425,568			10,877,833	
3.	Present value of disability claims incurred	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4.	Tabular interest	53,256,978	30,514,215	18,213,128			4,529,635	
5.	Tabular less actual reserve released	(1,028,604)					(1,028,604)	
6.	Increase in reserve on account of change in valuation basis	0						
7.	Other increases (net)	11,779,714	11,275,163	104,551			400,000	
8.	Totals (Lines 1 to 7)	1,686,633,633	1,145,581,340	405,868,130	0	0	135,184,163	0
9.	Tabular cost	0						
10.	Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Reserves released by other terminations (net)	38,687,317	28,032,327	10,654,990				
12.	Annuity, supplementary contract and disability payments involving life contingencies	33,041,993	16,985,059	3,623,718			12,433,216	
13.	Net transfers to or (from) Separate Accounts	0						
14.	Total Deductions (Lines 9 to 13)	71,729,310	45,017,386	14,278,708	0	0	12,433,216	0
15.	Reserve December 31 of current year	1,614,904,323	1,100,563,954	391,589,422	0	0	122,750,947	0
	Cash Surrender Value and Policy Loans							
16.	CSV Ending balance December 31, current year	1,435,869,464	1,057,283,747	378,585,717				
17.	Amount Available for Policy Loans Based upon Line 16 CSV	0						

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP ANNUITIES (a)

(N/A Fraternal)

		1	Deferred				6	7
			2	3	4	5	Life Contingent Payout (Immediate and Annuitizations)	
		Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities without Guarantees		Other Annuities
	Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1.	Reserve December 31 of prior year							
2.	Tabular net premiums or considerations							
3.	Present value of disability claims incurred	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4.	Tabular interest							
5.	Tabular less actual reserve released							
6.	Increase in reserve on account of change in valuation basis							
7.	Other increases (net)							
8.	Totals (Lines 1 to 7)							
9.	Tabular cost							
10.	Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.	Reserves released by other terminations (net)							
12.	Annuity, supplementary contract and disability payments involving life contingencies							
13.	Net transfers to or (from) Separate Accounts							
14.	Total Deductions (Lines 9 to 13)							
15.	Reserve December 31 of current year							
	Cash Surrender Value and Policy Loans							
16.	CSV Ending balance December 31, current year							
17.	Amount Available for Policy Loans Based upon Line 16 CSV							

NONE

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

EXHIBIT OF NET INVESTMENT INCOME

		1 Collected During Year	2 Earned During Year
1.	U.S. Government bonds	(a) 531,539	530,386
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a) 76,263,199	79,328,490
1.3	Bonds of affiliates	(a) 0	0
2.1	Preferred stocks (unaffiliated)	(b) 263,250	263,250
2.11	Preferred stocks of affiliates	(b) 0	0
2.2	Common stocks (unaffiliated)	2,450,146	2,450,146
2.21	Common stocks of affiliates	0	0
3.	Mortgage loans	(c) 20,316,313	20,316,313
4.	Real estate	(d) 2,328,974	2,328,974
5	Contract loans	2,465,677	2,455,010
6	Cash, cash equivalents and short-term investments	(e) 1,513,880	1,543,066
7	Derivative instruments	(f) 0	0
8.	Other invested assets	532,639	532,639
9.	Aggregate write-ins for investment income	0	0
10.	Total gross investment income	106,665,617	109,748,274
11.	Investment expenses		(g) 3,044,043
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g) 186,487
13.	Interest expense		(h) 0
14.	Depreciation on real estate and other invested assets		(i) 547,274
15.	Aggregate write-ins for deductions from investment income		0
16.	Total deductions (Lines 11 through 15)		3,777,804
17.	Net investment income (Line 10 minus Line 16)		105,970,470
	DETAILS OF WRITE-INS		
0901.			
0902.			
0903.			
0998.	Summary of remaining write-ins for Line 9 from overflow page	0	0
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.			
1502.			
1503.			
1598.	Summary of remaining write-ins for Line 15 from overflow page		0
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

(a) Includes $ 2,419,395 accrual of discount less $ 4,579,749 amortization of premium and less $ 2,454,923 paid for accrued interest on purchases.

(b) Includes $ accrual of discount less $ amortization of premium and less $ paid for accrued dividends on purchases.

(c) Includes $ accrual of discount less $ (1,017,421) amortization of premium and less $ paid for accrued interest on purchases.

(d) Includes $ 282,999 for company's occupancy of its own buildings; and excludes $ interest on encumbrances.

(e) Includes $ 1,053,788 accrual of discount less $ amortization of premium and less $ 13,441 paid for accrued interest on purchases.

(f) Includes $ accrual of discount less $ amortization of premium.

(g) Includes $ investment expenses and $ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.

(h) Includes $ interest on surplus notes and $ interest on capital notes.

(i) Includes $ 547,274 depreciation on real estate and $ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds	0	0	0	0	0
1.1	Bonds exempt from U.S. tax			0		
1.2	Other bonds (unaffiliated)	(1,223,116)	(305,919)	(1,529,035)	(119,571)	0
1.3	Bonds of affiliates	0	0	0	0	0
2.1	Preferred stocks (unaffiliated)	0	0	0	0	0
2.11	Preferred stocks of affiliates	0	0	0	0	0
2.2	Common stocks (unaffiliated)	91,692,764	(311,074)	91,381,690	(69,379,431)	0
2.21	Common stocks of affiliates	0	0	0	0	0
3.	Mortgage loans	0	0	0	0	0
4.	Real estate	(155,968)	0	(155,968)		0
5.	Contract loans			0		
6.	Cash, cash equivalents and short-term investments			0		
7.	Derivative instruments	337,682		337,682	9,715,586	
8.	Other invested assets	0	0	0	(30,234)	0
9.	Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10.	Total capital gains (losses)	90,651,362	(616,993)	90,034,369	(59,813,650)	0
	DETAILS OF WRITE-INS					
0901.						
0902.						
0903.						
0998.	Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

		Insurance										
	1	2	Ordinary		5	Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
FIRST YEAR (other than single)												
1. Uncollected	(2,510)	0	(2,532)	0	0	0	0	0	0	22	0	
2. Deferred and accrued	525,026	0	525,026	0	0	0	0	0	0	0	0	
3. Deferred , accrued and uncollected:												
3.1 Direct	529,859	0	529,837	0	0	0	0	0	0	22	0	
3.2 Reinsurance assumed	0											
3.3 Reinsurance ceded	7,343	0	7,343	0	0	0	0	0	0	0	0	
3.4 Net (Line 1 + Line 2)	522,516	0	522,494	0	0	0	0	0	0	22	0	0
4. Advance	1,359	0	1,339	0	0	0	0	0	0	20	0	
5. Line 3.4 - Line 4	521,157	0	521,155	0	0	0	0	0	0	2	0	0
6. Collected during year:												
6.1 Direct	10,865,168	0	5,232,256	5,637,547	0	0	0	0	0	(4,635)	0	
6.2 Reinsurance assumed	0											
6.3 Reinsurance ceded	112,331	0	111,989	0	0	0	0	0	0	342	0	
6.4 Net	10,752,837	0	5,120,267	5,637,547	0	0	0	0	0	(4,977)	0	0
7. Line 5 + Line 6.4	11,273,994	0	5,641,422	5,637,547	0	0	0	0	0	(4,975)	0	0
8. Prior year (uncollected + deferred and accrued - advance)	418,617	0	418,617	0	0	0	0	0	0	0	0	
9. First year premiums and considerations:												
9.1 Direct	10,971,101	0	5,338,187	5,637,547	0	0	0	0	0	(4,633)	0	
9.2 Reinsurance assumed	0											
9.3 Reinsurance ceded	115,724	0	115,382	0	0	0	0	0	0	342	0	
9.4 Net (Line 7 - Line 8)	10,855,377	0	5,222,805	5,637,547	0	0	0	0	0	(4,975)	0	0
SINGLE												
10. Single premiums and considerations:												
10.1 Direct	383,577,006	0	8,102,368	373,820,909	773,604	0	0	0	880,125	0	0	
10.2 Reinsurance assumed	0											
10.3 Reinsurance ceded	0											
10.4 Net	383,577,006	0	8,102,368	373,820,909	773,604	0	0	0	880,125	0	0	0
RENEWAL												
11. Uncollected	495,857	0	437,716	0	0	0	0	0	0	58,141	0	
12. Deferred and accrued	10,822,307	0	10,822,307	0	0	0	0	0	0	0	0	
13. Deferred, accrued and uncollected:												
13.1 Direct	12,562,567	0	12,504,426	0	0	0	0	0	0	58,141	0	
13.2 Reinsurance assumed	0											
13.3 Reinsurance ceded	1,244,403	0	1,244,403	0	0	0	0	0	0	0	0	
13.4 Net (Line 11 + Line 12)	11,318,164	0	11,260,023	0	0	0	0	0	0	58,141	0	0
14. Advance	689,323	0	166,158	0	0	0	0	0	0	523,165	0	
15. Line 13.4 - Line 14	10,628,841	0	11,093,865	0	0	0	0	0	0	(465,024)	0	0
16. Collected during year:												
16.1 Direct	50,460,072	0	45,437,215	837,596	0	0	0	0	0	4,185,261	0	
16.2 Reinsurance assumed	0											
16.3 Reinsurance ceded	6,195,231	0	4,777,714	0	0	0	0	0	0	1,417,517	0	
16.4 Net	44,264,841	0	40,659,501	837,596	0	0	0	0	0	2,767,744	0	0
17. Line 15 + Line 16.4	54,893,682	0	51,753,366	837,596	0	0	0	0	0	2,302,720	0	0
18. Prior year (uncollected + deferred and accrued - advance)	11,526,960	0	11,488,075	0	0	0	0	0	0	38,885	0	
19. Renewal premiums and considerations:												
19.1 Direct	49,483,484	0	44,964,536	837,596	0	0	0	0	0	3,681,352	0	
19.2 Reinsurance assumed	0											
19.3 Reinsurance ceded	6,116,762	0	4,699,245	0	0	0	0	0	0	1,417,517	0	
19.4 Net (Line 17 - Line 18)	43,366,722	0	40,265,291	837,596	0	0	0	0	0	2,263,835	0	0
TOTAL												
20. Total premiums and annuity considerations:												
20.1 Direct	444,031,591	0	58,405,091	380,296,052	773,604	0	0	0	880,125	3,676,719	0	0
20.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
20.3 Reinsurance ceded	6,232,486	0	4,814,627	0	0	0	0	0	0	1,417,859	0	0
20.4 Net (Lines 9.4 + 10.4 + 19.4)	437,799,105	0	53,590,464	380,296,052	773,604	0	0	0	880,125	2,258,860	0	0

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

		Insurance										
		1	2	Ordinary		5	Group		Accident and Health			11	12
				3	4		6	7	8	9	10		
		Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
	POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21.	To pay renewal premiums	1,674,700		1,674,675							25		
22.	All other	6,444,323		6,444,323									
	REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23.	First year (other than single):												
	23.1 Reinsurance ceded	710		430							280		
	23.2 Reinsurance assumed	0											
	23.3 Net ceded less assumed	710	0	430	0	0	0	0	0	0	280	0	0
24.	Single:												
	24.1 Reinsurance ceded	0											
	24.2 Reinsurance assumed	0											
	24.3 Net ceded less assumed	0	0	0	0	0	0	0	0	0	0	0	0
25.	Renewal:												
	25.1 Reinsurance ceded	620,840		299,862							320,978		
	25.2 Reinsurance assumed	0											
	25.3 Net ceded less assumed	620,840	0	299,862	0	0	0	0	0	0	320,978	0	0
26.	Totals:												
	26.1 Reinsurance ceded (Page 6, Line 6)	621,550	0	300,292	0	0	0	0	0	0	321,258	0	0
	26.2 Reinsurance assumed (Page 6, Line 22)	0	0	0	0	0	0	0	0	0	0	0	0
	26.3 Net ceded less assumed	621,550	0	300,292	0	0	0	0	0	0	321,258	0	0
	COMMISSIONS INCURRED (direct business only)												
27.	First year (other than single)	3,558,445		3,293,829	264,230						386		
28.	Single	13,069,280		52,870	12,601,966	132,780				281,664			
29.	Renewal	3,055,559		2,283,005	431,656						340,898		
30.	Deposit-type contract funds	180,164			177,913			2,251					
31.	Totals (to agree with Page 6, Line 21)	19,863,448	0	5,629,704	13,475,765	132,780	0	2,251	0	281,664	341,284	0	0

EXHIBIT 2 - GENERAL EXPENSES

		Insurance				5	6	7
		1	Accident and Health		4			
		Life	2 Cost Containment	3 All Other	All Other Lines of Business	Investment	Fraternal	Total
1.	Rent	273,340	0	13,685	0	192	0	287,217
2.	Salaries and wages	6,142,415	0	229,886	0	881,173	0	7,253,474
3.11	Contributions for benefit plans for employees	1,184,613	0	44,286	0	114,099	0	1,342,998
3.12	Contributions for benefit plans for agents	0	0	0	0	0	0	0
3.21	Payments to employees under non-funded benefit plans	0	0	0	0	0	0	0
3.22	Payments to agents under non-funded benefit plans	0	0	0	0	0	0	0
3.31	Other employee welfare	33,799	0	0	0	0	0	33,799
3.32	Other agent welfare	0	0	0	0	0	0	0
4.1	Legal fees and expenses	164,428	0	18,805	0	58,407	0	241,640
4.2	Medical examination fees	555,380	0	36	0	0	0	555,416
4.3	Inspection report fees	117,108	0	495	0	0	0	117,603
4.4	Fees of public accountants and consulting actuaries	163,613	0	10,675	0	(14,102)	0	160,186
4.5	Expense of investigation and settlement of policy claims	89,755	0	12	0	0	0	89,767
5.1	Traveling expenses	108,182	0	3,508	0	2,968	0	114,658
5.2	Advertising	111,282	0	224	0	0	0	111,506
5.3	Postage, express, telegraph and telephone	395,974	0	2,968	0	(878)	0	398,064
5.4	Printing and stationery	74,588	0	2,365	0	1,105	0	78,058
5.5	Cost or depreciation of furniture and equipment	27,384	0	3,007	0	799	0	31,190
5.6	Rental of equipment	278,802	0	1,899	0	0	0	280,701
5.7	Cost or depreciation of EDP equipment and software	1,361,014	0	9,265	0	0	0	1,370,279
6.1	Books and periodicals	9,589	0	79	0	6,196	0	15,864
6.2	Bureau and association fees	102,360	0	10,435	0	230	0	113,025
6.3	Insurance, except on real estate	159	0	4	0	13	0	176
6.4	Miscellaneous losses	53,899	0	436	0	98	0	54,433
6.5	Collection and bank service charges	0	0	8	0	77,978	0	77,986
6.6	Sundry general expenses	137,994	0	1,868	0	161,479	0	301,341
6.7	Group service and administration fees	1,333	0	0	0	0	0	1,333
6.8	Reimbursements by uninsured plans	0	0	0	0	0	0	0
7.1	Agency expense allowance	0	0	0	0	0	0	0
7.2	Agents' balances charged off (less $ 259 recovered)	10,612	0	(31)	0	0	0	10,581
7.3	Agency conferences other than local meetings	52,751	0	749	0	0	0	53,500
8.1	Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
8.2	Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
9.1	Real estate expenses	0	0	0	0	1,393,693	0	1,393,693
9.2	Investment expenses not included elsewhere	0	0	0	0	354,596	0	354,596
9.3	Aggregate write-ins for expenses	363,641	0	5,905	0	5,998	0	375,544
10.	General expenses incurred	11,814,015	0	360,569	0	3,044,044	(b) 0	(a) 15,218,628
11.	General expenses unpaid Dec. 31, prior year	214,896	0	13,187	0	50,534	0	278,617
12.	General expenses unpaid Dec. 31, current year	188,522	0	5,754	0	48,575	0	242,851
13.	Amounts receivable relating to uninsured plans, prior year	0	0	0	0	0	0	0
14.	Amounts receivable relating to uninsured plans, current year	0	0	0	0	0	0	0
15.	General expenses paid during year (Lines 10+11-12-13+14)	11,840,389	0	368,002	0	3,046,003	0	15,254,394
	DETAILS OF WRITE-INS							
09.301.	Special Service Fees	363,641	0	5,905	0	5,998		375,544
09.302.								
09.303.								
09.398.	Summary of remaining write-ins for Line 9.3 from overflow page	0	0	0	0	0	0	0
09.399.	Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	363,641	0	5,905	0	5,998	0	375,544

(a) Includes management fees of $ 1,462,056 to affiliates and $ to non-affiliates.

(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):
1. Charitable $; 2. Institutional $; 3. Recreational and Health $; 4. Educational $
5. Religious $; 6. Membership $; 7. Other $; 8. Total $ 0

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5	6
		1 Life	2 Accident and Health	3 All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes	0	0	0	169,411	0	169,411
2.	State insurance department licenses and fees	582,066	26,529	0	17	0	608,612
3.	State taxes on premiums	945,854	93,865	0	0	0	1,039,719
4.	Other state taxes, including $ for employee benefits	14,490	3,937	0	320	0	18,747
5.	U.S. Social Security taxes	328,481	15,285	0	16,529	0	360,295
6.	All other taxes	36,919	4,940	0	209	0	42,068
7.	Taxes, licenses and fees incurred	1,907,810	144,556	0	186,486	0	2,238,852
8.	Taxes, licenses and fees unpaid Dec. 31, prior year	299,968	16,507	0	46,138	0	362,613
9.	Taxes, licenses and fees unpaid Dec. 31, current year	268,511	20,344	0	26,247	0	315,102
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	1,939,267	140,719	0	206,377	0	2,286,363

EXHIBIT 4 - DIVIDENDS OR REFUNDS

		1 Life	2 Accident and Health
1.	Applied to pay renewal premiums	1,674,675	25
2.	Applied to shorten the endowment or premium-paying period		
3.	Applied to provide paid-up additions	6,444,323	
4.	Applied to provide paid-up annuities		
5.	Total Lines 1 through 4	8,118,998	25
6.	Paid in cash	627,969	
7.	Left on deposit	902,404	
8.	Aggregate write-ins for dividend or refund options	0	0
9.	Total Lines 5 through 8	9,649,371	25
10.	Amount due and unpaid	106,164	
11.	Provision for dividends or refunds payable in the following calendar year	7,056,686	
12.	Terminal dividends	94,323	
13.	Provision for deferred dividend contracts		
14.	Amount provisionally held for deferred dividend contracts not included in Line 13		
15.	Total Lines 10 through 14	7,257,173	0
16.	Total from prior year	10,102,392	25
17.	Total dividends or refunds (Lines 9 + 15 - 16)	6,804,152	0
	DETAILS OF WRITE-INS		
0801.			
0802.			
0803.			
0898.	Summary of remaining write-ins for Line 8 from overflow page	0	0
0899.	Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)	0	0

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1 Valuation Standard	2 Total	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0100001. 1941 CSO ANB 2-1/2% CRVM 1954-1961 CRF	4,028,200		4,028,200		
0100002. 1941 CSO ANB 2-1/2% NL 1954-1961 CRF	1,983,338		1,983,338		
0100003. 1958 CET ANB 3% NL 1961-1980 CRF	1,530,962		1,530,962		
0100004. 1958 CET ANB 3-1/2% NL 1968-1981 CRF	139,021		139,021		
0100005. 1958 CET ANB 4-1/2% NL 1981-1987 CRF	1,014,919		1,014,919		
0100006. 1958 CSO ANB 3% MOD 1961-1980 CRF	38,431,982		38,431,982		
0100007. 1958 CSO ANB 3% NL 1961-1980 CRF	13,819,953		13,819,953		
0100008. 1958 CSO ANB 3-1/2% MOD 1968-1980 CRF	1,270,486		1,270,486		
0100009. 1958 CSO ANB 3-1/2% NL 1968-1980 CRF	1,185,742		1,185,742		
0100010. 1958 CSO ANB 4% MOD 1979-1981 CRF	1,829		1,829		
0100011. 1958 CSO ANB 4-1/2% CRVM 1981-1987 CRF	31,049,037		31,049,037		
0100012. 1958 CSO ANB 4-1/2% NL 1981-1987 CRF	15,340,661		15,340,661		
0100013. 1971 IAM ANB 3-1/2% 1961 - 1974 CRF	130,644		130,644		
0100014. 1971 IAM ANB 6% 1975 - 1978 CRF	6,755		6,755		
0100015. 1980 CET ANB 4% NL 1994-2007 CRF	2,135,791		2,135,791		
0100016. 1980 CET ANB 4-1/2% NL 1988 - 1994 CRF	2,095,787		2,095,787		
0100017. 1980 CSO ANB 4% CRVM 1994-2007 CRF	93,388,488		93,388,488		
0100018. 1980 CSO ANB 4% CRVM 2006-2008 CNF ISL	7,532,827		7,532,827		
0100019. 1980 CSO ANB 4% NL 1994-2007 CNF	1,873,342		1,873,342		
0100020. 1980 CSO ANB 4% NL 1994-2007 CRF	25,253,860		25,253,860		
0100021. 1980 CSO ANB 4-1/2% CRVM 1987-1994 CRF	82,049,184		82,049,184		
0100022. 1980 CSO ANB 4-1/2% CRVM 1995-2005 CNF ISL	41,750,545		41,750,545		
0100023. 1980 CSO ANB 4-1/2% CRVM 1996-2005 CNF	8,538,251		8,538,251		
0100024. 1980 CSO ANB 4-1/2% NL 1987-1994 CRF	26,676,100		26,676,100		
0100025. 1980 CSO ANB 4-1/2% NL 1994-2005 CNF	8,779,513		8,779,513		
0100026. 1980 CSO ANB 5% NL 1990 CRF	76,345		76,345		
0100027. 1980 CSO ANB 5%-5-1/4% CRVM 1993-1994,1998-1999 CNF ISL	8,377,019		8,377,019		
0100028. 1980 CSO ANB 5-1/2% -5-3/4% CRVM 1987-97 (no 95) CNF ISL	36,233,987		36,233,987		
0100029. 1980 CSO ANB 5-1/2% NL 1990-1991 CRF	50,069		50,069		
0100030. 1980 CSO ANB 6% - 6-1/4% CRVM 1983-86, 92, 95 CNF ISL	34,578,259		34,578,259		
0100031. 1980 CSO ANB 6-1/2% - 6-3/4% CRVM 1990-1991 CNF ISL	660,571		660,571		
0100032. 1980 CSO ANB 7% CRVM 1988-1989 CNF ISL	370,380		370,380		
0100033. 2001 CSO ANB 4% CRVM 2006-2012 CNF ISL	41,469,160		41,469,160		
0100034. 2001 CSO ANB 3-1/2% CRVM 2013- CNF ISL	39,509,935		39,509,935		
0100035. 2001 CSO ALB 3-1/2% CRVM 2013- CNF ISL	7,831,375		7,831,375		
0100036. 2001 CSO ALB 4% CRVM 2012 CNF ISL	727,998		727,998		
0100037. 2001 CSO ANB 3-1/2% CRVM 2013- CNF	6,375,332		6,375,332		
0100038. 2001 CSO ANB 4% CRVM 2006-2007, 2011-2012 CNF	1,517,676		1,517,676		
0100039. 2001 CSO ALB 3-1/2%-3-3/4% CRVM 2013- CNF	1,699,943		1,275,760	424,183	
0100040. 2001 CSO ALB 4% CRVM 2011-2012 CNF	317,086		317,086		
0100041. 2001 CSO ANB 4% CRVM 2006-2012 CRF	50,970,151		50,970,151		
0100042. 2001 CSO ANB 4% NL 2007-2012 CRF	4,781,271		4,781,271		
0100043. 2001 CSO ANB 4-1/2% CRVM 2005 CRF	903,481		903,481		
0100044. 2001 CSO Preferred Class Structure ANB 4% CRVM 2007-2012 CRF	14,278,120		14,278,120		
0100045. 2001 CSO Preferred Class Structure ANB 4% NL 2007-2012 CRF	2,958,970		2,958,970		
0100046. 2001 CSO ANB 3-1/2% CRVM 2012- CRF	32,132,901		32,132,901		
0100047. 2001 CSO Preferred Class Structure ANB 3-1/2% CRVM 2013- CRF	17,621,372		17,621,372		
0100048. 2001 CSO Preferred Class Structure ANB 3-1/2% NL 2013- CRF	2,409,994		2,409,994		
0100049. 2001 CSO ANB 3-1/2% NL 2013- CRF	2,446,615		2,446,615		
0100050. 2017 CSO ANB 3-1/2% CRVM 2018- CRF	145,809		145,809		
0100051. 2017 CSO ANB 3-1/2% NL 2018- CRF	466,451		466,451		
0100052. 2017 CSO ANB 3-1/2% CRVM 2019 CNF	256,367		256,367		
0199997. Totals (Gross)	719,173,854	0	718,749,671	424,183	0
0199998. Reinsurance ceded	21,678,297		21,678,297		
0199999. Life Insurance: Totals (Net)	697,495,557	0	697,071,374	424,183	0
0200001. 2012 IAR ANB 3-1/2% - 3-3/4% 2015, 2017- IMM FI	54,916,827	XXX	54,916,827	XXX	
0200002. 2012 IAR ANB 4% 1982-2016 IMM FI	18,397,032	XXX	18,397,032	XXX	
0200003. a-2000 ANB 3-3/4% 2013 IMM FI	8,005,269	XXX	8,005,269	XXX	
0200004. a-2000 ANB 4%-4-1/4% 2012,2014 IMM FI	11,309,998	XXX	11,309,998	XXX	
0200005. a-2000 ANB 4-3/4% 2011 IMM FI	2,686,543	XXX	2,686,543	XXX	
0200006. a-2000 ANB 5% 2010 IMM FI	324,447	XXX	324,447	XXX	
0200007. 2012 IAR ANB 3-1/2% - 3-3/4% 2015- DEF FI	1,164,313,538	XXX	1,164,313,538	XXX	
0200008. a 2000 ANB 3-1/2% - 3-3/4% 2012-2014 DEF FI	37,122,527	XXX	37,122,527	XXX	
0200009. a-2000 ANB 4%-4-1/4% 2010-2011,2014 DEF FI	40,702,521	XXX	40,702,521	XXX	
0200010. a-2000 ANB 4-1/2% 2010 DEF FI	3,096,371	XXX	3,096,371	XXX	
0200011. NONLIFE 2-3/4% 1974-1976, 1979-1980 DEF FI	32,410	XXX	32,410	XXX	
0200012. NONLIFE 3-3/4% 2012 DEF FI	7,369,640	XXX	7,369,640	XXX	
0200013. NONLIFE 4-1/4% 2010-2011 DEF FI	11,537,442	XXX	11,537,442	XXX	
0200014. NONLIFE 4-1/2% - 4-3/4% 2003-2008,2010 DEF FI	39,956,266	XXX	39,956,266	XXX	
0200015. NONLIFE 5% - 5- 1/4% 1994,1996-1999,2001-2003,2009 DEF FI	91,382,233	XXX	91,382,233	XXX	
0200016. NONLIFE 5-1/2% - 5-3/4% 1993-1997,2000-2002 DEF FI	24,034,786	XXX	24,034,786	XXX	
0200017. NONLIFE 6% - 6-1/4% 1976-1979,1987,1990-1992,1995 DEF FI	39,203,543	XXX	39,203,543	XXX	
0200018. NONLIFE 6-1/2% - 6-3/4% 1986,1988-1989 DEF FI	10,158,724	XXX	10,158,724	XXX	
0200019. NONLIFE 7-1/2% 1980-1982 DEF FI	8,439,081	XXX	8,439,081	XXX	
0200020. NONLIFE 8% - 8-1/4% 1983- 1985 DEF FI	5,804,293	XXX	5,804,293	XXX	
0299997. Totals (Gross)	1,578,793,491	XXX	1,578,793,491	XXX	0
0299998. Reinsurance ceded	0	XXX		XXX	

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1 Valuation Standard	2 Total	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0299999. Annuities: Totals (Net)	1,578,793,491	XXX	1,578,793,491	XXX	0
0300001. 2012 IAR ANB 3-1/2% - 3-3/4% 1962-1981, 2015, 2017- IMM FI	4,353,902		4,353,902		
0300002. 2012 IAR ANB 4% 1982-2016 IMM FI	21,156,929		21,156,929		
0399997. Totals (Gross)	25,510,831	0	25,510,831	0	0
0399998. Reinsurance ceded	0				
0399999. SCWLC: Totals (Net)	25,510,831	0	25,510,831	0	0
0400001. 1958 ADB / 1958 CSO 3% 1961-1987	1,286		1,286		
0400002. 1958 ADB / 2001 CSO 4% 2007-2012	15,502		15,502		
0400003. 1958 ADB / 2001 CSO 3-1/2% 2013-	14,770		14,770		
0499997. Totals (Gross)	31,558	0	31,558	0	0
0499998. Reinsurance ceded	0				
0499999. Accidental Death Benefits: Totals (Net)	31,558	0	31,558	0	0
0500001. 1952 DIS Study / 1958 CSO 3% 1961-2007, 2013-	755,738		755,738		
0500002. 1952 DIS Study / 2001 CSO 4.5% 2005	72,715		72,715		
0500003. 1952 DIS Study / 2001 CSO 4% 2007 -	878,437		878,437		
0500004. 1952 DIS Study / 2017 CSO 3.5% 2018-	734		734		
0599997. Totals (Gross)	1,707,624	0	1,707,624	0	0
0599998. Reinsurance ceded	0				
0599999. Disability-Active Lives: Totals (Net)	1,707,624	0	1,707,624	0	0
0600001. 150% CLASS(3)/1941 CSO 2.5%	663		663		
0600002. 1952 DIS STUDY/1958 CSO 3%	3,337,310		3,337,310		
0600003. Incurred But Not Reported	108,793		108,793		
0699997. Totals (Gross)	3,446,766	0	3,446,766	0	0
0699998. Reinsurance ceded	0				
0699999. Disability-Disabled Lives: Totals (Net)	3,446,766	0	3,446,766	0	0
0700001. Imm. Payment Claims 1941 CSO ANB 2-1/2% CRVM 1954-1961 CRF	50,353		50,353		
0700002. Imm. Payment Claims 1941 CSO ANB 2-1/2% NL 1954-1961 CRF	24,792		24,792		
0700003. Imm. Payment Claims 1958 CET ANB 3% NL 1961-1980 CRF	22,964		22,964		
0700004. Imm. Payment Claims 1958 CET ANB 3-1/2% NL 1968-1981 CRF	2,433		2,433		
0700005. Imm. Payment Claims 1958 CET ANB 4-1/2% NL 1981-1987 CRF	22,836		22,836		
0700006. Imm. Payment Claims 1958 CSO ANB 3% MOD 1961-1980 CRF	576,480		576,480		
0700007. Imm. Payment Claims 1958 CSO ANB 3% NL 1961-1980 CRF	207,294		207,294		
0700008. Imm. Payment Claims 1958 CSO ANB 3-1/2% MOD 1968-1980 CRF	22,234		22,234		
0700009. Imm. Payment Claims 1958 CSO ANB 3-1/2% NL 1968-1980 CRF	20,751		20,751		
0700010. Imm. Payment Claims 1958 CSO ANB 4% MOD 1979-1981 CRF	37		37		
0700011. Imm. Payment Claims 1958 CSO ANB 4-1/2% CRVM 1981-1987 CRF	698,603		698,603		
0700012. Imm. Payment Claims 1958 CSO ANB 4-1/2% NL 1981-1987 CRF	345,165		345,165		
0700013. Imm. Payment Claims 1980 CET ANB 4% NL 1994-2007 CRF	42,716		42,716		
0700014. Imm. Payment Claims 1980 CET ANB 4-1/2% NL 1988 - 1994 CRF	47,155		47,155		
0700015. Imm. Payment Claims 1980 CSO ANB 4% CRVM 1994-2007 CRF	1,867,770		1,867,770		
0700016. Imm. Payment Claims 1980 CSO ANB 4% NL 1994-2007 CRF	505,077		505,077		
0700017. Imm. Payment Claims 1980 CSO ANB 4-1/2% CRVM 1987-1994 CRF	1,846,107		1,846,107		
0700018. Imm. Payment Claims 1980 CSO ANB 4-1/2% NL 1987-1994 CRF	600,212		600,212		
0700019. Imm. Payment Claims 1980 CSO ANB 5% NL 1990 CRF	1,909		1,909		
0700020. Imm. Payment Claims 1980 CSO ANB 5-1/2% NL 1990-1991 CRF	1,377		1,377		
0700021. Imm. Payment Claims 2001 CSO ANB 4% CRVM 2006-2012 CRF	1,033,802		1,033,802		
0700022. Imm. Payment Claims 2001 CSO ANB 4% NL 2007-2012 CRF	95,623		95,623		
0700023. Imm. Payment Claims 2001 CSO ANB 4-1/2% CRVM 2005 CRF	20,336		20,336		
0700024. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 4% CRVM 2007-2012 CRF	295,554		295,554		
0700025. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 4% NL 2007-2012 CRF	59,179		59,179		
0700026. Imm. Payment Claims 2001 CSO ANB 3-1/2% CRVM 2012- CRF	573,066		573,066		
0700027. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 3-1/2% CRVM 2013- CRF	347,552		347,552		
0700028. Imm. Payment Claims 2001 CSO Preferred Class Structure ANB 3-1/2% NL 2013- CRF	42,175		42,175		
0700029. Imm. Payment Claims 2001 CSO ANB 3-1/2% NL 2013- CRF	42,816		42,816		
0700030. Imm. Payment Claims 1971 IAM ANB 3-1/2% 1961 - 1974 CRF	2,286		2,286		
0700031. Imm. Payment Claims 1971 IAM ANB 6% 1975 - 1978 CRF	203		203		
0700032. Imm. Payment Claims 2017 CSO ANB 3-1/2% CRVM 2018- CRF	2,552		2,552		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1 Valuation Standard	2 Total	3 Industrial	4 Ordinary	5 Credit (Group and Individual)	6 Group
0700033. Imm. Payment Claims 2017 CSO ANB 3-1/2% NL 2018- CRF	8,163		8,163		
0700034. Guaranteed Insurability Rider 1963-	1,426,935		1,426,935		
0700035. Multiple Tables 1958 CSO ANB 3% NL 1964-1981 CRF	4,417		4,417		
0700036. Multiple Tables 1958 CSO ANB 3-1/2% NL 1968-1976 CRF	581		581		
0700037. Multiple Tables 1958 CSO ANB 4-1/2% NL 1982-1985 CRF	214		214		
0700038. Group Conversions 1966-	4,986		4,986		
0700039. For excess of valuation net premiums over corresponding gross premiums on respective policies, computed according to the standard of valuation required by the state	10,694,597		10,694,597		
0700040. For non-deduction of deferred fractional premiums or return of premiums at the death of the insured	1,965,330		1,965,330		
0700041. Additional actuarial reserves- asset/liability analysis	42,800,000		42,800,000		
0799997. Totals (Gross)	66,326,632	0	66,326,632	0	0
0799998. Reinsurance ceded	882,344		882,344		
0799999. Miscellaneous Reserves: Totals (Net)	65,444,288	0	65,444,288	0	0
9999999. Totals (Net) - Page 3, Line 1	2,372,430,115	0	2,372,005,932	424,183	0

EXHIBIT 5 - INTERROGATORIES

1.1 Has the reporting entity ever issued both participating and non-participating contracts? ..... Yes [X] No [ ]

1.2 If not, state which kind is issued.

2.1 Does the reporting entity at present issue both participating and non-participating contracts? ..... Yes [X] No [ ]

2.2 If not, state which kind is issued.

3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements? ..... Yes [X] No [ ]
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

4. Has the reporting entity any assessment or stipulated premium contracts in force? Yes [ ] No [X]
If so, state:
4.1 Amount of insurance? ..... $
4.2 Amount of reserve? ..... $
4.3 Basis of reserve:
4.4 Basis of regular assessments:
4.5 Basis of special assessments:
4.6 Assessments collected during the year ..... $

5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? ..... Yes [ ] No [X]
6.1 If so, state the amount of reserve on such contracts on the basis actually held: ..... $
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: ..... $
Attach statement of methods employed in their valuation.

7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [X]
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements ..... $
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:
7.3 State the amount of reserves established for this business: ..... $
7.4 Identify where the reserves are reported in the blank:

8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [X]
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: ..... $
8.2 State the amount of reserves established for this business: ..... $
8.3 Identify where the reserves are reported in the blank:

9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? ..... Yes [ ] No [X]
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: ..... $
9.2 State the amount of reserves established for this business: ..... $
9.3 Identify where the reserves are reported in the blank:

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
	NONE		
9999999 - Total (Column 4, only)			

EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS (a)

		1	Comprehensive		4	5	6	7	8	9	10	11	12	13
			2	3				Federal Employees Health Benefits Plan						
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only		Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
	ACTIVE LIFE RESERVE													
1.	Unearned premium reserves	1,457,608									711,350	746,258		
2.	Additional contract reserves (b)	8,661,936									0	8,661,936		
3.	Additional actuarial reserves-Asset/Liability analysis	0												
4.	Reserve for future contingent benefits	0												
5.	Reserve for rate credits	0												
6.	Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
7.	Totals (Gross)	10,119,544	0	0	0	0	0	0	0	0	711,350	9,408,194	0	0
8.	Reinsurance ceded	3,097,703										3,097,703		
9.	Totals (Net)	7,021,841	0	0	0	0	0	0	0	0	711,350	6,310,491	0	0
	CLAIM RESERVE													
10.	Present value of amounts not yet due on claims	11,304,272									263,204	11,041,068		
11.	Additional actuarial reserves-Asset/Liability analysis	0												
12.	Reserve for future contingent benefits	0												
13.	Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
14.	Totals (Gross)	11,304,272	0	0	0	0	0	0	0	0	263,204	11,041,068	0	0
15.	Reinsurance ceded	4,006,691									0	4,006,691		
16.	Totals (Net)	7,297,581	0	0	0	0	0	0	0	0	263,204	7,034,377	0	0
17.	TOTAL (Net)	14,319,422	0	0	0	0	0	0	0	0	974,554	13,344,868	0	0
18.	TABULAR FUND INTEREST	0												
	DETAILS OF WRITE-INS													
0601.														
0602.														
0603.														
0698.	Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
0699.	TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0	0	0	0	0	0	0	0
1301.														
1302.														
1303.														
1398.	Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	0	0	0	0
1399.	TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. Major Medical block is included in Column 11.

(b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.

Valuation standard for the additional contract reserves on Line 2 is: Issues prior to 1993, midterminal reserves use 1964 CDT and 1958 CSO with 4% interest. 1993-2007 - 1985 CIDA and 1980 CSO with 4-4.5% int. 2008-2012 - 1985 CIDA and 2001 CSO with 4% int. 2013-current - 1985 CIDA and 2001 CSO with 3.5% int. Reserve method is 2 year prelim term.

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1 Total	2 Guaranteed Interest Contracts	3 Annuities Certain	4 Supplemental Contracts	5 Dividend Accumulations or Refunds	6 Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	76,798,073	0	13,931,162	24,549,388	32,987,385	5,330,137
2. Deposits received during the year	12,283,309		5,747,537	3,542,697	902,401	2,090,673
3. Investment earnings credited to the account	2,215,449		272,523	837,763	942,932	162,231
4. Other net change in reserves	0					
5. Fees and other charges assessed	0					
6. Surrender charges	0					
7. Net surrender or withdrawal payments	12,451,998		2,657,613	5,282,353	2,102,909	2,409,123
8. Other net transfers to or (from) Separate Accounts	0					
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	78,844,832	0	17,293,610	23,647,495	32,729,810	5,173,918
10. Reinsurance balance at the beginning of the year	0	0	0	0	0	0
11. Net change in reinsurance assumed	0					
12. Net change in reinsurance ceded	0					
13. Reinsurance balance at the end of the year (Lines 10+11-12)	0	0	0	0	0	0
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	78,844,832	0	17,293,610	23,647,495	32,729,810	5,173,918

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year

		1	2	Ordinary			6	Group		Accident and Health		
				3	4	5		7	8	9	10	11
		Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:												
	1.1 Direct	0										
	1.2 Reinsurance assumed	0										
	1.3 Reinsurance ceded	0										
	1.4 Net	0	0	0	0	0	0	0	0	0	0	0
2. In course of settlement:												
2.1 Resisted	2.11 Direct	0										
	2.12 Reinsurance assumed	0										
	2.13 Reinsurance ceded	0										
	2.14 Net	0	0	(b) 0	(b) 0	0	(b) 0	(b) 0	0	0	0	0
2.2 Other	2.21 Direct	9,366,736		3,335,092	5,916,191						20,640	94,813
	2.22 Reinsurance assumed	0										
	2.23 Reinsurance ceded	686,398		659,258								27,140
	2.24 Net	8,680,338	0	(b) 2,675,834	(b) 5,916,191	0	(b) 0	(b) 0	0	(b) 0	(b) 20,640	(b) 67,673
3. Incurred but unreported:												
	3.1 Direct	680,346		532,189			13,302				51,808	83,047
	3.2 Reinsurance assumed	0										
	3.3 Reinsurance ceded	199,619		192,862								6,757
	3.4 Net	480,727	0	(b) 339,327	(b) 0	0	(b) 13,302	(b) 0	0	(b) 0	(b) 51,808	(b) 76,290
4. TOTALS	4.1 Direct	10,047,082	0	3,867,281	5,916,191	0	13,302	0	0	0	72,448	177,860
	4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
	4.3 Reinsurance ceded	886,017	0	852,120	0	0	0	0	0	0	0	33,897
	4.4 Net	9,161,065	(a) 0	(a) 3,015,161	5,916,191	0	13,302	(a) 0	0	0	72,448	143,963

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to $ ..... in Column 2, $ ..... in Column 3 and $ ..... in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance $ 3,446,766 Individual Annuities $ ..... , Credit Life (Group and Individual) $ ..... , and Group Life $ ..... , are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health $ ..... Credit (Group and Individual) Accident and Health $ 263,204 , and Other Accident and Health $ 7,034,377 are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life (a)	Life Insurance (b)	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (c)	Annuities	Group	Credit (Group and Individual)	Other
1. Settlements During the Year:											
1.1 Direct	71,443,372		35,427,737	29,171,937	3,302,459	683,504				176,465	2,681,270
1.2 Reinsurance assumed	0										
1.3 Reinsurance ceded	5,930,140		5,173,948								756,192
1.4 Net	(d) 65,513,232	0	30,253,789	29,171,937	3,302,459	683,504	0	0	0	176,465	1,925,078
2. Liability December 31, current year from Part 1:											
2.1 Direct	10,047,082	0	3,867,281	5,916,191	0	13,302	0	0	0	72,448	177,860
2.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
2.3 Reinsurance ceded	886,017	0	852,120	0	0	0	0	0	0	0	33,897
2.4 Net	9,161,065	0	3,015,161	5,916,191	0	13,302	0	0	0	72,448	143,963
3. Amounts recoverable from reinsurers December 31, current year	583,659		390,000								193,659
4. Liability December 31, prior year:											
4.1 Direct	12,934,480	0	7,410,461	5,303,648	0	72,621	0	0	0	61,995	85,755
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	2,346,673	0	2,330,005	0	0	0	0	0	0	0	16,668
4.4 Net	10,587,807	0	5,080,456	5,303,648	0	72,621	0	0	0	61,995	69,087
5. Amounts recoverable from reinsurers December 31, prior year	584,652		391,265								193,387
6. Incurred Benefits											
6.1 Direct	68,555,974	0	31,884,557	29,784,480	3,302,459	624,185	0	0	0	186,918	2,773,375
6.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
6.3 Reinsurance ceded	4,468,491	0	3,694,798	0	0	0	0	0	0	0	773,693
6.4 Net	64,087,483	0	28,189,759	29,784,480	3,302,459	624,185	0	0	0	186,918	1,999,682

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ ..... in Line 1.1, $ ..... in Line 1.4.
$ ..... in Line 6.1, and $ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ 260,937 in Line 1.1, $ 260,937 in Line 1.4.
$ 260,937 in Line 6.1, and $ 260,937 in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to $ ..... in Line 1.1, $ ..... in Line 1.4.
$ ..... in Line 6.1, and $ ..... in Line 6.4.

(d) Includes $ 637,586 premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

		1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1.	Bonds (Schedule D)			0
2.	Stocks (Schedule D):			
	2.1 Preferred stocks			0
	2.2 Common stocks			0
3.	Mortgage loans on real estate (Schedule B):			
	3.1 First liens	0		0
	3.2 Other than first liens			0
4.	Real estate (Schedule A):			
	4.1 Properties occupied by the company	0		0
	4.2 Properties held for the production of income			0
	4.3 Properties held for sale			0
5.	Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			0
6.	Contract loans	0		0
7.	Derivatives (Schedule DB)			0
8.	Other invested assets (Schedule BA)			0
9.	Receivables for securities	0		0
10.	Securities lending reinvested collateral assets (Schedule DL)			0
11.	Aggregate write-ins for invested assets	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13.	Title plants (for Title insurers only)			0
14.	Investment income due and accrued	0		0
15.	Premiums and considerations:			
	15.1 Uncollected premiums and agents' balances in the course of collection	64,748	57,822	(6,926)
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0		0
	15.3 Accrued retrospective premiums and contracts subject to redetermination			0
16.	Reinsurance:			
	16.1 Amounts recoverable from reinsurers	0		0
	16.2 Funds held by or deposited with reinsured companies			0
	16.3 Other amounts receivable under reinsurance contracts	0		0
17.	Amounts receivable relating to uninsured plans			0
18.1	Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2	Net deferred tax asset	23,636,667	10,709,719	(12,926,948)
19.	Guaranty funds receivable or on deposit	0		0
20.	Electronic data processing equipment and software			0
21.	Furniture and equipment, including health care delivery assets	377,390	147,633	(229,757)
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0
23.	Receivables from parent, subsidiaries and affiliates	0		0
24.	Health care and other amounts receivable	920,934	684,919	(236,015)
25.	Aggregate write-ins for other than invested assets	1,773,009	469,866	(1,303,143)
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	26,772,748	12,069,959	(14,702,789)
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0
28.	Total (Lines 26 and 27)	26,772,748	12,069,959	(14,702,789)
	DETAILS OF WRITE-INS			
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199.	Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501.	Prepaid pension cost	3,055,936	3,020,410	(35,526)
2502.	Other receivables	104,595	146,274	41,679
2503.	Prepaid expenses	98,597	91,575	(7,022)
2598.	Summary of remaining write-ins for Line 25 from overflow page	(1,486,119)	(2,788,393)	(1,302,274)
2599.	Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	1,773,009	469,866	(1,303,143)

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of American National Insurance Company of New York ("the Company") are presented on the basis of accounting practices prescribed or permitted by the New York State Department of Financial Services.

The New York State Department of Financial Services recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the New York Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of New York.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices or permitted by the State of New York as of December 31, 2019 and December 31, 2018 is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	$ 44,722,362	$ (1,083,198)
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	$ 44,722,362	$ (1,083,198)
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	$ 228,898,038	$ 237,048,296
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	$ 228,898,038	$ 237,048,296

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Life premiums are recognized as income over the premium paying period of the related policies. Annuity considerations are recognized as revenue when received. Deposits on deposit-type contracts are entered directly as a liability when received. Health premiums are earned ratably over the terms of the related insurance and reinsurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

The amount of dividends to be paid to policyholders is determined annually by the Company's Board of Directors. The aggregate amount of policyholders' dividends is related to actual interest, mortality, morbidity, and expense experience for the year and judgment as to the appropriate level of statutory surplus to be retained by the Company.

In addition, the Company uses the following accounting policies:

1) Short-term investments, which consist of securities with maturity dates at date of purchase of less than one year, are carried at amortized cost.
2) The Company has no investment in mandatory convertible securities or SVO identified investments. Bonds not backed by other loans, with NAIC ratings of 6, are stated at the lower of amortized cost or SVO market value; all other NAIC ratings are stated at amortized cost using the modified scientific method.
3) Common stocks are carried at market.
4) Preferred stocks with NAIC designation of 4-6 are carried at the lower of cost or market. Preferred stocks with NAIC designation of 1-3 are carried at cost.
5) Mortgage loans on real estate are stated at the aggregate unpaid carrying value.
6) Loan-backed securities are carried at amortized cost using the retrospective method.
7) The Company has no investments in subsidiaries, or controlled or affiliated companies.
8) Joint ventures are carried at their underlying audited GAAP Equity basis.
9) All derivatives are stated at fair value.
10) The Company did not anticipate investment income as a factor in the premium deficiency calculation.
11) Periodically a study is done to develop a factor for unreported claims. This factor is applied to insurance inforce to provide an estimate of unreported claims. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
12) The Company depreciates all capitalized assets using the straight line method. The Company has not modified its capitalization policy from the prior year.
13) The Company does not have any pharmaceutical rebate receivables.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

In 2018, the Company recognized an increase to its' current tax liability of $1,067,928 and a decrease to its' net deferred tax liability of $1,757,614. These changes were made subsequent to the filing of the 2017 Annual Statement and were related to corrections and adjustments to prior year activity. The impact in 2018 is an increase to surplus of $689,686. Some of these corrections were calculated and recorded in the Company's 2017 audited financial statements.

NOTE 3 Business Combinations and Goodwill

A. Statutory Purchase Method

There were no business combinations accounted for under the statutory purchase method.

B. Statutory Merger

On January 1, 2018, the Company merged with its affiliated New York insurer, American National Life Insurance of New York (NAIC No.13762), and changed its name from Farm Family Life Insurance Company to American National Life Insurance Company of New York.

C. Assumption Reinsurance

The Company completed no assumption reinsurance agreements during the reporting periods.

D. Impairment Loss

The Company did not recognize an impairment loss on any of the types of transactions described above.

NOTE 4 Discontinued Operations

The Company did not have any discontinued operations during the reporting periods.

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

(1) The maximum and minimum lending rates for new mortgage loans during 2019 were 7.0% and 3.5% and during 2018 were 7.0% and 4.5%.
(2) The maximum percentage of any one loan to the value of the security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 95% in 2019 and in 2018.

NOTES TO FINANCIAL STATEMENTS

	Current Year	Prior Year
(3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total	$0	$0

(4) Age Analysis of Mortgage Loans and Identification of Mortgage Loans in Which the Insurer is a Participant or Co-lender in a Mortgage Loan Agreement:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Recorded Investment (All)							
(a) Current					$ 414,867,512		$ 414,867,512
(b) 30 - 59 Days Past Due							$ -
(c) 60 - 89 Days Past Due							$ -
(d) 90 - 179 Days Past Due					$ 4,121,819		$ 4,121,819
(e) 180+ Days Past Due							$ -
2. Accruing Interest 90 - 179 Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
4. Interest Reduced							
(a) Recorded Investment							$ -
(b) Number of Loans							$ -
(c) Percent Reduced	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded Investment							$ -
b. Prior Year							
1. Recorded Investment (All)							
(a) Current					$ 349,712,567		$ 349,712,567
(b) 30 - 59 Days Past Due							$ -
(c) 60 - 89 Days Past Due							$ -
(d) 90 - 179 Days Past Due							$ -
(e) 180+ Days Past Due							$ -
2. Accruing Interest 90 - 179 Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment							$ -
(b) Interest Accrued							$ -
4. Interest Reduced							
(a) Recorded Investment							$ -
(b) Number of Loans							$ -
(c) Percent Reduced	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded Investment							$ -

(5) Investment in Impaired Loans With or Without Allowance for Credit Losses and Impaired Loans Subject to a Participant or Co-lender Mortgage Loan Agreement for Which the Reporting Entity is Restricted from Unilaterally Foreclosing on the Mortgage Loan:Agreement:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. With Allowance for Credit Losses							$ -
2. No Allowance for Credit Losses							$ -
3. Total (1 + 2)	$ -	$ -	$ -	$ -	$ -	$ -	$ -
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan							$ -
b. Prior Year							
1. With Allowance for Credit Losses							$ -
2. No Allowance for Credit Losses							$ -
3. Total (1 + 2)	$ -	$ -	$ -	$ -	$ -	$ -	$ -
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan							$ -

(6) Investment in Impaired Loans – Average Recorded Investment, Interest Income Recognized, Recorded Investment on Nonaccrual Status and Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Average Recorded Investment							$ -
2. Interest Income Recognized							$ -
3. Recorded Investments on Nonaccrual Status					$ 4,121,819		$ 4,121,819
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting							$ -
b. Prior Year							
1. Average Recorded Investment							$ -
2. Interest Income Recognized							$ -
3. Recorded Investments on Nonaccrual Status							$ -
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting							$ -

(7) Allowance for credit losses:

	Current Year	Prior Year
a) Balance at beginning of period	$ -	$ 509,458
b) Additions charged to operations		
c) Direct write-downs charged against the allowances		$ (509,458)
d) Recoveries of amounts previously charged off		
e) Balance at end of period	$ -	$ -

NOTES TO FINANCIAL STATEMENTS

(8) Mortgage Loans Derecognized as a Result of Foreclosure:

	Current Year
a) Aggregate amount of mortgage loans derecognized	
b) Real estate collateral recognized	
c) Other collateral recognized	
d) Receivables recognized from a government guarantee of the foreclosed mortgage loan	

(9) Cash receipts on impaired loans are recorded in accordance with the loan agreement as a reduction of principal and/ or as interest income. However, interest ceases to accrue for loans on which interest is more than 60 days past due and/ or when the collection of interest is not considered probable.

B. Debt Restructuring

The Company is not a creditor for any restructured debt.

C. Reverse Mortgages

The Company has no investments in reverse mortgages as of December 31, 2019 or December 31, 2018.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from independent third party pricing services or internal estimates.

(2) At December 31, 2019, the Company did not have any securities within the scope of SSAP No. 43R, Revised Statutory Accounting for Loan-backed and Structured Securities, with a recognized other-than-temporary impairment due to the intent to sell or an inability or lack of intent to retain the security for a period of time sufficient to recover the amortized cost basis.

(3) At December 31, 2019, the Company did not hold any loan-backed and structured securities with a recognized credit-related other-than-temporary impairment.

(4) Unrealized loss/ fair value information:

a) The aggregate amount of unrealized losses:	
1. Less than 12 Months	$ (125,717)
2. 12 Months or Longer	$ (217,006)
b)The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	$ 19,820,843
2. 12 Months or Longer	$ 2,209,842

(5) All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. As of December 31, 2019, the Company believes it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. Although the investment securities above did not meet management's criteria for other-than-temporary impairment at this time, it is possible that future events or information could cause them to conclude that declines in value are other-than-temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

The Company has no repurchase agreements or securities lending transactions.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has no repurchase agreements transactions accounted for as secured borrowing.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company has no repurchase agreements transactions accounted for as a sale.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company has no reverse repurchase agreements transactions accounted for as a sale.

J. Real Estate

(1) The Company has no investment in impaired real estate.

(2) One property was sold for a capital loss of ($155,968). This amount is included in "Net Realized Capital gains and Losses", line 34 of the Summary of Operations. The detail is included in Schedule A, Part 3.

(3) The Company has no real estate classified as held for sale during the reporting periods.

(4) The Company does not engage in retail land sales.

(5) The Company holds no real estate investments with participating mortgage loan features.

K. Low Income Housing tax Credits (LIHTC)

The Company has no investment in low- income housing tax credits.

L. Restricted Assets

1. Restricted Assets (Including Pledged)

	Gross (Admitted & Nonadmitted) Restricted						
	Current Year						
	1	2	3	4	5	6	7
Restricted Asset Category	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown					$ -	$ -	$ -
b. Collateral held under security lending agreements					$ -	$ -	$ -
c. Subject to repurchase agreements					$ -	$ -	$ -
d. Subject to reverse repurchase agreements					$ -	$ -	$ -
e. Subject to dollar repurchase agreements					$ -	$ -	$ -
f. Subject to dollar reverse repurchase agreements					$ -	$ -	$ -
g. Placed under option contracts					$ -	$ -	$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock					$ -	$ -	$ -
i. FHLB capital stock					$ -	$ -	$ -
j. On deposit with states	$ 1,293,744				$ 1,293,744	$ 1,291,560	$ 2,184
k. On deposit with other regulatory bodies					$ -	$ -	$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)					$ -	$ -	$ -
m. Pledged as collateral not captured in other categories					$ -	$ -	$ -
n. Other restricted assets					$ -	$ -	$ -
o. Total Restricted Assets	$ 1,293,744	$ -	$ -	$ -	$ 1,293,744	$ 1,291,560	$ 2,184

(a) Subset of Column 1
(b) Subset of Column 3

NOTES TO FINANCIAL STATEMENTS

Restricted Asset Category	Current Year 8 Total Non-admitted Restricted	Current Year 9 Total Admitted Restricted (5 minus 8)	Percentage 10 Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Percentage 11 Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown		$ -	0.000%	0.000%
b. Collateral held under security lending agreements		$ -	0.000%	0.000%
c. Subject to repurchase agreements		$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements		$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements		$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements		$ -	0.000%	0.000%
g. Placed under option contracts		$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock		$ -	0.000%	0.000%
i. FHLB capital stock		$ -	0.000%	0.000%
j. On deposit with states		$ 1,293,744	0.046%	0.047%
k. On deposit with other regulatory bodies		$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)		$ -	0.000%	0.000%
m. Pledged as collateral not captured in other categories		$ -	0.000%	0.000%
n. Other restricted assets		$ -	0.000%	0.000%
o. Total Restricted Assets	$ -	$ 1,293,744	0.046%	0.047%

(c) Column 5 divided by Asset Page, Column 1, Line 28
(d) Column 9 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted, Current Year 1 Total General Account (G/A)	2 G/A Supporting S/A Activity (a)	3 Total Separate Account (S/A) Restricted Assets	4 S/A Assets Supporting G/A Activity (b)	5 Total (1 plus 3)	6 Total From Prior Year	7 Increase/ (Decrease) (5 minus 6)	8 Total Current Year Admitted Restricted	Percentage 9 Gross (Admitted & Non-admitted) Restricted to Total Assets	Percentage 10 Admitted Restricted to Total Admitted Assets
Total (c)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	0.000%	0.000%

(a) Subset of column 1
(b) Subset of column 3
(c) Total Line for Columns 1 through 7 should equal 5L(1)m Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)m Columns 9 through 11 respectively.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted, Current Year 1 Total General Account (G/A)	2 G/A Supporting S/A Activity (a)	3 Total Separate Account (S/A) Restricted Assets	4 S/A Assets Supporting G/A Activity (b)	5 Total (1 plus 3)	6 Total From Prior Year	7 Increase/ (Decrease) (5 minus 6)	8 Total Current Year Admitted Restricted	Percentage 9 Gross (Admitted & Non-admitted) Restricted to Total Assets	Percentage 10 Admitted Restricted to Total Admitted Assets
Total (c)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	0.000%	0.000%

(a) Subset of column 1
(b) Subset of column 3
(c) Total Line for Columns 1 through 7 should equal 5L(1)n Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)n Columns 9 through 11 respectively.

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets **
General Account:				
a. Cash, Cash Equivalents and Short-Term Investments	$ 11,210,000	$ 11,210,000	0.400%	0.403%
b. Schedule D, Part 1			0.000%	0.000%
c. Schedule D, Part 2, Section 1			0.000%	0.000%
d. Schedule D, Part 2, Section 2			0.000%	0.000%
e. Schedule B			0.000%	0.000%
f. Schedule A			0.000%	0.000%
g. Schedule BA, Part 1			0.000%	0.000%
h. Schedule DL, Part 1			0.000%	0.000%
i. Other			0.000%	0.000%
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	$ 11,210,000	$ 11,210,000	0.400%	0.403%
Separate Account:				
k. Cash, Cash Equivalents and Short-Term Investments			0.000%	0.000%
l. Schedule D, Part 1			0.000%	0.000%
m. Schedule D, Part 2, Section 1			0.000%	0.000%
m. Schedule D, Part 2, Section 2			0.000%	0.000%
o. Schedule B			0.000%	0.000%
p. Schedule A			0.000%	0.000%
q. Schedule BA, Part 1			0.000%	0.000%
r. Schedule DL, Part 1			0.000%	0.000%
s. Other			0.000%	0.000%
t. Total Collateral Assets (k+l+m+n+o+p+q+r+s)	$ -	$ -	0.000%	0.000%

NOTES TO FINANCIAL STATEMENTS

* j = Column 1 divided by Asset Page, Line 26 (Column 1)
t = Column 1 divided by Asset Page, Line 27 (Column 1)
** j = Column 1 divided by Asset Page, Line 26 (Column 3)
t = Column 1 divided by Asset Page, Line 27 (Column 3)

	1 Amount	2 % of Liability to Total Liabilities *
u. Recognized Obligation to Return Collateral Asset (General Account)	$ 11,210,000	0.440%
v. Recognized Obligation to Return Collateral Asset (Separate Account)		0.000%

* u = Column 1 divided by Liability Page, Line 26 (Column 1)
v = Column 1 divided by Liability Page, Line 27 (Column 1)

M. Working Capital Finance Investments

The Company has no working capital finance investments.

N. Offsetting and Netting of Assets and Liabilities

The Company has not offset or netted assets and liabilities in accordance with SSAP No. 64, Offsetting and Netting of Assets and Liabilities.

O. 5GI Securities

The Company has no 5GI securities.

P. Short Sales

The Company has no short sales.

Q. Prepayment Penalty and Acceleration Fees

	General Account	Separate Account
1. Number of CUSIPs	45	
2. Aggregate Amount of Investment Income	$ 2,061,180	

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

NOTE 7 Investment Income

A. Due and accrued income was excluded from surplus on the following bases:

1) Mortgage Loans: In foreclosure or in default and 90 days or more past due.

2) Other than Mortgage Loans: All investment income due and accrued with amounts that are 90 days past due.

B. The total amount excluded:

There was no due and accrued income excluded from surplus for 2019 or 2018.

NOTE 8 Derivative Instruments

A. Derivatives under SSAP No. 86—Derivatives

The Company issues indexed deferred annuities, which credit returns based on the performance of underlying equity indexes. However, the indexed credits cannot be less than minimum guarantees. The Company issues policies one a week and purchases options at the same time, thereby closely matching the timing and other characteristics of the option assets to the indexed-related liabilities. The Company purchases the options from a number of different well-regarded parties and further mitigates credit risk by negotiating collateral deposit agreements with the counter-parties. All options are stated at fair value net of collateral and are reported on the Derivatives line on the Asset page. Changes in the values of the options are recorded as changes in unrealized gains.

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees

The Company has no derivatives hedging variable annuity guarantees under SSAP No. 108.

NOTE 9 Income Taxes

A. The components of the net deferred tax asset/(liability) at the end of current period are as follows:

1.

	As of End of Current Period			12/31/2018			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	$34,972,139	$ 82,970	$35,055,109	$20,598,438	$ 2,728,551	$23,326,989	$ 14,373,701	$ (2,645,581)	$ 11,728,120
(b) Statutory Valuation Allowance Adjustment			$ -			$ -	$ -	$ -	$ -
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	$34,972,139	$ 82,970	$35,055,109	$20,598,438	$ 2,728,551	$23,326,989	$ 14,373,701	$ (2,645,581)	$ 11,728,120
(d) Deferred Tax Assets Nonadmitted	$23,636,667		$23,636,667	$10,709,719		$10,709,719	$ 12,926,948	$ -	$ 12,926,948
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	$11,335,472	$ 82,970	$11,418,442	$ 9,888,719	$ 2,728,551	$12,617,270	$ 1,446,753	$ (2,645,581)	$ (1,198,828)
(f) Deferred Tax Liabilities	$ 5,964,820	$ 908,855	$ 6,873,675	$ 4,288,640	$14,573,556	$18,862,196	$ 1,676,180	$ (13,664,701)	$ (11,988,521)
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	$ 5,370,652	$ (825,885)	$ 4,544,767	$ 5,600,079	$ (11,845,005)	$ (6,244,926)	$ (229,427)	$11,019,120	$10,789,693

2.

	As of End of Current Period			12/31/2018			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
Admission Calculation Components SSAP No. 101									
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks	$ -	$ -	$ -			$ -	$ -	$ -	$ -
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	$ 5,370,652	$ 82,970	$ 5,453,622	$ 5,600,079	$ 2,728,551	$ 8,328,630	$ (229,427)	$ (2,645,581)	$ (2,875,008)
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.	$ 5,370,652	$ 82,970	$ 5,453,622	$ 5,600,079	$ 2,728,551	$ 8,328,630	$ (229,427)	$ (2,645,581)	$ (2,875,008)
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	$33,652,991	XXX	XXX	$35,557,244	XXX	XXX	$ (1,904,253)
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.	$ 5,964,820	$ -	$ 5,964,820	$ 4,288,640		$ 4,288,640	$ 1,676,180	$ -	$ 1,676,180
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	$11,335,472	$ 82,970	$11,418,442	$ 9,888,719	$ 2,728,551	$12,617,270	$ 1,446,753	$ (2,645,581)	$ (1,198,828)

3.

	2019	2018
a. Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	656.131%	858.838%
b. Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation In 2(b)2 Above.	$ 224,353,271	$ 237,048,296

NOTES TO FINANCIAL STATEMENTS

4.

	As of End of Current Period		12/31/2018		Change	
	(1) Ordinary	(2) Capital	(3) Ordinary	(4) Capital	(5) (Col. 1 - 3) Ordinary	(6) (Col. 2 - 4) Capital
Impact of Tax Planning Strategies:						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.						
1. Adjusted Gross DTAs amount from Note 9A1(c)	$ 34,972,139	$ 82,970	$ 20,598,438	$ 2,728,551	$ 14,373,701	$ (2,645,581)
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	$ 11,335,472	$ 82,970	$ 9,888,719	$ 2,728,551	$ 1,446,753	$ (2,645,581)
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%

b. Do the Company's tax-planning strategies include the use of reinsurance? Yes [ ] No [X]

B. As of December 31, 2019, the Company had no unrecognized deferred tax liabilities.

C. Current income taxes incurred consist of the following major components:

	(1) As of End of Current Period	(2) 12/31/2018	(3) (Col. 1 - 2) Change
1. Current Income Tax			
(a) Federal	$ 6,895,269	$ 5,030,165	$ 1,865,104
(b) Foreign	$ 37,165	$ 43,333	$ (6,168)
(c) Subtotal	$ 6,932,434	$ 5,073,498	$ 1,858,936
(d) Federal income tax on net capital gains	$ 18,001,540	$ 1,313,357	$ 16,688,183
(e) Utilization of capital loss carry-forwards			$ -
(f) Other			$ -
(g) Federal and foreign income taxes incurred	$ 24,933,974	$ 6,386,855	$ 18,547,119
2. Deferred Tax Assets:			
(a) Ordinary:			
(1) Discounting of unpaid losses			$ -
(2) Unearned premium reserve			$ -
(3) Policyholder reserves	$ 23,666,725	$ 11,228,919	$ 12,437,806
(4) Investments	$ 2,239,621	$ 829,002	$ 1,410,619
(5) Deferred acquisition costs	$ 6,857,226	$ 6,038,934	$ 818,292
(6) Policyholder dividends accrual	$ 1,501,712	$ 2,121,508	$ (619,796)
(7) Fixed Assets			$ -
(8) Compensation and benefits accrual			$ -
(9) Pension accrual		$ 14,862	$ (14,862)
(10) Receivables - nonadmitted	$ 658,577	$ 285,650	$ 372,927
(11) Net operating loss carry-forward			$ -
(12) Tax credit carry-forward			$ -
(13) Other (including items <5% of total ordinary tax assets)	$ 48,278	$ 79,563	$ (31,285)
(99) Subtotal	$ 34,972,139	$ 20,598,438	$ 14,373,701
(b) Statutory valuation allowance adjustment			$ -
(c) Nonadmitted	$ 23,636,667	$ 10,709,719	$ 12,926,948
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	$ 11,335,472	$ 9,888,719	$ 1,446,753
(e) Capital:			
(1) Investments	$ 82,970	$ 2,728,551	$ (2,645,581)
(2) Net capital loss carry-forward			$ -
(3) Real estate			$ -
(4) Other (including items <5% of total ordinary tax assets)			$ -
(99) Subtotal	$ 82,970	$ 2,728,551	$ (2,645,581)
(f) Statutory valuation allowance adjustment			$ -
(g) Nonadmitted			$ -
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	$ 82,970	$ 2,728,551	$ (2,645,581)
(i) Admitted deferred tax assets (2d + 2h)	$ 11,418,442	$ 12,617,270	$ (1,198,828)
3. Deferred Tax Liabilities:			
(a) Ordinary:			
(1) Investments	$ 1,570,685	$ 1,270,251	$ 300,434
(2) Fixed Assets	$ 1,079,741	$ 11,066	$ 1,068,675
(3) Deferred and uncollected premium	$ 2,820,631	$ 2,858,673	$ (38,042)
(4) Policyholder reserves			$ -
(5) Other (including items <5% of total ordinary tax liabilities)	$ 493,763	$ 148,650	$ 345,113
(99) Subtotal	$ 5,964,820	$ 4,288,640	$ 1,676,180
(b) Capital:			
(1) Investments	$ 908,855	$ 14,573,556	$ (13,664,701)
(2) Real estate			$ -
(3) Other (including items <5% of total capital tax liabilities)			$ -
(99) Subtotal	$ 908,855	$ 14,573,556	$ (13,664,701)
(c) Deferred tax liabilities (3a99 + 3b99)	$ 6,873,675	$ 18,862,196	$ (11,988,521)
4. Net deferred tax assets/liabilities (2i - 3c)	$ 4,544,767	$ (6,244,926)	$ 10,789,693

D. Additional Information

1) The change in deferred income tax reported in surplus is comprised of the following components:

	12/31/2019 Total	12/31/2018 Total	Change Total
Adjusted Gross Deferred Tax Assets	$ 35,055,109	$ 23,326,989	$ 11,728,120
Deferred Tax Liabilities	6,873,675	18,862,196	(11,988,521)
Net Deferred Tax Asset (Liability)	$ 28,181,434	$ 4,464,793	$ 23,716,641
Tax Effect On Change in Unaffiliated Unrealized Gains (Losses)			(12,554,517)
Tax Effect On Change in Previously Untaxed Nonadmitted Assets			(372,927)
Tax Effect On Change in Statutory Pension Obligation			272,274
Change in Deferred Income Taxes In Surplus			$ 11,061,471

NOTES TO FINANCIAL STATEMENTS

2) The provision for federal and foreign income taxes incurred is different from that which would be obtained by applying the statutory Federal income tax rate to income before income taxes. The significant items causing this difference are as follows:

	12/31/2019	Effective Tax Rate
Gain (loss) From Operations	$ (21,310,781)	
Capital Gains (Losses)	90,034,368	
Reported Statutory Income (Loss)	$ 68,723,587	
Federal Statutory Rate	21%	
Expected Income Tax at Statutory Rate	$ 14,431,953	21.0 %
Valuation allowance		
Interest Maintenance Reserve	(56,389)	(0.1) %
Tax-Exempt Interest	(248,885)	(0.4) %
Tax Deductible Expenses	-	
Tax Credits	-	
Other	-	
Total Income Tax Reported	$ 13,872,503	20.2 %
Current Income Taxes Incurred	$ 24,933,974	36.3 %
Change In Deferred Income Taxes	(11,061,471)	(16.1) %
Total Income Tax Reported	$ 13,872,503	20.2 %

E. Operating Loss Carry-Forward

1) As of December 31, 2019, the Company had no operating loss or tax credit carry-forwards available for tax purposes.

2) As of December 31, 2019, the Company had no federal income taxes available for recoupment.

3) As of December 31, 2019, the Company had no deposits under Code Section 6603 of the Internal Revenue Code to stop the running of interest on potential underpayments.

F. Consolidated Federal Income Tax Return

1) The Company's federal income tax return is consolidated with ANICO and its subsidiaries which are as follows:

Life Companies:
American National Insurance Company
American National Life Insurance Company of Texas
Standard Life and Accident Insurance Company
Garden State Life Insurance Company
American National Life Insurance Company of New York

Non Life Companies:
American National Propery and Casualty Company
American National General Insurance Company
Pacif ic Property and Casualty Company
ANPAC Louisiana Insurance Company
Farm Family Casualty Insurance Company
United Farm Family Insurance Company

Non Life Companies: (cont'd)
ANH2O, Inc.
American National Insurance Service Company
Alternative Benef its Management Inc.
American National Holdings, Inc.
American National Administrators, Inc.
American National Registered Investment Advisor, Inc.
ANICO Financial Services, Inc.
Standard Plus, Inc.
ANPAC Lloyds Insurance Management, Inc.

2) For purposes of calculating the earnings and profits of each of the members, the consolidated federal income tax liability of the affiliated group was apportioned among all the members in accordance with the method set forth in Code Section 1552 and Treasury Regulations Section 1.1552-1(a) and Treasury Regulations Section 1.1502-33(d)(2), the "wait and see" method.

The Company is included in the consolidated federal income tax return of its parent, American National Insurance Company. In accordance with the Company's tax sharing agreement, if the Company has taxable income, it pays its share of the consolidated federal income tax liability to its parent. However, if the Company incurs a tax loss, the tax benefit is recovered by decreasing subsequent year's federal income tax payments to its parent.

G. Tax Loss Contingencies

As of December 31, 2019, the Company had no liability for tax loss contingencies.

H. Repatriation Transition Tax (RTT)

As of December 31, 2019, the Company had no foreign repatriation transition tax.

I. Alternative Minimum Tax (AMT) Credit

As of December 31, 2019, the Company had no AMT credit carryforwards.

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A,B,C. There were no dividends paid out in 2019 or 2018.

D. The Company reported $5,248,051 and $2,035,664 as amounts due from parent and affiliates at December 31, 2019 and 2018, respectively. The Company reported $1,663,983 and $2,888,040 as amounts due to parent and affiliates at December 31, 2019, and 2018, respectively. The terms of settlement require these amounts to be settled within 30 days of receipt of invoice or, as applicable under certain agreements, within 30 days of the end of the billing period.

E. The Company has made no guarantees for the benefit of an affiliate or related party.

F. American National Insurance Company provides administrative services to the Company through an administrative services agreement and has agreed to provide certain investment mortgage loan and real estate services to the Company. The Company has expense sharing agreements with its affiliates. Certain EDP equipment and software is rented to the Company by American National Holdings, Inc.

G. All outstanding shares of the company are owned indirectly by American National Insurance Company, an insurance company domiciled in the State of Texas.

H. No amount was deducted for the value of an upstream intermediate entity or ultimate parent.

I,J. The Company has no investment in Subsidiary, Controlled or Affiliated Companies ("SCA Investments").

K. The Company has no investment in foreign insurance subsidiaries.

L. The Company has no investments in a downstream noninsurance holding company.

M,N The Company has no SCA investments during the reporting periods.

O. The Company has no SCA investments or investments in joint ventures, partnerships, or limited liability companies.

NOTE 11 Debt

A. The Company has a line of credit established with American National Holdings, Inc. for up to $15,000,000 to meet short-term liquidity needs. There were no outstanding borrowings on this line of credit at December 31, 2019 and December 31, 2018. The Company has no long-term debt and no other short-term borrowing arrangements.

B. The Company has no Federal Home Loan Bank (FHLB) agreements.

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

The Company and Farm Family Casualty Insurance Company (the "sponsoring companies") sponsor a qualified noncontributory defined benefit pension plan for all full time employees hired prior to January 1, 1997. Effective January 1, 1997, the pension plan benefits were frozen.

The sponsoring companies sponsor a postretirement life insurance benefit plan for retired employees meeting certain age and length of service requirements. Effective December 31, 2002, eligibility for benefits was frozen to provide benefits only to employees who met certain age and service requirements as of December 31, 2002, and to retirees who were retired as of December 31, 2002. Benefits under the postretirement life insurance benefit plan are provided by a group term life insurance policy issued by American National Insurance Company.

The sponsoring companies sponsor postretirement medical and dental benefits plans for certain retirees. Eligibility for benefits under this plan was frozen effective December 31, 2002 to include only retirees receiving benefits under the plan as of December 31, 2002.

The Company accrues pension benefit cost and postretirement benefit cost in accordance with SSAP No. 102, and SSAP No. 92, respectively.

NOTES TO FINANCIAL STATEMENTS

A summary of assets, obligations and assumptions of the Pension and Other Postretirement Benefit Plans are as follows at December 31, 2019 and December 31, 2018:

(1) Change in benefit obligation

a. Pension Benefits

	Overfunded		Underfunded	
	2019	2018	2019	2018
1. Benefit obligation at beginning of year	$ 26,143,596	$ 29,151,631	$ -	$ -
2. Service cost	$ 77,664	$ 65,206		
3. Interest cost	$ 1,070,235	$ 1,050,341		
4. Contribution by plan participants				
5. Actuarial gain (loss)	$ 1,299,256	$ (1,135,453)		
6. Foreign currency exchange rate changes				
7. Benefits paid	$ (2,349,755)	$ (2,988,129)		
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ 26,240,996	$ 26,143,596	$ -	$ -

b. Postretirement Benefits

	Overfunded		Underfunded	
	2019	2018	2019	2018
1. Benefit obligation at beginning of year	$ (91,087)	$ (329,208)	$ 1,009,547	$ 1,062,223
2. Service cost				
3. Interest cost	$ (3,844)	$ (3,831)	$ 40,938	$ 38,907
4. Contribution by plan participants				
5. Actuarial gain (loss)	$ (6,516)	$ 226,612	$ 58,168	$ (25,833)
6. Foreign currency exchange rate changes				
7. Benefits paid	$ 15,462	$ 15,340	$ (19,000)	$ (65,750)
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ (85,985)	$ (91,087)	$ 1,089,653	$ 1,009,547

c. Special or Contractual Benefits Per SSAP No. 11

	Overfunded		Underfunded	
	2019	2018	2019	2018
1. Benefit obligation at beginning of year	$ -		$ -	
2. Service cost				
3. Interest cost				
4. Contribution by plan participants				
5. Actuarial gain (loss)				
6. Foreign currency exchange rate changes				
7. Benefits paid				
8. Plan amendments				
9. Business combinations, divestitures, curtailments, settlements and special termination benefits				
10. Benefit obligation at end of year	$ -	$ -	$ -	$ -

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2019	2018	2019	2018	2019	2018
(2) Change in plan assets						
a. Fair value of plan assets at beginning of year	$ 26,632,554	$ 30,767,067	$ -	$ -	$ -	$ -
b. Actual return on plan assets	$ 5,795,776	$ (1,152,244)				
c. Foreign currency exchange rate changes						
d. Reporting entity contribution			$ 3,538	$ 50,410		
e. Plan participants' contributions						
f. Benefits paid	$ (2,348,761)	$ (2,982,269)	$ (3,538)	$ (50,410)		
g. Business combinations, divestitures and settlements						
h. Fair value of plan assets at end of year	$ 30,079,569	$ 26,632,554	$ -	$ -	$ -	$ -

(3) Funded status

	Overfunded		Underfunded	
	2019	2018	2019	2018
a. Components:				
1. Prepaid benefit costs	$ 7,639,856	$ 7,551,027		
2. Overfunded plan assets	$ (3,801,283)	$ (7,062,069)	$ 85,985	$ 91,087
3. Accrued benefit costs				
4. Liability for pension benefits			$ 1,089,653	$ 1,009,547
b. Assets and liabilities recognized:				
1. Assets (nonadmitted)	$ 3,838,573	$ 488,958	$ 85,985	$ 91,087
2. Liabilities recognized			$ 1,089,653	$ 1,009,547
c. Unrecognized liabilities				

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2019	2018	2019	2018	2019	2018
(4) Components of net periodic benefit cost						
a. Service cost	$ 77,664	$ 65,206				
b. Interest cost	$ 1,070,235	$ 1,050,341	$ 37,094	$ 35,076		
c. Expected return on plan assets	$ (1,516,154)	$ (1,746,823)				
d. Transition asset or obligation	$ 87,816	$ 87,816				
e. Gains and losses	$ 191,610	$ 122,547	$ (4,023)	$ 2,535		
f. Prior service cost or credit			$ (18,309)	$ (30,596)		
g. Gain or loss recognized due to a settlement or curtailment						
h. Total net periodic benefit cost	$ (88,829)	$ (420,913)	$ 14,762	$ 7,015	$ -	$ -

NOTES TO FINANCIAL STATEMENTS

(5) Amounts in unassigned funds (surplus) recognized as components of net periodic benefit cost:

	Pension Benefits		Postretirement Benefits	
	2019	2018	2019	2018
a. Items not yet recognized as a component of net periodic cost - prior year	$ (7,062,069)	$ (5,514,678)	$ (107,169)	$ 121,671
b. Net transition asset or obligation recognized	$ 87,816	$ 87,816		
c. Net prior service cost or credit arising during the period				
d. Net prior service cost or credit recognized			$ (18,309)	$ (30,596)
e. Net gain and loss arising during the period	$ 2,981,360	$ (1,757,754)	$ (51,652)	$ (200,779)
f. Net gain and loss recognized	$ 191,610	$ 122,547	$ (4,023)	$ 2,535
g. Items not yet recognized as a component of net periodic cost - current year	$ (3,801,283)	$ (7,062,069)	$ (181,153)	$ (107,169)

(6) Amounts in unassigned funds (surplus) that have not yet been recognized as components of net periodic benefit cost:

	Pension Benefits		Postretirement Benefits	
	2019	2018	2019	2018
a. Net transition asset or obligation	$ (87,809)	$ (175,625)		
b. Net prior service cost or credit			$ 2,090	$ 20,399
c. Net recognized gains and losses	$ (3,713,474)	$ (6,886,444)	$ (183,243)	$ (127,568)

(7) Weighted-average assumptions used to determine net periodic benefit cost as of the end of current period:

	2019	2018
a. Weighted average discount rate	4.380%	3.770%
b. Expected long-term rate of return on plan assets	6.000%	6.000%
c. Rate of compensation increase	0.000%	0.000%
d. Interest crediting rates (for cash balance plans and other plans with promised interest crediting rates)	0.000%	0.000%

Weighted average assumptions used to determine projected benefit obligations as of end of current period:

	2019	2018
e. Weighted average discount rate	3.370%	4.380%
f. Rate of compensation increase	0.000%	0.000%
g. Interest crediting rates (for cash balance plans and other plans with promised interest crediting rates)	0.000%	0.000%

A measurement date of December 31, 2019 was used to determine the above.

The Company's share of certain components of the defined benefit plans is as follows:

	Pension Benefits		Postretirement Benefits	
	2019	2018	2019	2018
Employer contributions	$ -	$ -	$ 3,895	$ 1,754
Net periodic benefit cost	$ (35,526)	$ (168,365)	$ (6,569)	$ (7,837)

(8) The amount of the accumulated benefit obligation for defined benefit pension plans was $26,240,996 for the current year and $26,143,596 for the prior year.

(9) The life insurance plan is non-contributory. The medical and dental plan is contributory, in that it allows retirees to purchase medical and dental insurance at the per-employee average cost for the year. The assumed annual health care trend rates for medical and dental expense calculations are as follows:

	Medical	Dental
2019	0.0%	0.0%
2020	4.9%	4.0%
2021	5.0%	4.0%
2022	5.1%	4.0%
2023+	5.0% - 3.8%	4.0% - 3.8%

(10) The following estimated future payments, which reflect expected future service, as appropriate, are expected to be paid in the years indicated:

a. 2020	$ 2,480,029
b. 2021	$ 2,642,764
c. 2022	$ 2,143,156
d. 2023	$ 1,985,267
e. 2024	$ 2,239,999
f. 2025 through 20xx	$ 9,306,758

(11) There is no estimated contribution requirement to the Plans in 2020.

(12) Pension plan assets include a group annuity contract issued by by American National Life Insurance Company of New York. The group annuity contract is used to pay plan benefits. The fair value of the group annuity contract at December 31, 2019 and December 31, 2018 was $597,681 and $1,130,937, respectively.

(13) No alternative methods were used to amortize prior service amounts or unrecognized net gains and losses.

(14) No substantive commitments were used as the basis for accounting of a benefit obligation.

(15) There were no special or contractual termination benefits recognized during the period.

(16) There were no other significant changes in the benefit obligation or plan assets not otherwise apparent in the other disclosures required by SSAP No. 102 and SSAP No. 92.

(17-18) The Defined Benefit pension plan was frozen as of January 1,1997. See note A above. The accumulated benefit obligation is disclosed above and is the same amount as the projected benefit obligation and/or the "benefit obligation". There is no surplus impact to reflect the full benefit obligation as it is already recognized. The Company did not elect the transitional recognition option in SSAP 102.

B. Defined Benefit Plan - Investment Policies and Strategies

The Farm Family Employee Retirement Plan ("the plan") is sponsored by American National Life Insurance Company of New York and Farm Family Casualty Insurance Company.

1) The plan asset allocation as of the measurement dates, December 31, 2019, and December 31, 2018, and the target asset allocation, presented as a percentage of total plan assets, were as follows:

Plan Assets at December 31,	2019	2018	Target Allocation
Asset Category			
Debt Securities	33%	34%	35%
Equity Securities	65%	61%	50%
Real Estate	0%	0%	0%
Other	2%	5%	15%
Total	100%	100%	100%

2) The investment policy guidelines for the plan are stated as a maximum guideline percentage of the total assets for each asset category. Equity securities are invested in mutual funds to achieve company and sector diversity. Debt securities guidelines permit up to 100% of assets to be invested in debt. Debt holdings of any one entity are limited to 5% of total plan assets, and must have an AA rating or better at the time of purchase. The plan also invests in bond mutual funds to diversify holdings across entities and market sectors. The plan does not invest in real estate or derivative instruments. The "Other" asset category includes a group deposit administration (GDA) contract issued by by American National Life Insurance Company of New York. The GDA is used to pay plan benefits.

C. The fair value of each class of plan assets

(1) Fair Value Measurements of Plan Assets at Reporting Date

Description for each class of plan assets	(Level 1)	(Level 2)	(Level 3)	Total
Short-term investments	$ 50,701			$ 50,701
Mutual funds	$ 29,406,680			$ 29,406,680
Unallocated group annuity contract		$ 597,681		$ 597,681
Total Plan Assets	$ 29,457,381	$ 597,681	$ -	$ 30,055,062

The table above excludes other assets of $24,507.

NOTES TO FINANCIAL STATEMENTS

(2) Information about pension plan assets:
The Plan follows ASC 820-10, Fair Value Measurements and Disclosures, (formerly referred to as Financial Accounting Standards No. 157, Fair Value Measurements). ASC 820-10 establishes a three-level hierarchy for disclosure of fair value measurements. The valuation hierarchy is based upon the transparency of inputs to the valuation of an asset or liability as of the measurement date. A financial instrument's categorization within the valuation hierarchy is based upon the lowest level of input that is significant to the value measurement.

A complete description of the input levels is shown in note 20. A brief description is as follows:

• Level 1 – Quoted prices in active markets for identical assets

• Level 2 – Valuation techniques based on observable market data

• Level 3 – Valuation techniques incorporating information other than observable market data

All registered mutual fund investments are classified in Level 1 of the fair value hierarchy as quoted prices are readily available. The investments are valued at the net asset value (NAV) of shares held by the Plan at year end. The investments are redeemable daily. The unallocated group annuity contract is valued at contract value, which approximates fair value. Contract value represents contributions made under the contracts, plus interest at the contract rate, less funds used to pay retirement benefits.

D. The overall expected long-term rate-of-return-on-assets assumption is based upon a building block method, whereby the expected rate of return on each asset class is broken down into three components: (1) inflation, (2) the real risk-free rate of return, and (3) the risk premium for each asset class (i.e., the expected return in excess of the risk-free rate). All three components are based primarily on historical data, with modest adjustments to take into account additional relevant information that is currently available. For the inflation and risk-free return components, the most significant additional information is that provided by the market for nominal and inflation-indexed U.S. Treasury securities. That market provides implied forecasts of both the inflation rate and the risk-free rate for the period over which currently-available securities mature. The historical data on risk premiums for each asset class is adjusted to reflect any systemic changes that have occurred in the relevant markets; e.g., the higher current valuations for equities, as a multiple of earnings, relative to the longer-term average for such valuations. While the precise expected return derived using the above approach will fluctuate somewhat from year to year, our policy is to hold this long-term assumption constant as long as it remains within a reasonable tolerance

E. Defined Contribution Plan
The Ultimate Parent Company, ANICO, sponsors a defined contribution savings plan under which the Company contributes 100% match of the first 4% contributed by the employee. The Company's incurred expense for the plan was $165,023 and $167,114, as of December 31, 2019 and December 31, 2018, respectively.

F. Multiemployer Plans
The Company does not participate in any multiemployer plans.

G. Consolidated/Holding Company Plans
The Company's employees do not participate in any plan other than the defined contribution savings plan described above sponsored by the Parent Company.

H. Postemployment Benefits and Compensated Absences
Post employment benefits are accrued and disclosed above in paragraph 12-A.
There were no significant nonroutine events, such as amendments, combinations, divestures, curtailments or settlements to the post employment benefit plans during the year.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)
The Company's postretirement benefits plan is not impacted by the Medicare Modernization Act.

NOTE 13 Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has 61,000 shares authorized, 60,011 shares issued and outstanding. All shares are Class A shares with a par value of $50.

(2) The Company has no preferred stock outstanding.

(3) Without notification to and/ or prior approval of its domiciliary commissioner, dividends to shareholders are limited by the laws of the Company's State of Incorporation, New York, to an amount that is based on restrictions relating to statutory surplus. This amount must be paid out of "earned surplus", and is based on the greater of (1) 10% of statutory surplus as of December 31, 2019 and (2) the net gain from operations before realized capital gains during 2019 limited to 30% of statutory surplus as of December 31, 2019. Earned surplus is defined in Section 4207 of the New York Insurance Law as positive assigned funds, excluding 85% of the change in net unrealized capital gains or losses less capital gains tax, for the immediately preceding calendar year. Since the Company's 2019 net gain from operations, not including realized capital gains, was negative, no dividend may be distributed in 2020 without approval of the Superintendent.

(4) There were no dividends paid to American National Holdings, Inc. in 2019.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.
Section 4219 of the New York Insurance Law provides a limit on the amount of participating policyholders' surplus that may be retained by a stock life insurance company that issues participating policies. As of December 31, 2019 and December 31, 2018, the Company's participating policyholders' surplus is within the Section 4219 limit.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The Company is a stock life insurance company.

(8) The Company has no stock held for special purposes.

(9) The special surplus fund for the group life contingency reserve of $149,144 was released in 2018 as the Company no longer writes group life insurance.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses $ 4,110,349

(11) The Company has not issued any surplus debentures or similar obligations.

(12-13) The Company did not participate in a quasi-reorganization.

NOTES TO FINANCIAL STATEMENTS

NOTE 14 Liabilities, Contingencies and Assessments

A. Contingent Commitments

The Company had aggregate commitments at December 31, 2019, to fund fixed interest rate mortgage loans and to purchase other invested assets of $194,819,732 of which $75,458,364 is expected to be funded in 2020. The remaining balance of $119,361,368 will be funded in 2021 and beyond. As of December 31, 2019, all mortgage loan commitments have fixed interest rates.

(1) Total contingent liabilities: $ 194,819,732

(2)

(1) Nature and circumstances of guarantee and key attributes, including date and duration of agreement	(2) Liability recognition of guarantee. (Include amount recognized at inception. If no initial recognition, document exception allowed under SSAP No. 5R.)	(3) Ultimate financial statement impact if action under the guarantee is required	(4) Maximum potential amount of future payments (undiscounted) the guarantor could be required to make under the guarantee. If unable to develop an estimate, this should be	(5) Current status of payment or performance risk of guarantee. Also provide additional discussion as warranted
Additional investment for Equity Fund 7064, originally acquired on 6/21/2018, will expire on 6/30/2023	$ -	Other Invested Assets/ Joint Venture	$ 4,250,117	Limited Partnership Interest
Additional investment for Equity Fund 7069, originally acquired on 10/31/2018, will expire on 10/31/2023	$ -	Other Invested Assets/ Joint Venture	$ 818,497	Limited Partnership Interest
Additional investment for Equity Fund 7075, originally acquired on 01/18/2019, will expire on 11/29/2021	$ -	Other Invested Assets/ Joint Venture	$ 787,500	Limited Partnership Interest
Additional investment for Equity Fund 7078, originally acquired on 09/24/2018, will expire on 12/31/2021	$ -	Other Invested Assets/ Joint Venture	$ 133,636	Limited Partnership Interest
Additional investment for Equity Fund 7081, originally acquired on 08/14/2019, will expire on 03/31/2023	$ -	Other Invested Assets/ Joint Venture	$ 8,000,000	Limited Partnership Interest
Additional investment for Equity Fund 7083, originally acquired on 08/26/2019, will expire on 12/21/2022	$ -	Other Invested Assets/ Joint Venture	$ 584,889	Limited Partnership Interest
Additional investment for Equity Fund 7086, originally acquired on 10/30/2019, will expire on 11/06/2024	$ -	Other Invested Assets/ Joint Venture	$ 433,378	Limited Partnership Interest
Additional investment for Equity Fund 7088, originally acquired on 11/25/2019, will expire on 09/30/2023	$ -	Other Invested Assets/ Joint Venture	$ 12,000,000	Limited Partnership Interest
Additional investment for Equity Fund 7090, originally acquired on 12/06/2019, will expire on 12/31/2026	$ -	Other Invested Assets/ Joint Venture	$ 968,887	Limited Partnership Interest
Additional investment for Equity Fund 7093, originally acquired on 12/12/2019, will expire on 09/30/2024	$ -	Other Invested Assets/ Joint Venture	$ 14,161,677	Limited Partnership Interest
New investment purchase of $10,000,000 for Equity Fund LBC V, will expire on 06/30/2024	$ -	Other Invested Assets/ Joint Venture	$ 10,000,000	Limited Partnership Interest
New investment purchase of $20,000,000 for Equity Fund Kayne Senior Credit Fund IV, will expire on 12/31/2026	$ -	Other Invested Assets/ Joint Venture	$ 20,000,000	Limited Partnership Interest
Mortgage loan in process as of 11/15/2019, will expire on 02/06/2020	$ -	Mortgage Loans/ Other	$ 16,057,431	New approved mortgage loan
Additional investment for Construction Loan 400201, originally acquired on 08/02/2018, will expire on 08/15/2024	$ -	Mortgage Loans/ Other	$ 580,839	Current Mortgage loan in good standing
Additional investment for Construction Loan 400301, originally acquired on 01/29/2019, will expire on 02/01/2024	$ -	Mortgage Loans/ Other	$ 1,567,214	Current Mortgage loan in good standing
Additional investment for Contruction Loan 400401, originally acquired on 05/28/2019, will expire on 06/01/2024	$ -	Mortgage Loans/ Other	$ 7,376,666	Current Mortgage loan in good standing
Additional investment for Contruction Loan 400501, originally acquired on 06/28/2019, will expire on 07/01/2024	$ -	Mortgage Loans/ Other	$ 11,605,883	Current Mortgage loan in good standing
Additional investment for Construction Loan 400601, originally acquired on 09/17/2019, will expire on 10/01/2026	$ -	Mortgage Loans/ Other	$ 13,500,000	Current Mortgage loan in good standing
Additional investment for Contruction Loan 400701, originally acquired on 09/27/2019, will expire on 10/10/2024	$ -	Mortgage Loans/ Other	$ 25,253,165	Current Mortgage loan in good standing
Additional alternative investment purchase of CVC notes, will expire on 08/06/2021	$ -	Bonds/ Other	$ 4,320,909	Existing note purchase agreement
Additional alternative investment purchase of Crescent notes, will expire on 04/30/2024	$ -	Bonds/ Other	$ 12,133,414	Existing note purchase agreement
Additional alternative investment purchase of NorthStar notes, will expire on 11/06/2024	$ -	Bonds/ Other	$ 3,900,401	Existing note purchase agreement
Additional alternative investment purchase of PineBridge notes, will expire on 12/31/2026	$ -	Bonds/ Other	$ 6,385,229	Existing note purchase agreement
New alternative investment purchase of $20,000,000 of Benefit Street Partners SOF II, will expire on 12/31/2026	$ -	Bonds/ Other	$ 20,000,000	New approved note purchase agreement
Total	$ -	XXX	$ 194,819,732	XXX

NOTES TO FINANCIAL STATEMENTS

(3)

	Amount
a. Aggregate Maximum Potential of Future Payments of All Guarantees (undiscounted) the guarantor could be required to make under guarantees. (Should equal total of Column 4 for (2) above.)	$ 194,819,732
b. Current Liability Recognized in F/S:	
1. Noncontingent Liabilities	
2. Contingent Liabilities	
c. Ultimate Financial Statement Impact if action under the guarantee is required:	
1. Investments in SCA	
2. Joint Venture	$ 72,138,581
3. Dividends to Stockholders (capital contribution)	
4. Expense	
5. Other	$ 122,681,151
6. Total (Should equal (3)a.)	$ 194,819,732

B. Assessments

(1)

All states in which the Company does business have laws requiring solvent life insurance and annuity companies to pay assessments to state guaranty associations to protect the interests of policyholders of insolvent life insurance and annuity companies. The Company has an accrued liability of $5,978 and $27,910 for anticipated assessments as of December 31, 2019 and December 31, 2018, respectively. The Company expects to pay guaranty fund assessments in the period in which they are received and related premium tax credits would begin in and be recognized over the period allowed by each state.

(2) a. Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year-end	$	35,988
b. Decreases current year:		
Utilization and write-off of tax offsets	$	23,920
c. Increases current year:		
Assessments capitalized during the year	$	5,396
d. Assets recognized from paid and accrued premium tax offsets and policy surcharges current year-end	$	17,464

The Company has recorded the above offsetting asset for future premium tax credits relating to insolvent life insurance and annuity companies.

(3) As of December 31, 2019, the Company does not have any guaranty fund liabilities or assets that have been discounted for companies that wrote long-term care insurance.

(4) The above amounts represent management's best estimates based on information received from states in which the Company writes business and may change due to many factors including the Company's share of the ultimate cost of current insolvencies.

C. Gain Contingencies

The Company did not recognize any gain contingencies during the reporting periods.

D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits

The Company did not pay any amounts in the reporting period to settle claims related to extra contractual obligations or bad faith claims stemming from lawsuits.

E. Joint and Several Liabilities

The Company has no joint and several liability arrangements.

F. All Other Contingencies

1) Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

2) As of January 1, 2018, the Company no longer has any guarantee associated with the Membership List purchase agreements with certain state farm bureaus.

3) The Company had no uncollectible amounts at the end of the reporting periods.

NOTE 15 Leases

A. Lessee Operating Lease:

The Company had no lessee lease agreements as of December 31, 2019. The Company shares the rental of one property used in its' operations under a noncancelable lease agreement which expired on March 31, 2018. The Company's total rental expense amounted to $2,110 for the year ended December 31, 2018.

B. Lessor Leases

(1) Operating leases

The Company leases office space in its home office to Farm Family Casualty Insurance Company. The current lease expired on December 31, 2019. Rental income was $1,864,711 and $1,815,986 for 2019 and 2018, respectively. The Company had no future minimum lease payment receivables under noncancelable leasing arrangements as of the end of the current period.

(2) Leveraged Leases

The Company has no leveraged leases.

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company had no financial instruments with off-balance sheet risk or with significant concentrations of credit risk.

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company had no sales, transfers or servicing of financial assets and extinguishment of liabilities during the reporting periods.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

contracts.

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The Company has no direct premium written/produced by managing general agents or third party administrators.

NOTE 20 Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock- Unaffiliated	$ 61,261				$ 61,261
Preferred Stock	$ 4,418,940				$ 4,418,940
Bonds		$ 376,866			$ 376,866
Options			$ 11,108,748		$ 11,108,748
Total assets at fair value/NAV	$ 4,480,201	$ 376,866	$ 11,108,748	$ -	$ 15,965,815

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	$ -	$ -	$ -	$ -	$ -

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance at 12/31/2019
a. Assets										
Options	$ 1,884,167	$ -	$ -	$ 337,682	$ 9,715,586	$ 6,799,320		$ (7,628,007)		$ 11,108,748
Bonds	$ 529,091		$ (529,091)							$ -
Total Assets	$ 2,413,258	$ -	$ (529,091)	$ 337,682	$ 9,715,586	$ 6,799,320	$ -	$ (7,628,007)	$ -	$ 11,108,748

NOTES TO FINANCIAL STATEMENTS

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance at 12/31/2019
b. Liabilities										
Total Liabilities	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

(3) Transfers between levels, if any, are recognized at the beginning of the reporting period.

(4) The market values of equity and debt securities are obtained by the Securities Valuation Office of the NAIC and/or various pricing services. There has been no change in the valuation techniques and related inputs.

(5) The fair value information for derivative assets is included in the above tables.

B. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability. A fair value hierarchy is used to determine fair value based on a hypothetical transaction at the measurement date from the perspective of a market participant. An asset or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. The input levels are defined as follows:

Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities. The Company defines active markets based on average trading volume for equity securities. The size of the bid/ask spread is used as an indicator of market activity for fixed maturity securities.

Level 2 - Quoted prices in markets that are not active or inputs that are observable directly or indirectly. Level 2 inputs include quoted prices for similar assets or liabilities other than quoted prices in Level 1; quoted prices in markets that are not active; or other inputs that are observable or can be derived principally from or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets or liabilities. Unobservable inputs reflect the Company's own assumptions about the assumptions that market participants would use in pricing the asset or liability. Level 3 assets and liabilities include financial instruments whose values are determined using pricing models and third-party evaluation, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

The Company has evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Based on the results of this evaluation and investment class analysis, each price was classified into Level 1, 2, or 3.

There are some equity and fixed income securities whose market price is obtained from the Securities Valuation Office (SVO) of the National Association of Insurance Commissioners. For those securities that are not priced by the SVO, the price is obtained from independent pricing services.

The pricing service utilizes market quotations for fixed maturity securities that have quoted prices in active markets. Since fixed maturities generally do not trade on a daily basis, the pricing service prepares estimates of fair value measurements for these securities using its proprietary pricing applications, which include available relevant market information, benchmark curves, benchmarking of like securities, sector groupings and matrix pricing. Additionally, the pricing service uses an Option Adjusted Spread model to develop prepayment and interest rate scenarios.

The pricing service evaluates each asset class based on relevant market information, relevant credit information, perceived market movements and sector news. The market inputs utilized in the pricing evaluation, listed in the approximate order of priority, include benchmark yields, reported trades, broker/dealer quotes, issuer spreads, two-sided markets, benchmark securities, bids, offers, reference data, and economic events. The extent of the use of each market input depends on the asset class and the market conditions. Depending on the security, the priority of the use of inputs may change or some market inputs may not be relevant. For some securities additional inputs may be necessary.
The Company has reviewed the inputs and methodology used by the pricing service and the techniques applied by the pricing service to produce quotes that represent the fair value of a specific security. The review of the pricing service's methodology confirms the service is utilizing information from organized transactions or a technique that represents a market participant's assumptions. The Company does not adjust quotes received by the pricing service.

The pricing service utilized by the Company has indicated that they will only produce an estimate of fair value if there is objectively verifiable information available. If the pricing service discontinues pricing an investment, the Company would be required to produce an estimate of fair value using some of the same methodologies as the pricing service, but would have to make assumptions for market-based inputs that are unavailable due to market conditions.

The fair value estimates of most fixed maturity investments including municipal bonds are based on observable market information rather than market quotes. Accordingly, the estimates of fair value for such fixed maturities provided by the pricing service are included in the amount disclosed in Level 2 of the hierarchy.

Additionally, the Company holds a small amount of fixed maturities that have characteristics that make them unsuitable for matrix pricing. For these securities, a quote from a broker (typically a market maker) is obtained. Due to the disclaimers on these quotes that indicate that the price is indicative only, the Company includes these fair value estimates in Level 3. The pricing of certain private placement debt also includes significant non-observable inputs, the internally determined credit rating of the security and an externally provided credit spread, and are classified in Level 3.

For public common and preferred stocks, the Company receives prices from a nationally recognized pricing service that are based on observable market transactions and these securities are disclosed in Level 1. For certain preferred stock held, current market quotes in active markets are unavailable. In these instances, the Company receives an estimate of fair value from the pricing service that provides fair value estimates for the fixed maturity securities. The service utilizes some of the same methodologies to price the preferred stocks as it does for the fixed maturities. These estimates for equity securities are disclosed in Level 2.

The market value of derivative instruments is obtained by a pricing service. Due to the disclaimers that the prices is indicative only, the Company includes these fair value estimates in Level 3.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.
The table below reflects the fair values and admitted values of all admitted assets that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in the Note 20A.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	$ 2,172,737,673	$ 2,081,294,482		$ 2,153,379,308	$ 19,358,366		
Common Stock	$ 61,261	$ 61,261	$ 61,261				
Preferred Stock	$ 4,418,940	$ 4,101,988	$ 4,418,940				
Options	$ 11,108,748	$ 11,108,748			$ 11,108,748		
Surplus Debentures/BA Assets	$ 5,946,404	$ 4,793,329		$ -	$ 5,946,404		
Mortgage Loans	$ 435,974,083	$ 418,989,331			$ 435,974,083		

D. Not Practicable to Estimate Fair Value
As of December 31, 2019, there were no financial instruments for which it is not practicable for the Company to estimate the fair value.

E. Investments measured using Net Asset Value
The Company had no investments measured using Net Asset Value.

NOTE 21 Other Items

A. Unusual or Infrequent Items
In 2019, the Company sold nearly all of its' equity portfolio, resulting in a realized gain of $91,692,764, before federal income taxes. The Company had no other extraordinary items reported in the financial statements.

B. Troubled Debt Restructuring: Debtors
The Company had no troubled debt restructuring.

C. Other Disclosures
Assets in the amount of $1,293,744 and $1,291,560 at December 31, 2019, and December 31, 2018, respectively, were on deposit with government authorities or trustees as required by law.

D. Business Interruption Insurance Recoveries
The Company had no business interruption losses during the reporting periods.

NOTES TO FINANCIAL STATEMENTS

E. State Transferable and Non-transferable Tax Credits

The Company has no unused state transferable or non-transferable tax credits.

F. Subprime Mortgage Related Risk Exposure

(1) The Company has no exposure to unrealized or realized losses regarding subprime mortgage related risk. The Company considered exposure to subprime mortgage related risks through the following sources, to the extent applicable.

* Direct investments in subprime mortgage loans;
* Direct investments in securities with underlying subprime exposure, such as residential mortgage backed securities, commercial mortgage backed securities, collateralized debt obligations, structured securities (including principal protected notes), hedge funds, credit default swaps, and special investment vehicles;
* Equity investments in subsidiary, controlled or affiliated entities with significant subprime related risk exposure; and
* Underwriting risk on policies issued for Mortgage Guaranty or Financial Guaranty insurance coverage.

(2) The Company does not directly hold any subprime mortgage loans.

(3) The Company does not have any direct exposure to subprime mortgage related risks through other investments.

(4) The Company does not write Mortgage Guaranty or Financial Guaranty insurance.

G. Retained Assets

The Company does not offer retained asset accounts.

H. Insurance-Linked Securities (ILS) Contracts

The Company has no insurance-linked securities.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

The Company is not the owner and beneficiary of any life insurance policies.

NOTE 22 Events Subsequent

Subsequent events have been considered through February 24th, 2020 for these statutory financial statements which are to be issued February 24th, 2020. There were no recognized or nonrecognized events occurring subsequent to the end of the year that merited recognition or disclosure in these statements.

The Company did not write any health insurance premium that is subject to Section 9010 of the Federal Affordable Care Act.

NOTE 23 Reinsurance

A. Ceded Reinsurance Report

Section 1 - General Interrogatories

1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes ( ) No (X) If yes, give full details.

2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes ( ) No (X) If yes, give full details.

Section 2 - Ceded Reinsurance Report - Part A

1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes ( ) No (X)

a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.

b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of liability, for these agreements in this statement?

2) Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ( ) No (X)

Section 3 - Ceded Reinsurance Report - Part B

1) What is the estimated amount of the aggregate reduction in surplus for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above of termination of all reinsurance agreements, by either party, as of the date this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. $0

2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the company as of the effective date of the agreement? Yes ( ) No (X) If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

B. Uncollectible Reinsurance

The Company had no uncollectible reinsurance during the year.

C. Commutation of Reinsurance Reflected in Income and Expenses.

The Company had no commutation of reinsurance reflected in the financial statements during the year.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

1) The Company did not have any reinsurance contracts with certified reinsurers.

2) The Company is not a certified reinsurer.

E. Variable Annuity with an Affiliated Captive Reinsurer

The Company has no variable annuity contracts.

F. Reinsurance Agreements with Captive Reinsurers

The Company does not have any reinsurance agreements with captive reinsurers.

G. Ceding Entities That Utilize Captive Reinsurers to Assume Reserves Subject to the XXX/ AXXX Captive Framework

The Company does not have any reinsurance agreements with captive reinsurers.

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company had no retrospectively rated contracts or contracts subject to redetermination during the reporting periods.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

A. Claim reserves on accident and health contracts as of December 31, 2018 were $8.3 million. As of December 31, 2019, $1.8 million has been paid for claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $6.1 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on disability and credit lines of insurance. Therefore, there has been a $0.4 million favorable prior-year development from December 31, 2018 to December 31, 2019. The decrease in claim reserves is generally the result of the termination of claims. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. There were no significant changes in methods or assumptions.

NOTE 26 Intercompany Pooling Arrangements

The Company had no intercompany pooling arrangements.

NOTE 27 Structured Settlements

The Company has not purchased any annuities with a claimant as payee in order to release reserves for contingent liabilities.

NOTE 28 Health Care Receivables

The Company has no pharmaceutical rebate or risk sharing receivables.

NOTE 29 Participating Policies

For the reporting years ended 2019 and 2018, premiums under participating policies were $37,805,869 and $38,167,413, respectively, or 8.6% and 14.6% of total premiums. The Company accounts for its policyholder dividends based upon the guidance of SSAP No. 51, Life Contracts. The Company paid dividends in the amount of $9,649,396 in 2019, and $6,046,580 in 2018, to policyholders. In addition, $4,122,844 and $4,240,773 of excess interest and mortality spreads, in 2019 and 2018, respectively, were allocated to participating policyholders.

NOTES TO FINANCIAL STATEMENTS

NOTE 30 Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	$	281,479
2. Date of the most recent evaluation of this liability	12/31/2019	
3. Was anticipated investment income utilized in the calculation?	Yes [ ] No [X]	

NOTE 31 Reserves for Life Contracts and Annuity Contracts

(1) The Company waives deduction of deferred fractional premiums upon death of insured and returns any portion of the final premium beyond the date of death. Surrender values are not promised in excess of the legally computed reserves.

(2) For policy forms issued by the former company 13762, extra premiums for substandard lives are based on appropriate multiples of standard mortality. Mean reserves are calculated from tables based on 150% of standard mortality. In addition, one-half of the premiums, if any, in excess of that for 250% mortality is added to the reserve. For policy forms issued by the original company 63126 and currently sold traditional life, a flat extra reserve equal to one half the gross extra premium is held. For table extras the reserve on the appropriate multiple table is computed on a net level basis and the excess over the standard reserve, if any, is held.

(3) As of December 31, 2019 and December 31, 2018, the Company had $917,672,830 and $922,310,685, respectively, of insurance in force for policies for which the gross premiums are less than the net premiums according to the standard valuation set by the State of New York. Reserves to cover the above insurance totaled the gross amount of $10,694,597 and $9,465,523 at year-end 2019 and 2018, respectively, and are reported in Exhibit 5, Aggregate Reserve for Life Contracts – Miscellaneous Reserves section.

(4) The Tabular Interest, Tabular Less Actual Reserve Released, and Tabular Cost have been determined by formula as described in the instructions.

(5) Tabular interest on funds not involving life contingencies was calculated as the balancing item.

(6) The Company had no other reserve changes.

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

A. INDIVIDUAL ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	$ 1,722,921			$ 1,722,921	0.1%
b. At book value less current surrender charge of 5% or more	$ 1,057,279,515			$ 1,057,279,515	65.9%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ 1,059,002,436	$ -	$ -	$ 1,059,002,436	66.0%
e. At book value without adjustment (minimal or no charge or adjustment)	$ 451,384,692			$ 451,384,692	28.1%
(2) Not subject to discretionary withdrawal	$ 93,917,195			$ 93,917,195	5.9%
(3) Total (gross: direct + assumed)	$ 1,604,304,323	$ -	$ -	$ 1,604,304,323	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ 1,604,304,323	$ -	$ -	$ 1,604,304,323	
(6) Amount included in A(1)b above that will move to A(1)e in the year after the statement date:	$ 105,732,865			$ 105,732,865	

* Reconciliation of total annuity actuarial reserves and deposit fund liabilities.

B. GROUP ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment				$ -	0.0%
b. At book value less current surrender charge of 5% or more				$ -	0.0%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ -	$ -	$ -	$ -	0.0%
e. At book value without adjustment (minimal or no charge or adjustment)				$ -	0.0%
(2) Not subject to discretionary withdrawal				$ -	0.0%
(3) Total (gross: direct + assumed)	$ -	$ -	$ -	$ -	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ -	$ -	$ -	$ -	
(6) Amount included in B(1)b above that will move to B(1)e in the year after the statement date:				$ -	

C. DEPOSIT-TYPE CONTRACTS (no life contingencies):

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	$ 7,691,957			$ 7,691,957	9.8%
b. At book value less current surrender charge of 5% or more				$ -	0.0%
c. At fair value				$ -	0.0%
d. Total with market value adjustment or at fair value (total of a through c)	$ 7,691,957	$ -	$ -	$ 7,691,957	9.8%
e. At book value without adjustment (minimal or no charge or adjustment)	$ 61,551,221			$ 61,551,221	78.1%
(2) Not subject to discretionary withdrawal	$ 9,601,654			$ 9,601,654	12.2%
(3) Total (gross: direct + assumed)	$ 78,844,832	$ -	$ -	$ 78,844,832	100.0%
(4) Reinsurance ceded				$ -	
(5) Total (net)* (3) - (4)	$ 78,844,832	$ -	$ -	$ 78,844,832	
(6) Amount included in C(1)b above that will move to C(1)e in the year after the statement date:				$ -	

D. Life & Accident & Health Annual Statement:

	Amount
1. Exhibit 5, Annuities Section, Total (net)	$ 1,578,793,491
2. Exhibit 5, Supplementary Contracts with Life Contingencies Section, Total (net)	$ 25,510,831
3. Exhibit 7, Deposit-Type Contracts, Line 14, Column 1	$ 78,844,832
4. Subtotal	$ 1,683,149,154
Separate Accounts Annual Statement:	
5. Exhibit 3, Line 0299999, Column 2	
6. Exhibit 3, Line 0399999, Column 2	
7. Policyholder dividend and coupon accumulations	
8. Policyholder premiums	
9. Guaranteed interest contracts	
10. Other contract deposit funds	
11. Subtotal	$ -
12. Combined Total	$ 1,683,149,154

NOTES TO FINANCIAL STATEMENTS

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

		General Account			Separate Account - Guaranteed and Nonguaranteed		
		Account Value	Cash Value	Reserve	Account Value	Cash Value	Reserve
A.	Subject to discretionary withdrawal, surrender values, or policy loans:						
	(1) Term Policies with Cash Value		$ 43,351	$ 43,558			
	(2) Universal Life	$ 198,816,642	$ 194,647,379	$ 201,738,059			
	(3) Universal Life with Secondary Guarantees	$ 8,086,926	$ 4,249,851	$ 16,006,818			
	(4) Indexed Universal Life	$ 1,927,392	$ 656,702	$ 1,296,810			
	(5) Indexed Universal Life with Secondary Guarantees						
	(6) Indexed Life						
	(7) Other Permanent Cash Value Life Insurance		$ 369,320,428	$ 412,176,741			
	(8) Variable Life						
	(9) Variable Universal Life						
	(10) Miscellaneous Reserves						
B.	Not subject to discretionary withdrawal or no cash values:						
	(1) Term Policies with Cash Value	XXX	XXX	$ 87,911,868	XXX	XXX	
	(2) Accidental Death Benefits	XXX	XXX	$ 31,558	XXX	XXX	
	(3) Disability - Active Lives	XXX	XXX	$ 1,707,624	XXX	XXX	
	(4) Disability - Disabled Lives	XXX	XXX	$ 3,446,766	XXX	XXX	
	(5) Miscellaneous Reserves	XXX	XXX	$ 66,326,632	XXX	XXX	
C.	Total (gross: direct + assumed)	$ 208,830,960	$ 568,917,711	$ 790,686,434	$ -	$ -	$ -
D.	Reinsurance ceded			$ 22,560,641			
E.	Total (net) (C) - (D)	$ 208,830,960	$ 568,917,711	$ 768,125,793	$ -	$ -	$ -

		Amount
F.	Life & Accident & Health Annual Statement:	
	(1) Exhibit 5, Life Insurance Section, Total (net)	$ 697,495,557
	(2) Exhibit 5, Accidental Death Benefits Section, Total (net)	$ 31,558
	(3) Exhibit 5, Disability - Active Lives Section, Total (net)	$ 1,707,624
	(4) Exhibit 5, Disability - Disabled Lives Section, Total (net)	$ 3,446,766
	(5) Exhibit 5, Miscellaneous reserves Section, Total (net)	$ 65,444,288
	(6) Subtotal	$ 768,125,793
	Separate Accounts Statement	
	(7) Exhibit 3, Line 0199999, column 2	
	(8) Exhibit 3, Line 0499999, column 2	
	(9) Exhibit 3, Line 0599999, column 2	
	(10) Subtotal (Lines (7) through (9))	$ -
	(11) Combined Total (6) and (10))	$ 768,125,793

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums and annuity considerations as of the end of current period, were as follows:

Type	Gross	Net of Loading
(1) Industrial		
(2) Ordinary new business	$ 522,494	$ 308,895
(3) Ordinary renewal	$ 11,260,023	$ 13,064,523
(4) Credit Life		
(5) Group Life		
(6) Group Annuity		
(7) Totals	$ 11,782,517	$ 13,373,418

NOTE 35 Separate Accounts

The Company has no Separate Accounts business.

NOTE 36 Loss/Claim Adjustment Expenses

The balance in the liability for unpaid accident and health claim adjustment expenses as of December 31, 2019 and December 31, 2018 was $80,519 and $89,561, respectively.

The Company incurred $30,023 and paid $30,642 of claim adjustment expenses in the current year, of which $26,582 of the paid amount was attributable to insured or covered events of prior years. The Company did not increase or decrease the provision for insured events of prior years.

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims/ losses and reduced such liability by $0.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1, 1A and 2

1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? ..... Yes [X] No [ ] N/A [ ]

1.3 State Regulating? ..... New York

1.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]

1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 904163

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015

3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015

3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/13/2017

3.4 By what department or departments?
New York State Department of Financial Services .....

3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [X]

3.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [X]

4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? ..... Yes [ ] No [X]
4.12 renewals? ..... Yes [ ] No [X]

4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? ..... Yes [ ] No [X]
4.22 renewals? ..... Yes [ ] No [X]

5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....		

6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

6.2 If yes, give full information:
.....

7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? ..... Yes [ ] No [X]

7.2 If yes,
7.21 State the percentage of foreign control; ..... %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity
.....	.....

GENERAL INTERROGATORIES

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
American National Registered Investment Advisor Inc	League City, Texas	NO	NO	NO	YES
ANICO Financial Services Inc	Galveston, Texas	NO	NO	NO	YES

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
KPMG LLP 811 Main Street, Suite 4500, Houston, Texas 77002

10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [X]

10.2 If the response to 10.1 is yes, provide information related to this exemption:

10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [X]

10.4 If the response to 10.3 is yes, provide information related to this exemption:

10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [X] No [ ] N/A [ ]

10.6 If the response to 10.5 is no or n/a, please explain

11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Kathryn Lentivech, FSA, MAAA, PO Box 656, Albany, NY 12201-0656, an Officer of the Company

12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ ] No [X]

12.11 Name of real estate holding company .....

12.12 Number of parcels involved .....

12.13 Total book/adjusted carrying value ..... $ .....

12.2 If, yes provide explanation:

13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**

13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?

13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]

13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]

13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [X]

14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
c. Compliance with applicable governmental laws, rules and regulations;
d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
e. Accountability for adherence to the code.

14.11 If the response to 14.1 is No, please explain:

14.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

14.21 If the response to 14.2 is yes, provide information related to amendment(s).

14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? ..... Yes [ ] No [X]

15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? ..... Yes [X] No [ ]

17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? ..... Yes [X] No [ ]

18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? ..... Yes [X] No [ ]

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? ..... Yes [ ] No [X]

20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers ..... $
20.12 To stockholders not officers ..... $
20.13 Trustees, supreme or grand (Fraternal Only) ..... $

20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers ..... $
20.22 To stockholders not officers ..... $
20.23 Trustees, supreme or grand (Fraternal Only) ..... $

21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? ..... Yes [ ] No [X]

21.2 If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others ..... $
21.22 Borrowed from others ..... $
21.23 Leased from others ..... $
21.24 Other ..... $

22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? ..... Yes [X] No [ ]

22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment $
22.22 Amount paid as expenses ..... $ 3,674
22.23 Other amounts paid ..... $

23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [X] No [ ]

23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... $ 7,294,759

INVESTMENT

24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) ..... Yes [X] No [ ]

24.02 If no, give full and complete information relating thereto

24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)

24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? ..... Yes [ ] No [ ] N/A [X]

24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. ..... $

24.06 If answer to 24.04 is no, report amount of collateral for other programs. ..... $

24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? ..... Yes [ ] No [ ] N/A [X]

24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? ..... Yes [ ] No [ ] N/A [X]

24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? ..... Yes [ ] No [ ] N/A [X]

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	$ 0
24.102	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	$ 0
24.103	Total payable for securities lending reported on the liability page.	$ 0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes [X] No [ ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21	Subject to repurchase agreements	$
25.22	Subject to reverse repurchase agreements	$
25.23	Subject to dollar repurchase agreements	$
25.24	Subject to reverse dollar repurchase agreements	$
25.25	Placed under option agreements	$
25.26	Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	$
25.27	FHLB Capital Stock	$
25.28	On deposit with states	$ 1,293,744
25.29	On deposit with other regulatory bodies	$
25.30	Pledged as collateral - excluding collateral pledged to an FHLB	$
25.31	Pledged as collateral to FHLB - including assets backing funding agreements	$
25.32	Other	$

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [X] No [ ]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [ ] N/A [ ]
If no, attach a description with this statement.

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes [ ] No [X]

26.4 If the response to 26.3 is YES, does the reporting entity utilize:

26.41	Special accounting provision of SSAP No. 108	Yes [ ] No [ ]
26.42	Permitted accounting practice	Yes [ ] No [ ]
26.43	Other accounting guidance	Yes [ ] No [ ]

26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following: Yes [ ] No [ ]

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [ ] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. $

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Bank of New York Mellon	One Wall St, New York, NY

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? ..... Yes [ ] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anne Le Mire	I
Scott Brast	I

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ ] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ ] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? ..... Yes [ ] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

GENERAL INTERROGATORIES

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	2,184,370,632	2,275,888,040	91,517,408
30.2 Preferred stocks	4,101,988	4,418,940	316,952
30.3 Totals	2,188,472,620	2,280,306,980	91,834,360

30.4 Describe the sources or methods utilized in determining the fair values:
Fair values were obtained using various independent pricing services

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No [ ]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [X] No [ ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

32.2 If no, list exceptions:

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

OTHER

36.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? $ 103,537

36.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
AM Best Company, Inc.	48,900
Life Insurance Council of New York, Inc.	33,948

GENERAL INTERROGATORIES

37.1 Amount of payments for legal expenses, if any? ..... $ 29,436

37.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Greer, Herz & Adams, LLP	16,314

38.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? ..... $ 29,746

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
Life Insurance Council of New York, Inc.	21,884
American Council of Life Insurers	7,862

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, Accident and Health Companies/Fraternal Benefit Societies:

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? ..... Yes [ ] No [X]

1.2 If yes, indicate premium earned on U.S. business only ..... $

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? ..... $

1.31 Reason for excluding:

.....

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. ..... $

1.5 Indicate total incurred claims on all Medicare Supplement insurance. ..... $ 0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned ..... $ 0

1.62 Total incurred claims ..... $ 0

1.63 Number of covered lives 0

All years prior to most current three years

1.64 Total premium earned ..... $ 0

1.65 Total incurred claims ..... $ 0

1.66 Number of covered lives 0

1.7 Group policies:

Most current three years:

1.71 Total premium earned ..... $ 0

1.72 Total incurred claims ..... $ 0

1.73 Number of covered lives 0

All years prior to most current three years

1.74 Total premium earned ..... $ 0

1.75 Total incurred claims ..... $ 0

1.76 Number of covered lives 0

2. Health Test:

		1 Current Year	2 Prior Year
2.1	Premium Numerator		
2.2	Premium Denominator	437,799,103	262,310,531
2.3	Premium Ratio (2.1/2.2)	0.000	0.000
2.4	Reserve Numerator	890,221	868,106
2.5	Reserve Denominator	2,330,466,312	1,953,636,784
2.6	Reserve Ratio (2.4/2.5)	0.000	0.000

3.1 Does this reporting entity have Separate Accounts? ..... Yes [ ] No [X]

3.2 If yes, has a Separate Accounts Statement been filed with this Department? ..... Yes [ ] No [ ] N/A [X]

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? ..... $

3.4 State the authority under which Separate Accounts are maintained:

.....

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? ..... Yes [ ] No [ ]

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? ..... Yes [ ] No [ ]

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? ..... $

4. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

4.1 Amount of loss reserves established by these annuities during the current year: ..... $

4.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1 P&C Insurance Company And Location	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
.....	

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

5.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

5.2 If yes, please provide the amount of custodial funds held as of the reporting date. ..... $

5.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

5.4 If yes, please provide the balance of funds administered as of the reporting date. ..... $

6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [ ] No [ ] N/A [X]

6.2 If the answer to 6.1 is yes, please provide the following:

1	2	3	4	Assets Supporting Reserve Credit		
				5	6	7
Company Name	NAIC Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements	Other

7. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

7.1 Direct Premium Written ..... $ 51,655,401
7.2 Total Incurred Claims ..... $ 31,884,557
7.3 Number of Covered Lives 11,334

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [X] No [ ]

8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

Life, Accident and Health Companies Only:

9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? ..... Yes [X] No [ ]

9.2 Net reimbursement of such expenses between reporting entities:

9.21 Paid ..... $ 13,294,714
9.22 Received ..... $ 2,272,479

10.1 Does the reporting entity write any guaranteed interest contracts? ..... Yes [ ] No [X]

10.2 If yes, what amount pertaining to these lines is included in:

10.21 Page 3, Line 1 ..... $
10.22 Page 4, Line 1 ..... $

11. For stock reporting entities only:

11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: ..... $ 58,300,471

12. Total dividends paid stockholders since organization of the reporting entity:

12.11 Cash ..... $ 95,354,470
12.12 Stock ..... $ 450,000

13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [X]
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]

13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium			0
13.32 Paid claims			0
13.33 Claim liability and reserve (beginning of year)			0
13.34 Claim liability and reserve (end of year)			0
13.35 Incurred claims	0	0	0

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below $1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<$25,000		
13.42	$25,000 - 99,999		
13.43	$100,000 - 249,999		
13.44	$250,000 - 999,999		
13.45	$1,000,000 or more		

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools? ..... $ .....

Fraternal Benefit Societies Only:

14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government? ..... Yes [ ] No [ ]
15. How often are meetings of the subordinate branches required to be held?
16. How are the subordinate branches represented in the supreme or governing body?
17. What is the basis of representation in the governing body?
18.1 How often are regular meetings of the governing body held?
18.2 When was the last regular meeting of the governing body held? .....
18.3 When and where will the next regular or special meeting of the governing body be held?
18.4 How many members of the governing body attended the last regular meeting? .....
18.5 How many of the same were delegates of the subordinate branches? .....
19. How are the expenses of the governing body defrayed?
20. When and by whom are the officers and directors elected?
21. What are the qualifications for membership?
22. What are the limiting ages for admission?
23. What is the minimum and maximum insurance that may be issued on any one life?

NONE

24. Is a medical examination required before issuing [illegible] to applica[illegible]? ..... Yes [ ] No [ ]
25. Are applicants admitted to membership without fi[illegible] a[illegible]ti[illegible]with and b[illegible]mi[illegible]a m[illegible] of a[illegible]al branch by ballot and initiation? ..... Yes [ ] No [ ]
26.1 Are notices of the payments required sent to the [illegible]mbe[illegible] ..... Yes [ ] No [ ] N/A [ ]
26.2 If yes, do the notices state the purpose for which [illegible] money is to be us[illegible] ..... Yes [ ] No [ ]
27. What proportion of first and subsequent year's payments may be used for management expenses?
    27.11 First Year ..... %
    27.12 Subsequent Years ..... %
28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? ..... Yes [ ] No [ ]
28.2 If so, what amount and for what purpose? ..... $ .....
29.1 Does the reporting entity pay an old age disability benefit? ..... Yes [ ] No [ ]
29.2 If yes, at what age does the benefit commence? .....
30.1 Has the constitution or have the laws of the reporting entity been amended during the year? ..... Yes [ ] No [ ]
30.2 If yes, when?
31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time? ..... Yes [ ] No [ ]
32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements? ..... Yes [ ] No [ ]
32.2 If so, was an additional reserve included in Exhibit 5? ..... Yes [ ] No [ ] N/A [ ]
32.3 If yes, explain
33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year? ..... Yes [ ] No [ ]
33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? ..... Yes [ ] No [ ] N/A [ ]
34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement? ..... Yes [ ] No [ ]
35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
35.2 If yes, what is the date of the original lien and the [illegible] outst[illegible]ding [illegible]nce [illegible]ns th[illegible] main[illegible] surp[illegible]?

NONE

Date	Outstanding Lien Amount

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
$000 omitted for amounts of life insurance

		1 2019	2 2018	3 2017	4 2016	5 2015
	Life Insurance in Force					
	(Exhibit of Life Insurance)					
1.	Ordinary - whole life and endowment (Line 34, Col. 4)	2,775,231	2,672,488	2,705,759	2,739,688	2,761,621
2.	Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)	5,977,880	5,948,014	5,968,359	5,929,727	5,678,199
3.	Credit life (Line 21, Col. 6)	28,948	26,254	19,892	7,925	0
4.	Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)	0	0	0	0	0
5.	Industrial (Line 21, Col. 2)	0	0	0	0	0
6.	FEGLI/SGLI (Lines 43 & 44, Col. 4)	0	0	0	0	0
7.	Total (Line 21, Col. 10)	8,782,059	8,646,756	8,694,010	8,677,340	8,439,820
7.1	Total in force for which VM-20 deterministic/stochastic reserves are calculated			0	XXX	XXX
	New Business Issued					
	(Exhibit of Life Insurance)					
8.	Ordinary - whole life and endowment (Line 34, Col. 2)	246,081	185,642	168,147	126,908	125,190
9.	Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)	464,195	410,188	442,803	700,525	877,781
10.	Credit life (Line 2, Col. 6)	973,022	1,020,618	1,010,186	98,672	0
11.	Group (Line 2, Col. 9)	0	0	0	0	0
12.	Industrial (Line 2, Col. 2)	0	0	0	0	0
13.	Total (Line 2, Col. 10)	1,683,298	1,616,448	1,621,136	926,105	1,002,971
	Premium Income - Lines of Business					
	(Exhibit 1 - Part 1)					
14.	Industrial life (Line 20.4, Col. 2)	0	0	0	0	0
15.1	Ordinary-life insurance (Line 20.4, Col. 3)	53,590,464	50,524,738	52,239,484	54,525,323	55,337,439
15.2	Ordinary-individual annuities (Line 20.4, Col. 4)	380,296,052	207,002,227	362,157,639	223,866,989	112,345,016
16	Credit life (group and individual) (Line 20.4, Col. 5)	773,604	850,282	884,641	300,312	0
17.1	Group life insurance (Line 20.4, Col. 6)	0	0	0	0	7,901
17.2	Group annuities (Line 20.4, Col. 7)	0	0	0	0	0
18.1	A & H-group (Line 20.4, Col. 8)	0	0	12,837	29,210	29,090
18.2	A & H-credit (group and individual) (Line 20.4, Col. 9)	880,125	936,927	959,828	374,699	0
18.3	A & H-other (Line 20.4, Col. 10)	2,258,860	2,996,357	3,215,367	3,562,468	3,499,275
19.	Aggregate of all other lines of business (Line 20.4,Col. 11)	0	0	0	0	0
20.	Total	437,799,105	262,310,531	419,469,796	282,659,001	171,218,721
	Balance Sheet (Pages 2 & 3)					
21.	Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	2,779,207,596	2,367,312,746	2,202,143,441	1,831,027,250	1,582,316,405
22.	Total liabilities excluding Separate Accounts business (Page 3, Line 26)	2,550,309,558	2,130,264,450	1,951,456,296	1,602,908,524	1,387,864,247
23.	Aggregate life reserves (Page 3, Line 1)	2,372,430,114	1,967,234,824	1,775,986,703	1,440,829,068	1,228,361,347
23.1	Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1			0	XXX	XXX
24.	Aggregate A & H reserves (Page 3, Line 2)	14,319,422	15,361,846	15,733,252	14,614,355	15,048,096
25.	Deposit-type contract funds (Page 3, Line 3)	78,844,832	76,798,073	79,206,738	80,450,998	78,777,844
26.	Asset valuation reserve (Page 3, Line 24.01)	30,173,187	25,737,438	33,266,269	27,019,767	25,596,637
27.	Capital (Page 3, Lines 29 and 30)	5,000,550	5,000,550	5,000,550	5,000,550	5,000,550
28.	Surplus (Page 3, Line 37)	223,897,488	232,047,746	245,686,595	223,118,176	189,451,608
	Cash Flow (Page 5)					
29.	Net Cash from Operations (Line 11)	367,420,462	190,483,311	339,314,812	219,646,014	110,912,887
	Risk-Based Capital Analysis					
30.	Total adjusted capital	240,039,162	267,836,943	287,129,322	258,260,966	223,184,651
31.	Authorized control level risk - based capital	35,891,369	31,185,969	30,362,631	24,169,668	19,641,076
	Percentage Distribution of Cash, Cash Equivalents and Invested Assets					
	(Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32.	Bonds (Line 1)	76.3	77.2	80.0	87.6	89.0
33.	Stocks (Lines 2.1 and 2.2)	0.2	5.0	6.1	0.6	0.0
34.	Mortgage loans on real estate(Lines 3.1 and 3.2)	15.4	15.0	10.0	8.4	3.9
35.	Real estate (Lines 4.1, 4.2 and 4.3)	0.2	0.3	0.3	0.0	0.0
36.	Cash, cash equivalents and short-term investments (Line 5)	5.1	0.4	1.2	3.1	7.0
37.	Contract loans (Line 6)	1.4	1.6	1.8	0.0	0.0
38.	Derivatives (Page 2, Line 7)	0.4	0.1	0.3	0.2	0.1
39.	Other invested assets (Line 8)	1.1	0.4	0.2	0.0	0.0
40.	Receivables for securities (Line 9)	0.0	0.0	0.0	0.0	0.0
41.	Securities lending reinvested collateral assets (Line 10)	0.0	0.0	0.0	0.0	0.0
42.	Aggregate write-ins for invested assets (Line 11)	0.0	0.0	0.0	0.0	0.0
43.	Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

		1 2019	2 2018	3 2017	4 2016	5 2015
	Investments in Parent, Subsidiaries and Affiliates					
44.	Affiliated bonds (Schedule D Summary, Line 12, Col. 1)			0	0	0
45.	Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)	0		0	0	0
46.	Affiliated common stocks (Schedule D Summary Line 24, Col. 1),	0		0	0	0
47.	Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
48.	Affiliated mortgage loans on real estate			0	0	0
49.	All other affiliated			0	0	0
50.	Total of above Lines 44 to 49	0	0	0	0	0
51.	Total Investment in Parent included in Lines 44 to 49 above			0	0	0
	Total Nonadmitted and Admitted Assets					
52.	Total nonadmitted assets (Page 2, Line 28, Col. 2)	26,772,748	12,069,959	5,252,816	9,575,856	13,242,484
53.	Total admitted assets (Page 2, Line 28, Col. 3)	2,779,207,596	2,367,312,746	2,202,143,441	1,831,027,250	1,582,316,405
	Investment Data					
54.	Net investment income (Exhibit of Net Investment Income)	105,970,470	89,903,450	79,636,877	67,773,255	58,069,835
55.	Realized capital gains (losses) (Page 4, Line 34, Column 1)	72,965,577	8,921,559	6,081,855	5,005,752	2,654,468
56.	Unrealized capital gains (losses) (Page 4, Line 38, Column 1)	(47,259,133)	(20,074,058)	11,117,121	4,657,843	(3,448,273)
57.	Total of above Lines 54, 55 and 56	131,676,914	78,750,951	96,835,853	77,436,850	57,276,030
	Benefits and Reserve Increases (Page 6)					
58.	Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8)	115,575,973	120,884,254	112,759,135	90,811,246	77,092,751
59.	Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6)	2,186,600	2,132,524	1,984,724	1,786,087	1,994,993
60.	Increase in life reserves - other than group and annuities (Line 19, Col. 2)	37,199,310	14,028,611	8,079,245	17,670,900	19,306,726
61.	Increase in A & H reserves (Line 19, Col. 6)	(1,533,179)	(371,406)	1,118,897	(433,741)	(660,577)
62.	Dividends to policyholders and refunds to members (Line 30, Col. 1)	6,804,096	9,797,157	6,128,532	5,965,018	6,003,821
	Operating Percentages					
63.	Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	7.2	10.3	8.1	5.5	6.9
64.	Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0	5.5	6.5	6.3	15.4	17.4
65.	A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)	31.5	43.6	72.4	11.4	0.0
66.	A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)	0.0	0.0		0.0	0.0
67.	A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)	22.2	34.1	39.5	54.8	0.0
	A & H Claim Reserve Adequacy					
68.	Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)	0		0	0	3,000
69.	Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)	0	2,900	4,600	2,000	7,000
70.	Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)	7,932,991	8,416,719	8,137,492	7,721,812	8,417,546
71.	Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)	8,318,839	8,569,006	7,778,020	8,893,943	9,457,684
	Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)					
72.	Industrial life (Page 6.1, Col. 2)	0	0	0	0	0
73.	Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12)	(17,028,318)	(13,813,057)	54,483	4,952,826	3,708,314
74.	Ordinary - individual annuities (Page 6, Col. 4)	(13,212,190)	3,226,512	2,632,410	1,416,255	603,501
75.	Ordinary-supplementary contracts	XXX	(403,132)	1,417,883	983,070	(171,911)
76.	Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)	(100,671)	94,943	131,993	9,717	0
77.	Group life (Page 6.2, Col. 1 Less Cols. 7 and 9)	0		0	0	(2,813)
78.	Group annuities (Page 6, Col. 5)	36,264	(23,261)	231,071	103,894	32,288
79.	A & H-group (Page 6.5, Col. 3)	0	(49)	(2,577)	(2,007)	10,788
80.	A & H-credit (Page 6.5, Col. 10)	198,161	58,611	109,772	7,640	0
81.	A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)	1,863,541	854,677	217,343	1,334,418	599,492
82.	Aggregate of all other lines of business (Page 6, Col. 8)	0	0	0	0	0
83.	Fraternal (Page 6, Col. 7)	0				
84.	Total (Page 6, Col. 1)	(28,243,213)	(10,004,756)	4,792,378	8,805,813	4,779,659

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [X] No [ ]

If no, please explain:

Amounts for all years have been restated due to the merger. Percentages for 2017 have been restated due to the merger and percentages for 2016 and prior reflect the former Farm Family Life Insurance Company only.

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	17,616	0	0	0	17,616
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	17,616	0	0	0	17,616
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	535	0	0	0	535
6.2 Applied to pay renewal premiums	10,539	0	0	0	10,539
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,498	0	0	0	4,498
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	15,572	0	0	0	15,572
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	15,572	0	0	0	15,572
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	7,798	0	0	0	7,798
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	7,798	0	0	0	7,798
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Alaska — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,733	0	0	0	2,733
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,733	0	0	0	2,733
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	244	0	0	0	244
6.2 Applied to pay renewal premiums	132	0	0	0	132
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	472	0	0	0	472
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	848	0	0	0	848
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	848	0	0	0	848
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	1,000	0	0	0	1,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	1,000	0	0	0	1,000
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	1,000	0	0	0	0	0	0	0	1,000
Settled during current year:										
18.1 By payment in full	0	1,000	0	0	0	0	0	0	0	1,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	1,000	0	0	0	0	0	0	0	1,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	1,000	0	0	0	0	0	0	0	1,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	31,350	0	0	0	31,350
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	31,350	0	0	0	31,350
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,821	0	0	0	2,821
6.2 Applied to pay renewal premiums	1,863	0	0	0	1,863
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	8,807	0	0	0	8,807
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	13,491	0	0	0	13,491
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	13,491	0	0	0	13,491
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	10,000	0	0	0	10,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	24,476	0	0	0	24,476
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	6,159	0	0	0	6,159
15. Totals	40,635	0	0	0	40,635
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	10,000	0	0	0	0	0	0	0	10,000
Settled during current year:										
18.1 By payment in full	0	10,000	0	0	0	0	0	0	0	10,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	10,000	0	0	0	0	0	0	0	10,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	10,000	0	0	0	0	0	0	0	10,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $, current year $

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $, current year $

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $, current year $

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Arkansas — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	5,250	0	0	0	5,250
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,250	0	0	0	5,250
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	328	0	0	0	328
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	432	0	0	0	432
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	760	0	0	0	760
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	760	0	0	0	760
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	1	0	0	0	1
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	3,000	0	0	0	3,000
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	3,001	0	0	0	3,001
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	1	0	0	0	0	0	0	0	1
Settled during current year:										
18.1 By payment in full	0	1	0	0	0	0	0	0	0	1
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	1	0	0	0	0	0	0	0	1
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	1	0	0	0	0	0	0	0	1
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	40,634	0	0	0	40,634
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	40,634	0	0	0	40,634
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	3,271	0	0	0	3,271
6.2 Applied to pay renewal premiums	7,202	0	0	0	7,202
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	10,899	0	0	0	10,899
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	21,372	0	0	0	21,372
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	21,372	0	0	0	21,372
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	7,000	0	0	0	7,000
11. Annuity benefits	44,931	0	0	0	44,931
12. Surrender values and withdrawals for life contracts	222,071	0	0	0	222,071
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	3,308	0	0	0	3,308
15. Totals	277,310	0	0	0	277,310
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	7,000	0	0	0	0	0	0	0	7,000
Settled during current year:										
18.1 By payment in full	0	7,000	0	0	0	0	0	0	0	7,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	7,000	0	0	0	0	0	0	0	7,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	7,000	0	0	0	0	0	0	0	7,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $, current year $

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $, current year $

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $, current year $

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Colorado — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	31,010	0	0	0	31,010
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	31,010	0	0	0	31,010
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,448	0	0	0	1,448
6.2 Applied to pay renewal premiums	614	0	0	0	614
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,170	0	0	0	4,170
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	6,232	0	0	0	6,232
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	6,232	0	0	0	6,232
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	17,263	0	0	0	17,263
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	17,263	0	0	0	17,263
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

DIRECT BUSINESS IN THE STATE OF Connecticut — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,961,872	0	0	0	1,961,872
2. Annuity considerations	294,431	0	0	0	294,431
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,256,303	0	0	0	2,256,303
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	101,093	0	0	0	101,093
6.2 Applied to pay renewal premiums	119,763	0	0	0	119,763
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	350,088	0	0	0	350,088
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	570,944	0	0	0	570,944
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	570,944	0	0	0	570,944
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,370,695	0	0	0	1,370,695
10. Matured endowments	17,992	0	0	0	17,992
11. Annuity benefits	294,031	0	0	0	294,031
12. Surrender values and withdrawals for life contracts	4,163,735	0	0	0	4,163,735
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	12,514	0	0	0	12,514
15. Totals	5,858,967	0	0	0	5,858,967
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	3	102,030	0	0	0	0	0	0	3	102,030
17. Incurred during current year	32	1,572,925	0	0	0	0	0	0	32	1,572,925
Settled during current year:										
18.1 By payment in full	29	1,388,687	0	0	0	0	0	0	29	1,388,687
18.2 By payment on compromised claims									0	0
18.3 Totals paid	29	1,388,687	0	0	0	0	0	0	29	1,388,687
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	29	1,388,687	0	0	0	0	0	0	29	1,388,687
19. Unpaid Dec. 31, current year (16+17-18.6)	6	286,268	0	0	0	0	0	0	6	286,268
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,772	429,840,320	0	(a) 0	0	0	0	0	2,772	429,840,320
21. Issued during year									0	0
22. Other changes to in force (Net)	(165)	(32,620,753)	0	0	0	0	0	0	(165)	(32,620,753)
23. In force December 31 of current year	2,607	397,219,567	0	(a) 0	0	0	0	0	2,607	397,219,567

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	298,488	298,737		99,899	(423,105)
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	298,488	298,737	0	99,899	(423,105)
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	298,488	298,737	0	99,899	(423,105)

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... 0 and number of persons insured under indemnity only products ..... 0 .

DIRECT BUSINESS IN THE STATE OF Delaware — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,257,756	0	0	0	1,257,756
2. Annuity considerations	168,071	0	0	0	168,071
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,425,827	0	0	0	1,425,827
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	39,382	0	0	0	39,382
6.2 Applied to pay renewal premiums	38,788	0	0	0	38,788
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	265,627	0	0	0	265,627
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	343,797	0	0	0	343,797
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	343,797	0	0	0	343,797
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,544,017	0	0	0	1,544,017
10. Matured endowments	2,983	0	0	0	2,983
11. Annuity benefits	88,008	0	0	0	88,008
12. Surrender values and withdrawals for life contracts	673,744	0	0	0	673,744
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	5,853	0	0	0	5,853
15. Totals	2,314,605	0	0	0	2,314,605
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	3	142,053	0	0	0	0	0	0	3	142,053
17. Incurred during current year	22	1,430,553	0	0	0	0	0	0	22	1,430,553
Settled during current year:										
18.1 By payment in full	22	1,547,000	0	0	0	0	0	0	22	1,547,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	22	1,547,000	0	0	0	0	0	0	22	1,547,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	22	1,547,000	0	0	0	0	0	0	22	1,547,000
19. Unpaid Dec. 31, current year (16+17-18.6)	3	25,606	0	0	0	0	0	0	3	25,606
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,983	214,224,231	0	(a) 0	0	0	0	0	1,983	214,224,231
21. Issued during year									0	0
22. Other changes to in force (Net)	(89)	(15,359,942)	0	0	0	0	0	0	(89)	(15,359,942)
23. In force December 31 of current year	1,894	198,864,289	0	(a) 0	0	0	0	0	1,894	198,864,289

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	56,255	57,862		54,655	39,608
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	56,255	57,862	0	54,655	39,608
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	56,255	57,862	0	54,655	39,608

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF District of Columbia — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,133	0	0	0	3,133
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,133	0	0	0	3,133
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	7	0	0	0	7
6.2 Applied to pay renewal premiums	68	0	0	0	68
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,468	0	0	0	1,468
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,543	0	0	0	1,543
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,543	0	0	0	1,543
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Florida — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	438,746	0	0	0	438,746
2. Annuity considerations	615,092	0	0	0	615,092
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,053,838	0	0	0	1,053,838
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	38,304	0	0	0	38,304
6.2 Applied to pay renewal premiums	27,061	0	0	0	27,061
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	106,461	0	0	0	106,461
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	171,826	0	0	0	171,826
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	171,826	0	0	0	171,826
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	4,989	0	0	0	4,989
11. Annuity benefits	361,540	0	44,947	0	406,487
12. Surrender values and withdrawals for life contracts	1,434,909	0	0	0	1,434,909
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	10,989	0	0	0	10,989
15. Totals	1,812,427	0	44,947	0	1,857,374
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	4,989	0	0	0	0	0	0	0	4,989
Settled during current year:										
18.1 By payment in full	0	4,989	0	0	0	0	0	0	0	4,989
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	4,989	0	0	0	0	0	0	0	4,989
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	4,989	0	0	0	0	0	0	0	4,989
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Georgia — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	70,092	0	0	0	70,092
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	70,092	0	0	0	70,092
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	3,545	0	0	0	3,545
6.2 Applied to pay renewal premiums	2,940	0	0	0	2,940
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	7,393	0	0	0	7,393
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	13,878	0	0	0	13,878
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	13,878	0	0	0	13,878
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	6,638	0	0	0	6,638
12. Surrender values and withdrawals for life contracts	177,917	0	0	0	177,917
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	523	0	0	0	523
15. Totals	185,078	0	0	0	185,078
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Hawaii — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	4,184	0	0	0	4,184
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	4,184	0	0	0	4,184
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	636	0	0	0	636
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	244	0	0	0	244
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	880	0	0	0	880
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	880	0	0	0	880
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Idaho — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,719	0	0	0	2,719
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,719	0	0	0	2,719
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	257	0	0	0	257
6.2 Applied to pay renewal premiums	9	0	0	0	9
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	92	0	0	0	92
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	358	0	0	0	358
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	358	0	0	0	358
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	5,374	0	0	0	5,374
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	5,374	0	0	0	5,374
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Illinois — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	23,677	0	0	0	23,677
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	23,677	0	0	0	23,677
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,978	0	0	0	1,978
6.2 Applied to pay renewal premiums	1,469	0	0	0	1,469
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,580	0	0	0	2,580
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	6,027	0	0	0	6,027
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	6,027	0	0	0	6,027
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	7	0	0	0	7
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	4,899	0	0	0	4,899
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	4,906	0	0	0	4,906
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	7	0	0	0	0	0	0	0	7
Settled during current year:										
18.1 By payment in full	0	7	0	0	0	0	0	0	0	7
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	7	0	0	0	0	0	0	0	7
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	7	0	0	0	0	0	0	0	7
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Indiana — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	26,106	0	0	0	26,106
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	26,106	0	0	0	26,106
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	412	0	0	0	412
6.2 Applied to pay renewal premiums	1,148	0	0	0	1,148
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	3,105	0	0	0	3,105
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,665	0	0	0	4,665
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,665	0	0	0	4,665
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	46,374	0	0	0	46,374
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	46,374	0	0	0	46,374
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Iowa — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	7,523	0	0	0	7,523
2. Annuity considerations	24,093	0	0	0	24,093
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	31,616	0	0	0	31,616
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	708	0	0	0	708
6.2 Applied to pay renewal premiums	305	0	0	0	305
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,459	0	0	0	2,459
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	3,472	0	0	0	3,472
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	3,472	0	0	0	3,472
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	6,071	0	0	0	6,071
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	6,071	0	0	0	6,071
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Kansas — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	424	0	0	0	424
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	424	0	0	0	424
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	130	0	0	0	130
6.2 Applied to pay renewal premiums	226	0	0	0	226
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	507	0	0	0	507
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	863	0	0	0	863
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	863	0	0	0	863
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	3,914	0	0	0	3,914
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	3,914	0	0	0	3,914
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Kentucky — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	24,094	0	0	0	24,094
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	24,094	0	0	0	24,094
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,272	0	0	0	1,272
6.2 Applied to pay renewal premiums	10,424	0	0	0	10,424
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,204	0	0	0	2,204
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	13,900	0	0	0	13,900
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	13,900	0	0	0	13,900
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	52,394	0	0	0	52,394
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	52,394	0	0	0	52,394
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Louisiana — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,750	0	0	0	1,750
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,750	0	0	0	1,750
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	116	0	0	0	116
6.2 Applied to pay renewal premiums	137	0	0	0	137
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	268	0	0	0	268
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	521	0	0	0	521
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	521	0	0	0	521
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	18,325	0	0	0	18,325
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	18,325	0	0	0	18,325
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Maine — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,228,149	0	0	0	1,228,149
2. Annuity considerations	111,935	0	0	0	111,935
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,340,084	0	0	0	1,340,084
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	71,384	0	0	0	71,384
6.2 Applied to pay renewal premiums	64,830	0	0	0	64,830
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	201,274	0	0	0	201,274
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	337,488	0	0	0	337,488
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	337,488	0	0	0	337,488
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	1,047,360	0	0	0	1,047,360
10. Matured endowments	15,003	0	0	0	15,003
11. Annuity benefits	629,101	0	0	0	629,101
12. Surrender values and withdrawals for life contracts	1,467,642	0	0	0	1,467,642
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	18,923	0	0	0	18,923
15. Totals	3,178,029	0	0	0	3,178,029
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	2	105,174	0	0	0	0	0	0	2	105,174
17. Incurred during current year	35	962,421	0	0	0	0	0	0	35	962,421
Settled during current year:										
18.1 By payment in full	34	1,062,363	0	0	0	0	0	0	34	1,062,363
18.2 By payment on compromised claims									0	0
18.3 Totals paid	34	1,062,363	0	0	0	0	0	0	34	1,062,363
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	34	1,062,363	0	0	0	0	0	0	34	1,062,363
19. Unpaid Dec. 31, current year (16+17-18.6)	3	5,232	0	0	0	0	0	0	3	5,232
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,624	262,547,585	0	(a) 0	0	0	0	0	2,624	262,547,585
21. Issued during year									0	0
22. Other changes to in force (Net)	(146)	(18,280,458)	0	0	0	0	0	0	(146)	(18,280,458)
23. In force December 31 of current year	2,478	244,267,127	0	(a) 0	0	0	0	0	2,478	244,267,127

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	169,131	174,344		150,998	125,388
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	169,131	174,344	0	150,998	125,388
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	169,131	174,344	0	150,998	125,388

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Maryland — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,448,613	0	0	0	1,448,613
2. Annuity considerations	8,800	0	0	0	8,800
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,457,413	0	0	0	1,457,413
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	9,112	0	0	0	9,112
6.2 Applied to pay renewal premiums	10,426	0	0	0	10,426
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	113,275	0	0	0	113,275
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	132,813	0	0	0	132,813
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	132,813	0	0	0	132,813
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	631,295	0	0	0	631,295
10. Matured endowments	1	0	0	0	1
11. Annuity benefits	9,162	0	0	0	9,162
12. Surrender values and withdrawals for life contracts	524,132	0	0	0	524,132
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	1,164,590	0	0	0	1,164,590
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	0	0	0	0	0	0	0	0	0	0
17. Incurred during current year	5	631,295	0	0	0	0	0	0	5	631,295
Settled during current year:										
18.1 By payment in full	5	631,295	0	0	0	0	0	0	5	631,295
18.2 By payment on compromised claims									0	0
18.3 Totals paid	5	631,295	0	0	0	0	0	0	5	631,295
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	5	631,295	0	0	0	0	0	0	5	631,295
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,164	291,038,155	0	(a) 0	0	0	0	0	1,164	291,038,155
21. Issued during year									0	0
22. Other changes to in force (Net)	(104)	(27,737,738)	0	0	0	0	0	0	(104)	(27,737,738)
23. In force December 31 of current year	1,060	263,300,417	0	(a) 0	0	0	0	0	1,060	263,300,417

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	122,177	122,315		0	0
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	122,177	122,315	0	0	0
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	122,177	122,315	0	0	0

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Massachusetts — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,307,141	0	0	0	2,307,141
2. Annuity considerations	244,348	0	0	0	244,348
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,551,489	0	0	0	2,551,489
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	201,711	0	0	0	201,711
6.2 Applied to pay renewal premiums	69,550	0	0	0	69,550
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	355,967	0	0	0	355,967
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	627,228	0	0	0	627,228
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	627,228	0	0	0	627,228
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	3,462,156	0	0	0	3,462,156
10. Matured endowments	9,997	0	0	0	9,997
11. Annuity benefits	1,440,720	0	0	0	1,440,720
12. Surrender values and withdrawals for life contracts	2,678,835	0	0	0	2,678,835
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	16,374	0	0	0	16,374
15. Totals	7,608,082	0	0	0	7,608,082
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	21	1,351,364	0	0	0	0	0	0	21	1,351,364
17. Incurred during current year	54	2,538,507	0	0	0	0	0	0	54	2,538,507
Settled during current year:										
18.1 By payment in full	62	3,472,153	0	0	0	0	0	0	62	3,472,153
18.2 By payment on compromised claims									0	0
18.3 Totals paid	62	3,472,153	0	0	0	0	0	0	62	3,472,153
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	62	3,472,153	0	0	0	0	0	0	62	3,472,153
19. Unpaid Dec. 31, current year (16+17-18.6)	13	417,718	0	0	0	0	0	0	13	417,718
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	3,694	503,470,827	0	(a) 0	0	0	0	0	3,694	503,470,827
21. Issued during year									0	0
22. Other changes to in force (Net)	(222)	(31,734,196)	0	0	0	0	0	0	(222)	(31,734,196)
23. In force December 31 of current year	3,472	471,736,631	0	(a) 0	0	0	0	0	3,472	471,736,631

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	486,187	482,511		230,305	334,056
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	486,187	482,511	0	230,305	334,056
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	486,187	482,511	0	230,305	334,056

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Michigan — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	14,239	0	0	0	14,239
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	14,239	0	0	0	14,239
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,543	0	0	0	1,543
6.2 Applied to pay renewal premiums	4,837	0	0	0	4,837
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,229	0	0	0	1,229
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	7,609	0	0	0	7,609
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	7,609	0	0	0	7,609
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	3,986	0	0	0	3,986
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	3,986	0	0	0	3,986
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Minnesota — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	18,945	0	0	0	18,945
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	18,945	0	0	0	18,945
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,620	0	0	0	1,620
6.2 Applied to pay renewal premiums	160	0	0	0	160
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	3,374	0	0	0	3,374
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	5,154	0	0	0	5,154
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	5,154	0	0	0	5,154
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	16,103	0	0	0	16,103
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	16,103	0	0	0	16,103
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Mississippi — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,437	0	0	0	3,437
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,437	0	0	0	3,437
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	241	0	0	0	241
6.2 Applied to pay renewal premiums	13	0	0	0	13
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	9	0	0	0	9
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	263	0	0	0	263
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	263	0	0	0	263
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	11	0	0	0	11
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	11	0	0	0	11
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	11	0	0	0	0	0	0	0	11
Settled during current year:										
18.1 By payment in full	0	11	0	0	0	0	0	0	0	11
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	11	0	0	0	0	0	0	0	11
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	11	0	0	0	0	0	0	0	11
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Missouri — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	18,189	0	0	0	18,189
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	18,189	0	0	0	18,189
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,903	0	0	0	1,903
6.2 Applied to pay renewal premiums	948	0	0	0	948
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	4,742	0	0	0	4,742
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	7,593	0	0	0	7,593
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	7,593	0	0	0	7,593
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	31,479	0	0	0	31,479
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	71	0	0	0	71
15. Totals	31,550	0	0	0	31,550
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Montana — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,709	0	0	0	3,709
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,709	0	0	0	3,709
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	414	0	0	0	414
6.2 Applied to pay renewal premiums	364	0	0	0	364
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	490	0	0	0	490
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,268	0	0	0	1,268
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,268	0	0	0	1,268
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	252	0	0	0	252
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	252	0	0	0	252
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Nebraska — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,420	0	0	0	3,420
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,420	0	0	0	3,420
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	138	0	0	0	138
6.2 Applied to pay renewal premiums	9	0	0	0	9
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	351	0	0	0	351
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	498	0	0	0	498
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	498	0	0	0	498
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	0	0	0	0	0
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Nevada — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	24,424	0	0	0	24,424
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	24,424	0	0	0	24,424
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,041	0	0	0	2,041
6.2 Applied to pay renewal premiums	456	0	0	0	456
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	5,432	0	0	0	5,432
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	7,929	0	0	0	7,929
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	7,929	0	0	0	7,929
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	1,000	0	0	0	1,000
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	2,552	0	0	0	2,552
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	3,552	0	0	0	3,552
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	1,000	0	0	0	0	0	0	0	1,000
Settled during current year:										
18.1 By payment in full	0	1,000	0	0	0	0	0	0	0	1,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	1,000	0	0	0	0	0	0	0	1,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	1,000	0	0	0	0	0	0	0	1,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Hampshire — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,441,987	0	0	0	1,441,987
2. Annuity considerations	178,284	0	0	0	178,284
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,620,271	0	0	0	1,620,271
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	21,928	0	0	0	21,928
6.2 Applied to pay renewal premiums	42,913	0	0	0	42,913
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	245,133	0	0	0	245,133
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	309,974	0	0	0	309,974
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	309,974	0	0	0	309,974
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	2,754,895	0	0	0	2,754,895
10. Matured endowments	2,988	0	0	0	2,988
11. Annuity benefits	352,762	0	0	0	352,762
12. Surrender values and withdrawals for life contracts	972,526	0	0	0	972,526
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	5,602	0	0	0	5,602
15. Totals	4,088,773	0	0	0	4,088,773
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	2	622,876	0	0	0	0	0	0	2	622,876
17. Incurred during current year	21	2,235,760	0	0	0	0	0	0	21	2,235,760
Settled during current year:										
18.1 By payment in full	20	2,757,883	0	0	0	0	0	0	20	2,757,883
18.2 By payment on compromised claims									0	0
18.3 Totals paid	20	2,757,883	0	0	0	0	0	0	20	2,757,883
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	20	2,757,883	0	0	0	0	0	0	20	2,757,883
19. Unpaid Dec. 31, current year (16+17-18.6)	3	100,753	0	0	0	0	0	0	3	100,753
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,222	313,924,940	0	(a) 0	0	0	0	0	2,222	313,924,940
21. Issued during year									0	0
22. Other changes to in force (Net)	(176)	(33,556,859)	0	0	0	0	0	0	(176)	(33,556,859)
23. In force December 31 of current year	2,046	280,368,081	0	(a) 0	0	0	0	0	2,046	280,368,081

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	226,474	232,997		149,073	105,395
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	226,474	232,997	0	149,073	105,395
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	226,474	232,997	0	149,073	105,395

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Jersey — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	5,760,072	0	0	0	5,760,072
2. Annuity considerations	1,146,583	0	0	0	1,146,583
3. Deposit-type contract funds	0	XXX	75,037	XXX	75,037
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,906,655	0	75,037	0	6,981,692
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	99,582	0	0	0	99,582
6.2 Applied to pay renewal premiums	246,684	0	0	0	246,684
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	972,204	0	0	0	972,204
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,318,470	0	0	0	1,318,470
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,318,470	0	0	0	1,318,470
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	2,329,910	0	0	0	2,329,910
10. Matured endowments	28,020	0	0	0	28,020
11. Annuity benefits	460,492	0	0	0	460,492
12. Surrender values and withdrawals for life contracts	2,743,040	0	0	0	2,743,040
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	28,440	0	0	0	28,440
15. Totals	5,589,902	0	0	0	5,589,902
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	7	157,944	0	0	0	0	0	0	7	157,944
17. Incurred during current year	48	2,266,653	0	0	0	0	0	0	48	2,266,653
Settled during current year:										
18.1 By payment in full	51	2,357,930	0	0	0	0	0	0	51	2,357,930
18.2 By payment on compromised claims									0	0
18.3 Totals paid	51	2,357,930	0	0	0	0	0	0	51	2,357,930
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	51	2,357,930	0	0	0	0	0	0	51	2,357,930
19. Unpaid Dec. 31, current year (16+17-18.6)	4	66,667	0	0	0	0	0	0	4	66,667
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	6,348	1,069,604,875	0	(a) 0	0	0	0	0	6,348	1,069,604,875
21. Issued during year									0	0
22. Other changes to in force (Net)	(293)	(51,816,854)	0	0	0	0	0	0	(293)	(51,816,854)
23. In force December 31 of current year	6,055	1,017,788,021	0	(a) 0	0	0	0	0	6,055	1,017,788,021

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	573,105	586,111		398,777	102,608
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	573,105	586,111	0	398,777	102,608
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	573,105	586,111	0	398,777	102,608

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF New Mexico DURING THE YEAR 2019

NAIC Group Code 0408

LIFE INSURANCE

NAIC Company Code 63126

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	22,089	0	0	0	22,089
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	22,089	0	0	0	22,089
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,053	0	0	0	1,053
6.2 Applied to pay renewal premiums	3,549	0	0	0	3,549
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	11,061	0	0	0	11,061
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	15,663	0	0	0	15,663
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	15,663	0	0	0	15,663
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	57	0	0	0	57
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	145	0	0	0	145
15. Totals	202	0	0	0	202
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

DIRECT BUSINESS IN THE STATE OF New York — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	28,939,114	773,604	0	0	29,712,718
2. Annuity considerations	374,293,904	0	0	0	374,293,904
3. Deposit-type contract funds	5,747,538	XXX	1,992,535	XXX	7,740,073
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	408,980,556	773,604	1,992,535	0	411,746,695
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	626,872	0	0	0	626,872
6.2 Applied to pay renewal premiums	722,875	0	0	0	722,875
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,631,722	0	0	0	2,631,722
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	3,981,469	0	0	0	3,981,469
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	3,981,469	0	0	0	3,981,469
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	17,568,059	683,504	0	0	18,251,563
10. Matured endowments	148,661	0	0	0	148,661
11. Annuity benefits	22,545,012	0	0	0	22,545,012
12. Surrender values and withdrawals for life contracts	35,164,832	0	0	0	35,164,832
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	309,350	0	0	0	309,350
15. Totals	75,735,914	683,504	0	0	76,419,418
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	51	4,195,994	6	62,169	0	0	0	0	57	4,258,163
17. Incurred during current year	342	15,584,362	3	621,335	0	0	0	0	345	16,205,697
Settled during current year:										
18.1 By payment in full	345	17,716,718	9	683,504	0	0	0	0	354	18,400,222
18.2 By payment on compromised claims									0	0
18.3 Totals paid	345	17,716,718	9	683,504	0	0	0	0	354	18,400,222
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	345	17,716,718	9	683,504	0	0	0	0	354	18,400,222
19. Unpaid Dec. 31, current year (16+17-18.6)	48	2,063,638	0	0	0	0	0	0	48	2,063,638
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	34,123	4,492,313,331	3,468	(a) 26,254,242	0	0	0	0	37,591	4,518,567,573
21. Issued during year	2,218	710,276,414	1,550	973,022,468	0	0	0	0	3,768	1,683,298,882
22. Other changes to in force (Net)	(1,938)	(298,615,969)	(1,186)	(970,329,088)	0	0	0	0	(3,124)	(1,268,945,057)
23. In force December 31 of current year	34,403	4,903,973,776	3,832	(a) 28,947,622	0	0	0	0	38,235	4,932,921,398

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 19,211,651 , current year $ 19,933,930

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 6,810,025 , current year $ 8,571,312

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)	880,125	841,095		176,465	271,178
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)	0	0		2,400	1,892
25.2 Guaranteed renewable (b)	1,663,712	1,677,210		1,163,303	718,994
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	1,663,712	1,677,210	0	1,165,703	720,886
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	2,543,837	2,518,305	0	1,342,168	992,064

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF North Carolina — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	170,729	0	0	0	170,729
2. Annuity considerations	157,969	0	0	0	157,969
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	328,698	0	0	0	328,698
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	8,950	0	0	0	8,950
6.2 Applied to pay renewal premiums	12,530	0	0	0	12,530
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	26,576	0	0	0	26,576
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	48,056	0	0	0	48,056
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	48,056	0	0	0	48,056
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	86,188	0	0	0	86,188
12. Surrender values and withdrawals for life contracts	101,285	0	0	0	101,285
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	1,271	0	0	0	1,271
15. Totals	188,744	0	0	0	188,744
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF North Dakota — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Ohio — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	38,920	0	0	0	38,920
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	38,920	0	0	0	38,920
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	3,552	0	0	0	3,552
6.2 Applied to pay renewal premiums	1,657	0	0	0	1,657
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	8,056	0	0	0	8,056
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	13,265	0	0	0	13,265
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	13,265	0	0	0	13,265
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	9,231	0	0	0	9,231
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	1,566	0	0	0	1,566
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	54	0	0	0	54
15. Totals	10,851	0	0	0	10,851
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	9,231	0	0	0	0	0	0	0	9,231
Settled during current year:										
18.1 By payment in full	0	9,231	0	0	0	0	0	0	0	9,231
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	9,231	0	0	0	0	0	0	0	9,231
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	9,231	0	0	0	0	0	0	0	9,231
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)					0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Oklahoma — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	8,734	0	0	0	8,734
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	8,734	0	0	0	8,734
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,334	0	0	0	1,334
6.2 Applied to pay renewal premiums	373	0	0	0	373
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	490	0	0	0	490
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,197	0	0	0	2,197
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,197	0	0	0	2,197
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	39	0	0	0	39
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	39	0	0	0	39
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Oregon — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	6,254	0	0	0	6,254
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,254	0	0	0	6,254
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,056	0	0	0	1,056
6.2 Applied to pay renewal premiums	1,251	0	0	0	1,251
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,494	0	0	0	1,494
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	3,801	0	0	0	3,801
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	3,801	0	0	0	3,801
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	3,416	0	0	0	3,416
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	885	0	0	0	885
15. Totals	4,301	0	0	0	4,301
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	909,185	0	0	0	909,185
2. Annuity considerations	78,122	0	0	0	78,122
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	987,307	0	0	0	987,307
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	112,870	0	0	0	112,870
6.2 Applied to pay renewal premiums	72,724	0	0	0	72,724
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	173,493	0	0	0	173,493
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	359,087	0	0	0	359,087
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	359,087	0	0	0	359,087
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	511,926	0	0	0	511,926
10. Matured endowments	8,225	0	0	0	8,225
11. Annuity benefits	250,445	0	0	0	250,445
12. Surrender values and withdrawals for life contracts	991,052	0	0	0	991,052
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	7,852	0	0	0	7,852
15. Totals	1,769,500	0	0	0	1,769,500
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year	5	15,529	0	0	0	0	0	0	5	15,529
17. Incurred during current year	54	571,275	0	0	0	0	0	0	54	571,275
Settled during current year:										
18.1 By payment in full	55	520,151	0	0	0	0	0	0	55	520,151
18.2 By payment on compromised claims									0	0
18.3 Totals paid	55	520,151	0	0	0	0	0	0	55	520,151
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	55	520,151	0	0	0	0	0	0	55	520,151
19. Unpaid Dec. 31, current year (16+17-18.6)	4	66,653	0	0	0	0	0	0	4	66,653
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	2,775	165,132,586	0	(a) 0	0	0	0	0	2,775	165,132,586
21. Issued during year									0	0
22. Other changes to in force (Net)	(139)	(11,204,654)	0	0	0	0	0	0	(139)	(11,204,654)
23. In force December 31 of current year	2,636	153,927,932	0	(a) 0	0	0	0	0	2,636	153,927,932

(a) Includes Individual Credit Life Insurance prior year $, current year $

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $, current year $

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $, current year $

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)	0	15	25	0	0
25.2 Guaranteed renewable (b)	75,544	76,091		7,745	6,748
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	75,544	76,106	25	7,745	6,748
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	75,544	76,106	25	7,745	6,748

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

DIRECT BUSINESS IN THE STATE OF Rhode Island — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	567,387	0	0	0	567,387
2. Annuity considerations	105,148	0	0	0	105,148
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	672,535	0	0	0	672,535
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	16,750	0	0	0	16,750
6.2 Applied to pay renewal premiums	9,338	0	0	0	9,338
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	78,509	0	0	0	78,509
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	104,597	0	0	0	104,597
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	104,597	0	0	0	104,597
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	221,987	0	0	0	221,987
10. Matured endowments					0
11. Annuity benefits	135,038	0	0	0	135,038
12. Surrender values and withdrawals for life contracts	513,851	0	0	0	513,851
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	3,130	0	0	0	3,130
15. Totals	874,006	0	0	0	874,006
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	0	0	0	0	0	0	0	0	0	0
17. Incurred during current year	6	221,987	0	0	0	0	0	0	6	221,987
Settled during current year:										
18.1 By payment in full	6	221,987	0	0	0	0	0	0	6	221,987
18.2 By payment on compromised claims									0	0
18.3 Totals paid	6	221,987	0	0	0	0	0	0	6	221,987
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	6	221,987	0	0	0	0	0	0	6	221,987
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	869	143,016,253	0	(a) 0	0	0	0	0	869	143,016,253
21. Issued during year									0	0
22. Other changes to in force (Net)	(42)	(7,705,715)	0	0	0	0	0	0	(42)	(7,705,715)
23. In force December 31 of current year	827	135,310,538	0	(a) 0	0	0	0	0	827	135,310,538

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	86,015	85,318		82,411	287,315
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	86,015	85,318	0	82,411	287,315
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	86,015	85,318	0	82,411	287,315

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF South Carolina — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	171,255	0	0	0	171,255
2. Annuity considerations	26,284	0	0	0	26,284
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	197,539	0	0	0	197,539
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	6,960	0	0	0	6,960
6.2 Applied to pay renewal premiums	5,810	0	0	0	5,810
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	52,287	0	0	0	52,287
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	65,057	0	0	0	65,057
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	65,057	0	0	0	65,057
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	333,510	0	0	0	333,510
12. Surrender values and withdrawals for life contracts	102,583	0	0	0	102,583
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	469	0	0	0	469
15. Totals	436,562	0	0	0	436,562
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF South Dakota — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	24,526	0	0	0	24,526
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	24,526	0	0	0	24,526
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	93	0	0	0	93
6.2 Applied to pay renewal premiums	7,786	0	0	0	7,786
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	5,821	0	0	0	5,821
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	13,700	0	0	0	13,700
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	13,700	0	0	0	13,700
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	(1)	0	0	0	(1)
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	247,018	0	0	0	247,018
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	247,017	0	0	0	247,017
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Tennessee — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	44,368	0	0	0	44,368
2. Annuity considerations	252,653	0	0	0	252,653
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	297,021	0	0	0	297,021
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	4,183	0	0	0	4,183
6.2 Applied to pay renewal premiums	3,514	0	0	0	3,514
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	9,228	0	0	0	9,228
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	16,925	0	0	0	16,925
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	16,925	0	0	0	16,925
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	2,000	0	0	0	2,000
11. Annuity benefits	10,116	0	0	0	10,116
12. Surrender values and withdrawals for life contracts	50,152	0	0	0	50,152
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	763	0	0	0	763
15. Totals	63,031	0	0	0	63,031
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	2,000	0	0	0	0	0	0	0	2,000
Settled during current year:										
18.1 By payment in full	0	2,000	0	0	0	0	0	0	0	2,000
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	2,000	0	0	0	0	0	0	0	2,000
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	2,000	0	0	0	0	0	0	0	2,000
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Texas — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	120,282	0	0	0	120,282
2. Annuity considerations	465,000	0	0	0	465,000
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	585,282	0	0	0	585,282
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	4,674	0	0	0	4,674
6.2 Applied to pay renewal premiums	14,430	0	0	0	14,430
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	24,421	0	0	0	24,421
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	43,525	0	0	0	43,525
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	43,525	0	0	0	43,525
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments	3	0	0	0	3
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	59,398	0	0	0	59,398
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	59,401	0	0	0	59,401
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year	0	3	0	0	0	0	0	0	0	3
Settled during current year:										
18.1 By payment in full	0	3	0	0	0	0	0	0	0	3
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	3	0	0	0	0	0	0	0	3
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	3	0	0	0	0	0	0	0	3
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	0	0	(a) 0	0	0	0	0	0	0
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	0	0	(a) 0	0	0	0	0	0	0

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Utah — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,260	0	0	0	3,260
2. Annuity considerations	2,400	0	0	0	2,400
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,660	0	0	0	5,660
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	215	0	0	0	215
6.2 Applied to pay renewal premiums	44	0	0	0	44
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	905	0	0	0	905
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,164	0	0	0	1,164
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,164	0	0	0	1,164
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	8,895	0	0	0	8,895
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	8,895	0	0	0	8,895
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Vermont — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,398,219	0	0	0	1,398,219
2. Annuity considerations	1,469,763	0	0	0	1,469,763
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	2,867,982	0	0	0	2,867,982
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	27,848	0	0	0	27,848
6.2 Applied to pay renewal premiums	48,065	0	0	0	48,065
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	153,024	0	0	0	153,024
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	228,937	0	0	0	228,937
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	228,937	0	0	0	228,937
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	810,745	0	0	0	810,745
10. Matured endowments	999	0	0	0	999
11. Annuity benefits	217,138	0	0	0	217,138
12. Surrender values and withdrawals for life contracts	1,475,492	0	0	0	1,475,492
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	8,653	0	0	0	8,653
15. Totals	2,513,027	0	0	0	2,513,027
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	1	193,569	0	0	0	0	0	0	1	193,569
17. Incurred during current year	14	683,938	0	0	0	0	0	0	14	683,938
Settled during current year:										
18.1 By payment in full	11	811,744	0	0	0	0	0	0	11	811,744
18.2 By payment on compromised claims									0	0
18.3 Totals paid	11	811,744	0	0	0	0	0	0	11	811,744
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	11	811,744	0	0	0	0	0	0	11	811,744
19. Unpaid Dec. 31, current year (16+17-18.6)	4	65,763	0	0	0	0	0	0	4	65,763
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	1,885	291,021,352	0	(a) 0	0	0	0	0	1,885	291,021,352
21. Issued during year									0	0
22. Other changes to in force (Net)	(114)	(23,209,920)	0	0	0	0	0	0	(114)	(23,209,920)
23. In force December 31 of current year	1,771	267,811,432	0	(a) 0	0	0	0	0	1,771	267,811,432

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	173,748	178,710		188,987	209,563
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	173,748	178,710	0	188,987	209,563
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	173,748	178,710	0	188,987	209,563

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

DIRECT BUSINESS IN THE STATE OF Virginia — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	331,027	0	0	0	331,027
2. Annuity considerations	14,000	0	0	0	14,000
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	345,027	0	0	0	345,027
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	17,269	0	0	0	17,269
6.2 Applied to pay renewal premiums	8,576	0	0	0	8,576
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	35,926	0	0	0	35,926
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	61,771	0	0	0	61,771
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	61,771	0	0	0	61,771
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	266,100	0	0	0	266,100
12. Surrender values and withdrawals for life contracts	177,450	0	0	0	177,450
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	8,538	0	0	0	8,538
15. Totals	452,088	0	0	0	452,088
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	0	0	0	0	0	0	0	0	0	0
17. Incurred during current year									0	0
Settled during current year:										
18.1 By payment in full									0	0
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	0	0	0	0	0	0	0	0	0
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	0	0	0	0	0	0	0	0	0
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	131	33,890,402	0	(a) 0	0	0	0	0	131	33,890,402
21. Issued during year									0	0
22. Other changes to in force (Net)	(3)	(830,690)	0	0	0	0	0	0	(3)	(830,690)
23. In force December 31 of current year	128	33,059,712	0	(a) 0	0	0	0	0	128	33,059,712

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	44,726	44,647		13,071	(341,292)
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	44,726	44,647	0	13,071	(341,292)
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	44,726	44,647	0	13,071	(341,292)

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Washington — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	18,776	0	0	0	18,776
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	18,776	0	0	0	18,776
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,362	0	0	0	1,362
6.2 Applied to pay renewal premiums	1,192	0	0	0	1,192
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	2,326	0	0	0	2,326
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,880	0	0	0	4,880
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,880	0	0	0	4,880
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	9,844	0	0	0	9,844
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	9,844	0	0	0	9,844
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year									0	0
Settled during current year:										
18.1 By payment in full									0	0
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	0	0	0	0	0	0	0	0	0
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	0	0	0	0	0	0	0	0	0
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	(900,000)	0	(a) 0	0	0	0	0	0	(900,000)
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	(900,000)	0	(a) 0	0	0	0	0	0	(900,000)

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF West Virginia — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	2,626,991	0	0	0	2,626,991
2. Annuity considerations	639,172	0	0	0	639,172
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,266,163	0	0	0	3,266,163
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	83,490	0	0	0	83,490
6.2 Applied to pay renewal premiums	94,946	0	0	0	94,946
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	547,539	0	0	0	547,539
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	725,975	0	0	0	725,975
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	725,975	0	0	0	725,975
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	2,383,547	0	0	0	2,383,547
10. Matured endowments	59	0	0	0	59
11. Annuity benefits	1,524,023	0	0	0	1,524,023
12. Surrender values and withdrawals for life contracts	2,712,515	0	0	0	2,712,515
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	69,976	0	0	0	69,976
15. Totals	6,690,120	0	0	0	6,690,120
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	4	107,690	0	0	0	0	0	0	4	107,690
17. Incurred during current year	77	2,512,709	0	0	0	0	0	0	77	2,512,709
Settled during current year:										
18.1 By payment in full	74	2,383,606	0	0	0	0	0	0	74	2,383,606
18.2 By payment on compromised claims									0	0
18.3 Totals paid	74	2,383,606	0	0	0	0	0	0	74	2,383,606
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	74	2,383,606	0	0	0	0	0	0	74	2,383,606
19. Unpaid Dec. 31, current year (16+17-18.6)	7	236,793	0	0	0	0	0	0	7	236,793
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	5,776	410,477,128	0	(a) 0	0	0	0	0	5,776	410,477,128
21. Issued during year									0	0
22. Other changes to in force (Net)	(271)	(24,993,675)	0	0	0	0	0	0	(271)	(24,993,675)
23. In force December 31 of current year	5,505	385,483,453	0	(a) 0	0	0	0	0	5,505	385,483,453

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)	86,133	84,440		139,646	147,043
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)	86,133	84,440	0	139,646	147,043
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	86,133	84,440	0	139,646	147,043

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0 .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Wisconsin — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	23,362	0	0	0	23,362
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	23,362	0	0	0	23,362
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	2,082	0	0	0	2,082
6.2 Applied to pay renewal premiums	995	0	0	0	995
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,390	0	0	0	1,390
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	4,467	0	0	0	4,467
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	4,467	0	0	0	4,467
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	29,392	0	0	0	29,392
12. Surrender values and withdrawals for life contracts					0
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	1,138	0	0	0	1,138
15. Totals	30,530	0	0	0	30,530
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Wyoming — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	6,382	0	0	0	6,382
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	6,382	0	0	0	6,382
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	185	0	0	0	185
6.2 Applied to pay renewal premiums	775	0	0	0	775
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,950	0	0	0	1,950
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,910	0	0	0	2,910
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,910	0	0	0	2,910
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	191	0	0	0	191
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	191	0	0	0	191
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

DIRECT BUSINESS IN THE STATE OF American Samoa — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Guam — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

DIRECT BUSINESS IN THE STATE OF Puerto Rico — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF U.S. Virgin Islands — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	1,547	0	0	0	1,547
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	1,547	0	0	0	1,547
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,043	0	0	0	1,043
6.2 Applied to pay renewal premiums					0
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	219	0	0	0	219
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,262	0	0	0	1,262
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,262	0	0	0	1,262
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	50,589	0	0	0	50,589
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	50,589	0	0	0	50,589
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Northern Mariana Islands — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)					
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits					
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals					
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

NONE

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Canada — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	5,382	0	0	0	5,382
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	5,382	0	0	0	5,382
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	215	0	0	0	215
6.2 Applied to pay renewal premiums	221	0	0	0	221
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	958	0	0	0	958
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	1,394	0	0	0	1,394
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	1,394	0	0	0	1,394
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits	42,643	0	0	0	42,643
12. Surrender values and withdrawals for life contracts	2,069	0	0	0	2,069
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	44,712	0	0	0	44,712
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year										
17. Incurred during current year										
Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year				(a)						
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year				(a)						

NONE

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products ..... .

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE American National Life Insurance Company of New York

DIRECT BUSINESS IN THE STATE OF Other Alien — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,474	0	0	0	3,474
2. Annuity considerations					0
3. Deposit-type contract funds		XXX		XXX	0
4. Other considerations					0
5. Totals (Sum of Lines 1 to 4)	3,474	0	0	0	3,474
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	157	0	0	0	157
6.2 Applied to pay renewal premiums	171	0	0	0	171
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,674	0	0	0	1,674
6.4 Other					0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,002	0	0	0	2,002
Annuities:					
7.1 Paid in cash or left on deposit					0
7.2 Applied to provide paid-up annuities					0
7.3 Other					0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,002	0	0	0	2,002
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					0
10. Matured endowments					0
11. Annuity benefits					0
12. Surrender values and withdrawals for life contracts	2,442	0	0	0	2,442
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health					0
15. Totals	2,442	0	0	0	2,442
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year									0	0
17. Incurred during current year									0	0
Settled during current year:										
18.1 By payment in full									0	0
18.2 By payment on compromised claims									0	0
18.3 Totals paid	0	0	0	0	0	0	0	0	0	0
18.4 Reduction by compromise									0	0
18.5 Amount rejected									0	0
18.6 Total settlements	0	0	0	0	0	0	0	0	0	0
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	900,000	0	(a) 0	0	0	0	0	0	900,000
21. Issued during year									0	0
22. Other changes to in force (Net)									0	0
23. In force December 31 of current year	0	900,000	0	(a) 0	0	0	0	0	0	900,000

(a) Includes Individual Credit Life Insurance prior year $ ..... , current year $ .....

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ ..... , current year $ .....

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ ..... , current year $ .....

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

DIRECT BUSINESS IN THE STATE OF Other Aliens — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	3,474	0	0	0	3,474
2. Annuity considerations	0	0	0	0	0
3. Deposit-type contract funds	0	XXX	0	XXX	0
4. Other considerations	0	0	0	0	0
5. Totals (Sum of Lines 1 to 4)	3,474	0	0	0	3,474
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	157	0	0	0	157
6.2 Applied to pay renewal premiums	171	0	0	0	171
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	1,674	0	0	0	1,674
6.4 Other	0	0	0	0	0
6.5 Totals (Sum of Lines 6.1 to 6.4)	2,002	0	0	0	2,002
Annuities:					
7.1 Paid in cash or left on deposit	0	0	0	0	0
7.2 Applied to provide paid-up annuities	0	0	0	0	0
7.3 Other	0	0	0	0	0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	2,002	0	0	0	2,002
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	0	0	0	0	0
10. Matured endowments	0	0	0	0	0
11. Annuity benefits	0	0	0	0	0
12. Surrender values and withdrawals for life contracts	2,442	0	0	0	2,442
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	0	0	0	0	0
15. Totals	2,442	0	0	0	2,442
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1 No. of Pols. & Certifs.	2 Amount	3 No. of Ind.Pols. & Gr. Certifs.	4 Amount	5 No. of Certifs.	6 Amount	7 No. of Pols. & Certifs.	8 Amount	9 No. of Pols. & Certifs.	10 Amount
16. Unpaid December 31, prior year	0	0	0	0	0	0	0	0	0	0
17. Incurred during current year	0	0	0	0	0	0	0	0	0	0
Settled during current year:										
18.1 By payment in full	0	0	0	0	0	0	0	0	0	0
18.2 By payment on compromised claims	0	0	0	0	0	0	0	0	0	0
18.3 Totals paid	0	0	0	0	0	0	0	0	0	0
18.4 Reduction by compromise	0	0	0	0	0	0	0	0	0	0
18.5 Amount rejected	0	0	0	0	0	0	0	0	0	0
18.6 Total settlements	0	0	0	0	0	0	0	0	0	0
19. Unpaid Dec. 31, current year (16+17-18.6)	0	0	0	0	0	0	0	0	0	0
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	0	900,000	0	(a) 0	0	0	0	0	0	900,000
21. Issued during year	0	0	0	0	0	0	0	0	0	0
22. Other changes to in force (Net)	0	0	0	0	0	0	0	0	0	0
23. In force December 31 of current year	0	900,000	0	(a) 0	0	0	0	0	0	900,000

(a) Includes Individual Credit Life Insurance prior year $ 0 , current year $ 0

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 0 , current year $ 0

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 0 , current year $ 0

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fee					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

NONE

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products ..... and number of persons insured under indemnity only products .....

DIRECT BUSINESS IN THE STATE OF Grand Total — DURING THE YEAR 2019

NAIC Group Code 0408 — NAIC Company Code 63126

LIFE INSURANCE

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance	51,664,257	773,604	0	0	52,437,861
2. Annuity considerations	380,296,052	0	0	0	380,296,052
3. Deposit-type contract funds	5,747,538	XXX	2,067,572	XXX	7,815,110
4. Other considerations	0	0	0	0	0
5. Totals (Sum of Lines 1 to 4)	437,707,847	773,604	2,067,572	0	440,549,023
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit	1,530,317	0	0	0	1,530,317
6.2 Applied to pay renewal premiums	1,674,700	0	0	0	1,674,700
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period	6,444,323	0	0	0	6,444,323
6.4 Other	0	0	0	0	0
6.5 Totals (Sum of Lines 6.1 to 6.4)	9,649,340	0	0	0	9,649,340
Annuities:					
7.1 Paid in cash or left on deposit	0	0	0	0	0
7.2 Applied to provide paid-up annuities	0	0	0	0	0
7.3 Other	0	0	0	0	0
7.4 Totals (Sum of Lines 7.1 to 7.3)	0	0	0	0	0
8. Grand Totals (Lines 6.5 plus 7.4)	9,649,340	0	0	0	9,649,340
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits	34,645,823	683,504	0	0	35,329,327
10. Matured endowments	260,938	0	0	0	260,938
11. Annuity benefits	29,126,990	0	44,947	0	29,171,937
12. Surrender values and withdrawals for life contracts	56,977,547	0	0	0	56,977,547
13. Aggregate write-ins for miscellaneous direct claims and benefits paid	0	0	0	0	0
14. All other benefits, except accident and health	520,980	0	0	0	520,980
15. Totals	121,532,278	683,504	44,947	0	122,260,729
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page	0	0	0	0	0
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary 1 No. of Pols. & Certifs.	Ordinary 2 Amount	Credit Life (Group and Individual) 3 No. of Ind.Pols. & Gr. Certifs.	Credit Life (Group and Individual) 4 Amount	Group 5 No. of Certifs.	Group 6 Amount	Industrial 7 No. of Pols. & Certifs.	Industrial 8 Amount	Total 9 No. of Pols. & Certifs.	Total 10 Amount
16. Unpaid December 31, prior year	99	6,994,223	6	62,169	0	0	0	0	105	7,056,392
17. Incurred during current year	710	31,247,627	3	621,335	0	0	0	0	713	31,868,962
Settled during current year:										
18.1 By payment in full	714	34,906,759	9	683,504	0	0	0	0	723	35,590,263
18.2 By payment on compromised claims	0	0	0	0	0	0	0	0	0	0
18.3 Totals paid	714	34,906,759	9	683,504	0	0	0	0	723	35,590,263
18.4 Reduction by compromise	0	0	0	0	0	0	0	0	0	0
18.5 Amount rejected	0	0	0	0	0	0	0	0	0	0
18.6 Total settlements	714	34,906,759	9	683,504	0	0	0	0	723	35,590,263
19. Unpaid Dec. 31, current year (16+17-18.6)	95	3,335,091	0	0	0	0	0	0	95	3,335,091
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year	66,366	8,620,501,985	3,468	(a) 26,254,242	0	0	0	0	69,834	8,646,756,227
21. Issued during year	2,218	710,276,414	1,550	973,022,468	0	0	0	0	3,768	1,683,298,882
22. Other changes to in force (Net)	(3,702)	(577,667,423)	(1,186)	(970,329,088)	0	0	0	0	(4,888)	(1,547,996,511)
23. In force December 31 of current year	64,882	8,753,110,976	3,832	(a) 28,947,622	0	0	0	0	68,714	8,782,058,598

(a) Includes Individual Credit Life Insurance prior year $ 0 , current year $ 0

Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year $ 19,211,651 , current year $ 19,933,930

Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year $ 6,810,025 , current year $ 8,571,312

ACCIDENT AND HEALTH INSURANCE

	1 Direct Premiums	2 Direct Premiums Earned	3 Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	4 Direct Losses Paid	5 Direct Losses Incurred
24. Group Policies (b)	0	0	0	0	0
24.1 Federal Employees Health Benefits Plan premium (b)	0	0	0	0	0
24.2 Credit (Group and Individual)	880,125	841,095	0	176,465	271,178
24.3 Collectively renewable policies/certificates (b)	0	0	0	0	0
24.4 Medicare Title XVIII exempt from state taxes or fees	0	0	0	0	0
Other Individual Policies:					
25.1 Non-cancelable (b)	0	15	25	2,400	1,892
25.2 Guaranteed renewable (b)	4,061,695	4,101,293	0	2,678,870	1,312,321
25.3 Non-renewable for stated reasons only (b)	0	0	0	0	0
25.4 Other accident only	0	0	0	0	0
25.5 All other (b)	0	0	0	0	0
25.6 Totals (sum of Lines 25.1 to 25.5)	4,061,695	4,101,308	25	2,681,270	1,314,213
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	4,941,820	4,942,403	25	2,857,735	1,585,391

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0 .

EXHIBIT OF LIFE INSURANCE

($000 Omitted for Amounts of Life Insurance)

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10
	1	2	3	4	5	6	Number of 7	Number of 8	9	
	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	Policies	Certificates	Amount of Insurance	Total Amount of Insurance
1. In force end of prior year	0	0	66,366	8,620,502	3,468	26,254	0	0	0	8,646,756
2. Issued during year		0	2,218	710,276	1,550	973,022			0	1,683,298
3. Reinsurance assumed			0	0	0	0				0
4. Revived during year			116	41,440	0	0				41,440
5. Increased during year (net)			0	0	0	0				0
6. Subtotals, Lines 2 to 5	0	0	2,334	751,716	1,550	973,022	0	0	0	1,724,738
7. Additions by dividends during year	XXX		XXX	11,987	XXX		XXX	XXX		11,987
8. Aggregate write-ins for increases	0	0	0	0	0	0	0	0	0	0
9. Totals (Lines 1 and 6 to 8)	0	0	68,700	9,384,205	5,018	999,276	0	0	0	10,383,481
Deductions during year:										
10. Death			651	32,367	9	684	XXX			33,051
11. Maturity			63	332	0	0	XXX			332
12. Disability			0	0	0	0	XXX			0
13. Expiry			246	11,044	260	944,512				955,556
14. Surrender			1,524	194,224	0	0				194,224
15. Lapse			1,093	287,377	917	23,197				310,574
16. Conversion			239	65,777	0	0	XXX	XXX	XXX	65,777
17. Decreased (net)			2	39,973	0	1,935				41,908
18. Reinsurance			0	0	0	0				0
19. Aggregate write-ins for decreases	0	0	0	0	0	0	0	0	0	0
20. Totals (Lines 10 to 19)	0	0	3,818	631,094	1,186	970,328	0	0	0	1,601,422
21. In force end of year (b) (Line 9 minus Line 20)	0	0	64,882	8,753,111	3,832	28,948	0	0	0	8,782,059
22. Reinsurance ceded end of year	XXX		XXX	2,955,630	XXX		XXX	XXX		2,955,630
23. Line 21 minus Line 22	XXX	0	XXX	5,797,481	XXX	(a) 28,948	XXX	XXX	0	5,826,429
DETAILS OF WRITE-INS										
0801.										
0802.										
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow page.	0	0	0	0	0	0	0	0	0	0
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above)	0	0	0	0	0	0	0	0	0	0
1901.										
1902.										
1903.										
1998. Summary of remaining write-ins for Line 19 from overflow page.	0	0	0	0	0	0	0	0	0	0
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)	0	0	0	0	0	0	0	0	0	0

Life, Accident and Health Companies Only:

(a) Group $ 28,948 ; Individual $

Fraternal Benefit Societies Only:

(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates , Amount $

Additional accidental death benefits included in life certificates were in amount $, Does the society collect any contributions from members for general expenses of the society under fully paid-up certificates? Yes [ ] No [ ]

If not, how are such expenses met?

EXHIBIT OF LIFE INSURANCE

($000 Omitted for Amounts of Life Insurance) (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

		Industrial		Ordinary	
		1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24.	Additions by dividends	XXX		XXX	166,991
25.	Other paid-up insurance			10,229	276,491
26.	Debit ordinary insurance	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	Term Insurance Excluding Extended Term Insurance	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27.	Term policies - decreasing	0	0	0	0
28.	Term policies - other	949	458,127	16,550	5,488,312
29.	Other term insurance - decreasing	XXX	0	XXX	0
30.	Other term insurance	XXX	6,067	XXX	414,835
31.	Totals (Lines 27 to 30)	949	464,194	16,550	5,903,147
	Reconciliation to Lines 2 and 21:				
32.	Term additions	XXX	0	XXX	318
33.	Totals, extended term insurance	XXX	XXX	2,536	74,415
34.	Totals, whole life and endowment	1,269	246,081	45,796	2,775,231
35.	Totals (Lines 31 to 34)	2,218	710,275	64,882	8,753,111

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36	Industrial	0	0	0	0
37.	Ordinary	689,932	20,344	4,943,360	3,809,751
38.	Credit Life (Group and Individual)	973,022	0	28,948	0
39.	Group	0	0	0	0
40.	Totals (Lines 36 to 39)	1,662,954	20,344	4,972,308	3,809,751

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

NONE

		Credit Life		Group	
		1 Number of Individual Policies and Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41.	Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42.	Number in force end of year if the number under insured group is counted on a pro-rata basis				XXX
43.	Federal Employees' Group Life Insurance included in Line 21				
44.	Servicemen's Group Life Insurance included in Line 21				
45.	Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46.	Amount of additional accidental death benefits in force end of year under ordinary policies	38,876

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income, Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc., policies and riders included above.

47.1 Face amount shown above reflects the true amount of coverage.

47.2 Face amount of riders is included above.

POLICIES WITH DISABILITY PROVISIONS

		Industrial		Ordinary		Credit		Group	
	Disability Provisions	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certifi-cates	8 Amount of Ins rance
48.	Waiver of Premium			11,926	1,700,442				
49.	Disability Income								
50.	Extended Benefits			XXX	XXX				
51.	Other								
52.	Total	0	(a) 0	11,926	(a) 1,700,442	0	(a) 0	0	(a) 0

(a) See the Annual Audited Financial Reports section of the annual statement instructions

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS

		Ordinary		Group	
		1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1.	In force end of prior year	782	528	0	0
2.	Issued during year	20	63		
3.	Reinsurance assumed				
4.	Increased during year (net)				
5.	Total (Lines 1 to 4)	802	591	0	0
	Deductions during year:				
6.	Decreased (net)	74	75		
7.	Reinsurance ceded				
8.	Totals (Lines 6 and 7)	74	75	0	0
9.	In force end of year	728	516	0	0
10.	Amount on deposit		(a) 10,789,319		(a)
11.	Income now payable	728	331		
12.	Amount of income payable	(a) 2,978,984	(a) 3,031,496	(a)	(a)

ANNUITIES

		Ordinary		Group	
		1 Immediate	2 Deferred	3 Contracts	4 Certificates
1.	In force end of prior year	837	15,004	0	0
2.	Issued during year	87	3,149		
3.	Reinsurance assumed				
4.	Increased during year (net)				
5.	Totals (Lines 1 to 4)	924	18,153	0	0
	Deductions during year:				
6.	Decreased (net)	35	602		
7.	Reinsurance ceded				
8.	Totals (Lines 6 and 7)	35	602	0	0
9.	In force end of year	889	17,551	0	0
	Income now payable:				
10.	Amount of income payable	(a) 10,923,711	XXX	XXX	(a)
	Deferred fully paid:				
11.	Account balance	XXX	(a) 1,513,831,434	XXX	(a)
	Deferred not fully paid:				
12.	Account balance	XXX	(a)	XXX	(a)

ACCIDENT AND HEALTH INSURANCE

		Group		Credit		Other	
		1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1.	In force end of prior year	0	0	3,467	778,399	4,240	4,427,385
2.	Issued during year			1,412	1,022,553		
3.	Reinsurance assumed						
4.	Increased during year (net)		XXX		XXX		XXX
5.	Totals (Lines 1 to 4)	0	XXX	4,879	XXX	4,240	XXX
	Deductions during year:						
6.	Conversions		XXX	XXX	XXX	XXX	XXX
7.	Decreased (net)		XXX	1,479	XXX	329	XXX
8.	Reinsurance ceded		XXX		XXX		XXX
9.	Totals (Lines 6 to 8)	0	XXX	1,479	XXX	329	XXX
10.	In force end of year	0	(a)	3,400	(a) 848,643	3,911	(a) 4,104,622

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS

		1 Deposit Funds Contracts	2 Dividend Accumulations Contracts
1.	In force end of prior year	76	10,434
2.	Issued during year	3	266
3.	Reinsurance assumed		
4.	Increased during year (net)		
5.	Totals (Lines 1 to 4)	79	10,700
	Deductions During Year:		
6.	Decreased (net)	1	546
7.	Reinsurance ceded		
8.	Totals (Lines 6 and 7)	1	546
9.	In force end of year	78	10,154
10.	Amount of account balance	(a) 5,173,081	(a) 32,729,811

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE

		1 Amount
1.	Reserve as of December 31, Prior Year	1,256,511
2.	Current year's realized pre-tax capital gains/(losses) of $ (1,180,695) transferred into the reserve net of taxes of $ (247,946)	(932,751)
3.	Adjustment for current year's liability gains/(losses) released from the reserve	0
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	323,760
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	268,515
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	55,245

AMORTIZATION

	Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1.	2019	277,448	(8,933)	0	268,515
2.	2020	210,583	(7,975)	0	202,608
3.	2021	137,717	(6,522)	0	131,195
4.	2022	87,198	(21,141)	0	66,057
5.	2023	71,632	(37,148)	0	34,484
6.	2024	81,858	(52,384)	0	29,474
7.	2025	70,797	(58,443)	0	12,354
8.	2026	64,379	(53,880)	0	10,499
9.	2027	51,722	(49,417)	0	2,305
10.	2028	44,433	(44,152)	0	281
11.	2029	28,028	(38,976)	0	(10,948)
12.	2030	20,777	(36,970)	0	(16,193)
13.	2031	14,971	(38,807)	0	(23,836)
14.	2032	12,554	(40,873)	0	(28,319)
15.	2033	10,308	(42,074)	0	(31,766)
16.	2034	9,389	(45,017)	0	(35,628)
17.	2035	9,903	(45,290)	0	(35,387)
18.	2036	10,825	(45,500)	0	(34,675)
19.	2037	11,345	(46,382)	0	(35,037)
20.	2038	10,645	(46,387)	0	(35,742)
21.	2039	8,484	(46,495)	0	(38,011)
22.	2040	6,262	(41,801)	0	(35,539)
23.	2041	4,040	(34,060)	0	(30,020)
24.	2042	1,211	(23,997)	0	(22,786)
25.	2043	0	(14,708)	0	(14,708)
26.	2044	0	(5,419)	0	(5,419)
27.	2045	0	0	0	0
28.	2046	0	0	0	0
29.	2047	0	0	0	0
30.	2048	0	0	0	0
31.	2049 and Later		0	0	0
32.	Total (Lines 1 to 31)	1,256,511	(932,751)	0	323,760

ASSET VALUATION RESERVE

	Default Component			Equity Component			
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	7 Total Amount (Cols. 3 + 6)
1. Reserve as of December 31, prior year	11,863,031	3,880,641	15,743,672	9,382,761	611,005	9,993,766	25,737,438
2. Realized capital gains/(losses) net of taxes - General Account	(8,421)		(8,421)	72,191,536	(123,215)	72,068,321	72,059,900
3. Realized capital gains/(losses) net of taxes - Separate Accounts			0			0	0
4. Unrealized capital gains/(losses) net of deferred taxes - General Account	7,580,852		7,580,852	(54,833,636)		(54,833,636)	(47,252,784)
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts			0			0	0
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves			0			0	0
7. Basic contribution	3,838,437	1,763,094	5,601,531	0	5,510	5,510	5,607,041
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	23,273,900	5,643,734	28,917,634	26,740,661	493,300	27,233,961	56,151,595
9. Maximum reserve	18,647,188	6,897,411	25,544,599	7,535	4,621,054	4,628,589	30,173,188
10. Reserve objective	11,240,514	5,255,691	16,496,205	7,535	4,608,040	4,615,575	21,111,781
11. 20% of (Line 10 - Line 8)	(2,406,677)	(77,609)	(2,484,286)	(5,346,625)	822,948	(4,523,677)	(7,007,963)
12. Balance before transfers (Lines 8 + 11)	20,867,223	5,566,126	26,433,348	21,394,036	1,316,248	22,710,284	49,143,632
13. Transfers	(1,331,285)	1,331,285	0	(3,304,806)	3,304,806	0	0
14. Voluntary contribution			0			0	0
15. Adjustment down to maximum/up to zero	(888,750)		(888,750)	(18,081,695)		(18,081,695)	(18,970,445)
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	18,647,188	6,897,411	25,544,598	7,535	4,621,054	4,628,589	30,173,187

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		LONG-TERM BONDS										
1.		Exempt Obligations	3,183,898	XXX	XXX	3,183,898	0.0000	0	0.0000	0	0.0000	0
2.	1	Highest Quality	960,246,488	XXX	XXX	960,246,488	0.0005	480,123	0.0016	1,536,394	0.0033	3,168,813
3.	2	High Quality	1,059,697,240	XXX	XXX	1,059,697,240	0.0021	2,225,364	0.0064	6,782,062	0.0106	11,232,791
4.	3	Medium Quality	43,687,975	XXX	XXX	43,687,975	0.0099	432,511	0.0263	1,148,994	0.0376	1,642,668
5.	4	Low Quality	2,912,549	XXX	XXX	2,912,549	0.0245	71,357	0.0572	166,598	0.0817	237,955
6.	5	Lower Quality	9,634,479	XXX	XXX	9,634,479	0.0630	606,972	0.1128	1,086,769	0.1880	1,811,282
7.	6	In or Near Default	1,931,853	XXX	XXX	1,931,853	0.0000	0	0.2370	457,849	0.2370	457,849
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX	0	XXX	0	XXX	0	XXX	0
9.		Total Long-Term Bonds (Sum of Lines 1 through 8)	2,081,294,482	XXX	XXX	2,081,294,482	XXX	3,816,328	XXX	11,178,667	XXX	18,551,358
		PREFERRED STOCK										
10.	1	Highest Quality		XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
11.	2	High Quality	2,601,988	XXX	XXX	2,601,988	0.0021	5,464	0.0064	16,653	0.0106	27,581
12.	3	Medium Quality	1,500,000	XXX	XXX	1,500,000	0.0099	14,850	0.0263	39,450	0.0376	56,400
13.	4	Low Quality		XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
14.	5	Lower Quality		XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
15.	6	In or Near Default		XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
16.		Affiliated Life with AVR		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
17.		Total Preferred Stocks (Sum of Lines 10 through 16)	4,101,988	XXX	XXX	4,101,988	XXX	20,314	XXX	56,103	XXX	83,981
		SHORT - TERM BONDS										
18.		Exempt Obligations		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
19.	1	Highest Quality	3,482,357	XXX	XXX	3,482,357	0.0005	1,741	0.0016	5,572	0.0033	11,492
20.	2	High Quality		XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
21.	3	Medium Quality		XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
22.	4	Low Quality		XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
23.	5	Lower Quality		XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
24.	6	In or Near Default		XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)	3,482,357	XXX	XXX	3,482,357	XXX	1,741	XXX	5,572	XXX	11,492
		DERIVATIVE INSTRUMENTS										
26.		Exchange Traded	108,012	XXX	XXX	108,012	0.0005	54	0.0016	173	0.0033	356
27.	1	Highest Quality		XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
28.	2	High Quality		XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
29.	3	Medium Quality		XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
30.	4	Low Quality		XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
31.	5	Lower Quality		XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
32.	6	In or Near Default		XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
33.		Total Derivative Instruments	108,012	XXX	XXX	108,012	XXX	54	XXX	173	XXX	356
34.		Total (Lines 9 + 17 + 25 + 33)	2,088,986,839	XXX	XXX	2,088,986,839	XXX	3,838,437	XXX	11,240,514	XXX	18,647,188

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality			XXX	0	0.0011	0	0.0057	0	0.0074	0
36.		Farm Mortgages - CM2 - High Quality			XXX	0	0.0040	0	0.0114	0	0.0149	0
37.		Farm Mortgages - CM3 - Medium Quality			XXX	0	0.0069	0	0.0200	0	0.0257	0
38.		Farm Mortgages - CM4 - Low Medium Quality			XXX	0	0.0120	0	0.0343	0	0.0428	0
39.		Farm Mortgages - CM5 - Low Quality			XXX	0	0.0183	0	0.0486	0	0.0628	0
40.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0003	0	0.0007	0	0.0011	0
41.		Residential Mortgages - All Other			XXX	0	0.0015	0	0.0034	0	0.0046	0
42.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0003	0	0.0007	0	0.0011	0
43.		Commercial Mortgages - All Other - CM1 - Highest Quality	160,094,713		XXX	160,094,713	0.0011	176,104	0.0057	912,540	0.0074	1,184,701
44.		Commercial Mortgages - All Other - CM2 - High Quality	150,150,267		XXX	150,150,267	0.0040	600,601	0.0114	1,711,713	0.0149	2,237,239
45.		Commercial Mortgages - All Other - CM3 - Medium Quality	93,630,979		XXX	93,630,979	0.0069	646,054	0.0200	1,872,620	0.0257	2,406,316
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality	9,310,798		XXX	9,310,798	0.0120	111,730	0.0343	319,360	0.0428	398,502
47.		Commercial Mortgages - All Other - CM5 - Low Quality	1,680,755		XXX	1,680,755	0.0183	30,758	0.0486	81,685	0.0628	105,551
		Overdue, Not in Process:										
48.		Farm Mortgages			XXX	0	0.0480	0	0.0868	0	0.1371	0
49.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Residential Mortgages - All Other			XXX	0	0.0029	0	0.0066	0	0.0103	0
51.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0006	0	0.0014	0	0.0023	0
52.		Commercial Mortgages - All Other	4,121,819		XXX	4,121,819	0.0480	197,847	0.0868	357,774	0.1371	565,101
		In Process of Foreclosure:										
53.		Farm Mortgages			XXX	0	0.0000	0	0.1942	0	0.1942	0
54.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Residential Mortgages - All Other			XXX	0	0.0000	0	0.0149	0	0.0149	0
56.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0000	0	0.0046	0	0.0046	0
57.		Commercial Mortgages - All Other			XXX	0	0.0000	0	0.1942	0	0.1942	0
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)	418,989,331	0	XXX	418,989,331	XXX	1,763,094	XXX	5,255,691	XXX	6,897,411
59.		Schedule DA Mortgages			XXX	0	0.0034	0	0.0114	0	0.0149	0
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)	418,989,331	0	XXX	418,989,331	XXX	1,763,094	XXX	5,255,691	XXX	6,897,411

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		COMMON STOCK										
1.		Unaffiliated - Public	61,261	XXX	XXX	61,261	0.0000	0	0.1230 (a)	7,535	0.1230 (a)	7,535
2.		Unaffiliated - Private		XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
3.		Federal Home Loan Bank		XXX	XXX	0	0.0000	0	0.0061	0	0.0097	0
4.		Affiliated - Life with AVR		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
		Affiliated - Investment Subsidiary:										
5.		Fixed Income - Exempt Obligations				0	XXX		XXX		XXX	
6.		Fixed Income - Highest Quality				0	XXX		XXX		XXX	
7.		Fixed Income - High Quality				0	XXX		XXX		XXX	
8.		Fixed Income - Medium Quality				0	XXX		XXX		XXX	
9.		Fixed Income - Low Quality				0	XXX		XXX		XXX	
10.		Fixed Income - Lower Quality				0	XXX		XXX		XXX	
11.		Fixed Income - In/Near Default				0	XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public				0	0.0000	0	0.1230 (a)	0	0.1230 (a)	0
13.		Unaffiliated Common Stock - Private				0	0.0000	0	0.1945	0	0.1945	0
14.		Real Estate				0	(b)	0	(b)	0	(b)	0
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)		XXX	XXX	0	0.0000	0	0.1580	0	0.1580	0
16.		Affiliated - All Other		XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
17.		Total Common Stock (Sum of Lines 1 through 16)	61,261	0	0	61,261	XXX	0	XXX	7,535	XXX	7,535
		REAL ESTATE										
18.		Home Office Property (General Account only)	5,400,281			5,400,281	0.0000	0	0.0912	492,506	0.0912	492,506
19.		Investment Properties				0	0.0000	0	0.0912	0	0.0912	0
20.		Properties Acquired in Satisfaction of Debt				0	0.0000	0	0.1337	0	0.1337	0
21.		Total Real Estate (Sum of Lines 18 through 20)	5,400,281	0	0	5,400,281	XXX	0	XXX	492,506	XXX	492,506
		OTHER INVESTED ASSETS INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS										
22.		Exempt Obligations		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
23.	1	Highest Quality	2,847,425	XXX	XXX	2,847,425	0.0005	1,424	0.0016	4,556	0.0033	9,397
24.	2	High Quality	1,945,904	XXX	XXX	1,945,904	0.0021	4,086	0.0064	12,454	0.0106	20,627
25.	3	Medium Quality		XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
26.	4	Low Quality		XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
27.	5	Lower Quality		XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
28.	6	In or Near Default		XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)	4,793,329	XXX	XXX	4,793,329	XXX	5,510	XXX	17,010	XXX	30,023

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality		XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
31.	2	High Quality		XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
32.	3	Medium Quality		XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
33.	4	Low Quality		XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
34.	5	Lower Quality		XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
35.	6	In or Near Default		XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
36.		Affiliated Life with AVR		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality			XXX	0	0.0011	0	0.0057	0	0.0074	0
39.		Mortgages - CM2 - High Quality			XXX	0	0.0040	0	0.0114	0	0.0149	0
40.		Mortgages - CM3 - Medium Quality			XXX	0	0.0069	0	0.0200	0	0.0257	0
41.		Mortgages - CM4 - Low Medium Quality			XXX	0	0.0120	0	0.0343	0	0.0428	0
42.		Mortgages - CM5 - Low Quality			XXX	0	0.0183	0	0.0486	0	0.0628	0
43.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0003	0	0.0007	0	0.0011	0
44.		Residential Mortgages - All Other		XXX	XXX	0	0.0015	0	0.0034	0	0.0046	0
45.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0003	0	0.0007	0	0.0011	0
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages			XXX	0	0.0480	0	0.0868	0	0.1371	0
47.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0006	0	0.0014	0	0.0023	0
48.		Residential Mortgages - All Other			XXX	0	0.0029	0	0.0066	0	0.0103	0
49.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Commercial Mortgages - All Other			XXX	0	0.0480	0	0.0868	0	0.1371	0
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages			XXX	0	0.0000	0	0.1942	0	0.1942	0
52.		Residential Mortgages - Insured or Guaranteed			XXX	0	0.0000	0	0.0046	0	0.0046	0
53.		Residential Mortgages - All Other			XXX	0	0.0000	0	0.0149	0	0.0149	0
54.		Commercial Mortgages - Insured or Guaranteed			XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Commercial Mortgages - All Other			XXX	0	0.0000	0	0.1942	0	0.1942	0
56.		Total Affiliated (Sum of Lines 38 through 55)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
57.		Unaffiliated - In Good Standing With Covenants			XXX	0	(c)	0	(c)	0	(c)	0
58.		Unaffiliated - In Good Standing Defeased With Government Securities			XXX	0	0.0011	0	0.0057	0	0.0074	0
59.		Unaffiliated - In Good Standing Primarily Senior			XXX	0	0.0040	0	0.0114	0	0.0149	0
60.		Unaffiliated - In Good Standing All Other			XXX	0	0.0069	0	0.0200	0	0.0257	0
61.		Unaffiliated - Overdue, Not in Process			XXX	0	0.0480	0	0.0868	0	0.1371	0
62.		Unaffiliated - In Process of Foreclosure			XXX	0	0.0000	0	0.1942	0	0.1942	0
63.		Total Unaffiliated (Sum of Lines 57 through 62)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)	0	0	XXX	0	XXX	0	XXX	0	XXX	0

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution 5 Factor	Basic Contribution 6 Amount (Cols.4 x 5)	Reserve Objective 7 Factor	Reserve Objective 8 Amount (Cols. 4 x 7)	Maximum Reserve 9 Factor	Maximum Reserve 10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public		XXX	XXX	0	0.0000	0	0.1580 (a)	0	0.1580 (a)	0
66.		Unaffiliated Private		XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
67.		Affiliated Life with AVR		XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)		XXX	XXX	0	0.0000	0	0.1580	0	0.1580	0
69.		Affiliated Other - All Other		XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only)				0	0.0000	0	0.0912	0	0.0912	0
72.		Investment Properties				0	0.0000	0	0.0912	0	0.0912	0
73		Properties Acquired in Satisfaction of Debt				0	0.0000	0	0.1337	0	0.1337	0
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)	0	0	0	0	XXX	0	XXX	0	XXX	0
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit	0			0	0.0003	0	0.0006	0	0.0010	0
76.		Non-guaranteed Federal Low Income Housing Tax Credit	0			0	0.0063	0	0.0120	0	0.0190	0
77.		Guaranteed State Low Income Housing Tax Credit	0			0	0.0003	0	0.0006	0	0.0010	0
78.		Non-guaranteed State Low Income Housing Tax Credit	0			0	0.0063	0	0.0120	0	0.0190	0
79.		All Other Low Income Housing Tax Credit	0			0	0.0273	0	0.0600	0	0.0975	0
80.		Total LIHTC (Sum of Lines 75 through 79)	0	0	0	0	XXX	0	XXX	0	XXX	0
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments		XXX		0	0.0000	0	0.0042	0	0.0042	0
82.		NAIC 2 Working Capital Finance Investments		XXX		0	0.0000	0	0.0137	0	0.0137	0
83.		Other Invested Assets - Schedule BA	25,940,032	XXX		25,940,032	0.0000	0	0.1580	4,098,525	0.1580	4,098,525
84.		Other Short-Term Invested Assets - Schedule DA		XXX		0	0.0000	0	0.1580	0	0.1580	0
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)	25,940,032	XXX	0	25,940,032	XXX	0	XXX	4,098,525	XXX	4,098,525
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	30,733,361	0	0	30,733,361	XXX	5,510	XXX	4,115,535	XXX	4,128,548

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).
(b) Determined using the same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

ASSET VALUATION RESERVE

BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS REPLICATIONS (SYNTHETIC) ASSETS

1 RSAT Number	2 Type	3 CUSIP	4 Description of Asset(s)	5 NAIC Designation or Other Description of Asset	6 Value of Asset	7 AVR Basic Contribution	8 AVR Reserve Objective	9 AVR Maximum Reserve
0599999 - Total								

NONE

SCHEDULE F

Showing all claims for death losses and all other contract claims resisted or compromised during the year, and all claims for death losses and all other contract claims resisted December 31 of current year

1 Contract Numbers	2 Claim Numbers	3 State of Residence of Claimant	4 Year of Claim for Death or Disability	5 Amount Claimed	6 Amount Paid During the Year	7 Amount Resisted Dec. 31 of Current Year	8 Why Compromised or Resisted
FF900578	F005002	MA	2016	1,000,000	0	0	Contestable Rescission
0199999. Death Claims - Ordinary				1,000,000	0	0	XXX
0599999. Death Claims - Disposed Of				1,000,000	0	0	XXX
1099999. Additional Accidental Death Benefits Claims - Disposed Of				0	0	0	XXX
1599999. Disability Benefits Claims - Disposed Of				0	0	0	XXX
2099999. Matured Endowments Claims - Disposed Of				0	0	0	XXX
2599999. Annuities with Life Contingency Claims - Disposed Of				0	0	0	XXX
2699999. Claims Disposed of During Current Year				1,000,000	0	0	XXX
FF905524	N001730	NY	2018	10,000	803	0	Contestable Rescission
UN003560	N001731	NY	2018	190,627	2,400	0	Contestable Rescission
2799999. Death Claims - Ordinary				200,627	3,203	0	XXX
10086004-17	AA19055	NY	2019	4,015	27	0	Suicide
760-P18CHR	A019042	NY	2018	16,489	85	0	Misrepresentation
10086004-18	A019055	NY	2019	13,422	154	0	Misrepresentation
0170033271	A019075	NY	2019	13,604	321	0	Misrepresentation
0130407000	A019126	NY	2019	7,000	57	0	Misrepresentation
0634470582	A019131	NY	2019	6,566	567	0	Misrepresentation
0870016387	A019147	NY	2019	44,169	0	0	No Coverage
2899999. Death Claims - Credit				105,265	1,211	0	XXX
3199999. Death Claims - Resisted				305,892	4,414	0	XXX
3699999. Additional Accidental Death Benefits Claims - Resisted				0	0	0	XXX
4199999. Disability Benefits Claims - Resisted				0	0	0	XXX
4699999. Matured Endowments Claims - Resisted				0	0	0	XXX
5199999. Annuities with Life Contingencies Claims - Resisted				0	0	0	XXX
5299999. Claims Resisted During Current Year				305,892	4,414	0	XXX
5399999 - Totals				1,305,892	4,414	0	XXX

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT

	Total		Group Accident and Health		Credit Accident and Health (Group and Individual)		Collectively Renewable		Other Individual Contracts									
									Non-Cancelable		Guaranteed Renewable		Non-Renewable for Stated Reasons Only		Other Accident Only		All Other	
	1 Amount	2 %	3 Amount	4 %	5 Amount	6 %	7 Amount	8 %	9 Amount	10 %	11 Amount	12 %	13 Amount	14 %	15 Amount	16 %	17 Amount	18 %
PART 1. - ANALYSIS OF UNDERWRITING OPERATIONS																		
1. Premiums written	3,629,742	XXX		XXX	880,125	XXX		XXX		XXX	2,749,617	XXX		XXX		XXX		XXX
2. Premiums earned	3,629,743	XXX		XXX	841,095	XXX		XXX	15	XXX	2,788,633	XXX		XXX		XXX		XXX
3. Incurred claims	1,296,425	35.7	0	0.0	271,181	32.2	0	0.0	1,891	12,606.7	1,023,353	36.7	0	0.0	0	0.0	0	0.0
4. Cost containment expenses	0	0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0		0.0
5. Incurred claims and cost containment expenses (Lines 3 and 4)	1,296,425	35.7	0	0.0	271,181	32.2	0	0.0	1,891	12,606.7	1,023,353	36.7	0	0.0	0	0.0	0	0.0
6. Increase in contract reserves	(152,245)	(4.2)	0	0.0	0	0.0	0	0.0	(6)	(40.0)	(152,239)	(5.5)	0	0.0	0	0.0	0	0.0
7. Commissions (a)	301,691	8.3		0.0	281,665	33.5		0.0		0.0	20,026	0.7		0.0		0.0		0.0
8. Other general insurance expenses	360,569	9.9		0.0	48,865	5.8		0.0		0.0	311,704	11.2		0.0		0.0		0.0
9. Taxes, licenses and fees	144,560	4.0		0.0	28,004	3.3		0.0		0.0	116,556	4.2		0.0		0.0		0.0
10. Total other expenses incurred	806,820	22.2	0	0.0	358,534	42.6	0	0.0	0	0.0	448,286	16.1	0	0.0	0	0.0	0	0.0
11. Aggregate write-ins for deductions	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
12. Gain from underwriting before dividends or refunds	1,678,743	46.2	0	0.0	211,380	25.1	0	0.0	(1,870)	(12,466.7)	1,469,233	52.7	0	0.0	0	0.0	0	0.0
13. Dividends or refunds	0	0.0		0.0		0.0		0.0	0	0.0		0.0		0.0		0.0		0.0
14. Gain from underwriting after dividends or refunds	1,678,743	46.2	0	0.0	211,380	25.1	0	0.0	(1,870)	(12,466.7)	1,469,233	52.7	0	0.0	0	0.0	0	0.0
DETAILS OF WRITE-INS																		
1101.																		
1102.																		
1103.																		
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0

(a) Includes $ ..... reported as "Contract, membership and other fees retained by agents."

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)

	1	2	3	4	Other Individual Contracts				
			Credit Accident and Health (Group and Individual)		5	6	7	8	9
	Total	Group Accident and Health		Collectively Renewable	Non-Cancelable	Guaranteed Renewable	Non-Renewable for Stated Reasons Only	Other Accident Only	All Other
PART 2. - RESERVES AND LIABILITIES									
A. Premium Reserves:									
1. Unearned premiums	1,202,106		711,350		0	490,756			
2. Advance premiums	32,429				0	32,429			
3. Reserve for rate credits	0								
4. Total premium reserves, current year	1,234,535	0	711,350	0	0	523,185	0	0	0
5. Total premium reserves, prior year	1,234,679	0	672,320	0	15	562,344	0	0	0
6. Increase in total premium reserves	(144)	0	39,030	0	(15)	(39,159)	0	0	0
B. Contract Reserves:									
1. Additional reserves (a)	5,819,734					5,819,734			
2. Reserve for future contingent benefits	0								
3. Total contract reserves, current year	5,819,734	0	0	0	0	5,819,734	0	0	0
4. Total contract reserves, prior year.	5,971,979	0	0	0	6	5,971,973	0	0	0
5. Increase in contract reserves	(152,245)	0	0	0	(6)	(152,239)	0	0	0
C. Claim Reserves and Liabilities:									
1. Total current year	7,513,992	0	335,652	0	8,776	7,169,564	0	0	0
2. Total prior year	8,318,839	0	240,936	0	9,285	8,068,618	0	0	0
3. Increase	(804,847)	0	94,716	0	(509)	(899,054)	0	0	0
PART 3. - TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES									
1. Claims paid during the year:									
1.1 On claims incurred prior to current year	1,822,990		111,924		2,400	1,708,666			
1.2 On claims incurred during current year	278,282		64,541		0	213,741			
2. Claim reserves and liabilities, December 31, current year:									
2.1 On claims incurred prior to current year	6,110,001		78,533		8,776	6,022,692			
2.2 On claims incurred during current year	1,403,991		257,119		0	1,146,872			
3. Test:									
3.1 Lines 1.1 and 2.1	7,932,991	0	190,457	0	11,176	7,731,358	0	0	0
3.2 Claim reserves and liabilities, December 31, prior year	8,318,839	0	240,936	0	9,285	8,068,618	0	0	0
3.3 Line 3.1 minus Line 3.2	(385,848)	0	(50,479)	0	1,891	(337,260)	0	0	0
PART 4. - REINSURANCE									
A. Reinsurance Assumed:									
1. Premiums written	0								
2. Premiums earned	0								
3. Incurred claims	0								
4. Commissions	0	0	0						
B. Reinsurance Ceded:									
1. Premiums written	1,417,859				0	1,417,859			
2. Premiums earned	1,431,590				0	1,431,590			
3. Incurred claims	288,969					288,969			
4. Commissions	321,258	0	0		0	321,258			

(a) Includes $ ..... premium deficiency reserve.

SCHEDULE H - PART 5 - HEALTH CLAIMS

	1 Medical	2 Dental	3 Other	4 Total
A. Direct:				
1. Incurred Claims	151,618		1,433,773	1,585,391
2. Beginning Claim Reserves and Liabilities	58,342		12,768,582	12,826,924
3. Ending Claim Reserves and Liabilities	90,291		11,464,290	11,554,581
4. Claims Paid	119,669	0	2,738,065	2,857,734
B. Assumed Reinsurance:				
5. Incurred Claims				0
6. Beginning Claim Reserves and Liabilities				0
7. Ending Claim Reserves and Liabilities				0
8. Claims Paid	0	0	0	0
C. Ceded Reinsurance:				
9. Incurred Claims			288,969	288,969
10. Beginning Claim Reserves and Liabilities			4,701,470	4,701,470
11. Ending Claim Reserves and Liabilities			4,234,247	4,234,247
12. Claims Paid	0	0	756,192	756,192
D. Net:				
13. Incurred Claims	151,618	0	1,144,804	1,296,422
14. Beginning Claim Reserves and Liabilities	58,342	0	8,067,112	8,125,454
15. Ending Claim Reserves and Liabilities	90,291	0	7,230,043	7,320,334
16. Claims Paid	119,669	0	1,981,873	2,101,542
E. Net Incurred Claims and Cost Containment Expenses:				
17. Incurred Claims and Cost Containment Expenses	151,618		1,144,804	1,296,422
18. Beginning Reserves and Liabilities	58,342		8,067,113	8,125,455
19. Ending Reserves and Liabilities	90,291		7,230,042	7,320,333
20. Paid Claims and Cost Containment Expenses	119,669	0	1,981,875	2,101,544

Schedule S - Part 1 - Section 1

N O N E

Schedule S - Part 1 - Section 2

N O N E

SCHEDULE S - PART 2

Reinsurance Recoverable on Paid and Unpaid Losses Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Paid Losses	7 Unpaid Losses
60739	74-0484030	03/01/2010	American National Insurance Company	TX	0	431,338
60739	74-0484030	01/01/2011	American National Insurance Company	TX	0	7,479
0299999. Life and Annuity - U.S. Affiliates - Other					0	438,817
0399999. Total Life and Annuity - U.S. Affiliates					0	438,817
0699999. Total Life and Annuity - Non-U.S. Affiliates					0	0
0799999. Total Life and Annuity - Affiliates					0	438,817
97071	13-3126819	01/01/1992	SCOR Global Life USA Reinsurance Company	DE	105,065	417
82627	06-0839705	01/01/1992	Swiss Re Life & Health America Inc.	MO	144,935	940
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	0	6,251
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	0	285,517
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc.	MO	0	2,180
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE	140,000	69,140
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA	0	776
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE	0	322
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc.	MO	0	1,426
97071	13-3126819	07/01/2005	SCOR Global Life USA Reinsurance Company	DE	0	5,201
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc.	MO	0	41,133
0899999. Life and Annuity - U.S. Non-Affiliates					390,000	413,303
1099999. Total Life and Annuity - Non-Affiliates					390,000	413,303
1199999. Total Life and Annuity					390,000	852,120
1499999. Total Accident and Health - U.S. Affiliates					0	0
1799999. Total Accident and Health - Non-U.S. Affiliates					0	0
1899999. Total Accident and Health - Affiliates					0	0
66346	58-0828824	01/01/1992	Munich American Reassurance Company	GA	193,659	33,897
1999999. Accident and Health - U.S. Non-Affiliates					193,659	33,897
2199999. Total Accident and Health - Non-Affiliates					193,659	33,897
2299999. Total Accident and Health					193,659	33,897
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)					583,659	886,017
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)					0	0
9999999 Totals - Life, Annuity and Accident and Health					583,659	886,017

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	Reserve Credit Taken		11	Outstanding Surplus Relief		14	15
								9	10		12	13		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Amount in Force at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0	0
82627	06-0839705	01/01/1992	Swiss Re Life & Health America Inc	MO	YRT/I	OL	14,283,343			83,797				
97071	13-3126819	01/01/1992	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	6,339,916			3,129				
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA	YRT/I	OL	11,797,724			59,781				
66346	58-0828824	11/01/1995	Munich American Reassurance Company	GA		DIS				60				
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc	MO	YRT/I	OL	21,668,993			163,757				
82627	06-0839705	11/01/1995	Swiss Re Life & Health America Inc	MO		DIS				332				
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	4,893,424			49,296				
97071	13-3126819	11/01/1995	SCOR Global Life USA Reinsurance Company	DE		DIS				58				
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	295,706,024	4,966,211	5,830,056	862,866				
97071	13-3126819	10/01/1995	SCOR Global Life USA Reinsurance Company	DE		DIS				31				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	CO/I	XXXL	60,410,602	1,604,517	334,469	227,129				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA		DIS				50				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA	YRT/I	OL	36,142,192	0	0	52,187				
66346	58-0828824	01/01/2007	Munich American Reassurance Company	GA		DIS				1,884				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO	YRT/I	OL	32,108,419	0	0	80,172				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO	CO/I	XXXL	1,044,000	31,003	30,633	2,060				
82627	06-0839705	01/01/2007	Swiss Re Life & Health America Inc	MO		DIS		0	0	780				
97071	13-3126819	07/01/2005	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	81,880,500	2,862,141	2,679,158	192,498				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	YRT/I	OL	49,789,187	0	0	123,654				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE		DIS				959				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE	CO/I	XXXL	502,664,033	13,005,489	13,826,123	1,161,843				
97071	13-3126819	01/01/2007	SCOR Global Life USA Reinsurance Company	DE		DIS				918				
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc	MO	YRT/I	OL	625,126,924	0	0	681,810				
82627	06-0839705	09/01/2012	Swiss Re Life & Health America Inc	MO		DIS				2,021				
0899999. General Account - Authorized U.S. Non-Affiliates							1,743,855,281	22,469,361	22,700,439	3,751,072	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							1,743,855,281	22,469,361	22,700,439	3,751,072	0	0	0	0
1199999. Total General Account Authorized							1,743,855,281	22,469,361	22,700,439	3,751,072	0	0	0	0
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	AXXX	166,447,732	13,874	15,225	158,380				
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	XXXL	850,949,641	73,728	365,469	602,917				
60739	74-0484030	03/01/2010	American National Insurance Company	TX	YRT/I	OL	80,720,541	3,678	10,535	20,995				
60739	74-0484030	01/01/2011	American National Insurance Company	TX	LAH - Yearly renewable term - Individual	XXXL	20,379,555		580,467	152,395				
60739	74-0484030	01/01/2011	American National Insurance Company	TX	LAH - Yearly renewable term - Individual	XXXL	93,277,305		368,874	123,136				
60739	74-0484030	01/01/2011	American National Insurance Company	TX		DIS				22				
60739	74-0484030	01/01/2011	American National Insurance Company	TX		ADB				5,709				
1399999. General Account - Unauthorized U.S. Affiliates - Other							1,211,774,774	91,280	1,340,570	1,063,554	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							1,211,774,774	91,280	1,340,570	1,063,554	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							1,211,774,774	91,280	1,340,570	1,063,554	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							1,211,774,774	91,280	1,340,570	1,063,554	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0	0
3499999. Total General Account Authorized, Unauthorized and Certified							2,955,630,055	22,560,641	24,041,009	4,814,626	0	0	0	0
3799999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0	0
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	Reserve Credit Taken		11	Outstanding Surplus Relief		14	15
								9	10		12	13		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Amount in Force at End of Year	Current Year	Prior Year	Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
4199999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0	0
4499999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0	0
4599999. Total Separate Accounts Authorized							0	0	0	0	0	0	0	0
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0	0
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
5299999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0	0
5599999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0	0
5699999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0	0
5999999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0	0
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0	0
6399999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0	0
6699999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0	0
6799999. Total Separate Accounts Certified							0	0	0	0	0	0	0	0
6899999. Total Separate Accounts Authorized, Unauthorized and Certified							0	0	0	0	0	0	0	0
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)							2,955,630,055	22,560,641	24,041,009	4,814,626	0	0	0	0
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)							0	0	0	0	0	0	0	0
9999999 - Totals							2,955,630,055	22,560,641	24,041,009	4,814,626	0	0	0	0

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1	2	3	4	5	6	7	8	9	10	Outstanding Surplus Relief		13	14
										11	12		
NAIC Company Code	ID Number	Effective Date	Name of Company	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Premiums	Unearned Premiums (Estimated)	Reserve Credit Taken Other than for Unearned Premiums	Current Year	Prior Year	Modified Coinsurance Reserve	Funds Withheld Under Coinsurance
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0
66346	58-0828824	01/01/1992	Munich American Reassurance Company	GA	OTH/I	LTDI	1,191,181	217,843	6,700,683				
66346	58-0828824	01/01/1998	Munich American Reassurance Company	GA	OTH/I	LTDI	3,594	730	9,387				
82627	06-0839705	07/01/2014	Swiss Re Life & Health America Inc	MO	OTH/I	LTDI	223,084	36,929	138,824				
0899999. General Account - Authorized U.S. Non-Affiliates							1,417,859	255,501	6,848,894	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							1,417,859	255,501	6,848,894	0	0	0	0
1199999. Total General Account Authorized							1,417,859	255,501	6,848,894	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							0	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0
3499999. Total General Account Authorized, Unauthorized and Certified							1,417,859	255,501	6,848,894	0	0	0	0
3799999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
4199999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0
4499999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0
4599999. Total Separate Accounts Authorized							0	0	0	0	0	0	0
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
5299999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0
5599999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
5699999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0
5999999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
6399999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0
6699999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0
6799999. Total Separate Accounts Certified							0	0	0	0	0	0	0
6899999. Total Separate Accounts Authorized, Unauthorized and Certified							0	0	0	0	0	0	0
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)							1,417,859	255,501	6,848,894	0	0	0	0
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)							0	0	0	0	0	0	0
9999999 - Totals							1,417,859	255,501	6,848,894	0	0	0	0

SCHEDULE S - PART 4

Reinsurance Ceded to Unauthorized Companies

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Reserve Credit Taken	6 Paid and Unpaid Losses Recoverable (Debit)	7 Other Debits	8 Total (Cols.5+6+7)	9 Letters of Credit	10 Issuing or Confirming Bank Reference Number (a)	11 Trust Agreements	12 Funds Deposited by and Withheld from Reinsurers	13 Other	14 Miscellaneous Balances (Credit)	15 Sum of Cols. 9+11+12+13 +14 but not in Excess of Col. 8
60739	74-0484030	03/01/2010	American National Insurance Company	91,280	456,400		547,680							0
60739	74-0484030	01/01/2011	American National Insurance Company		7,479		7,479							0
0299999. General Account - Life and Annuity U.S. Affiliates - Other				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
0399999. Total General Account - Life and Annuity U.S. Affiliates				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
0699999. Total General Account - Life and Annuity Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
0799999. Total General Account - Life and Annuity Affiliates				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
1099999. Total General Account - Life and Annuity Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1199999. Total General Account Life and Annuity				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
1499999. Total General Account - Accident and Health U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1799999. Total General Account - Accident and Health Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1899999. Total General Account - Accident and Health Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2199999. Total General Account - Accident and Health Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2299999. Total General Account Accident and Health				0	0	0	0	0	XXX	0	0	0	0	0
2399999. Total General Account				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
2699999. Total Separate Accounts - U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2999999. Total Separate Accounts - Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3099999. Total Separate Accounts - Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3399999. Total Separate Accounts - Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3499999. Total Separate Accounts				0	0	0	0	0	XXX	0	0	0	0	0
3599999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2699999 and 3199999)				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0
3699999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2999999 and 3299999)				0	0	0	0	0	XXX	0	0	0	0	0
9999999 - Totals				91,280	463,879	0	555,159	0	XXX	0	0	0	0	0

(a)

Issuing or Confirming Bank Reference Number	Letters of Credit Code	American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Letters of Credit Amount

NONE

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business
($000 Omitted)

		1 2019	2 2018	3 2017	4 2016	5 2015
	A. OPERATIONS ITEMS					
1.	Premiums and annuity considerations for life and accident and health contracts	6,232	6,315	6,506	6,436	6,411
2.	Commissions and reinsurance expense allowances	622	675	743	791	818
3.	Contract claims	4,468	6,060	3,865	4,758	3,034
4.	Surrender benefits and withdrawals for life contracts			0	0	0
5.	Dividends to policyholders and refunds to members			0	0	0
6.	Reserve adjustments on reinsurance ceded	0	0	0	0	0
7.	Increase in aggregate reserve for life and accident and health contracts	(1,851)	(77)	239	238	507
	B. BALANCE SHEET ITEMS					
8.	Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	1,252	1,327	1,425	1,478	1,500
9.	Aggregate reserves for life and accident and health contracts	29,665	31,516	31,593	31,354	31,116
10.	Liability for deposit-type contracts			0	0	0
11.	Contract claims unpaid	886	2,347	699	504	529
12.	Amounts recoverable on reinsurance	584	585	404	1,418	153
13.	Experience rating refunds due or unpaid			0	0	0
14.	Policyholders' dividends and refunds to members (not included in Line 10)			0	0	0
15.	Commissions and reinsurance expense allowances due			0	0	0
16.	Unauthorized reinsurance offset	555	1,622	383	461	270
17.	Offset for reinsurance with Certified Reinsurers		0	0	0	0
	C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18.	Funds deposited by and withheld from (F)	0	0	0	0	0
19.	Letters of credit (L)	0	0	0	0	0
20.	Trust agreements (T)	0	0	0	0	0
21.	Other (O)	0	0	0	0	0
	D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22.	Multiple Beneficiary Trust		0	0	0	0
23.	Funds deposited by and withheld from (F)		0	0	0	0
24.	Letters of credit (L)		0	0	0	0
25.	Trust agreements (T)		0	0	0	0
26.	Other (O)		0	0	0	0

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

		1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
	ASSETS (Page 2, Col. 3)			
1.	Cash and invested assets (Line 12)	2,729,073,862		2,729,073,862
2.	Reinsurance (Line 16)	583,661	(583,661)	0
3.	Premiums and considerations (Line 15)	13,931,258	1,251,746	15,183,004
4.	Net credit for ceded reinsurance	XXX	30,473,369	30,473,369
5.	All other admitted assets (balance)	35,618,814		35,618,814
6.	Total assets excluding Separate Accounts (Line 26)	2,779,207,596	31,141,454	2,810,349,050
7.	Separate Account assets (Line 27)			0
8.	Total assets (Line 28)	2,779,207,596	31,141,454	2,810,349,050
	LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9.	Contract reserves (Lines 1 and 2)	2,386,749,536	29,665,035	2,416,414,571
10.	Liability for deposit-type contracts (Line 3)	78,844,832		78,844,832
11.	Claim reserves (Line 4)	9,161,064	886,017	10,047,081
12.	Policyholder dividends/member refunds/reserves (Lines 5 through 7)	7,257,173		7,257,173
13.	Premium & annuity considerations received in advance (Line 8)	199,926		199,926
14.	Other contract liabilities (Line 9)	1,200,806	1,145,561	2,346,367
15.	Reinsurance in unauthorized companies (Line 24.02 minus inset amount)	555,159	(555,159)	0
16.	Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)	0		0
17.	Reinsurance with Certified Reinsurers (Line 24.02 inset amount)	0		0
18.	Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			0
19.	All other liabilities (balance)	66,341,062		66,341,062
20.	Total liabilities excluding Separate Accounts (Line 26)	2,550,309,558	31,141,454	2,581,451,012
21.	Separate Account liabilities (Line 27)			0
22.	Total liabilities (Line 28)	2,550,309,558	31,141,454	2,581,451,012
23.	Capital & surplus (Line 38)	228,898,038	XXX	228,898,038
24.	Total liabilities, capital & surplus (Line 39)	2,779,207,596	31,141,454	2,810,349,050

	NET CREDIT FOR CEDED REINSURANCE	
25.	Contract reserves	29,665,035
26.	Claim reserves	886,017
27.	Policyholder dividends/reserves	0
28.	Premium & annuity considerations received in advance	0
29.	Liability for deposit-type contracts	0
30.	Other contract liabilities	1,145,561
31.	Reinsurance ceded assets	583,661
32.	Other ceded reinsurance recoverables	0
33.	Total ceded reinsurance recoverables	32,280,274
34.	Premiums and considerations	1,251,746
35.	Reinsurance in unauthorized companies	555,159
36.	Funds held under reinsurance treaties with unauthorized reinsurers	0
37.	Reinsurance with Certified Reinsurers	0
38.	Funds held under reinsurance treaties with Certified Reinsurers	0
39.	Other ceded reinsurance payables/offsets	0
40.	Total ceded reinsurance payable/offsets	1,806,905
41.	Total net credit for ceded reinsurance	30,473,369

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Allocated by States and Territories

States, Etc.		1 Active Status (a)	Direct Business Only					
			Life Contracts		4	5	6	7
			2 Life Insurance Premiums	3 Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama	AL	N	17,616				17,616	
2. Alaska	AK	N	2,733				2,733	
3. Arizona	AZ	N	31,350				31,350	
4. Arkansas	AR	N	5,250				5,250	
5. California	CA	N	40,634				40,634	
6. Colorado	CO	N	31,010				31,010	
7. Connecticut	CT	L	1,961,872	294,431	298,488		2,554,791	
8. Delaware	DE	L	1,257,756	168,071	56,255		1,482,082	
9. District of Columbia	DC	N	3,133				3,133	
10. Florida	FL	N	438,746	615,092			1,053,838	
11. Georgia	GA	N	70,092				70,092	
12. Hawaii	HI	N	4,184				4,184	
13. Idaho	ID	N	2,719				2,719	
14. Illinois	IL	N	23,677				23,677	
15. Indiana	IN	N	26,106				26,106	
16. Iowa	IA	N	7,523	24,093			31,616	
17. Kansas	KS	N	424				424	
18. Kentucky	KY	N	24,094				24,094	
19. Louisiana	LA	N	1,750				1,750	
20. Maine	ME	L	1,228,149	111,935	169,131		1,509,215	
21. Maryland	MD	L	1,448,613	8,800	122,177		1,579,590	
22. Massachusetts	MA	L	2,307,141	244,348	486,187		3,037,676	
23. Michigan	MI	N	14,239				14,239	
24. Minnesota	MN	N	18,945				18,945	
25. Mississippi	MS	N	3,437				3,437	
26. Missouri	MO	N	18,189				18,189	
27. Montana	MT	N	3,709				3,709	
28. Nebraska	NE	N	3,420				3,420	
29. Nevada	NV	N	24,424				24,424	
30. New Hampshire	NH	L	1,441,987	178,284	226,474		1,846,745	
31. New Jersey	NJ	L	5,760,072	1,146,583	573,105		7,479,760	75,037
32. New Mexico	NM	N	22,089				22,089	
33. New York	NY	L	29,712,718	374,293,904	2,543,837		406,550,459	7,740,073
34. North Carolina	NC	N	170,729	157,969			328,698	
35. North Dakota	ND	N					0	
36. Ohio	OH	N	38,920				38,920	
37. Oklahoma	OK	N	8,734				8,734	
38. Oregon	OR	N	6,254				6,254	
39. Pennsylvania	PA	L	909,185	78,122	75,544		1,062,851	
40. Rhode Island	RI	L	567,387	105,148	86,015		758,550	
41. South Carolina	SC	N	171,255	26,284			197,539	
42. South Dakota	SD	N	24,526				24,526	
43. Tennessee	TN	N	44,368	252,653			297,021	
44. Texas	TX	N	120,282	465,000			585,282	
45. Utah	UT	N	3,260	2,400			5,660	
46. Vermont	VT	L	1,398,219	1,469,763	173,748		3,041,730	
47. Virginia	VA	L	331,027	14,000	44,726		389,753	
48. Washington	WA	N	18,776				18,776	
49. West Virginia	WV	L	2,626,991	639,172	86,133		3,352,296	
50. Wisconsin	WI	N	23,362				23,362	
51. Wyoming	WY	N	6,382				6,382	
52. American Samoa	AS	N					0	
53. Guam	GU	N					0	
54. Puerto Rico	PR	N					0	
55. U.S. Virgin Islands	VI	N	1,547				1,547	
56. Northern Mariana Islands	MP	N					0	
57. Canada	CAN	N	5,382				5,382	
58. Aggregate Other Alien	OT	XXX	3,474	0	0	0	3,474	0
59. Subtotal		XXX	52,437,861	380,296,052	4,941,820	0	437,675,733	7,815,110
90. Reporting entity contributions for employee benefits plans		XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities		XXX	6,583,758				6,583,758	
92. Dividends or refunds applied to shorten endowment or premium paying period		XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions		XXX	523,822		118,931		642,753	
94. Aggregate or other amounts not allocable by State		XXX	0	0	0	0	0	0
95. Totals (Direct Business)		XXX	59,545,441	380,296,052	5,060,751	0	444,902,244	7,815,110
96. Plus reinsurance assumed		XXX					0	
97 Totals (All Business)		XXX	59,545,441	380,296,052	5,060,751	0	444,902,244	7,815,110
98. Less reinsurance ceded		XXX	4,889,689	0	1,417,859	0	6,307,548	0
99. Totals (All Business) less Reinsurance Ceded		XXX	54,655,752	380,296,052	(c) 3,642,892	0	438,594,696	7,815,110
DETAILS OF WRITE-INS								
58001. JPN Japan		XXX	3,083				3,083	
58002. UAE United Arab Emirates		XXX	391				391	
58003.		XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	3,474	0	0	0	3,474	0
9401.		XXX						
9402.		XXX						
9403.		XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page		XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)		XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG 13
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 44

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Accident & Health premiums are allocated based on the contract issue state. All other premiums are allocated based on the current resident state of the payer.

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2

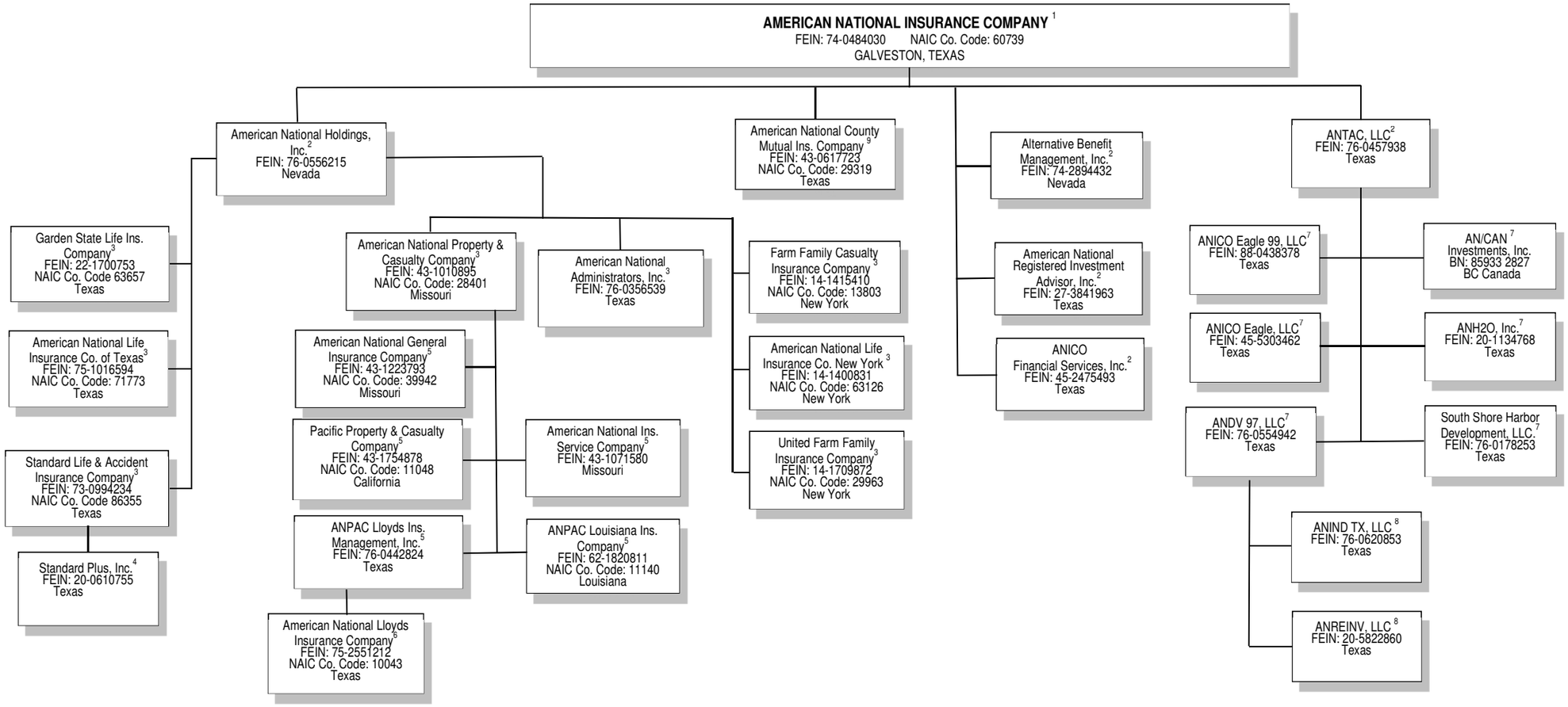
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

States, Etc.		Direct Business Only					
		1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	6 Totals
1. Alabama	AL	17,616	0			0	17,616
2. Alaska	AK	2,733	0			0	2,733
3. Arizona	AZ	31,350	0			0	31,350
4. Arkansas	AR	5,250	0			0	5,250
5. California	CA	40,634	0			0	40,634
6. Colorado	CO	31,010	0			0	31,010
7. Connecticut	CT	1,961,872	294,431	298,331		0	2,554,634
8. Delaware	DE	1,257,756	168,071	56,255		0	1,482,082
9. District of Columbia	DC	3,133	0			0	3,133
10. Florida	FL	438,746	615,092			0	1,053,838
11. Georgia	GA	70,092	0			0	70,092
12. Hawaii	HI	4,184	0			0	4,184
13. Idaho	ID	2,719	0			0	2,719
14. Illinois	IL	23,677	0			0	23,677
15. Indiana	IN	26,106	0			0	26,106
16. Iowa	IA	7,523	24,093			0	31,616
17. Kansas	KS	424	0			0	424
18. Kentucky	KY	24,094	0			0	24,094
19. Louisiana	LA	1,750	0			0	1,750
20. Maine	ME	1,228,149	111,935	169,131		0	1,509,215
21. Maryland	MD	1,448,613	8,800	122,177		0	1,579,590
22. Massachusetts	MA	2,307,141	244,348	486,187		0	3,037,676
23. Michigan	MI	14,239	0			0	14,239
24. Minnesota	MN	18,945	0			0	18,945
25. Mississippi	MS	3,437	0			0	3,437
26. Missouri	MO	18,189	0			0	18,189
27. Montana	MT	3,709	0			0	3,709
28. Nebraska	NE	3,420	0			0	3,420
29. Nevada	NV	24,424	0			0	24,424
30. New Hampshire	NH	1,441,987	178,284	223,679		0	1,843,950
31. New Jersey	NJ	5,760,072	1,146,583	565,296		75,037	7,546,988
32. New Mexico	NM	22,089	0			0	22,089
33. New York	NY	29,712,718	374,293,904	1,656,780		7,740,073	413,403,475
34. North Carolina	NC	170,729	157,969			0	328,698
35. North Dakota	ND	0	0			0	0
36. Ohio	OH	38,920	0			0	38,920
37. Oklahoma	OK	8,734	0			0	8,734
38. Oregon	OR	6,254	0			0	6,254
39. Pennsylvania	PA	909,185	78,122	74,538		0	1,061,845
40. Rhode Island	RI	567,387	105,148	86,015		0	758,550
41. South Carolina	SC	171,255	26,284			0	197,539
42. South Dakota	SD	24,526	0			0	24,526
43. Tennessee	TN	44,368	252,653			0	297,021
44. Texas	TX	120,282	465,000			0	585,282
45. Utah	UT	3,260	2,400			0	5,660
46. Vermont	VT	1,398,219	1,469,763	173,748		0	3,041,730
47. Virginia	VA	331,027	14,000	44,726		0	389,753
48. Washington	WA	18,776	0			0	18,776
49. West Virginia	WV	2,626,991	639,172	86,133		0	3,352,296
50. Wisconsin	WI	23,362	0			0	23,362
51. Wyoming	WY	6,382	0			0	6,382
52. American Samoa	AS	0	0			0	0
53. Guam	GU	0	0			0	0
54. Puerto Rico	PR	0	0			0	0
55. U.S. Virgin Islands	VI	1,547	0			0	1,547
56. Northern Mariana Islands	MP	0	0			0	0
57. Canada	CAN	5,382	0			0	5,382
58. Aggregate Other Alien	OT	3,474	0			0	3,474
59. Total		52,437,861	380,296,052	4,042,996	0	7,815,110	444,592,019

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



(1) 22.7% owned by The Moody Foundation and 37.0% owned by the Libbie S. Moody Trust.
(2) 100.0% owned by American National Insurance Company.
(3) 100.0% owned by American National Holdings, Inc.
(4) 100.0% owned by Standard Life and Accident Insurance Company.
(5) 100.0 % owned by American National Property and Casualty Company (ANPAC).
(6) Not a subsidiary company, but managed by ANPAC Lloyds Insurance Management, Inc.
(7) 100.0% owned by ANTAC, LLC.
(8) 100.0% owned by ANDV 97, LLC.
(9) Not a subsidiary company but managed by American National Insurance Company.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0408	American National Insurance Company	60739	74-0484030	1343722	904163	NASDAQ	American National Insurance Company	TX	UIP	Libbie S. Moody Trust	Ownership	0.370	Moody National Bank	N	
0408	American National Insurance Company	60739	74-0484030	1343722	904163	NASDAQ	American National Insurance Company	TX	UIP	The Moody Foundation	Ownership, Board	0.227	Robert L. Moody, Ross R. Moody, Frances Moody-Dahlberg	N	
		00000	76-0556215	0	0		American National Holdings, Inc.	NV	UDP	American National Insurance Company	Ownership	1.000	American National Insurance Company	Y	
		00000	76-0457938	0	0		ANTAC, LLC	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	Y	
		00000	27-3841963	0	1518195		American National Registered Investment Advisor, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	39942	43-1223793	0	0		American National General Insurance Company	MO	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
		00000	43-1071580	0	0		American National Insurance Service Company	MO	NIA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
		00000	76-0356539	0	0		American National Administrators, Inc.	TX	NIA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
		00000	76-0442824	0	0		ANPAC Lloyds Insurance Management, Inc.	TX	NIA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	11140	62-1820811	0	0		ANPAC Louisiana Insurance Company	LA	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	11048	43-1754878	0	0		Pacific Property and Casualty Company	CA	IA	American National Property and Casualty Company	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	13803	14-1415410	0	0		Farm Family Casualty Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	29319	43-0617723	0	0		American National County Mutual Insurance Company	TX	IA	American National Insurance Company	Management	0.000	American National Insurance Company	N	
0408	American National Insurance Company	10043	75-2551212	0	0		American National Lloyds Insurance Company	TX	IA	ANPAC Lloyds Insurance Management, Inc.	Management	0.000	American National Insurance Company	N	
		00000	74-2894432	0	0		Alternative Benefit Management, Inc.	NV	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	
		00000	76-0554942	0	0		ANDV 97, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	45-5303462	0	0		ANICO Eagle, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	88-0438378	0	0		ANICO Eagle 99, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	85-9332827	0	0		AN/CAN Investments, Inc.	CAN	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	76-0620853	0	0		ANIND TX, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	20-5822860	0	0		ANREINV, LLC	TX	NIA	ANDV 97, LLC	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	29963	14-1709872	0	0		United Farm Family Insurance Company	NY	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
		00000	20-1134768	0	0		ANH2O, Inc.	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
		00000	76-0178253	0	0		South Shore Harbour Development, LLC	TX	NIA	ANTAC, LLC	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	28401	43-1010895	1343946	0		American National Property and Casualty Company	MO	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	Y	
0408	American National Insurance Company	71773	75-1016594	1343731	0		American National Life Insurance Company of Texas	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	86355	73-0994234	0	0		Standard Life and Accident Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
		00000	20-0610755	0	0		Standard Plus, Inc.	TX	NIA	Standard Life and Accident Insurance Company	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	63657	22-1700753	0	0		Garden State Life Insurance Company	TX	IA	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
0408	American National Insurance Company	63126	14-1400831	0	0		American National Life Insurance Company of New York	NY	RE	American National Holdings, Inc.	Ownership	1.000	American National Insurance Company	N	
		00000	45-2475493	0	0		ANICO Financial Services, Inc.	TX	NIA	American National Insurance Company	Ownership	1.000	American National Insurance Company	N	

NONE

Asterisk	Explanation

SCHEDULE Y
PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1 NAIC Company Code	2 ID Number	3 Names of Insurers and Parent, Subsidiaries or Affiliates	4 Shareholder Dividends	5 Capital Contributions	6 Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	7 Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	8 Management Agreements and Service Contracts	9 Income/ (Disbursements) Incurred Under Reinsurance Agreements	10 *	11 Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	12 Totals	13 Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
60739	74-0484030	American National Insurance Company	250	100,000,000	0	29,640,313	193,610,898	39,384,431		106,017,513	468,653,405	(142,492,784)
00000	76-0556215	American National Holdings Inc.	45,000,000	(100,000,000)	0	(27,694,056)	(11,123,811)	0		4,701,194	(89,116,673)	0
71773	75-1016594	American National Life Insurance Company of Texas	0	0	0	(1,590)	7,544,113	0		0	7,542,523	206,119
86355	73-0994234	Standard Life and Accident Insurance Company	(15,999,700)	0	0	(9,023)	22,430,815	0		0	6,422,092	585,901
63657	22-1700753	Garden State Life Insurance Company	(1,750,000)	0	0	0	(887,939)	0		0	(2,637,939)	286,304
00000	74-0457938	ANTAC, LLC	136,845,398	(25,950,000)	0	(1,649,016)	(21,942,614)	0		(106,017,513)	(18,713,745)	0
00000	74-2894432	Alternative Benefit Management Inc.	0	0	0	0	1,007,560	0		0	1,007,560	0
00000	76-0554942	ANDV97, LLC	(2,960,000)	0	0	0	0	0		0	(2,960,000)	0
00000	45-5303462	ANICO Eagle, LLC	(120,300,000)	25,725,000	0	0	0	0		0	(94,575,000)	0
00000	88-0438378	ANICO Eagle 99, LLC	(13,215,398)	0	0	0	0	0		0	(13,215,398)	0
28401	43-1010895	American National Property and Casualty Company	(15,400,000)	0	0	(23,582)	(57,748,071)	(9,601,257)		0	(82,772,910)	97,208,871
39942	43-1223793	American National General Insurance Company	0	0	0	(151)	(13,490,547)	52,360		0	(13,438,338)	235,505
00000	43-1071580	American National Insurance Service Company	0	0	0	0	(353,468)	0		0	(353,468)	0
10043	75-2551212	American National Lloyds Insurance Company	0	0	0	0	(6,490,590)	(5,968,390)		0	(12,458,980)	7,121,581
11048	43-1754878	Pacific Property and Casualty Company	0	0	0	0	(17,835,330)	0		0	(17,835,330)	0
63126	14-1400831	American National Life Insurance Company of New York	0	0	0	(4,212)	(9,515,382)	(1,063,554)		0	(10,583,148)	1,060,194
00000	45-2475493	ANICO Financial Services, Inc.	0	0	0	0	0	0		0	0	0
00000	76-0356539	American National Administrators, Inc.	(1,000,000)	0	0	0	(257,723)	0		0	(1,257,723)	0
00000	76-0620853	ANIND TX, LLC	(170,000)	0	0	0	0	0		0	(170,000)	0
00000	85-9332827	ANCAN Investments Inc.	0	225,000	0	0	0	0		0	225,000	0
00000	76-0178253	South Shore Harbour Development, LLC	0	0	0	0	0	0		0	0	0
11140	62-1820811	ANPAC Louisiana Insurance Company	0	0	0	0	(17,377,765)	(537,098)		0	(17,914,863)	3,534,725
29319	43-0617723	American National County Mutual Insurance Company	0	0	0	0	(2,836,629)	(22,266,492)		0	(25,103,121)	32,253,584
13803	14-1415410	Farm Family Casualty Insurance Company	(10,850,000)	0	0	(44,767)	(47,711,340)	0		(6,004,521)	(64,610,628)	(12,449,000)
29963	14-1709872	United Farm Family Insurance Company	0	0	0	(213,916)	(16,927,493)	0		1,303,327	(15,838,082)	12,449,000
00000	20-0610755	Standard Plus, Inc.	(300)	0	0	0	(33,636)	0		0	(33,936)	0
00000	20-1134768	ANH20, Inc.	(200,000)	0	0	0	416	0		0	(199,584)	0
00000	27-3841963	American National Registered Investment Advisor	(250)	0	0	0	(61,464)	0		0	(61,714)	0
9999999 Control Totals			0	0	0	0	0	0	XXX	0	0	0

Farm Family Casualty Insurance Company and United Farm Family Insurance Company are parties to a Pooling Agreement. Farm Family Casualty Insurance Company retains 98% of the pooled business and United Farm Family Insurance Company retains 2% of the pooled business.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Responses
	MARCH FILING	
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4.	Will an actuarial opinion be filed by March 1?	YES
	APRIL FILING	
5.	Will Management's Discussion and Analysis be filed by April 1?	YES
6.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
7.	Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
8.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
	JUNE FILING	
9.	Will an audited financial report be filed by June 1?	YES
10.	Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
	AUGUST FILING	
11.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	MARCH FILING	
12.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies)	NO
13.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
14.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
16.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
17.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	YES
18.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	YES
22.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	YES
25.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	YES
26.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	YES
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	YES
	APRIL FILING	
41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
45.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	SEE EXPLANATION
46.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
47.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
48.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
49.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
	AUGUST FILING	
50.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

Explanations:

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45. No, the Company was granted a waiver for the 2019 Supplemental Health Care Exhibit by the New York State Department of Financial Services.
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Bar Codes:

12. SIS Stockholder Information Supplement [Document Identifier 420]

13. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]

14. Trusteed Surplus Statement [Document Identifier 490]

18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]

19. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]

20. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]

22. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]

23. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

26. C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]

27. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]

28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]

29. Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII [Document Identifier 436]

30. Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII [Document Identifier 437]

31. Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII [Document Identifier 438]

32. Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII [Document Identifier 439]

34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]

36. Medicare Part D Coverage Supplement [Document Identifier 365]

37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]

38. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]

39. Relief from the Requirements for Audit Committees [Document Identifier 226]

42. Long-Term Care Experience Reporting Forms [Document Identifier 306]

46. Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]

47. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]

49. Variable Annuities Supplement [Document Identifier 286]

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Year			Prior Year
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
2504.	Prepaid expenses	98,597	98,597	0	
2505.	Overfunded postretirement plan asset	34,395	34,395	0	
2506.	Overfunded pension plan asset	(1,520,514)	(1,520,514)	0	0
2597.	Summary of remaining write-ins for Line 25 from overflow page	(1,387,522)	(1,387,522)	0	0

Additional Write-ins for Exhibit of Nonadmitted Assets Line 25

		1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
2504.	Overfunded postretirement plan asset	34,395	36,435	2,040
2505.	Overfunded pension plan asset	(1,520,514)	(2,824,828)	(1,304,314)
2597.	Summary of remaining write-ins for Line 25 from overflow page	(1,486,119)	(2,788,393)	(1,302,274)

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments	3,183,898	0.117	3,183,898		3,183,898	0.117
1.02 All other governments	3,743,289	0.137	3,743,289		3,743,289	0.137
1.03 U.S. states, territories and possessions, etc. guaranteed	30,202,901	1.107	30,202,901		30,202,901	1.107
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	66,910,927	2.452	66,910,927		66,910,927	2.452
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	73,939,065	2.709	73,939,065		73,939,065	2.709
1.06 Industrial and miscellaneous	1,903,314,402	69.742	1,903,314,402		1,903,314,402	69.742
1.07 Hybrid securities		0.000			0	0.000
1.08 Parent, subsidiaries and affiliates		0.000			0	0.000
1.09 SVO identified funds		0.000			0	0.000
1.10 Unaffiliated Bank loans		0.000			0	0.000
1.11 Total long-term bonds	2,081,294,482	76.264	2,081,294,482	0	2,081,294,482	76.264
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)	4,101,988	0.150	4,101,988		4,101,988	0.150
2.02 Parent, subsidiaries and affiliates	0	0.000			0	0.000
2.03 Total preferred stocks	4,101,988	0.150	4,101,988	0	4,101,988	0.150
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	61,260	0.002	61,260		61,260	0.002
3.02 Industrial and miscellaneous Other (Unaffiliated)		0.000			0	0.000
3.03 Parent, subsidiaries and affiliates Publicly traded		0.000			0	0.000
3.04 Parent, subsidiaries and affiliates Other		0.000			0	0.000
3.05 Mutual funds		0.000			0	0.000
3.06 Unit investment trusts		0.000			0	0.000
3.07 Closed-end funds		0.000			0	0.000
3.08 Total common stocks	61,260	0.002	61,260	0	61,260	0.002
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages	0	0.000			0	0.000
4.02 Residential mortgages	0	0.000			0	0.000
4.03 Commercial mortgages	418,989,331	15.353	418,989,331		418,989,331	15.353
4.04 Mezzanine real estate loans	0	0.000			0	0.000
4.05 Total mortgage loans	418,989,331	15.353	418,989,331	0	418,989,331	15.353
5. Real estate (Schedule A):						
5.01 Properties occupied by company	5,400,281	0.198	5,400,281		5,400,281	0.198
5.02 Properties held for production of income	0	0.000	0		0	0.000
5.03 Properties held for sale	0	0.000	0		0	0.000
5.04 Total real estate	5,400,281	0.198	5,400,281	0	5,400,281	0.198
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	(312,982)	(0.011)	(312,982)		(312,982)	(0.011)
6.02 Cash equivalents (Schedule E, Part 2)	137,152,112	5.026	137,152,112		137,152,112	5.026
6.03 Short-term investments (Schedule DA)	3,482,357	0.128	3,482,357		3,482,357	0.128
6.04 Total cash, cash equivalents and short-term investments	140,321,487	5.142	140,321,487	0	140,321,487	5.142
7. Contract loans	37,002,704	1.356	37,002,704		37,002,704	1.356
8. Derivatives (Schedule DB)	11,108,748	0.407	11,108,748		11,108,748	0.407
9. Other invested assets (Schedule BA)	30,733,360	1.126	30,733,360		30,733,360	1.126
10. Receivables for securities	60,220	0.002	60,220		60,220	0.002
11. Securities Lending (Schedule DL, Part 1)	0	0.000	0	XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11)	0	0.000	0		0	0.000
13. Total invested assets	2,729,073,861	100.000	2,729,073,861	0	2,729,073,861	100.000

SCHEDULE A - VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year		7,546,398
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 6)	0	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	309,828	309,828
3.	Current year change in encumbrances:		
	3.1 Totals, Part 1, Column 13	0	
	3.2 Totals, Part 3, Column 11	0	0
4.	Total gain (loss) on disposals, Part 3, Column 18		(155,968)
5.	Deduct amounts received on disposals, Part 3, Column 15		1,752,703
6.	Total foreign exchange change in book/adjusted carrying value:		
	6.1 Totals, Part 1, Column 15	0	
	6.2 Totals, Part 3, Column 13	0	0
7.	Deduct current year's other than temporary impairment recognized:		
	7.1 Totals, Part 1, Column 12	0	
	7.2 Totals, Part 3, Column 10	0	0
8.	Deduct current year's depreciation:		
	8.1 Totals, Part 1, Column 11	537,506	
	8.2 Totals, Part 3, Column 9	9,768	547,274
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		5,400,281
10.	Deduct total nonadmitted amounts		0
11.	Statement value at end of current period (Line 9 minus Line 10)		5,400,281

SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		349,712,567
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 7)	95,940,073	
	2.2 Additional investment made after acquisition (Part 2, Column 8)	16,461,250	112,401,323
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 12	0	
	3.2 Totals, Part 3, Column 11	0	0
4.	Accrual of discount		0
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 9	0	
	5.2 Totals, Part 3, Column 8	0	0
6.	Total gain (loss) on disposals, Part 3, Column 18		0
7.	Deduct amounts received on disposals, Part 3, Column 15		44,141,980
8.	Deduct amortization of premium and mortgage interest points and commitment fees		(1,017,421)
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:		
	9.1 Totals, Part 1, Column 13	0	
	9.2 Totals, Part 3, Column 13	0	0
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 11	0	
	10.2 Totals, Part 3, Column 10	0	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		418,989,331
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus 12)		418,989,331
14.	Deduct total nonadmitted amounts		0
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)		418,989,331

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		9,477,241
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	12,934,180	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	11,315,182	24,249,362
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16	0	
	3.2 Totals, Part 3, Column 12	0	0
4.	Accrual of discount		39,716
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13	(30,234)	
	5.2 Totals, Part 3, Column 9	0	(30,234)
6.	Total gain (loss) on disposals, Part 3, Column 19		0
7.	Deduct amounts received on disposals, Part 3, Column 16		3,002,725
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17	0	
	9.2 Totals, Part 3, Column 14	0	0
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15	0	
	10.2 Totals, Part 3, Column 11	0	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		30,733,360
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		30,733,360

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		1,912,642,525
2.	Cost of bonds and stocks acquired, Part 3, Column 7		453,473,955
3.	Accrual of discount		2,419,395
4.	Unrealized valuation increase (decrease):		
	4.1. Part 1, Column 12	(119,571)	
	4.2. Part 2, Section 1, Column 15	0	
	4.3. Part 2, Section 2, Column 13	8,012	
	4.4. Part 4, Column 11	(69,387,443)	(69,499,002)
5.	Total gain (loss) on disposals, Part 4, Column 19		90,469,648
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		300,913,229
7.	Deduct amortization of premium		4,579,749
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1. Part 1, Column 15	0	
	8.2. Part 2, Section 1, Column 19	0	
	8.3. Part 2, Section 2, Column 16	0	
	8.4. Part 4, Column 15	0	0
9.	Deduct current year's other than temporary impairment recognized:		
	9.1. Part 1, Column 14	0	
	9.2. Part 2, Section 1, Column 17	0	
	9.3. Part 2, Section 2, Column 14	5	
	9.4. Part 4, Column 13	616,988	616,993
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2		2,061,180
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		2,085,457,730
12.	Deduct total nonadmitted amounts		0
13.	Statement value at end of current period (Line 11 minus Line 12)		2,085,457,730

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description			1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS	1.	United States	3,183,898	3,633,922	3,117,938	3,200,000
Governments	2.	Canada	3,743,289	4,351,010	3,718,750	3,750,000
(Including all obligations guaranteed by governments)	3.	Other Countries				
	4.	Totals	6,927,187	7,984,932	6,836,688	6,950,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5.	Totals	30,202,901	31,613,553	30,509,324	27,095,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6.	Totals	66,910,927	68,872,540	67,357,371	63,140,000
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7.	Totals	73,939,065	76,751,625	74,308,685	69,274,352
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8.	United States	1,593,511,086	1,663,975,082	1,595,275,783	1,579,734,194
	9.	Canada	94,976,903	99,535,130	94,881,567	94,912,000
	10.	Other Countries	214,826,413	224,004,811	215,762,604	212,852,789
	11.	Totals	1,903,314,402	1,987,515,023	1,905,919,954	1,887,498,983
Parent, Subsidiaries and Affiliates	12.	Totals				
	13.	Total Bonds	2,081,294,482	2,172,737,673	2,084,932,022	2,053,958,335
PREFERRED STOCKS	14.	United States	4,101,988	4,418,940	4,101,988	
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
	16.	Other Countries				
	17.	Totals	4,101,988	4,418,940	4,101,988	
Parent, Subsidiaries and Affiliates	18.	Totals	0	0	0	
	19.	Total Preferred Stocks	4,101,988	4,418,940	4,101,988	
COMMON STOCKS	20.	United States	61,260	61,260	42,807	
Industrial and Miscellaneous (unaffiliated)	21.	Canada				
	22.	Other Countries				
	23.	Totals	61,260	61,260	42,807	
Parent, Subsidiaries and Affiliates	24.	Totals	0	0	0	
	25.	Total Common Stocks	61,260	61,260	42,807	
	26.	Total Stocks	4,163,248	4,480,200	4,144,795	
	27.	Total Bonds and Stocks	2,085,457,730	2,177,217,873	2,089,076,817	

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	199,886	2,984,012	0	0	0	XXX	3,183,898	0.1	3,178,394	0.2	3,183,898	0
1.2 NAIC 2						XXX	0	0.0		0.0		0
1.3 NAIC 3						XXX	0	0.0		0.0		0
1.4 NAIC 4						XXX	0	0.0		0.0		0
1.5 NAIC 5						XXX	0	0.0		0.0		0
1.6 NAIC 6						XXX	0	0.0		0.0		0
1.7 Totals	199,886	2,984,012	0	0	0	XXX	3,183,898	0.1	3,178,394	0.2	3,183,898	0
2. All Other Governments												
2.1 NAIC 1	0	3,743,289	0	0	0	XXX	3,743,289	0.2	3,741,053	0.2	3,743,289	0
2.2 NAIC 2						XXX	0	0.0		0.0		0
2.3 NAIC 3						XXX	0	0.0		0.0		0
2.4 NAIC 4						XXX	0	0.0		0.0		0
2.5 NAIC 5						XXX	0	0.0		0.0		0
2.6 NAIC 6						XXX	0	0.0		0.0		0
2.7 Totals	0	3,743,289	0	0	0	XXX	3,743,289	0.2	3,741,053	0.2	3,743,289	0
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1	2,001,593	6,494,476	21,706,832	0	0	XXX	30,202,901	1.4	8,812,518	0.5	30,202,901	0
3.2 NAIC 2						XXX	0	0.0		0.0		0
3.3 NAIC 3						XXX	0	0.0		0.0		0
3.4 NAIC 4						XXX	0	0.0		0.0		0
3.5 NAIC 5						XXX	0	0.0		0.0		0
3.6 NAIC 6						XXX	0	0.0		0.0		0
3.7 Totals	2,001,593	6,494,476	21,706,832	0	0	XXX	30,202,901	1.4	8,812,518	0.5	30,202,901	0
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1	13,198,908	21,298,055	32,413,964	0	0	XXX	66,910,927	3.1	45,487,765	2.5	66,910,927	0
4.2 NAIC 2						XXX	0	0.0		0.0		0
4.3 NAIC 3						XXX	0	0.0		0.0		0
4.4 NAIC 4						XXX	0	0.0		0.0		0
4.5 NAIC 5						XXX	0	0.0		0.0		0
4.6 NAIC 6						XXX	0	0.0		0.0		0
4.7 Totals	13,198,908	21,298,055	32,413,964	0	0	XXX	66,910,927	3.1	45,487,765	2.5	66,910,927	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	15,343,536	18,341,156	37,301,408	532,965	0	XXX	71,519,065	3.3	44,758,384	2.5	71,519,065	0
5.2 NAIC 2	0	170,000	750,000	1,500,000	0	XXX	2,420,000	0.1	2,450,035	0.1	2,420,000	0
5.3 NAIC 3						XXX	0	0.0		0.0		0
5.4 NAIC 4						XXX	0	0.0		0.0		0
5.5 NAIC 5						XXX	0	0.0		0.0		0
5.6 NAIC 6						XXX	0	0.0		0.0		0
5.7 Totals	15,343,536	18,511,156	38,051,408	2,032,965	0	XXX	73,939,065	3.4	47,208,419	2.6	73,939,065	0

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	129,441,386	358,660,708	318,318,020	60,477,216	24,049,126	XXX	890,946,456	40.8	708,197,417	39.4	726,357,921	164,588,535
6.2 NAIC 2	53,185,952	417,916,820	552,908,013	22,030,705	11,235,750	XXX	1,057,277,240	48.4	908,662,605	50.6	903,369,917	153,907,323
6.3 NAIC 3	9,561,943	28,905,947	5,220,085	0	0	XXX	43,687,975	2.0	61,490,402	3.4	37,876,394	5,811,581
6.4 NAIC 4	0	0	2,912,549	0	0	XXX	2,912,549	0.1	7,173,267	0.4	2,912,549	0
6.5 NAIC 5	0	2,019,574	7,614,905	0	0	XXX	9,634,479	0.4	548,727	0.0	9,634,479	0
6.6 NAIC 6	138,749	712,798	1,080,306	0	0	XXX	1,931,853	0.1	2,362,915	0.1	1,931,853	0
6.7 Totals	192,328,030	808,215,847	888,053,878	82,507,921	35,284,876	XXX	2,006,390,552	91.9	1,688,435,333	94.0	1,682,083,113	324,307,439
7. Hybrid Securities												
7.1 NAIC 1						XXX	0	0.0		0.0		0
7.2 NAIC 2						XXX	0	0.0		0.0		0
7.3 NAIC 3						XXX	0	0.0		0.0		0
7.4 NAIC 4						XXX	0	0.0		0.0		0
7.5 NAIC 5						XXX	0	0.0		0.0		0
7.6 NAIC 6						XXX	0	0.0		0.0		0
7.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX	0	0.0		0.0		0
8.2 NAIC 2						XXX	0	0.0		0.0		0
8.3 NAIC 3						XXX	0	0.0		0.0		0
8.4 NAIC 4						XXX	0	0.0		0.0		0
8.5 NAIC 5						XXX	0	0.0		0.0		0
8.6 NAIC 6						XXX	0	0.0		0.0		0
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX	0	0.0		0.0		0
10.2 NAIC 2						XXX	0	0.0		0.0		0
10.3 NAIC 3						XXX	0	0.0		0.0		0
10.4 NAIC 4						XXX	0	0.0		0.0		0
10.5 NAIC 5						XXX	0	0.0		0.0		0
10.6 NAIC 6						XXX	0	0.0		0.0		0
10.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 160,185,309	411,521,696	409,740,224	61,010,181	24,049,126	0	1,066,506,536	48.8	XXX	XXX	901,918,001	164,588,535
11.2 NAIC 2	(d) 53,185,952	418,086,820	553,658,013	23,530,705	11,235,750	0	1,059,697,240	48.5	XXX	XXX	905,789,917	153,907,323
11.3 NAIC 3	(d) 9,561,943	28,905,947	5,220,085	0	0	0	43,687,975	2.0	XXX	XXX	37,876,394	5,811,581
11.4 NAIC 4	(d) 0	0	2,912,549	0	0	0	2,912,549	0.1	XXX	XXX	2,912,549	0
11.5 NAIC 5	(d) 0	2,019,574	7,614,905	0	0	0	(c) 9,634,479	0.4	XXX	XXX	9,634,479	0
11.6 NAIC 6	(d) 138,749	712,798	1,080,306	0	0	0	(c) 1,931,853	0.1	XXX	XXX	1,931,853	0
11.7 Totals	223,071,953	861,246,835	980,226,082	84,540,886	35,284,876	0	(b) 2,184,370,632	100.0	XXX	XXX	1,860,063,193	324,307,439
11.8 Line 11.7 as a % of Col. 7	10.2	39.4	44.9	3.9	1.6	0.0	100.0	XXX	XXX	XXX	85.2	14.8
12. Total Bonds Prior Year												
12.1 NAIC 1	29,937,840	332,310,131	396,561,644	34,034,976	21,330,940	0	XXX	XXX	814,175,531	45.3	694,473,899	119,701,632
12.2 NAIC 2	5,651,498	399,868,710	489,233,522	13,931,355	2,427,555	0	XXX	XXX	911,112,640	50.7	796,687,513	114,425,127
12.3 NAIC 3	2,505,665	43,956,286	11,091,612	0	3,936,839	0	XXX	XXX	61,490,402	3.4	55,631,615	5,858,787
12.4 NAIC 4	0	4,277,634	0	2,895,633	0	0	XXX	XXX	7,173,267	0.4	7,173,267	0
12.5 NAIC 5	57,258	259,718	231,751	0	0	0	XXX	XXX	(c) 548,727	0.0	548,727	0
12.6 NAIC 6	144,476	742,221	1,445,282	30,936	0	0	XXX	XXX	(c) 2,362,915	0.1	2,362,915	0
12.7 Totals	38,296,737	781,414,700	898,563,811	50,892,900	27,695,334	0	XXX	XXX	(b) 1,796,863,482	100.0	1,556,877,936	239,985,546
12.8 Line 12.7 as a % of Col. 9	2.1	43.5	50.0	2.8	1.5	0.0	XXX	XXX	100.0	XXX	86.6	13.4
13. Total Publicly Traded Bonds												
13.1 NAIC 1	154,319,295	358,516,845	345,724,889	41,891,441	1,465,531	0	901,918,001	41.3	694,473,899	38.6	901,918,001	XXX
13.2 NAIC 2	47,866,615	377,947,549	458,102,940	10,637,063	11,235,750	0	905,789,917	41.5	796,687,513	44.3	905,789,917	XXX
13.3 NAIC 3	8,809,968	23,846,341	5,220,085	0	0	0	37,876,394	1.7	55,631,615	3.1	37,876,394	XXX
13.4 NAIC 4	0	0	2,912,549	0	0	0	2,912,549	0.1	7,173,267	0.4	2,912,549	XXX
13.5 NAIC 5	0	2,019,574	7,614,905	0	0	0	9,634,479	0.4	548,727	0.0	9,634,479	XXX
13.6 NAIC 6	138,749	712,798	1,080,306	0	0	0	1,931,853	0.1	2,362,915	0.1	1,931,853	XXX
13.7 Totals	211,134,627	763,043,107	820,655,674	52,528,504	12,701,281	0	1,860,063,193	85.2	1,556,877,936	86.6	1,860,063,193	XXX
13.8 Line 13.7 as a % of Col. 7	11.4	41.0	44.1	2.8	0.7	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	9.7	34.9	37.6	2.4	0.6	0.0	85.2	XXX	XXX	XXX	85.2	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	5,866,014	53,004,851	64,015,335	19,118,740	22,583,595	0	164,588,535	7.5	119,701,632	6.7	XXX	164,588,535
14.2 NAIC 2	5,319,337	40,139,271	95,555,073	12,893,642	0	0	153,907,323	7.0	114,425,127	6.4	XXX	153,907,323
14.3 NAIC 3	751,975	5,059,606	0	0	0	0	5,811,581	0.3	5,858,787	0.3	XXX	5,811,581
14.4 NAIC 4	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.5 NAIC 5	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.7 Totals	11,937,326	98,203,728	159,570,408	32,012,382	22,583,595	0	324,307,439	14.8	239,985,546	13.4	XXX	324,307,439
14.8 Line 14.7 as a % of Col. 7	3.7	30.3	49.2	9.9	7.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.5	4.5	7.3	1.5	1.0	0.0	14.8	XXX	XXX	XXX	XXX	14.8

(a) Includes $ 324,307,439 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes $ ..... current year of bonds with Z designations and $ ..... prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

(c) Includes $ ..... current year, $ ..... prior year of bonds with 5GI designations and $ ..... current year, $ ..... prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 $ 103,076,150 ; NAIC 2 $ ..... ; NAIC 3 $ ..... ; NAIC 4 $ ..... ; NAIC 5 $ ..... ; NAIC 6 $ .....

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	199,886	2,984,012				XXX	3,183,898	0.1	3,178,394	0.2	3,183,898	0
1.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
1.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
1.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
1.05 Totals	199,886	2,984,012	0	0	0	XXX	3,183,898	0.1	3,178,394	0.2	3,183,898	0
2. All Other Governments												
2.01 Issuer Obligations		3,743,289				XXX	3,743,289	0.2	3,741,053	0.2	3,743,289	0
2.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
2.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
2.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
2.05 Totals	0	3,743,289	0	0	0	XXX	3,743,289	0.2	3,741,053	0.2	3,743,289	0
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations	2,001,593	6,494,476	21,706,832			XXX	30,202,901	1.4	8,812,518	0.5	30,202,901	0
3.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
3.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
3.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
3.05 Totals	2,001,593	6,494,476	21,706,832	0	0	XXX	30,202,901	1.4	8,812,518	0.5	30,202,901	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations	13,198,908	21,298,055	32,413,964			XXX	66,910,927	3.1	45,487,765	2.5	66,910,927	0
4.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
4.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
4.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
4.05 Totals	13,198,908	21,298,055	32,413,964	0	0	XXX	66,910,927	3.1	45,487,765	2.5	66,910,927	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations	14,110,294	15,311,856	36,845,310	1,500,000		XXX	67,767,460	3.1	39,310,232	2.2	67,767,460	0
5.02 Residential Mortgage-Backed Securities	1,233,242	3,199,300	1,206,098	532,965		XXX	6,171,605	0.3	7,898,187	0.4	6,171,605	0
5.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
5.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
5.05 Totals	15,343,536	18,511,156	38,051,408	2,032,965	0	XXX	73,939,065	3.4	47,208,419	2.6	73,939,065	0
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	191,210,397	803,503,213	880,915,087	66,121,110	27,831,439	XXX	1,969,581,246	90.2	1,672,060,473	93.1	1,671,498,634	298,082,612
6.02 Residential Mortgage-Backed Securities	201,930	978,935	1,352,673			XXX	2,533,538	0.1	3,138,751	0.2	2,533,538	0
6.03 Commercial Mortgage-Backed Securities	3,527	44,206	379,912	2,474,806		XXX	2,902,451	0.1		0.0	2,902,451	0
6.04 Other Loan-Backed and Structured Securities	912,176	3,689,493	5,406,206	13,912,005	7,453,437	XXX	31,373,317	1.4	13,236,109	0.7	5,148,490	26,224,827
6.05 Totals	192,328,030	808,215,847	888,053,878	82,507,921	35,284,876	XXX	2,006,390,552	91.9	1,688,435,333	94.0	1,682,083,113	324,307,439
7. Hybrid Securities												
7.01 Issuer Obligations						XXX	0	0.0		0.0		0
7.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
7.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
7.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
7.05 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						XXX	0	0.0		0.0		0
8.02 Residential Mortgage-Backed Securities						XXX	0	0.0		0.0		0
8.03 Commercial Mortgage-Backed Securities						XXX	0	0.0		0.0		0
8.04 Other Loan-Backed and Structured Securities						XXX	0	0.0		0.0		0
8.05 Affiliated Bank Loans - Issued						XXX	0	0.0		0.0		0
8.06 Affiliated Bank Loans - Acquired						XXX	0	0.0		0.0		0
8.07 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX		0	0.0		0.0		0
9.03 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						XXX	0	0.0		0.0		0
10.02 Unaffiliated Bank Loans - Acquired						XXX	0	0.0		0.0		0
10.03 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
11. Total Bonds Current Year												
11.01 Issuer Obligations	220,721,078	853,334,901	971,881,193	67,621,110	27,831,439	XXX	2,141,389,721	98.0	XXX	XXX	1,843,307,109	298,082,612
11.02 Residential Mortgage-Backed Securities	1,435,172	4,178,235	2,558,771	532,965	0	XXX	8,705,143	0.4	XXX	XXX	8,705,143	0
11.03 Commercial Mortgage-Backed Securities	3,527	44,206	379,912	2,474,806	0	XXX	2,902,451	0.1	XXX	XXX	2,902,451	0
11.04 Other Loan-Backed and Structured Securities	912,176	3,689,493	5,406,206	13,912,005	7,453,437	XXX	31,373,317	1.4	XXX	XXX	5,148,490	26,224,827
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	XXX	XXX	0	0
11.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.08 Totals	223,071,953	861,246,835	980,226,082	84,540,886	35,284,876	0	2,184,370,632	100.0	XXX	XXX	1,860,063,193	324,307,439
11.09 Line 11.08 as a % of Col. 7	10.2	39.4	44.9	3.9	1.6	0.0	100.0	XXX	XXX	XXX	85.2	14.8
12. Total Bonds Prior Year												
12.01 Issuer Obligations	35,765,160	775,108,148	893,365,799	45,348,891	23,002,437	XXX	XXX	XXX	1,772,590,435	98.6	1,540,136,235	232,454,200
12.02 Residential Mortgage-Backed Securities	1,664,248	5,015,452	3,525,520	822,249	9,469	XXX	XXX	XXX	11,036,938	0.6	11,036,938	0
12.03 Commercial Mortgage-Backed Securities						XXX	XXX	XXX	0	0.0		0
12.04 Other Loan-Backed and Structured Securities	867,329	1,291,100	1,672,492	4,721,760	4,683,428	XXX	XXX	XXX	13,236,109	0.7	5,704,763	7,531,346
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX	0	0.0		0
12.06 Affiliated Bank Loans						XXX	XXX	XXX	0	0.0		0
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX	0	0.0		0
12.08 Totals	38,296,737	781,414,700	898,563,811	50,892,900	27,695,334	0	XXX	XXX	1,796,863,482	100.0	1,556,877,936	239,985,546
12.09 Line 12.08 as a % of Col. 9	2.1	43.5	50.0	2.8	1.5	0.0	XXX	XXX	100	XXX	86.6	13.4
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	209,532,626	758,276,886	816,908,463	47,353,384	11,235,750	XXX	1,843,307,109	84.4	1,540,136,235	85.7	1,843,307,109	XXX
13.02 Residential Mortgage-Backed Securities	1,435,172	4,178,235	2,558,771	532,965		XXX	8,705,143	0.4	11,036,938	0.6	8,705,143	XXX
13.03 Commercial Mortgage-Backed Securities	3,527	44,206	379,912	2,474,806		XXX	2,902,451	0.1	0	0.0	2,902,451	XXX
13.04 Other Loan-Backed and Structured Securities	163,302	543,780	808,528	2,167,349	1,465,531	XXX	5,148,490	0.2	5,704,763	0.3	5,148,490	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		0	0.0	0	0.0	0	XXX
13.06 Affiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.07 Unaffiliated Bank Loans						XXX	0	0.0	0	0.0	0	XXX
13.08 Totals	211,134,627	763,043,107	820,655,674	52,528,504	12,701,281	0	1,860,063,193	85.2	1,556,877,936	86.6	1,860,063,193	XXX
13.09 Line 13.08 as a % of Col. 7	11.4	41.0	44.1	2.8	0.7	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	9.7	34.9	37.6	2.4	0.6	0.0	85.2	XXX	XXX	XXX	85.2	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	11,188,452	95,058,015	154,972,730	20,267,726	16,595,689	XXX	298,082,612	13.6	232,454,200	12.9	XXX	298,082,612
14.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.04 Other Loan-Backed and Structured Securities	748,874	3,145,713	4,597,678	11,744,656	5,987,906	XXX	26,224,827	1.2	7,531,346	0.4	XXX	26,224,827
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	XXX	0
14.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.08 Totals	11,937,326	98,203,728	159,570,408	32,012,382	22,583,595	0	324,307,439	14.8	239,985,546	13.4	XXX	324,307,439
14.09 Line 14.08 as a % of Col. 7	3.7	30.3	49.2	9.9	7.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.5	4.5	7.3	1.5	1.0	0.0	14.8	XXX	XXX	XXX	XXX	14.8

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	0				
2. Cost of short-term investments acquired	3,479,943	3,479,943			
3. Accrual of discount	2,414	2,414			
4. Unrealized valuation increase (decrease)	0				
5. Total gain (loss) on disposals	0				
6. Deduct consideration received on disposals	0				
7. Deduct amortization of premium	0				
8. Total foreign exchange change in book/adjusted carrying value	0				
9. Deduct current year's other than temporary impairment recognized	0				
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,482,357	3,482,357	0	0	0
11. Deduct total nonadmitted amounts	0				
12. Statement value at end of current period (Line 10 minus Line 11)	3,482,357	3,482,357	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

SCHEDULE DB - PART A - VERIFICATION BETWEEN YEARS

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/adjusted carrying value, December 31, prior year (Line 9, prior year)		1,884,167
2.	Cost paid/(consideration received) on additions:		
	2.1 Current year paid/(consideration received) at time of acquisition, still open, Section 1, Column 12	6,799,320	
	2.2 Current year paid/(consideration received) at time of acquisition, terminated, Section 2, Column 14	0	6,799,320
3.	Unrealized valuation increase/(decrease):		
	3.1 Section 1, Column 17	4,309,428	
	3.2 Section 2, Column 19	5,406,158	9,715,586
4.	SSAP No. 108 Adjustments		
5.	Total gain (loss) on termination recognized, Section 2, Column 22		337,682
6.	Considerations received/(paid) on terminations, Section 2, Column 15		7,628,007
7.	Amortization:		
	7.1 Section 1, Column 19	0	
	7.2 Section 2, Column 21	0	0
8.	Adjustment to the book/adjusted carrying value of hedged item:		
	8.1 Section 1, Column 20	0	
	8.2 Section 2, Column 23	0	0
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Section 1, Column 18	0	
	9.2 Section 2, Column 20	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6+7+8+9)		11,108,748
11.	Deduct nonadmitted assets		
12.	Statement value at end of current period (Line 10 minus Line 11)		11,108,748

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change Column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly effective hedges	
	3.11 Section 1, Column 15, current year minus	
	3.12 Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All other	
	3.13 Section 1, Column 18, current year minus	
	3.14 Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
	3.21 Section 1, Column 17, current year to date minus	
	3.22 Section 1, Column 17, prior year	
	Change in amount recognized	
	3.23 Section 1, Column 19, current year to date minus	
	3.24 Section 1, Column 19, prior year plus	
	3.25 SSAP No. 108 Adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year (Section 2, Column 15)	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item (Section 2, Column 17)	
	4.22 Amount recognized (Section 2, Column 16)	
	4.23 SSAP No. 108 Adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check	
1.	Part A, Section 1, Column 14	11,108,748	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance	0	
3.	Total (Line 1 plus Line 2)		11,108,748
4.	Part D, Section 1, Column 5	11,108,748	
5.	Part D, Section 1, Column 6	0	
6.	Total (Line 3 minus Line 4 minus Line 5)		0

		Fair Value Check	
7.	Part A, Section 1, Column 16	11,108,748	
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)		11,108,748
10.	Part D, Section 1, Column 8	11,108,748	
11.	Part D, Section 1, Column 9	0	
12	Total (Line 9 minus Line 10 minus Line 11)		0

		Potential Exposure Check	
13.	Part A, Section 1, Column 21	0	
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 11	0	
16.	Total (Line 13 plus Line 14 minus Line 15)		0

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1 Total	2 Bonds	3 Money Market Mutual funds	4 Other (a)
1. Book/adjusted carrying value, December 31 of prior year	9,174,064	0	8,818,983	355,081
2. Cost of cash equivalents acquired	2,620,282,106	1,489,275,420	919,728,376	211,278,310
3. Accrual of discount	1,051,374	1,051,374	0	0
4. Unrealized valuation increase (decrease)	0			
5. Total gain (loss) on disposals	0			
6. Deduct consideration received on disposals	2,493,355,432	1,390,733,001	891,989,168	210,633,263
7. Deduct amortization of premium	0			
8. Total foreign exchange change in book/adjusted carrying value	0			
9. Deduct current year's other than temporary impairment recognized	0			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	137,152,112	99,593,793	36,558,191	1,000,128
11. Deduct total nonadmitted amounts	0			
12. Statement value at end of current period (Line 10 minus Line 11)	137,152,112	99,593,793	36,558,191	1,000,128

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

SCHEDULE A - PART 1

Showing All Real Estate OWNED December 31 of Current Year

1	2	Location		5	6	7	8	9	10	Change in Book/Adjusted Carrying Value Less Encumbrances					16	17
		3	4							11	12	13	14	15		
Description of Property	Code	City	State	Date Acquired	Date of Last Appraisal	Actual Cost	Amount of Encum-brances	Book/Adjusted Carrying Value Less Encum-brances	Fair Value Less Encum-brances	Current Year's Depre-ciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encum-brances	Total Change in Book/ Adjusted Carrying Value (13-11-12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Gross Income Earned Less Interest Incurred on Encum-brances	Taxes, Repairs and Expenses Incurred
Home Office Building		Glenmont	NY	01/01/1985	06/05/1997	15,743,040		5,400,281		537,506	0		(537,506)		2,147,710	1,254,226
0299999. Property occupied by the reporting entity - Administrative						15,743,040	0	5,400,281	0	537,506	0	0	(537,506)	0	2,147,710	1,254,226
0399999. Total Property occupied by the reporting entity						15,743,040	0	5,400,281	0	537,506	0	0	(537,506)	0	2,147,710	1,254,226
0699999 - Totals						15,743,040	0	5,400,281	0	537,506	0	0	(537,506)	0	2,147,710	1,254,226

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED and Additions Made During the Year

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition
Home Office Building	Glenmont	NY	01/01/1985					309,828
0199999. Acquired by Purchase					0	0	0	309,828
0399999 - Totals					0	0	0	309,828

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Year, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depreciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in Book/ Adjusted Carrying Value (11-9-10)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses Incurred
Office Building	West Seneca	NY	03/26/2019				1,918,439	9,768			(9,768)		1,908,671	1,752,703		(155,968)	(155,968)	181,264	137,240
0199999. Property Disposed					0	0	1,918,439	9,768	0	0	(9,768)	0	1,908,671	1,752,703	0	(155,968)	(155,968)	181,264	137,240
0399999 - Totals					0	0	1,918,439	9,768	0	0	(9,768)	0	1,908,671	1,752,703	0	(155,968)	(155,968)	181,264	137,240

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1	2	Location		5	6	7	8	Change in Book Value/Recorded Investment					14	15
		3	4				Book Value/Recorded Investment Excluding Accrued Interest	9	10	11	12	13		
Loan Number	Code	City	State	Loan Type	Date Acquired	Rate of Interest		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book Value	Value of Land and Buildings	Date of Last Appraisal or Valuation
160101		LONG BEACH	CA		05/26/2004	5.125	1,779,612	0	500	0		0	4,400,000	04/15/2004
161701		FORT WORTH	TX		04/30/2008	4.000	1,985,467	0	5,366	0		0	3,100,000	02/15/2016
163501		ALBANY	GA		11/29/2012	5.000	1,672,489	0	904	0		0	4,850,000	10/10/2012
163801		THE WOODLANDS	TX		12/17/2013	5.000	2,225,394	0	3,315	0		0	6,000,000	11/25/2013
163901		OMAHA	NE		06/26/2014	4.900	4,146,263	0	1,719	0		0	6,720,000	04/18/2014
164201		HOUSTON	TX		11/06/2014	4.950	2,641,354	0	1,511	0		0	4,300,000	10/17/2014
164301		SUWANEE	GA		12/16/2014	4.750	5,551,502	0	4,150	0		0	10,175,000	11/02/2014
164401		SPRING	TX		12/17/2014	4.500	3,611,174	0	2,025	0		0	8,100,000	11/25/2014
164501		NOVI	MI		02/02/2015	4.500	4,585,434	0	1,296	0		0	7,260,000	12/18/2014
164601		WILMINGTON	NC		02/10/2015	4.500	2,809,842	0	1,248	0		0	5,000,000	12/08/2014
164701		HOUSTON	TX		06/01/2015	4.000	2,659,674	0	1,071	0		0	4,600,000	04/28/2015
164702		HOUSTON	TX		05/11/2017	4.250	467,830	0	984	0		0	4,600,000	04/28/2015
164801		MATTHEWS	NC		10/01/2015	4.250	3,136,568	0	872	0		0	3,776,906	08/13/2015
164901		VERNON HILLS	IL		12/16/2015	4.000	4,607,416	0	1,300	0		0	7,480,000	10/20/2015
165001		PHOENIX	AZ		03/01/2016	4.500	3,925,797	0	1,122	0		0	7,330,000	02/03/2016
165201		DENVER	CO		04/04/2016	4.500	4,388,205	0	1,200	0		0	10,350,000	01/28/2016
165301		ANNAPOLIS	MD		05/26/2016	4.500	6,334,765	0	3,600	0		0	10,700,000	05/12/2016
165401		BRIGHTON	NY		05/26/2016	4.250	5,588,889	0	1,446	0		0	7,950,000	03/08/2016
165501		CORTLANDVILLE	NY		05/26/2016	4.250	4,818,007	0	1,247	0		0	6,900,000	03/08/2016
165601		NORFOLK	VA		10/05/2016	4.000	5,040,087	0	5,165	0		0	8,000,000	06/13/2016
165701		BUFORD	GA		12/08/2016	4.000	9,991,119	0	10,331	0		0	14,300,000	11/01/2016
165801		COTTONWOOD HEIGHTS	UT		06/12/2017	4.375	12,008,007	0	36,648	0		0	19,000,000	05/11/2017
165901		GLENDALE	AZ		08/29/2017	4.500	5,669,064	0	3,000	0		0	9,450,000	06/30/2017
166001		MISSION VIEJO	CA		08/29/2017	4.750	1,802,155	0	475	0		0	4,400,000	08/22/2017
800301		GREENVILLE	SC		01/01/2018	6.150	700,426	0	262	0		0	1,177,764	08/16/2012
400201		LAYTON	UT		08/02/2018	5.000	5,897,494	0	32,500	0		0	10,750,000	06/22/2018
400301		MEMPHIS	TN		01/29/2019	5.125	6,329,569	0	66,583	0		0	10,700,000	04/01/2018
400402		AUSTIN	TX		05/28/2019	7.000	2,072,249	0	4,220	0		0	13,200,000	04/18/2019
400501		OBETZ	OH		06/28/2019	5.000	2,707,276	0	60,598	0		0	24,200,000	06/25/2019
400502		OBETZ	OH		06/28/2019	6.000	5,565,200	0	11,687	0		0	24,200,000	06/25/2019
800401		MISSOURI CITY	TX		01/01/2018	4.250	1,650,276	0	501	0		0	3,770,000	11/17/2014
800501		NORTHVILLE	MI		01/01/2018	4.500	2,764,648	0	751	0		0	7,700,000	03/23/2015
800601		DAYTONA BEACH	FL		01/01/2018	4.000	2,108,015	0	7,563	0		0	4,610,000	06/15/2015
800801		BEAUMONT	TX		01/01/2018	4.500	1,607,131	0	469	0		0	3,120,000	09/02/2015
800901		VERNON HILLS	IL		01/01/2018	4.250	2,626,686	0	751	0		0	7,152,988	11/19/2015
801001		PLAINFIELD	IN		01/01/2018	4.000	2,837,705	0	1,569	0		0	5,000,000	03/02/2016
801101		HOUSTON	TX		01/01/2018	4.500	2,736,188	0	751	0		0	5,320,000	04/19/2016
801301		CLEVELAND	OH		01/01/2018	4.500	2,874,840	0	1,570	0		0	4,480,000	05/05/2016
801401		CLEVELAND	OH		01/01/2018	4.500	4,911,196	0	1,326	0		0	8,650,000	10/10/2016
801601		DE PERE	WI		01/01/2018	4.250	4,735,179	0	1,276	0		0	7,000,000	10/17/2016
801701		WILMINGTON	NC		01/01/2018	4.750	1,865,887	0	499	0		0	2,670,000	12/10/2016
801801		CARMEL-BY-THE-SEA	CA		01/01/2018	4.500	5,538,272	0	1,451	0		0	11,700,000	10/21/2016
801901		SAN FRANCISCO	CA		01/01/2018	4.250	2,420,199	0	941	0		0	4,700,000	12/13/2016
802001		IOWA CITY	IA		01/01/2018	4.500	6,175,807	0	6,737	0		0	8,300,000	01/05/2017
802101		BOURBONNAIS	IL		01/01/2018	4.500	4,528,294	0	2,444	0		0	6,700,000	04/20/2017
802401		SANTA CLARA	CA		01/01/2018	4.750	4,833,909	0	1,311	0		0	13,100,000	08/23/2017
802601		ALBUQUERQUE	NM		01/01/2018	4.500	5,935,462	0	3,233	0		0	8,760,000	07/17/2017
802901		ALBUQUERQUE	NM		01/01/2018	4.500	4,591,795	0	2,446	0		0	7,100,000	07/17/2017
803001		ALBUQUERQUE	NM		01/01/2018	4.500	4,010,484	0	2,136	0		0	6,200,000	07/17/2017
803101		ALBUQUERQUE	NM		01/01/2018	4.500	3,124,315	0	1,664	0		0	4,820,000	07/17/2017
803201		SOMERSET	NJ		01/01/2018	4.250	6,135,664	0	19,831	0		0	9,600,000	09/18/2017
803301		LEHI	UT		02/05/2018	4.500	12,753,131	0	9,071	0		0	19,600,000	01/08/2018
803401		LAS VEGAS	NV		04/24/2018	4.950	2,646,670	0	1,375	0		0	3,750,000	04/14/2018
803601		ARVADA	CO		06/26/2018	4.500	16,546,457	0	18,000	0		0	30,700,000	06/08/2018
803701		DICKINSON	TX		07/16/2018	4.500	5,026,174	0	5,200	0		0	6,970,000	06/18/2018
803801		TUCSON	AZ		08/08/2018	4.500	5,248,548	0	5,268	0		0	7,300,000	07/30/2018
803802		TUCSON	AZ		08/08/2018	7.000	1,680,755	0	3,444	0		0	7,300,000	07/30/2018
803901		KATONAH	NY		09/25/2018	4.500	8,178,528	0	8,210	0		0	11,400,000	08/30/2018
804001		MOUNT KISCO	NY		09/27/2018	4.500	12,521,815	0	12,570	0		0	17,500,000	08/30/2018
804201		ROCKWALL	TX		10/11/2018	5.125	14,216,930	0	7,140	0		0	20,600,000	08/09/2018

SCHEDULE B - PART 1

Showing All Mortgage Loans OWNED December 31 of Current Year

1	2	Location		5	6	7	8	Change in Book Value/Recorded Investment					14	15
		3	4				Book Value/Recorded Investment Excluding Accrued Interest	9	10	11	12	13		
Loan Number	Code	City	State	Loan Type	Date Acquired	Rate of Interest		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book Value	Value of Land and Buildings	Date of Last Appraisal or Valuation
804301		PROVO	UT		10/16/2018	5.250	7,889,024	0	4,050	0		0	10,900,000	09/24/2018
804401		BOISE	ID		10/23/2018	4.750	10,483,120	0	11,304	0		0	14,600,000	10/01/2018
804601		CHICAGO	IL		11/13/2018	4.500	9,489,446	0	29,475	0		0	13,600,000	07/05/2018
804701		PORTLAND	OR		12/05/2018	5.100	9,855,038	0	4,538	0		0	17,910,000	10/16/2018
804801		MESQUITE	TX		12/12/2018	4.750	8,889,750	0	24,750	0		0	14,000,000	11/30/2018
804901		HILLSBORO	OR		01/29/2019	5.250	4,729,077	0	2,827	0		0	8,650,000	01/07/2019
805001		SOUTH JORDAN	UT		02/19/2019	5.500	6,594,359	0	2,484	0		0	11,360,000	12/13/2018
805101		LOGAN	UT		03/19/2019	5.500	2,447,513	0	417	0		0	3,675,000	02/13/2019
...		...	...		...	...	...	...	...	...	...	...	...	...
805301		ARLINGTON HEIGHTS	IL		04/11/2019	4.750	14,518,624	0	26,075	0		0	25,000,000	04/08/2019
805401		PORTLAND	OR		04/25/2019	5.000	9,952,917	0	2,917	0		0	16,970,000	04/14/2019
805501		EMPORIUM	PA		05/01/2019	5.500	2,356,800	0	4,800	0		0	3,660,000	04/24/2019
805601		WINCHESTER	KY		05/07/2019	5.500	2,455,000	0	5,000	0		0	4,300,000	04/24/2019
805801		IDAHO FALLS	ID		06/06/2019	5.000	8,459,625	0	2,125	0		0	13,600,000	04/04/2019
805901		OLATHE	KS		06/11/2019	4.750	8,958,750	0	3,750	0		0	19,330,000	02/28/2019
806001		HOUSTON	TX		10/08/2019	4.250	2,241,342	0	67	0		0	4,260,000	09/25/2019
806101		NASHVILLE	TN		10/30/2019	3.750	11,826,865	0	1,240	0		0	17,050,000	10/01/2019
806201		SANDSTON	VA		12/17/2019	3.750	1,990,000	0		0		0	8,450,000	11/15/2019
806301		CHICAGO	IL		12/17/2019	4.000	3,441,375	0		0		0	7,000,000	12/09/2019
806401		OXNARD	CA		12/18/2019	3.750	2,487,500	0		0		0	5,040,000	12/02/2019
806501		OXNARD	CA		12/18/2019	3.500	1,990,000	0		0		0	4,010,000	12/02/2019
806601		VALENCIA	CA		12/18/2019	3.500	2,985,000	0		0		0	7,500,000	11/04/2019
400701		San Marcos	TX	S	09/27/2019	5.000	(475,354)	0	29,710	0		0	40,500,000	07/10/2019
400601		Murray	UT		09/17/2019	5.000	(123,750)	0	11,250	0		0	18,600,000	05/28/2019
400401		Austin	TX		05/28/2019	5.250	(123,797)	0	14,772	0		0	13,200,000	04/18/2019
0599999. Mortgages in good standing - Commercial mortgages-all other							414,867,513	0	577,392	0	0	0	831,707,658	XXX
0899999. Total Mortgages in good standing							414,867,513	0	577,392	0	0	0	831,707,658	XXX
1699999. Total - Restructured Mortgages							0	0	0	0	0	0	0	XXX
803501		SAN DIEGO	CA		06/07/2018	4.950	1,944,901	0	750	0		0	3,110,000	04/12/2018
805201		SAN DIEGO	CA		03/26/2019	5.250	2,176,918	0	367	0		0	3,630,000	03/12/2019
2199999. Mortgages with overdue interest over 90 days-Commercial mortgages-all other							4,121,819	0	1,117	0	0	0	6,740,000	XXX
2499999. Total - Mortgages with overdue interest over 90 days							4,121,819	0	1,117	0	0	0	6,740,000	XXX
3299999. Total - Mortgages in the process of foreclosure							0	0	0	0	0	0	0	XXX
3399999 - Totals							418,989,331	0	578,509	0	0	0	838,447,658	XXX

General Interrogatory:

1. Mortgages in good standing $ ..... unpaid taxes $ ..... interest due and unpaid.
2. Restructured mortgages $ ..... unpaid taxes $ ..... interest due and unpaid.
3. Mortgages with overdue interest over 90 days not in process of foreclosure $ ..... unpaid taxes $ ..... interest due and unpaid.
4. Mortgages in process of foreclosure $ ..... unpaid taxes $ ..... interest due and unpaid.

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Year

1	Location		4	5	6	7	8	9
	2	3					Additional	
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Investment Made After Acquisition	Value of Land and Buildings
400201	LAYTON	UT		08/02/2018	5.000	3,799,498		10,750,000
400301	MEMPHIS	TN		01/29/2019	5.125	1,376,457	4,886,529	10,700,000
400401	AUSTIN	TX		05/28/2019	5.250	(147,716)	9,148	13,200,000
400402	AUSTIN	TX		05/28/2019	7.000	318,805	1,749,224	13,200,000
400501	OBETZ	OH		06/28/2019	5.000	(290,868)	2,937,547	24,200,000
400502	OBETZ	OH		06/28/2019	6.000	2,474,209	3,079,304	24,200,000
804901	HILLSBORO	OR		01/29/2019	5.250	4,726,250		8,650,000
805001	SOUTH JORDAN	UT		02/19/2019	5.500	6,591,875		11,360,000
805101	LOGAN	UT		03/19/2019	5.500	2,493,750		3,675,000
805201	SAN DIEGO	CA		03/26/2019	5.250	2,194,500		3,630,000
805301	ARLINGTON HEIGHTS	IL		04/11/2019	4.750	14,676,500		25,000,000
805401	PORTLAND	OR		04/25/2019	5.000	9,950,000		16,970,000
805501	EMPORIUM	PA		05/01/2019	5.500	2,352,000		3,660,000
805601	WINCHESTER	KY		05/07/2019	5.500	2,450,000		4,300,000
805701	WESTOVER	WV		05/07/2019	5.500	3,038,000		5,850,000
805801	IDAHO FALLS	ID		06/06/2019	5.000	8,457,500		13,600,000
805901	OLATHE	KS		06/11/2019	4.750	8,955,000		19,330,000
806001	HOUSTON	TX		10/08/2019	4.250	2,244,375		4,260,000
806101	NASHVILLE	TN		10/30/2019	3.750	11,825,625		17,050,000
806201	SANDSTON	VA		12/17/2019	3.750	1,990,000		8,450,000
806301	CHICAGO	IL		12/17/2019	4.000	3,441,375		7,000,000
806401	OXNARD	CA		12/18/2019	3.750	2,487,500		5,040,000
806501	OXNARD	CA		12/18/2019	3.500	1,990,000		4,010,000
806601	VALENCIA	CA		12/18/2019	3.500	2,985,000		7,500,000
400701	San Marcos	TX	S	09/27/2019	5.000	(505,063)		40,500,000
400601	Murray	UT		09/17/2019	5.000	(135,000)		18,600,000
0599999. Mortgages in good standing - Commercial mortgages-all other						95,940,073	16,461,250	324,685,000
0899999. Total Mortgages in good standing						95,940,073	16,461,250	324,685,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
3399999 - Totals						95,940,073	16,461,250	324,685,000

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3				Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value					
162301	INDEPENDENCE TOWNSHP	MI		01/30/2009	03/20/2019	2,405,287		548			548		2,384,302	2,405,836			0
162801	KATY	TX		11/20/2009	09/13/2019	865,271		702			702		827,398	865,973			0
166101	NORTH RICHLAND HILLS	TX		11/16/2017	10/28/2019	7,189,606		89,400			89,400		7,130,863	7,279,006			0
163601	PORT ORANGE	FL		12/10/2012	09/10/2019	1,806,309		6,957			6,957		1,775,481	1,813,266			0
802201	COLLEGE STATION	TX		06/28/2017	07/26/2019	1,882,503		4,221			4,221		1,864,612	1,886,724			0
802301	CYPRESS	TX		07/11/2017	12/30/2019	3,627,418		7,000			7,000		3,543,338	3,634,418			0
804101	HOPEWELL JUNCTION	NY		09/27/2018	12/20/2019	4,488,202		21,798			21,798		4,510,000	4,510,000			0
804501	CRANBERRY	PA		11/01/2018	08/26/2019	12,276,714		246,286			246,286		12,523,000	12,523,000			0
805701	WESTOVER	WV		05/07/2019	10/01/2019			62,000			62,000		3,100,000	3,100,000			0
0199999. Mortgages closed by repayment						34,541,309	0	438,912	0	0	438,912	0	37,658,994	38,018,221	0	0	0
160101	LONG BEACH	CA		05/26/2004		1,827,064					0		47,952	47,952			0
161701	FORT WORTH	TX		04/30/2008		2,038,473					0		58,372	58,372			0
163501	ALBANY	GA		11/29/2012		1,851,343					0		179,758	179,758			0
163801	THE WOODLANDS	TX		12/17/2013		2,328,980					0		106,901	106,901			0
163901	OMAHA	NE		06/26/2014		4,267,864					0		123,320	123,320			0
164201	HOUSTON	TX		11/06/2014		2,716,074					0		76,231	76,231			0
164301	SUWANEE	GA		12/16/2014		5,709,493					0		162,141	162,141			0
164401	SPRING	TX		12/17/2014		3,713,750					0		104,601	104,601			0
164501	NOVI	MI		02/02/2015		4,720,978					0		136,840	136,840			0
164601	WILMINGTON	NC		02/10/2015		3,020,624					0		212,030	212,030			0
164701	HOUSTON	TX		06/01/2015		2,740,348					0		81,745	81,745			0
164702	HOUSTON	TX		05/11/2017		479,076					0		12,230	12,230			0
164801	MATTHEWS	NC		10/01/2015		3,227,579					0		91,884	91,884			0
164901	VERNON HILLS	IL		12/16/2015		4,764,225					0		158,109	158,109			0
165001	PHOENIX	AZ		03/01/2016		4,085,385					0		160,710	160,710			0
165201	DENVER	CO		04/04/2016		4,506,422					0		119,418	119,418			0
165301	ANNAPOLIS	MD		05/26/2016		6,585,430					0		254,265	254,265			0
165401	BRIGHTON	NY		05/26/2016		5,723,419					0		135,977	135,977			0
165501	CORTLANDVILLE	NY		05/26/2016		4,933,982					0		117,222	117,222			0
165601	NORFOLK	VA		10/05/2016		5,176,035					0		141,114	141,114			0
165701	BUFORD	GA		12/08/2016		10,239,713					0		258,925	258,925			0
165801	COTTONWOOD HEIGHTS	UT		06/12/2017		12,084,678					0		113,319	113,319			0
165901	GLENDALE	AZ		08/29/2017		5,806,658					0		140,595	140,595			0
166001	MISSION VIEJO	CA		08/29/2017		1,844,773					0		43,093	43,093			0
800301	GREENVILLE	SC		10/30/2003		757,599					0		57,435	57,435			0
800401	MISSOURI CITY	TX		12/11/2014		1,720,112					0		70,337	70,337			0
800501	NORTHVILLE	MI		06/10/2015		2,815,715					0		51,818	51,818			0
800601	DAYTONA BEACH	FL		08/20/2015		2,195,625					0		95,172	95,172			0
800801	BEAUMONT	TX		09/17/2015		1,674,890					0		68,228	68,228			0
800901	VERNON HILLS	IL		01/12/2016		2,727,949					0		102,014	102,014			0
801001	PLAINFIELD	IN		04/19/2016		2,918,368					0		82,232	82,232			0
801101	HOUSTON	TX		04/25/2016		2,810,353					0		74,916	74,916			0
801301	CLEVELAND	OH		06/29/2016		2,950,708					0		77,438	77,438			0
801401	CLEVELAND	OH		10/27/2016		5,038,799					0		128,929	128,929			0
801601	DE PERE	WI		12/08/2016		4,860,875					0		126,972	126,972			0
801701	WILMINGTON	NC		12/19/2016		1,912,205					0		46,817	46,817			0
801801	CARMEL-BY-THE-SEA	CA		12/06/2016		5,659,780					0		122,959	122,959			0
801901	SAN FRANCISCO	CA		01/24/2017		2,483,760					0		64,503	64,503			0
802101	BOURBONNAIS	IL		06/07/2017		4,639,171					0		113,321	113,321			0
802401	SANTA CLARA	CA		09/07/2017		5,001,369					0		168,771	168,771			0
802601	ALBUQUERQUE	NM		09/15/2017		6,145,470					0		213,241	213,241			0
802901	ALBUQUERQUE	NM		09/15/2017		4,702,573					0		113,223	113,223			0
803001	ALBUQUERQUE	NM		09/15/2017		4,107,237					0		98,889	98,889			0
803101	ALBUQUERQUE	NM		09/15/2017		3,199,689					0		77,038	77,038			0
803201	SOMERSET	NJ		12/01/2017		6,271,488					0		155,655	155,655			0
803401	LAS VEGAS	NV		04/24/2018		2,699,291					0		53,995	53,995			0
803501	SAN DIEGO	CA		06/07/2018		1,975,754					0		31,604	31,604			0
803601	ARVADA	CO		06/26/2018		16,681,500					0		153,043	153,043			0
803701	DICKINSON	TX		07/16/2018		5,128,363					0		107,389	107,389			0
804301	PROVO	UT		10/16/2018		8,046,736					0		161,762	161,762			0

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3				Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal				
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value		Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
804601	CHICAGO	IL		11/13/2018		9,677,625					0		217,654	217,654			0
805101	LOGAN	UT		03/19/2019							0		46,654	46,654			0
805201	SAN DIEGO	CA		03/26/2019							0		17,949	17,949			0
805301	ARLINGTON HEIGHTS	IL		04/11/2019							0		183,951	183,951			0
806001	HOUSTON	TX		10/08/2019							0		3,100	3,100			0
0299999. Mortgages with partial repayments						223,195,371	0	0	0	0	0	0	6,123,759	6,123,759	0	0	0
0599999 - Totals						257,736,681	0	438,912	0	0	438,912	0	43,782,753	44,141,980	0	0	0

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1	2	3	Location		6	7	8	9	10	11	12	Change in Book/Adjusted Carrying Value					18	19	20
			4	5								13	14	15	16	17			
CUSIP Identi-fication	Name or Description	Code	City	State	Name of Vendor or General Partner	NAIC Designation and Admini-strative Symbol	Date Originally Acquired	Type and Strategy	Actual Cost	Fair Value	Book/ Adjusted Carrying Value Less Encum-brances	Unrealized Valuation Increase (Decrease)	Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	Current Year's Other-Than-Temporary Impair-ment Recog-nized	Capital-ized Deferred Interest and Other	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Invest-ment Income	Commit-ment for Additional Invest-ment	Percen-tage of Owner-ship
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP		West Conshohocken	PA	Morgan Stanley AIP		06/21/2018		749,883	775,923	775,923	42,260						4,250,117	1.689
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP		New York	NY	Centre Lane Partners		10/31/2018		3,969,693	3,900,452	3,900,451	(69,241)					49,506	818,497	6.757
	Equity Fund 7072 - Metropolitan Partners Fund V, LP		New York	NY	Metropolitan Partners Fund V, GP		12/12/2018		4,851,459	4,851,459	4,851,459	51,507					414,326		4.179
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP		Chicago	IL	Maranon Capital		01/18/2019		4,099,425	4,099,425	4,099,425						76,255	787,500	6.227
	Equity Fund 7078 - CVC Credit Partners US Direct Lending Fund II,		New York	NY	CVC Credit Partners US Direct Lending Fu		09/24/2018		16,364	32,185	32,185	15,821						133,636	4.651
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP		New York	NY	Metropolitan Partners Fund VI, GP		08/14/2019		2,000,000	2,001,549	2,001,549	1,549						8,000,000	6.569
	Equity Fund 7083 - First Eagle Direct Lending Fund I		New York	NY	First Eagle Direct Lending I GP, LLC		08/26/2019		4,415,111	4,464,221	4,464,221	49,110					52,198	584,889	4.617
	Equity Fund 7086 - Northstar Mezzanine Partners VII Feeder LP		Minneapolis	MN	Northstar Capital, LLC		10/30/2019		66,622	23,950	23,950	(42,672)						433,378	6.667
	Equity Fund 7088 - Comvest Credit Partners V, LP		West Palm Beach	FL	Comvest Capital		11/25/2019		3,000,000	2,921,432	2,921,432	(78,568)						12,000,000	6.452
	Equity Fund 7090 - PineBridge Private Credit Rated Feeder, LP		New York	NY	PineBridge Investments, LLC		12/06/2019		2,031,113	2,031,113	2,031,113							968,887	0.984
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP		Fort Lauderdale	FL	Deerpath Capital Management, LP		12/12/2019		838,323	838,323	838,323						(500,661)	14,161,677	11.976
2599999. Joint Venture Interests - Other - Unaffiliated									26,037,993	25,940,032	25,940,031	(30,234)	0	0	0	0	91,624	42,138,581	XXX
309601-AA-0	Farmers Insurance Exch 144A 8.625% 05/01/24		Los Angeles	CA	First Albany Corp	2FE	10/13/1994		1,846,000	2,395,886	1,945,904		9,718				172,500		
368770-AA-1	Metropolitan Tower Life Ins Co 144A 7.625% 01/15/24		St. Louis	MO	First Albany Corp	1FE	03/09/1995		2,534,700	3,550,518	2,847,425		29,998				228,750		
2799999. Surplus Debentures, etc - Unaffiliated									4,380,700	5,946,404	4,793,329	0	39,716	0	0	0	401,250	0	XXX
4899999. Total - Unaffiliated									30,418,693	31,886,436	30,733,360	(30,234)	39,716	0	0	0	492,874	42,138,581	XXX
4999999. Total - Affiliated									0	0	0	0	0	0	0	0	0	0	XXX
5099999 - Totals									30,418,693	31,886,436	30,733,360	(30,234)	39,716	0	0	0	492,874	42,138,581	XXX

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

1	2	Location		5	6	7	8	9	10	11
		3	4							
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Percentage of Ownership
	Equity Fund 7064 - Morgan Stanley AIP DCO Fund II, LP	West Conshohocken	PA	Morgan Stanley AIP	06/21/2018		0	374,883		1.689
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP	New York	NY	Centre Lane Partners	10/31/2018		0	4,310,810		6.757
	Equity Fund 7072 - Metropolitan Partners Fund V, LP	New York	NY	Metropolitan Partners Fund V, GP	12/12/2018		0	3,000,000		4.179
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Maranon Capital	01/18/2019		1,250,000	2,962,500		6.227
	Equity Fund 7081 - Metropolitan Partners Fund VI, LP	New York	NY	Metropolitan Partners Fund VI, GP	08/14/2019		2,000,000	0		6.569
	Equity Fund 7083 - First Eagle Direct Lending Fund I	New York	NY	First Eagle Direct Lending I GP, LLC	08/26/2019		4,025,186	389,925		4.617
	Equity Fund 7086 - Northstar Mezzanine Partners VII Feeder LP	Minneapolis	MN	Northstar Capital, LLC	10/30/2019		66,622	0		6.667
	Equity Fund 7088 - Comvest Credit Partners V, LP	West Palm Beach	FL	Comvest Capital	11/25/2019		3,000,000	0		6.452
	Equity Fund 7090 - PineBridge Private Credit Rated Feeder, LP	New York	NY	PineBridge Investments, LLC	12/06/2019		1,754,049	277,064		0.984
	Equity Fund 7093 - Deerpath Capital Advantage V (US), LP	Fort Lauderdale	FL	Deerpath Capital Management, LP	12/12/2019		838,323	0		11.976
2599999. Joint Venture Interests - Other - Unaffiliated							12,934,180	11,315,182	0	XXX
4899999. Total - Unaffiliated							12,934,180	11,315,182	0	XXX
4999999. Total - Affiliated							0	0	0	XXX
5099999 - Totals							12,934,180	11,315,182	0	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum-brances, Prior Year	Unrealized Valuation Increase (De-crease)	Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	Current Year's Other-Than-Temporary Impair-ment Recog-nized	Capital-ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum-brances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
	Equity Fund 7069 - Centre Lane Partners Master Credit Fund II, LP	New York	NY	Return of Capital	10/31/2018	10/11/2019	2,689,602					0		2,689,602	2,689,602			0	
	Equity Fund 7072 - Metropolitan Partners Fund V, LP	New York	NY	Return of Capital	12/12/2018	12/30/2019	200,048					0		200,048	200,048			0	
	Equity Fund 7075 - Maranon Senior Credit Strategies Fund V, LP	Chicago	IL	Return of Capital	01/18/2019	12/26/2019	113,075					0		113,075	113,075			0	
2599999. Joint Venture Interests - Other - Unaffiliated							3,002,725	0	0	0	0	0	0	3,002,725	3,002,725	0	0	0	0
4899999. Total - Unaffiliated							3,002,725	0	0	0	0	0	0	3,002,725	3,002,725	0	0	0	0
4999999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals							3,002,725	0	0	0	0	0	0	3,002,725	3,002,725	0	0	0	0

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
CUSIP Identification	Description	3 Code	4 Foreign	5 Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization) Accretion	14 Current Year's Other-Than-Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
912810-EM-6	United States Treasury Bd	SD			1	2,918,438	114.4750	3,434,250	3,000,000	2,984,012	0	5,335	0	0	7.250	7.478	FA	82,154	217,500	10/07/1992	08/15/2022
912828-L3-2	United States Treasury Bd	SD			1	199,500	99.8360	199,672	200,000	199,886	0	169	0	0	1.375	1.461	FA	929	2,750	09/12/2017	08/31/2020
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						3,117,938	XXX	3,633,922	3,200,000	3,183,898	0	5,504	0	0	XXX	XXX	XXX	83,083	220,250	XXX	XXX
0599999. Total - U.S. Government Bonds						3,117,938	XXX	3,633,922	3,200,000	3,183,898	0	5,504	0	0	XXX	XXX	XXX	83,083	220,250	XXX	XXX
651333-DR-4	Newfoundland Province Deb		A		1FE	1,000,000	117.6200	1,176,200	1,000,000	1,000,000	0	0	0	0	8.650	8.650	AO	16,579	86,500	10/08/1992	10/22/2022
669827-DP-9	Nova Scotia Province Deb		A		1FE	968,750	114.9570	1,149,570	1,000,000	993,289	0	2,236	0	0	8.250	8.545	JJ	34,605	82,500	03/23/1995	07/30/2022
803854-FA-1	Saskatchewan Province of Deb		A		1FE	1,750,000	115.7280	2,025,240	1,750,000	1,750,000	0	0	0	0	8.500	8.500	JJ	68,590	148,750	07/17/1992	07/15/2022
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						3,718,750	XXX	4,351,010	3,750,000	3,743,289	0	2,236	0	0	XXX	XXX	XXX	119,774	317,750	XXX	XXX
1099999. Total - All Other Government Bonds						3,718,750	XXX	4,351,010	3,750,000	3,743,289	0	2,236	0	0	XXX	XXX	XXX	119,774	317,750	XXX	XXX
010411-CK-0	Alabama ST GO			2	1FE	4,071,216	124.7230	4,234,346	3,395,000	4,025,452	0	(45,764)	0	0	5.000	2.630	MN	28,292	149,946	04/05/2019	11/01/2038
20772G-5P-9	Connecticut St Ser A GO			2	1FE	2,051,100	100.7940	2,015,880	2,000,000	2,001,593	0	(6,226)	0	0	5.027	4.701	AO	25,135	100,540	05/11/2010	04/01/2024
20772G-E7-9	Connecticut St Ser A GO				1FE	210,158	116.0710	232,142	200,000	204,422	0	(721)	0	0	5.770	5.279	MS	3,398	11,540	01/07/2010	03/15/2025
546417-CL-8	Louisiana St GO			2	1FE	11,971,700	124.6570	12,465,700	10,000,000	11,843,111	0	(128,589)	0	0	5.000	2.715	MS	166,667	254,167	04/11/2019	03/01/2038
70914P-MX-7	Pennsylvania ST Ser B GO			2	1FE	992,560	100.9490	1,009,490	1,000,000	997,629	0	643	0	0	4.600	4.678	MN	7,667	46,000	05/26/2010	05/01/2023
70914P-MY-5	Pennsylvania ST Ser B GO			2	1FE	1,991,840	100.9820	2,019,640	2,000,000	1,996,847	0	641	0	0	4.700	4.741	MN	15,667	94,000	05/19/2010	05/01/2024
882722-K9-1	Texas St Wtr Finl Assist Ser A GO			2	1FE	500,000	103.3410	516,705	500,000	500,000	0	0	0	0	4.154	4.154	AO	5,193	20,770	07/26/2011	10/01/2024
93974C-RC-6	Washington St GO				1FE	3,000,000	111.2000	3,336,000	3,000,000	3,000,000	0	0	0	0	4.669	4.669	FA	58,360	140,070	06/02/2010	08/01/2024
93974D-MW-5	Washington ST GO			2	1FE	5,720,750	115.6730	5,783,650	5,000,000	5,633,847	0	(86,903)	0	0	5.000	2.340	FA	104,167	125,000	03/29/2019	02/01/2036
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						30,509,324	XXX	31,613,553	27,095,000	30,202,901	0	(266,919)	0	0	XXX	XXX	XXX	414,546	942,033	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						30,509,324	XXX	31,613,553	27,095,000	30,202,901	0	(266,919)	0	0	XXX	XXX	XXX	414,546	942,033	XXX	XXX
015302-H9-6	Alexandria VA Build America GO			2	1FE	3,000,000	101.4090	3,042,270	3,000,000	3,000,000	0	0	0	0	4.500	4.500	JJ	67,500	135,000	06/29/2010	07/01/2023
033161-ZL-7	Anchorage AK Build America Bds GO			2	1FE	1,357,829	100.8760	1,346,695	1,335,000	1,335,713	0	(2,784)	0	0	5.168	4.949	AO	17,248	68,993	03/19/2010	04/01/2024
09088R-VA-1	Birmingham AL Recovery Zone GO				1FE	1,295,000	100.2190	1,297,836	1,295,000	1,295,000	0	0	0	0	4.600	4.600	FA	24,821	59,570	11/18/2010	02/01/2020
109570-FW-6	Brillion WI Pub Sch Dist GO				1FE	204,434	100.6010	201,202	200,000	200,141	0	(554)	0	0	4.600	4.311	AO	2,300	9,200	10/19/2010	04/01/2020
150528-RU-3	Cedar Rapids IA GO			2	1FE	1,345,000	101.3600	1,363,292	1,345,000	1,345,000	0	0	0	0	3.200	3.200	JD	3,587	43,040	05/15/2013	06/01/2026
180848-HU-0	Clark Cnty NV GO			2	1FE	500,000	101.8490	509,245	500,000	500,000	0	0	0	0	5.600	5.600	JJ	14,000	28,000	04/07/2011	07/01/2022
220147-4G-4	Corpus Christi TX ISD GO			2	1FE	2,440,980	113.6160	2,556,360	2,250,000	2,426,119	0	(14,861)	0	0	4.000	2.850	FA	34,000	45,000	04/05/2019	08/15/2037
235308-QL-0	Dallas TX ISD GO				1FE	3,037,500	103.1180	3,093,540	3,000,000	3,005,046	0	(4,295)	0	0	4.600	4.445	FA	52,133	138,000	11/16/2010	02/15/2021
249218-AW-8	Denver CO Public Schs Cops GO				1FE	1,004,340	102.9290	1,029,290	1,000,000	1,001,790	0	(421)	0	0	2.948	2.900	JD	1,310	29,480	04/25/2013	12/15/2023
25476F-XQ-6	District of Columbia GO			2	1FE	3,832,322	125.1230	3,941,375	3,150,000	3,787,785	0	(44,537)	0	0	5.000	2.539	AO	33,250	102,375	04/02/2019	10/15/2037
25476F-XR-4	District of Columbia GO			2	1FE	1,722,190	124.7470	1,771,407	1,420,000	1,702,300	0	(19,891)	0	0	5.000	2.579	AO	14,989	46,150	03/28/2019	10/15/2038
30382A-HL-9	County of Fairfax VA GO			2	1FE	6,136,550	127.2730	6,363,650	5,000,000	6,063,659	0	(72,891)	0	0	5.000	2.419	AO	62,500	159,028	04/10/2019	10/01/2037
349545-K4-4	Fort Zumwalt MO Sch Dist GO			2	1FE	300,000	100.4120	301,236	300,000	300,000	0	0	0	0	4.450	4.450	MS	4,450	13,350	11/16/2010	03/01/2022
387460-JE-9	Granite Sch Dist UT GO			2	1FE	3,750,000	101.0990	3,791,213	3,750,000	3,750,000	0	0	0	0	4.569	4.569	JD	14,278	171,338	05/05/2010	06/01/2022
392641-UN-4	Green Bay WI Ser B GO			2	1FE	203,568	100.6930	201,386	200,000	200,114	0	(445)	0	0	5.000	4.767	AO	2,500	10,000	05/10/2010	04/01/2023
412486-4Z-2	Harford Cnty Md GO			2	1FE	3,064,890	101.5120	3,045,360	3,000,000	3,004,027	0	(7,771)	0	0	5.125	4.850	JJ	76,875	153,750	06/02/2010	07/01/2024
486063-MC-1	Katy TX ISD GO			2	1FE	1,015,000	100.3230	1,018,278	1,015,000	1,015,000	0	0	0	0	4.538	4.538	FA	17,401	46,061	12/03/2010	02/15/2022
49474E-4B-6	King Cnty WA Ser D GO				1FE	998,500	102.1750	1,021,750	1,000,000	999,834	0	174	0	0	4.330	4.349	JD	3,608	43,300	11/17/2010	12/01/2020
498530-5N-0	Klein TX ISD Ser B GO			2	1FE	880,000	101.8250	896,060	880,000	880,000	0	0	0	0	4.982	4.982	FA	18,267	43,842	04/16/2010	08/01/2024
534366-AP-4	Lincoln West Haymarket NE GO				1FE	1,840,000	102.1140	1,878,898	1,840,000	1,840,000	0	0	0	0	4.000	4.000	JD	3,271	73,600	11/18/2010	12/15/2020
567643-QJ-3	Marietta GA Ser B GO			2	1FE	2,000,000	105.9130	2,118,260	2,000,000	2,000,000	0	0	0	0	4.400	4.400	JJ	44,000	88,000	12/20/2013	01/01/2029
596782-QP-0	Middleton WI Build America GO			2	1FE	196,060	102.3330	204,666	200,000	198,412	0	291	0	0	5.300	5.495	MS	3,533	10,600	04/01/2010	09/01/2024
602366-LS-3	Milwaukee WI Taxable Ser T3 GO			2	1FE	999,900	100.2410	1,002,410	1,000,000	999,978	0	6	0	0	4.900	4.901	FA	20,417	49,000	03/26/2010	02/01/2023
602366-NV-4	Milwaukee WI Taxable Ser T3 GO			2	1FE	492,645	103.0030	515,015	500,000	497,034	0	603	0	0	4.250	4.401	MN	2,715	21,250	05/26/2011	05/15/2024
613579-W4-9	Montgomery Cnty PA Ser C GO				1FE	30,258	101.5960	30,479	30,000	30,033	0	(43)	0	0	4.000	3.849	AO	300	1,200	04/09/2014	10/01/2020
613579-W5-6	Montgomery Cnty PA Ser C GO			2	1FE	20,295	102.8240	20,565	20,000	20,077	0	(42)	0	0	4.200	3.970	AO	210	840	04/09/2014	10/01/2021
613579-Y3-9	Montgomery Cnty PA Ser C GO				1FE	1,119,742	101.5810	1,137,707	1,120,000	1,119,968	0	41	0	0	4.000	4.004	AO	11,200	44,800	04/09/2014	10/01/2020
613579-Y4-7	Montgomery Cnty PA Ser C GO			2	1FE	979,705	102.9370	1,008,783	980,000	979,925	0	41	0	0	4.200	4.205	AO	10,290	41,160	04/09/2014	10/01/2021
64966H-TT-7	New York NY Taxable Subser A1 GO	SD			1FE	195,362	108.6180	217,236	200,000	198,654	0	415	0	0	5.199	5.452	JD	867	10,398	01/06/2010	12/01/2022
659868-QH-9	N Hills PA Sch Dist Ser B GO			2	1FE	217,884	102.5510	205,102	200,000	201,665	0	(2,040)	0	0	5.084	4.000	AO	2,147	10,168	08/31/2010	10/15/2021
674667-QH-6	Ocean City MD GO				1FE	306,018	101.9380	305,814	300,000	300,605	0	(700)	0	0	4.450	4.201	MN	2,225	13,350	11/19/2010	11/01/2020
681712-YV-1	Omaha NE GO			2	1FE	305,646	102.2490	306,747	300,000	300,614	0	(646)	0	0	4.250	4.020	JD	1,063	12,750	11/12/2010	12/01/2022
686053-HE-2	Oregon Sch Brds Assn GO				1FE	503,010	103.3670	516,835	500,000	500,533	0	(338)	0	0	4.115	4.041	JD	57	20,575	07/28/2011	06/30/2021
700246-FQ-9	Park City UT Ser B GO			2	1FE	474,123	101.0050	469,673	465,000	465,379	0	(1,104)	0	0	5.100	4.850	MN	3,953	23,715	04/28/2010	05/01/2024

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
704879-3U-7	Pearland TX ISD GO			2	1FE	4,015,000	102.2640	4,105,900	4,015,000	4,015,000	0	0	0	0	2.950	2.950	FA	44,745	118,443	04/24/2013	02/15/2026
717095-7E-7	Pflugerville TX ISD GO			2	1FE	5,182,205	118.1710	5,282,244	4,470,000	5,114,022	0	(68,183)	0	0	5.000	2.451	FA	84,433	72,638	03/28/2019	02/15/2038
717146-RY-2	Pharr San Juan Alamo TX ISD GO				1FE	1,956,728	103.7760	2,023,632	1,950,000	1,950,909	0	(794)	0	0	5.497	5.452	FA	44,663	107,192	02/08/2011	02/01/2021
750021-5T-0	Racine WI GO			2	1FE	200,000	102.2120	204,424	200,000	200,000	0	0	0	0	4.300	4.300	JD	717	8,600	11/04/2010	12/01/2022
770077-S8-9	Roanoke VA GO			2	1FE	200,000	101.4640	202,928	200,000	200,000	0	0	0	0	4.600	4.600	JJ	4,242	9,200	07/30/2010	07/15/2022
798764-U8-7	San Marcos TX GO			2	1FE	1,191,589	102.0630	1,209,447	1,185,000	1,185,545	0	(844)	0	0	5.050	4.974	FA	22,607	59,843	03/23/2010	08/15/2023
798764-U9-5	San Marcos TX GO			2	1FE	423,322	102.1240	428,920	420,000	420,269	0	(416)	0	0	5.150	5.044	FA	8,171	21,630	03/23/2010	08/15/2024
815323-HX-1	Sedgwick Cnty KS GO				1FE	300,000	101.2800	303,840	300,000	300,000	0	0	0	0	4.100	4.100	FA	5,125	12,300	11/18/2010	08/01/2020
89453P-J3-4	Travis Cnty TX GO			2	1FE	6,026,550	124.8010	6,240,050	5,000,000	5,960,079	0	(66,471)	0	0	5.000	2.628	MS	182,639	0	04/03/2019	03/01/2039
968717-QS-0	Will Cnty IL Cmnty Cons Sch Di GO			2	1FE	200,000	101.9350	203,870	200,000	200,000	0	0	0	0	4.500	4.500	AO	2,250	9,000	10/21/2010	10/01/2021
982671-VW-6	Wyandotte Cnty Kansas City KS GO			2	1FE	1,893,226	101.7140	1,937,650	1,905,000	1,900,698	0	819	0	0	4.900	4.956	FA	38,894	93,341	03/24/2010	08/01/2024
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						67,357,371	XXX	68,872,540	63,140,000	66,910,927	0	(307,681)	0	0	XXX	XXX	XXX	1,009,751	2,279,070	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						67,357,371	XXX	68,872,540	63,140,000	66,910,927	0	(307,681)	0	0	XXX	XXX	XXX	1,009,751	2,279,070	XXX	XXX
052476-WX-2	Austin TX Wtr & Wastewater Sys Rev				1FE	1,250,000	102.0940	1,276,175	1,250,000	1,250,000	0	0	0	0	4.249	4.249	MN	6,787	53,113	11/18/2010	11/15/2020
150462-HJ-1	Cedar Park TX Utility Sys Rev			2	1FE	965,000	101.3050	977,593	965,000	965,000	0	0	0	0	2.895	2.895	FA	10,554	27,937	04/10/2013	08/15/2025
155839-DM-2	Central WA Univ Sys Rev			2	1FE	200,000	100.9480	201,896	200,000	200,000	0	0	0	0	5.000	5.000	MN	1,667	10,000	11/05/2010	05/01/2022
16639E-AR-5	Chesterfield Cnty VA Economic Rev			2	1FE	200,000	100.1550	200,310	200,000	200,000	0	0	0	0	4.083	4.083	JJ	4,083	8,166	09/27/2010	01/01/2022
19923P-AZ-1	Columbus IN Multi-High Sch Bld Rev			2	1FE	1,552,800	100.1270	1,501,905	1,500,000	1,500,264	0	(6,687)	0	0	5.705	5.241	JJ	39,460	85,575	03/23/2010	07/15/2024
270618-DK-4	East Baton Rouge LA SWR COMMN Rev				1FE	1,000,000	103.5670	1,035,670	1,000,000	1,000,000	0	0	0	0	3.003	3.003	FA	12,513	30,030	04/25/2013	02/01/2024
276318-AM-4	Eastern CT Res Recovery Auth Rev			4	1FE	413,600	100.0010	440,004	440,000	440,000	0	2,366	0	0	7.500	8.071	JJ	16,500	33,000	04/25/1996	01/01/2020
29270C-YN-9	Energy Northwest WA Elec Rev				1FE	1,020,900	100.4150	1,004,150	1,000,000	1,001,461	0	(2,871)	0	0	2.653	2.357	JJ	13,265	26,530	09/18/2012	07/01/2020
373541-2H-3	Georgia ST Muni Elec Auth Rev				1FE	2,022,400	104.1270	2,082,540	2,000,000	2,005,291	0	(2,482)	0	0	4.430	4.291	JJ	44,300	88,600	01/18/2012	01/01/2022
45506D-W9-2	Indiana ST Fin Auith Rev			2	1FE	3,095,751	125.3660	3,196,833	2,550,000	3,060,561	0	(35,190)	0	0	5.000	2.520	FA	53,125	66,229	04/11/2019	02/01/2037
45506D-X2-6	Indiana ST Fin Auith Rev			2	1FE	3,677,062	124.7620	3,792,765	3,040,000	3,635,044	0	(42,019)	0	0	5.000	2.570	FA	63,333	78,956	04/04/2019	02/01/2038
485429-CX-0	Kansas ST Dev Fin Auth Rev			2	1FE	750,000	100.4450	753,338	750,000	750,000	0	0	0	0	4.520	4.520	MS	11,300	33,900	12/02/2010	03/01/2022
485429-VP-6	Kansas ST Dev Fin Auth Rev				1FE	445,000	105.4380	469,199	445,000	445,000	0	0	0	0	3.800	3.800	JD	1,409	16,910	08/02/2013	06/01/2023
48542K-X9-5	Kansas St Dev Fin Auth Rev			2	1FE	1,717,632	102.9920	1,730,266	1,680,000	1,683,814	0	(4,366)	0	0	5.720	5.437	MN	16,016	96,096	03/09/2010	11/01/2026
491501-CV-2	Kentucky ST Mun Pwr Agy Rev			2	1FE	1,007,600	102.5670	1,025,670	1,000,000	1,000,627	0	(900)	0	0	5.760	5.663	MS	19,200	57,600	05/11/2010	09/01/2024
56045R-AK-5	Maine Build America Bonds Rev				1FE	2,000,000	101.7820	2,035,640	2,000,000	2,000,000	0	0	0	0	4.824	4.824	MS	32,160	96,480	11/18/2010	09/01/2020
57420P-GR-1	Maryland St Economic Dev Corp Rev			2	1FE	753,083	101.9080	764,310	750,000	750,870	0	(342)	0	0	3.050	3.000	JD	1,906	22,875	11/06/2012	06/01/2025
59334P-HM-2	Miami Dade Cnty FI Transit Rev			2	1FE	5,440,550	113.6750	5,683,750	5,000,000	5,414,616	0	(25,934)	0	0	4.000	2.991	JJ	100,000	37,778	04/01/2019	07/01/2039
594012-AL-0	Miamisburg OH Kettering Med Cn Rev				1FE	160,000	102.9210	164,674	160,000	160,000	0	0	0	0	3.628	3.628	JD	484	5,805	08/29/2012	12/01/2022
604115-AX-3	Minnesota Pub Facs Auth St Rev				1FE	2,227,770	110.3040	2,459,779	2,230,000	2,229,181	0	174	0	0	4.625	4.635	MS	34,379	103,138	05/06/2010	03/01/2024
60534R-YW-3	Mississippi Dev Bk Spl Oblig Rev			2	2FE	171,275	104.1540	177,062	170,000	170,000	0	(30)	0	0	4.370	4.264	MS	2,476	7,429	09/01/2010	03/01/2024
613357-AN-2	Montgomery Cnty MD Rev			2	1FE	480,000	106.8070	512,674	480,000	480,000	0	0	0	0	4.140	4.140	MN	3,312	19,872	11/19/2013	11/01/2026
61336P-DL-2	Montgomery Cnty MD Rev			2	1FE	500,000	103.4910	517,455	500,000	500,000	0	0	0	0	4.400	4.400	MN	3,667	22,000	08/11/2011	05/01/2024
64469N-AC-3	New Hampshire St Fed Hwy Rev				1FE	200,000	105.3760	210,752	200,000	200,000	0	0	0	0	4.334	4.334	MS	2,889	8,668	11/05/2010	09/01/2022
64469N-AD-1	New Hampshire St Fed Hwy Rev				1FE	1,973,800	105.3760	2,107,520	2,000,000	1,992,782	0	2,496	0	0	4.334	4.479	MS	28,893	86,680	12/02/2010	09/01/2022
647421-CX-2	New Mexico St Univ Regts Impt Rev			2	1	686,470	100.7560	685,141	680,000	680,693	0	(2,708)	0	0	5.015	4.598	AO	8,526	34,102	10/20/2017	04/01/2021
647421-DD-5	New Mexico St Univ Regts Impt Rev			2	1FE	923,707	100.7810	922,146	915,000	915,932	0	(3,644)	0	0	5.015	4.598	AO	11,472	45,887	10/20/2017	04/01/2021
649710-WK-5	New York City NY TransFin Auth Rev				1FE	1,995,580	101.4810	2,029,620	2,000,000	1,998,733	0	468	0	0	2.560	2.586	FA	21,333	51,200	08/08/2012	08/01/2022
64972H-QY-1	New York NY City Transitional Rev				1FE	1,000,000	105.0580	1,050,580	1,000,000	1,000,000	0	0	0	0	5.404	5.404	JJ	24,918	54,040	11/19/2010	07/15/2021
68607V-Z2-4	Oregon ST Dept of Admin Svcs Rev			2	1FE	2,330,405	124.9780	2,405,827	1,925,000	2,304,366	0	(26,039)	0	0	5.000	2.590	AO	24,063	45,451	04/03/2019	04/01/2039
74443D-DU-2	Public Fin Auth WI Eductnl Fac Rev			2	2FE	750,000	107.8470	808,853	750,000	750,000	0	0	0	0	5.130	5.130	JD	3,206	38,475	07/26/2018	06/01/2028
74443D-DV-0	Public Fin Auth WI Eductnl Fac Rev			2	2FE	1,500,000	108.5650	1,628,475	1,500,000	1,500,000	0	0	0	0	5.660	5.660	JD	7,075	84,900	07/26/2018	06/01/2038
746189-RF-8	Purdue University IN Rev				1FE	500,000	101.0730	505,365	500,000	500,000	0	0	0	0	4.198	4.198	JJ	10,495	20,990	12/02/2010	07/01/2020
79569T-AB-9	Salt Lake Cnty UT Rev				1FE	2,644,560	100.9980	2,772,395	2,745,000	2,737,414	0	11,831	0	0	3.458	3.913	FA	35,859	94,922	12/03/2010	08/15/2020
800618-AC-4	Sanford NC Ser B Rev			2	1FE	1,510,000	101.4710	1,532,212	1,510,000	1,510,000	0	0	0	0	5.320	5.320	JD	6,694	80,332	12/09/2010	06/01/2021
88213A-CT-0	Texas St A&M Univ Sys Brd Rgts REV			2	1FE	1,008,040	107.4000	1,074,000	1,000,000	1,004,749	0	(780)	0	0	4.000	3.901	MN	5,111	40,000	07/01/2015	05/15/2031
914119-SE-3	University Cincinnati OH GenRc Rev			2	1FE	100,000	100.9230	100,923	100,000	100,000	0	0	0	0	4.716	4.716	JD	393	4,716	10/27/2010	06/01/2020
91428L-FL-5	University Hawaii Rev				1FE	300,981	101.7440	305,230	300,000	300,089	0	(115)	0	0	4.161	4.120	AO	3,121	12,482	10/29/2010	10/01/2020
914378-CV-4	University KY Gen Rcpts Rev			2	1FE	200,000	101.8930	203,786	200,000	200,000	0	0	0	0	4.700	4.700	AO	2,350	9,400	10/29/2010	10/01/2024
91802R-CH-0	Utility Debt Securitization Au Rev			2	1FE	2,868,220	120.8600	2,948,984	2,440,000	2,828,342	0	(39,877)	0	0	5.000	2.330	JD	5,422	122,000	04/09/2019	12/15/2035
928172-4R-3	Virginia St Pub Bldg Auth Rev			2	1FE	4,477,902	114.0130	4,691,635	4,115,000	4,455,252	0	(22,650)	0	0	4.000	3.001	FA	68,584	54,409	04/02/2019	08/01/2037
977123-W8-7	Wisconsin St Transportation Rev			2	1FE	6,045,950	125.0540	6,252,700	5,000,000	5,970,529	0	(75,419)	0	0	5.000	2.456	JJ	125,000	60,417	04/03/2019	07/01/2036
977123-W9-5	Wisconsin St Transportation Rev			2	1FE	6,053,100	124.6370	6,231,850	5,000,000	5,976,850	0	(76,250)	0	0	5.000	2.441	JJ	125,000	60,417	03/28/2019	07/01/2037

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						68,119,138	XXX	70,471,652	63,190,000	67,767,460	0	(350,968)	0	0	XXX	XXX	XXX	1,012,300	2,037,507	XXX	XXX
31292G-6L-5	FHLMC C00875			4	1	10,276	114.4070	11,729	10,252	10,245	0	(2)	0	0	7.500	7.565	MON	64	769	11/23/1999	10/01/2029
31293X-W6-1	FHLMC C32469			4	1	12,601	103.0930	12,962	12,573	12,565	0	(2)	0	0	7.500	7.565	MON	79	943	11/23/1999	11/01/2029
31371J-6Z-2	FNMA 253888 (15)			4	1	10,803	114.6830	12,752	11,119	10,944	0	10	0	0	6.000	6.310	MON	56	667	06/20/2001	07/01/2031
3137A3-AF-6	FHR 3754 MB (15)			4	1	889,670	101.7430	940,747	924,633	894,745	0	667	0	0	4.000	4.659	MON	3,082	36,985	04/07/2011	01/15/2039
3137A4-YR-2	FHR 3799 KB (15)			4	1	898,711	105.1490	1,051,493	1,000,000	946,840	0	5,819	0	0	3.500	5.893	MON	2,917	35,000	03/08/2011	01/15/2026
3137AJ-SN-5	FHR 3976 KB (15)			4	1	1,430,833	105.0160	1,400,214	1,333,333	1,385,272	0	(6,318)	0	0	3.500	2.150	MON	3,889	46,667	08/17/2012	12/15/2026
31387V-U8-0	FNMA 595607			4	1	29,823	111.0180	33,522	30,195	29,946	0	9	0	0	6.500	6.668	MON	164	1,963	07/06/2001	07/01/2031
31392F-XU-6	FNR 2002 88 AL (25)			4	1	272,440	103.1120	279,145	270,719	270,470	0	(191)	0	0	5.500	5.344	MON	1,241	14,890	10/15/2002	12/25/2022
31393N-QT-9	FHR 2599 VB (15)			4	1	40,570	103.7020	41,699	40,210	40,260	0	(35)	0	0	5.500	5.306	MON	184	2,212	04/28/2003	02/15/2023
31393V-F9-7	FHR 2629 DC			4	1	646,682	103.7630	662,734	638,698	639,907	0	(589)	0	0	5.000	4.796	MON	2,661	31,935	06/27/2003	06/15/2023
38377F-MF-5	GNMA 2010 56 MD (20)			4	1	251,422	101.0180	242,680	240,236	249,491	0	(180)	0	0	5.000	4.073	MON	1,001	12,012	05/10/2010	03/20/2039
38378D-AH-8	GNR 2012-17 KX (20)			4	1	1,695,716	101.1390	1,590,296	1,572,384	1,680,920	0	(2,390)	0	0	3.500	2.131	MON	1,680	55,032	07/20/2012	07/20/2039
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						6,189,547	XXX	6,279,973	6,084,352	6,171,605	0	(3,202)	0	0	XXX	XXX	XXX	17,018	239,075	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						74,308,685	XXX	76,751,625	69,274,352	73,939,065	0	(354,170)	0	0	XXX	XXX	XXX	1,029,318	2,276,582	XXX	XXX
001055-AJ-1	AFLAC Inc Bd				1FE	3,003,420	104.3560	3,130,680	3,000,000	3,000,848	0	(376)	0	0	4.000	3.986	FA	45,333	120,000	02/08/2012	02/15/2022
001055-AM-4	AFLAC Inc Bd				1FE	2,009,680	106.8930	2,137,860	2,000,000	2,005,155	0	(953)	0	0	3.625	3.567	MN	9,264	72,500	11/10/2014	11/15/2024
001192-AM-5	Southern Co Gas Capital Bd			2	2FE	3,431,855	104.1010	3,643,535	3,500,000	3,449,422	0	6,870	0	0	3.250	3.502	JD	5,056	113,750	05/15/2017	06/15/2026
001814-AR-3	ANR Pipeline Co Deb				2FE	1,014,280	112.5460	1,125,460	1,000,000	1,002,384	0	(1,141)	0	0	9.625	9.480	MN	16,042	96,250	04/22/1992	11/01/2021
00206R-AX-0	A T & T Inc Bd				2FE	500,950	103.2500	516,250	500,000	500,158	0	(109)	0	0	4.450	4.426	MN	2,843	22,250	04/27/2011	05/15/2021
00206R-BN-1	A T & T Inc Bd			2	2FE	2,905,470	101.5470	3,046,410	3,000,000	2,968,732	0	10,112	0	0	2.625	3.001	JD	6,563	78,750	03/07/2013	12/01/2022
00206R-HL-9	A T & T Inc Sr Nt			2	2FE	516,920	103.9550	519,775	500,000	513,318	0	(3,602)	0	0	4.000	2.649	JJ	9,222	10,000	05/31/2019	01/15/2022
00287Y-AL-3	Abbvie Inc Bd				2FE	1,438,516	102.0660	1,510,577	1,480,000	1,464,241	0	5,198	0	0	2.900	3.295	MN	6,557	42,920	09/10/2014	11/06/2022
00287Y-AQ-2	Abbvie Inc Bd			1	2FE	3,480,711	105.5440	3,715,149	3,520,000	3,491,184	0	4,757	0	0	3.600	3.770	MN	16,544	126,720	02/20/2018	05/14/2025
00287Y-AY-5	Abbvie Inc Bd			1	2FE	970,870	103.5180	1,035,180	1,000,000	978,472	0	2,960	0	0	3.200	3.581	MN	4,178	32,000	05/05/2017	05/14/2026
00724F-AC-5	Adobe Inc Bd			2	1FE	5,020,900	105.6110	5,280,550	5,000,000	5,011,256	0	(2,091)	0	0	3.250	3.200	FA	67,708	162,500	01/27/2015	02/01/2025
008117-AN-3	Aetna Inc Sr Nt			2	2FE	496,830	102.4260	512,130	500,000	499,469	0	356	0	0	4.125	4.203	JD	1,719	20,625	05/25/2011	06/01/2021
00817Y-AQ-1	Aetna Inc Bd			2	2FE	3,053,720	104.6640	3,139,920	3,000,000	3,028,754	0	(5,692)	0	0	3.500	3.274	MN	13,417	105,000	11/06/2015	11/15/2024
008252-AM-0	Affiliated Managers Group Bd				1FE	2,034,350	107.0880	2,141,760	2,000,000	2,016,558	0	(3,640)	0	0	4.250	4.029	FA	32,111	85,000	09/10/2014	02/15/2024
008252-AN-8	Affiliated Managers Group Bd			1	1FE	1,467,255	104.5080	1,567,620	1,500,000	1,478,305	0	3,426	0	0	3.500	3.790	FA	21,875	52,500	04/19/2018	08/01/2025
00912X-AY-0	Air Lease Corp Bd			2	2FE	3,310,473	103.5610	3,567,676	3,445,000	3,318,845	0	8,372	0	0	3.625	4.173	JD	10,407	124,881	05/14/2019	12/01/2027
009158-AT-3	Air Products & Chemicals Inc Bd				1FE	742,672	102.0810	816,648	800,000	778,312	0	6,514	0	0	2.750	3.687	FA	9,044	22,000	01/06/2014	02/03/2023
009158-AV-8	Air Products & Chemicals Inc Bd			2	1FE	4,014,890	105.2620	4,210,480	4,000,000	4,007,578	0	(1,574)	0	0	3.350	3.303	JJ	56,206	134,000	06/22/2015	07/31/2024
017175-AB-6	Alleghany Corp Nt				2FE	3,074,194	102.4500	3,073,500	3,000,000	3,006,638	0	(9,019)	0	0	5.625	5.301	MS	49,688	168,750	04/05/2011	09/15/2020
017175-AC-4	Alleghany Corp Sr Nt				2FE	265,488	106.4280	266,070	250,000	254,523	0	(1,690)	0	0	4.950	4.177	JD	138	12,375	08/09/2012	06/27/2022
018490-AQ-5	Allergan Inc Sr Nt			2	2FE	2,991,250	100.7870	3,023,610	3,000,000	2,996,783	0	920	0	0	2.800	2.834	MS	24,733	84,000	05/29/2013	03/15/2023
021441-AF-7	Altera Corp Bd				1FE	5,068,993	107.7760	5,388,800	5,000,000	5,033,423	0	(8,038)	0	0	4.100	3.910	MN	26,194	205,000	01/22/2015	11/15/2023
02209S-BD-4	Altria Group Inc Bd			1	2FE	3,166,080	111.4110	3,342,330	3,000,000	3,158,137	0	(7,943)	0	0	4.800	4.086	FA	54,800	72,000	06/10/2019	02/14/2029
023135-AJ-5	Amazon com Inc Bd			2	1FE	4,803,750	101.9930	5,099,650	5,000,000	4,931,663	0	22,118	0	0	2.500	2.993	MN	11,111	125,000	11/12/2014	11/29/2022
026874-DD-6	American International Group Bd			2	2FE	3,427,420	107.0070	3,745,245	3,500,000	3,453,138	0	7,195	0	0	3.750	4.017	JJ	62,344	131,250	02/09/2016	07/10/2025
026874-DH-7	American International Group Bd			2	2FE	1,501,200	107.1650	1,607,475	1,500,000	1,500,939	0	(126)	0	0	3.900	3.889	AO	14,625	58,500	03/28/2016	04/01/2026
03040W-AK-1	American Water Cap Corp Bd				2FE	4,980,050	106.0560	5,302,800	5,000,000	4,990,851	0	1,986	0	0	3.850	3.898	MS	64,167	192,500	11/08/2013	03/01/2024
03073E-AJ-4	AmerisourceBergen Corp Nt				1FE	1,997,160	102.3970	2,047,940	2,000,000	1,999,391	0	309	0	0	3.500	3.517	MN	8,944	70,000	11/08/2011	11/15/2021
03073E-AL-9	AmerisourceBergen Corp Bd				2FE	995,320	104.0210	1,040,210	1,000,000	997,746	0	470	0	0	3.400	3.456	MN	4,344	34,000	06/09/2014	05/15/2024
03073E-AM-7	AmerisourceBergen Corp Bd			2	2FE	2,991,760	103.9860	3,119,580	3,000,000	2,995,422	0	801	0	0	3.250	3.282	MS	32,500	97,500	05/06/2015	03/01/2025
03073E-AP-0	AmerisourceBergen Corp Bd			1	2FE	3,990,710	104.4800	4,179,200	4,000,000	3,992,414	0	815	0	0	3.450	3.477	JD	6,133	138,000	12/07/2017	12/15/2027
03076C-AE-6	Ameriprise Financial Inc Bd				1FE	535,410	100.6450	503,225	500,000	501,031	0	(4,922)	0	0	5.300	4.275	MS	7,803	26,500	11/29/2011	03/15/2020
03076C-AF-3	Ameriprise Financial Inc Bd				1FE	3,664,500	107.1730	3,751,055	3,500,000	3,574,046	0	(18,022)	0	0	4.000	3.400	AO	29,556	140,000	11/12/2014	10/15/2023
03076C-AG-1	Ameriprise Financial Inc Bd				1FE	2,534,815	106.7950	2,669,875	2,500,000	2,518,658	0	(3,518)	0	0	3.700	3.529	AO	19,528	92,500	09/15/2015	10/15/2024
03076C-AH-9	Ameriprise Financial Inc Bd			2	1FE	1,508,365	102.1940	1,532,910	1,500,000	1,505,817	0	(806)	0	0	2.875	2.809	MS	12,698	43,125	09/02/2016	09/15/2026
031162-BD-1	Amgen Inc Bd				2FE	466,450	101.1710	505,855	500,000	496,825	0	4,085	0	0	3.450	4.321	AO	4,313	17,250	04/08/2011	10/01/2020
031162-BV-1	Amgen Inc Bd			2	2FE	2,004,120	105.6890	2,113,780	2,000,000	2,001,977	0	(417)	0	0	3.625	3.600	MN	7,854	72,500	05/22/2014	05/22/2024
031162-CJ-7	Amgen Inc Bd				2FE	2,278,975	101.1880	2,529,700	2,500,000	2,340,138	0	20,909	0	0	2.600	3.696	FA	23,833	65,000	12/15/2016	08/19/2026
031162-CQ-1	Amgen Inc Bd			2	2FE	1,971,240	105.2800	2,105,600	2,000,000	1,976,625	0	2,566	0	0	3.200	3.371	MN	10,489	64,000	11/10/2017	11/02/2027

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
032095-AB-7	Amphenol Corp Sr Nt			2	2FE	775,313	103.0300	772,725	750,000	755,531	0	(2,852)	0	0	4.000	3.583	FA	12,500	30,000	03/05/2012	02/01/2022
032654-AH-8	Analog Devices Inc Bd			2	2FE	725,325	101.8960	764,220	750,000	737,935	0	3,278	0	0	2.875	3.378	JD	1,797	21,563	12/11/2015	06/01/2023
032654-AJ-4	Analog Devices Inc Bd			2	2FE	758,040	107.4750	806,063	750,000	755,069	0	(777)	0	0	3.900	3.768	JD	1,300	29,250	12/10/2015	12/15/2025
036752-AB-9	Anthem Inc Bd			1	2FE	1,518,855	105.8610	1,587,915	1,500,000	1,515,465	0	(1,724)	0	0	3.650	3.496	JD	4,563	54,750	12/19/2017	12/01/2027
036752-AG-8	Anthem Inc Bd			1	2FE	7,547,799	108.6170	7,576,036	6,975,000	7,528,948	0	(18,852)	0	0	4.101	2.968	MS	95,348	0	09/16/2019	03/01/2028
037389-AW-3	Aon Corp Nt				2FE	2,364,135	102.1540	2,298,465	2,250,000	2,261,062	0	(14,301)	0	0	5.000	4.323	MS	28,437	112,500	01/20/2012	09/30/2020
037411-AJ-4	Apache Corp Nt				2FE	1,992,640	120.2210	2,404,420	2,000,000	1,996,978	0	367	0	0	7.700	7.731	MS	45,344	154,000	02/22/1996	03/15/2026
037411-AZ-8	Apache Corp Bd			2	2FE	998,430	101.8860	1,018,860	1,000,000	999,476	0	217	0	0	3.250	3.274	AO	6,861	32,500	01/08/2015	04/15/2022
037411-BD-6	Apache Corp Sr Nt			2	2FE	2,827,590	100.3100	3,009,300	3,000,000	2,936,980	0	19,237	0	0	2.625	3.355	JJ	36,313	78,750	10/15/2013	01/15/2023
037411-BF-1	Apache Corp Bd			1	2FE	1,993,860	103.7100	2,074,200	2,000,000	1,994,170	0	310	0	0	4.250	4.286	JJ	45,333	0	06/17/2019	01/15/2030
03765H-AA-9	Apollo Management Holdings 144A				1FE	4,186,377	105.9060	4,310,374	4,070,000	4,129,947	0	(12,344)	0	0	4.000	3.635	MN	14,019	162,800	02/06/2015	05/30/2024
037833-AK-6	Apple Inc Sr Nt				1FE	2,693,800	101.7020	3,051,060	3,000,000	2,879,779	0	33,254	0	0	2.400	3.687	MN	11,600	72,000	11/12/2013	05/03/2023
038222-AF-2	Applied Materials Inc Nt				1FE	3,499,875	103.4830	3,621,905	3,500,000	3,499,984	0	11	0	0	4.300	4.300	JD	6,689	150,500	06/02/2011	06/15/2021
038222-AJ-4	Applied Materials Inc Bd			2	1FE	3,018,910	109.0040	3,270,120	3,000,000	3,011,669	0	(1,851)	0	0	3.900	3.822	AO	29,250	117,000	10/16/2015	10/01/2025
039483-BB-7	Archer Daniels Midland Co Bd				1FE	506,160	102.9430	514,715	500,000	500,872	0	(714)	0	0	4.479	4.324	MS	7,465	22,395	04/06/2011	03/01/2021
04010L-AV-5	Ares Capital Corp Bd			1	2FE	7,894,030	104.4620	8,356,960	8,000,000	7,919,028	0	13,612	0	0	4.250	4.471	MS	113,333	340,000	04/05/2018	03/01/2025
04621W-AC-4	Assured Guaranty US Hldg Bd			1	2FE	11,151,884	111.2200	11,476,792	10,319,000	10,910,735	0	(104,819)	0	0	5.000	3.606	JJ	257,975	465,950	09/23/2019	07/01/2024
04621X-AF-5	Assurant Inc Sr Nt				2FE	1,507,395	104.5810	1,568,715	1,500,000	1,503,533	0	(1,016)	0	0	4.000	3.921	MS	17,667	60,000	11/18/2015	03/15/2023
04621X-AH-1	Assurant Inc Bd			1	2FE	2,143,961	104.3910	2,225,616	2,132,000	2,140,448	0	(2,087)	0	0	4.200	4.083	MS	23,381	89,544	04/09/2018	09/27/2023
04621X-AM-0	Assurant Inc Bd			1	2FE	5,020,350	101.4450	5,072,250	5,000,000	5,019,899	0	(451)	0	0	3.700	3.652	FA	66,292	0	09/09/2019	02/22/2030
04686J-AA-9	Athene Holding Bd			1	2FE	6,833,490	103.5120	7,245,840	7,000,000	6,858,105	0	13,720	0	0	4.125	4.427	JJ	135,552	247,500	06/13/2019	01/12/2028
052769-AB-2	Autodesk Inc Sr Nt			2	2FE	5,679,356	103.4430	6,015,210	5,815,000	5,760,294	0	17,084	0	0	3.600	3.940	JD	9,304	209,340	03/21/2016	12/15/2022
053332-AL-6	Autozone Inc Nt				2FE	2,751,304	101.1510	2,736,135	2,705,000	2,711,720	0	(7,496)	0	0	4.000	3.707	MN	13,826	108,200	07/15/2013	11/15/2020
05348E-AW-9	Avalonbay Communities Inc Bd			2	1FE	3,495,720	106.2030	3,717,105	3,500,000	3,497,322	0	404	0	0	3.500	3.515	MN	15,653	122,500	12/03/2015	11/15/2025
05348E-AX-7	Avalonbay Communities Inc Bd			2	1FE	1,002,920	103.0840	1,030,840	1,000,000	1,002,078	0	(303)	0	0	2.950	2.913	MN	4,097	29,500	10/26/2016	05/11/2026
05348E-BA-6	Avalonbay Communities Inc Bd			2	1FE	2,263,698	105.3440	2,401,843	2,280,000	2,267,474	0	1,470	0	0	3.350	3.435	MN	9,760	76,380	05/09/2017	05/15/2027
05369A-AA-9	Aviation Capital Group 144A			2	2FE	4,637,992	100.6450	4,730,315	4,700,000	4,649,771	0	5,446	0	0	3.500	3.658	MN	27,417	164,500	10/27/2017	11/01/2027
05526D-BB-0	Bat Capital Corp Bd			2	2FE	4,777,550	102.0680	5,103,400	5,000,000	4,791,214	0	13,664	0	0	3.557	4.203	FA	67,188	88,925	05/29/2019	08/15/2027
05526D-BH-7	Bat Capital Corp Bd			1	2FE	6,664,454	101.2240	6,842,742	6,760,000	6,666,796	0	2,342	0	0	3.462	3.632	MS	74,760	0	09/26/2019	09/06/2029
05531G-AA-9	BB&T Corp MTN				1FE	2,432,880	103.7660	2,334,735	2,250,000	2,299,739	0	(21,343)	0	0	3.950	2.916	MS	24,441	88,875	04/24/2013	03/22/2022
05541T-AM-3	BGC Partners Inc Bd				2FE	2,987,308	99.8350	2,995,050	3,000,000	2,987,482	0	174	0	0	3.750	3.846	AO	29,375	0	12/09/2019	10/01/2024
05565E-AY-1	BMW US Capital LLC 144A			1	1FE	4,939,400	107.9600	5,398,000	5,000,000	4,948,073	0	5,221	0	0	3.750	3.898	AO	41,146	187,500	04/30/2018	04/12/2028
06051G-FF-1	Bank of America Corp Bd				1FE	4,099,410	107.1420	4,285,680	4,000,000	4,053,385	0	(11,414)	0	0	4.000	3.658	AO	40,000	160,000	09/16/2015	04/01/2024
06051G-FS-3	Bank of America Corp Bd				1FE	511,190	107.4660	537,330	500,000	506,895	0	(1,097)	0	0	3.875	3.600	FA	8,073	19,375	10/29/2015	08/01/2025
06406G-AA-9	Bank of NY Mellon Corp Bd			2	1FE	1,403,205	102.4820	1,537,230	1,500,000	1,422,905	0	7,265	0	0	3.000	3.687	AO	7,625	45,000	03/10/2017	10/30/2028
06406H-DA-4	Bank of New York Mellon Bd			2	1FE	1,954,660	103.9760	2,079,520	2,000,000	1,974,234	0	4,526	0	0	3.000	3.274	FA	21,167	60,000	06/03/2015	02/24/2025
066836-AA-5	Baptist Health South Nt				1FE	509,850	104.1650	520,825	500,000	501,859	0	(1,084)	0	0	4.590	4.350	FA	8,670	22,950	05/18/2011	08/15/2021
07177M-AB-9	Baxalta Inc Bd			2	2FE	4,946,842	107.7300	5,386,500	5,000,000	4,965,589	0	5,490	0	0	4.000	4.142	JD	4,444	212,500	05/27/2016	06/23/2025
071813-BF-5	Baxter International Inc Sr Nt				1FE	970,960	100.7230	1,007,230	1,000,000	991,205	0	3,192	0	0	2.400	2.750	FA	9,067	24,000	02/19/2013	08/15/2022
071813-BQ-1	Baxter International Inc Bd			2	1FE	1,852,000	100.8860	2,017,720	2,000,000	1,891,042	0	14,370	0	0	2.600	3.530	FA	19,644	52,000	03/08/2017	08/15/2026
07274E-AG-8	Bayer US Finance LLC 144A				2FE	3,085,650	103.0560	3,091,680	3,000,000	3,045,769	0	(8,794)	0	0	3.375	3.029	AO	23,344	101,250	03/09/2015	10/08/2024
07274N-AW-3	Bayer US Finance II LLC 144A			2	2FE	1,456,317	103.1950	1,547,925	1,500,000	1,456,317	0	0	0	0	3.375	4.316	JJ	23,344	50,625	07/10/2018	07/15/2024
07330M-AA-5	Branch Banking & Trust Bd			2	1FE	1,549,170	107.4980	1,612,470	1,500,000	1,535,558	0	(4,611)	0	0	3.800	3.404	AO	9,658	57,000	12/05/2016	10/30/2026
07330M-AB-3	Branch Banking & Trust Bd			2	1FE	1,951,016	106.5570	1,997,944	1,875,000	1,925,559	0	(8,181)	0	0	3.625	3.096	MS	19,824	67,969	10/20/2016	09/16/2025
075887-AW-9	Becton Dickinson Nt				2FE	1,998,980	100.9450	2,018,900	2,000,000	1,999,898	0	114	0	0	3.250	3.256	MN	8,847	65,000	11/08/2010	11/12/2020
084423-AR-3	WR Berkley Corp Bd				2FE	2,548,700	102.2470	2,556,175	2,500,000	2,504,433	0	(6,033)	0	0	5.375	5.115	MS	39,566	134,375	04/08/2011	09/15/2020
09062X-AF-0	Biogen Idec Inc Bd			2	1FE	7,436,560	108.7530	7,961,807	7,321,000	7,397,634	0	(12,377)	0	0	4.050	3.836	MS	87,303	296,501	02/15/2017	09/15/2025
09247X-AH-4	Blackrock Inc Sr Nt				1FE	779,120	103.2790	774,593	750,000	755,088	0	(3,529)	0	0	4.250	3.745	MN	3,276	31,875	07/20/2012	05/24/2021
09247X-AJ-0	Blackrock Inc Sr Nt				1FE	1,989,380	103.6320	2,072,640	2,000,000	1,997,100	0	1,132	0	0	3.375	3.438	JD	5,625	67,500	05/22/2012	06/01/2022
09247X-AL-5	Blackrock Inc Bd				1FE	991,360	106.3700	1,063,700	1,000,000	995,959	0	873	0	0	3.500	3.604	MS	10,014	35,000	04/22/2014	03/18/2024
09256B-AD-9	Blackstone Holdings Fina 144A				1FE	4,124,597	107.5710	4,181,285	3,887,000	3,976,675	0	(26,486)	0	0	4.750	3.957	FA	69,750	184,633	11/05/2013	02/15/2023
099724-AJ-5	BorgWarner Inc Bd			2	2FE	3,333,925	104.5930	3,660,755	3,500,000	3,398,129	0	17,282	0	0	3.375	4.000	MS	34,781	118,125	01/25/2016	03/15/2025
10112R-AS-3	Boston Properties LP Bd				1FE	3,179,295	102.3480	3,326,310	3,250,000	3,239,377	0	7,289	0	0	4.125	4.372	MN	17,130	134,063	10/15/2013	05/15/2021
10112R-AU-8	Boston Properties LP Sr Nt			2	1FE	2,067,260	104.7860	2,095,720	2,000,000	2,030,506	0	(10,088)	0	0	3.850	3.283	FA	32,083	77,000	03/02/2016	02/01/2023
10112R-AX-2	Boston Properties LP Bd			2	2FE	1,290,140	105.9430	1,352,892	1,277,000	1,286,470	0	(1,430)	0	0	3.650	3.509	FA	19,421	46,611	04/24/2017	02/01/2026
10112R-AY-0	Boston Properties LP Bd			1	2FE	1,410,437	101.4060	1,495,739	1,475,000	1,424,193	0	6,577	0	0	2.750	3.322	AO	10,141	40,563	01/09/2018	10/01/2026
10112R-BA-1	Boston Properties LP Bd				2FE	4,260,640	113.3420	4,533,680	4,000,000	4,244,902	0	(15,738)	0	0	4.500	3.688	JD	15,000	181,500	04/17/2019	12/01/2028

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
10922N-AC-7	Brighthouse Financial Inc 144A			2	2FE	4,915,790	99.7420	4,987,100	5,000,000	4,929,158	0	8,012	0	0	3.700	3.921	JD	4,625	185,000	04/25/2018	06/22/2027
110122-AW-8	Bristol Myers Squibb Co Bd				1FE	966,860	104.6890	1,046,890	1,000,000	985,746	0	3,406	0	0	3.250	3.652	MN	5,417	32,500	12/06/2013	11/01/2023
110122-BB-3	Bristol Myers Squibb Co Bd				1FE	3,940,617	106.8560	4,300,954	4,025,000	3,961,503	0	7,684	0	0	3.250	3.501	FA	45,058	130,813	03/10/2017	02/27/2027
110122-BG-2	Bristol Myers Squibb Co Bd			2	1FE	1,244,357	103.3190	1,291,488	1,250,000	1,244,590	0	233	0	0	3.250	3.423	FA	15,347	0	11/20/2019	08/15/2022
111320-AH-0	Broadcom Corp Bd			2	2FE	2,047,940	100.9590	2,019,180	2,000,000	2,024,152	0	(5,103)	0	0	3.500	3.200	FA	29,167	70,000	01/09/2015	08/01/2024
120568-AX-8	Bunge Ltd Finance Corp Bd			2	2FE	4,786,450	100.0020	5,000,100	5,000,000	4,837,514	0	21,198	0	0	3.250	3.810	FA	61,389	162,500	07/07/2017	08/15/2026
12189T-AR-5	Burlington Northern Santa Fe Bd			1	1FE	6,871,400	145.9650	7,298,250	5,000,000	6,667,206	0	(123,382)	0	0	7.950	4.055	FA	150,167	397,500	04/24/2018	08/15/2030
125523-AN-0	Cigna Corp 144A				2FE	2,994,947	103.2350	3,097,050	3,000,000	2,995,478	0	532	0	0	4.000	4.074	FA	45,333	0	10/09/2019	02/15/2022
125523-AU-4	Cigna Corp Bd				2FE	3,557,484	103.7340	3,630,690	3,500,000	3,555,338	0	(2,146)	0	0	3.250	2.925	AO	24,014	56,875	10/09/2019	04/15/2025
125523-AY-6	Cigna Corp				2FE	3,431,100	101.4650	3,551,275	3,500,000	3,432,793	0	1,693	0	0	3.050	3.332	AO	22,536	53,375	10/09/2019	10/15/2027
125523-BW-9	Cigna Corp 144A				2FE	1,465,168	104.4680	1,567,020	1,500,000	1,466,739	0	1,572	0	0	3.500	4.049	JD	2,333	26,250	10/09/2019	06/15/2024
125523-BY-5	Cigna Corp 144A				2FE	5,589,597	109.7680	6,037,240	5,500,000	5,586,980	0	(2,616)	0	0	4.500	4.205	FA	86,625	0	10/09/2019	02/25/2026
125523-CA-6	Cigna Corp Bd				2FE	957,860	103.9760	1,039,760	1,000,000	958,973	0	1,113	0	0	3.400	4.066	MS	11,333	0	10/09/2019	03/01/2027
12572Q-AE-5	CME Group Inc Sr Nt				1FE	3,987,640	102.9270	4,117,080	4,000,000	3,996,290	0	1,297	0	0	3.000	3.036	MS	35,333	120,000	09/05/2012	09/15/2022
12572Q-AG-0	CME Group Inc Bd			2	1FE	971,500	103.8640	1,038,640	1,000,000	983,687	0	2,825	0	0	3.000	3.344	MS	8,833	30,000	06/05/2015	03/15/2025
126117-AE-0	CNA Financial Corp Deb				2FE	981,980	116.9200	1,169,200	1,000,000	995,028	0	1,073	0	0	7.250	7.400	MN	9,264	72,500	11/18/1993	11/15/2023
126410-LK-3	CSX Corp Deb				1FE	428,500	103.3390	434,024	420,000	420,451	0	(927)	0	0	9.750	9.503	JD	1,820	40,950	08/27/2004	06/15/2020
126650-CX-6	CVS Health Corp Bd			1	2FE	11,530,740	109.2120	12,559,380	11,500,000	11,530,901	0	(1,855)	0	0	4.300	4.259	MS	131,867	462,250	04/16/2019	03/25/2028
12665U-AA-2	CVS Pass-Through Trust 144A			2	2FE	4,281,924	106.4750	4,280,718	4,020,397	4,252,921	0	(12,913)	0	0	4.704	3.944	MON	11,032	189,120	10/05/2017	01/10/2036
12673P-AJ-4	CA Inc Bd			2	2FE	5,270,600	109.0410	5,452,050	5,000,000	5,205,954	0	(25,169)	0	0	4.700	4.016	MS	69,194	235,000	04/28/2017	03/15/2027
127055-AH-4	Cabot Corp Sr Nt				2FE	3,666,152	102.9320	3,707,611	3,602,000	3,621,969	0	(7,400)	0	0	3.700	3.470	JJ	61,454	133,274	05/31/2013	07/15/2022
133131-AV-4	Camden Property Trust Bd			2	1FE	2,034,810	104.8940	2,150,327	2,050,000	2,040,035	0	1,910	0	0	3.500	3.613	MS	21,126	71,750	03/02/2017	09/15/2024
134429-AW-9	Campbell Soup Co Bd				2FE	3,480,715	103.0520	3,606,820	3,500,000	3,497,045	0	2,186	0	0	4.250	4.318	AO	31,403	148,750	04/06/2011	04/15/2021
134429-AY-5	Campbell Soup Co Sr Nt				2FE	1,885,480	101.2060	2,024,120	2,000,000	1,964,985	0	12,788	0	0	2.500	3.211	FA	20,694	50,000	03/07/2013	08/02/2022
14020A-AU-8	Capital Impact Partners Bd				1FE	5,000,000	102.6870	5,134,350	5,000,000	5,000,000	0	0	0	0	3.850	3.850	JAJO	40,639	192,500	04/16/2018	04/15/2028
14042O-NB-2	Capital One Bank USA Bd				2FE	4,125,910	103.3190	4,423,086	4,281,000	4,210,260	0	20,900	0	0	3.375	3.943	FA	54,583	144,484	09/11/2015	02/15/2023
14149Y-BA-5	Cardinal Health Inc Bd			2	2FE	990,020	104.4500	1,044,500	1,000,000	994,685	0	981	0	0	3.500	3.620	MN	4,472	35,000	11/13/2014	11/15/2024
14149Y-BJ-6	Cardinal Health Inc Bd			2	2FE	4,004,140	102.2610	4,090,440	4,000,000	4,003,250	0	(379)	0	0	3.410	3.398	JD	6,062	136,400	08/07/2017	06/15/2027
141781-AY-0	Cargill Inc 144A				1FE	253,775	103.2270	258,068	250,000	250,615	0	(428)	0	0	4.307	4.120	MN	1,406	10,768	05/25/2011	05/14/2021
141781-AZ-7	Cargill Inc 144A				1FE	5,424,415	102.2270	5,361,806	5,245,000	5,287,585	0	(21,939)	0	0	3.250	2.801	MN	21,781	170,463	04/30/2013	11/15/2021
142339-AE-0	Carlisle Cos Inc Nt				2FE	812,543	102.4080	768,060	750,000	757,830	0	(7,886)	0	0	5.125	4.000	JD	1,708	38,438	01/23/2012	12/15/2020
142339-AF-7	Carlisle Cos Inc Sr Nt			2	2FE	964,204	103.1640	1,031,640	1,000,000	987,440	0	4,033	0	0	3.750	4.219	MN	4,792	37,500	07/25/2013	11/15/2022
14309U-AA-0	Carlyle Holdings Finance 144A				2FE	8,052,975	103.3440	8,213,781	7,948,000	7,994,079	0	(14,044)	0	0	3.875	3.673	FA	128,327	307,985	03/03/2016	02/01/2023
144141-DA-3	Carolina Power & Light Co Bd			2	1FE	1,000,410	101.8260	1,018,260	1,000,000	1,000,083	0	(47)	0	0	3.000	2.995	MS	8,833	30,000	03/20/2012	09/15/2021
149123-BL-4	Caterpillar Inc Deb				1FE	513,751	124.8000	862,368	691,000	554,778	0	4,461	0	0	5.300	7.451	MS	10,783	36,623	09/13/2005	09/15/2035
149123-CC-3	Caterpillar Inc Bd			2	1FE	2,508,110	105.8930	2,647,325	2,500,000	2,504,274	0	(946)	0	0	3.400	3.356	MN	10,861	85,000	09/25/2015	05/15/2024
14912L-6C-0	Caterpillar Financial Services Bd				1FE	1,000,810	105.1290	1,051,290	1,000,000	1,000,418	0	(86)	0	0	3.300	3.290	JD	2,017	33,000	09/10/2014	06/09/2024
14912L-6G-1	Caterpillar Financial Services Bd				1FE	1,084,298	105.6590	1,125,268	1,065,000	1,075,626	0	(1,976)	0	0	3.250	3.030	JD	2,884	34,613	05/06/2015	12/01/2024
14916R-AC-8	Catholic Health Initiatives Bd				2FE	2,032,620	101.8700	2,037,400	2,000,000	2,010,180	0	(3,409)	0	0	2.950	2.762	MN	9,833	59,000	11/01/2012	11/01/2022
14916R-AF-1	Catholic Health Initiatives Bd				2FE	5,511,862	105.6320	5,519,272	5,225,000	5,383,913	0	(41,369)	0	0	4.200	3.290	FA	91,438	219,450	04/27/2017	08/01/2023
14987B-AE-3	CC Holdings GS V LLC/CRO Bd				2FE	985,060	104.8340	1,048,340	1,000,000	992,956	0	1,964	0	0	3.849	4.080	AO	8,126	38,490	09/16/2015	04/15/2023
158525-AR-6	Champion International Corp Deb				2FE	956,780	120.7620	1,207,620	1,000,000	982,793	0	2,260	0	0	7.350	7.722	MN	12,250	73,500	03/06/1996	11/01/2025
166764-AH-3	Chevron Corp Sr Nt			2	1FE	1,916,715	104.2580	2,085,160	2,000,000	1,967,041	0	8,718	0	0	3.191	3.700	JD	1,241	63,820	08/22/2013	06/24/2023
17252M-AK-6	Cintas Corp Nt				1FE	2,010,300	103.2530	2,065,060	2,000,000	2,001,740	0	(1,168)	0	0	4.300	4.236	JD	7,167	86,000	05/25/2011	06/01/2021
17275R-AH-5	Cisco Systems Inc Nt				1FE	2,968,530	100.0860	3,002,580	3,000,000	2,999,849	0	3,821	0	0	4.450	4.582	JJ	61,558	133,500	01/13/2010	01/15/2020
17275R-AN-2	Cisco Systems Inc Bd				1FE	1,020,820	106.8960	1,068,960	1,000,000	1,009,815	0	(2,155)	0	0	3.625	3.371	MS	11,781	36,250	06/20/2014	03/04/2024
17288X-AA-2	Citadel Lp 144A			1	2FE	6,112,200	106.1280	6,367,680	6,000,000	6,074,736	0	(22,830)	0	0	5.375	4.919	JJ	146,917	322,500	04/20/2018	01/17/2023
17288X-AB-0	Citadel Lp 144A			1	2FE	4,999,000	105.2830	5,264,150	5,000,000	4,999,000	0	0	0	0	4.875	4.879	JJ	71,094	0	09/12/2019	01/15/2027
17326Y-L7-9	Citigroup Global Markets Bd			2	2FE	5,000,000	100.0640	5,003,200	5,000,000	5,000,000	0	0	0	0	4.000	4.020	FMAN	29,444	150,000	02/06/2019	02/08/2029
174610-AK-1	Citizens Financial Group Bd			1	2FE	8,417,141	107.4440	9,014,552	8,390,000	8,411,892	0	(3,227)	0	0	4.300	4.249	JD	28,060	360,770	06/21/2018	12/03/2025
178566-AC-9	City National Corp Bd				1FE	208,968	102.2930	204,586	200,000	200,782	0	(1,068)	0	0	5.250	4.679	MS	3,092	10,500	10/12/2010	09/15/2020
19123M-AC-7	Coca-Cola European Partn Nt			2	2FE	502,189	102.9610	514,805	500,000	501,031	0	(671)	0	0	4.500	4.352	MS	7,500	22,500	04/09/2018	09/01/2021
19828J-AA-6	Columbia Property Trust Bd			2	2FE	4,032,850	105.2940	4,211,760	4,000,000	4,020,763	0	(3,642)	0	0	4.150	4.035	AO	41,500	166,000	01/31/2017	04/01/2025
19828J-AB-4	Columbia Property Trust Bd				2FE	2,948,460	102.4680	3,074,040	3,000,000	2,962,407	0	4,842	0	0	3.650	3.865	FA	41,367	109,500	01/24/2017	08/15/2026
20030N-BJ-9	Comcast Corp Bd				1FE	1,024,530	106.0220	1,060,220	1,000,000	1,011,744	0	(2,589)	0	0	3.600	3.296	MS	12,000	36,000	09/10/2014	03/01/2024
200339-DX-4	Comerica Bank Bd				1FE	1,556,355	107.2020	1,608,030	1,500,000	1,534,606	0	(5,526)	0	0	4.000	3.540	JJ	25,667	60,000	10/27/2015	07/27/2025
20453K-AA-3	Compass Bank Bd			2	2FE	1,415,580	105.0210	1,575,315	1,500,000	1,448,426	0	8,455	0	0	3.875	4.617	AO	13,078	58,125	10/28/2015	04/10/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
205887-AR-3	Conagra Brands Inc Bd			1	2FE	3,103,483	126.0550	3,346,760	2,655,000	3,058,667	0	(36,265)	0	0	7.000	4.847	AO	46,463	185,850	10/02/2018	10/01/2028
20826F-AD-8	ConocoPhillips Company BD			2	1FE	3,321,745	105.7900	3,702,650	3,500,000	3,389,689	0	19,353	0	0	3.350	4.053	MN	14,982	117,250	02/25/2016	11/15/2024
20826F-AG-1	ConocoPhillips Company Bd			2	1FE	1,715,683	104.7320	1,832,810	1,750,000	1,729,783	0	3,355	0	0	3.350	3.588	MN	7,491	58,625	08/14/2015	05/15/2025
21036P-AY-4	Constellation Brands Inc Bd			1	2FE	4,733,350	105.7730	5,288,650	5,000,000	4,771,485	0	23,093	0	0	3.600	4.272	FA	68,000	180,000	05/01/2018	02/15/2028
216871-AE-3	Cooper Industries Inc Nt				2FE	2,118,500	101.3340	2,026,680	2,000,000	2,014,572	0	(14,803)	0	0	3.875	3.095	JD	3,444	77,500	03/16/2012	12/15/2020
224399-AR-6	Crane Co. Bd			2	2FE	2,442,090	106.9700	2,545,886	2,380,000	2,413,051	0	(8,079)	0	0	4.450	4.044	JD	4,707	105,910	03/03/2016	12/15/2023
22576L-AA-9	Crescent Private Partners Note				5IF	7,614,905	100.0000	7,614,905	7,614,905	7,614,905	0	0	0	0	5.000	5.031	MJSD	1,058	0	12/31/2019	04/01/2029
22822V-AE-1	Crown Castle Intl Corp Bd			2	2FE	1,495,260	107.9490	1,619,235	1,500,000	1,496,487	0	415	0	0	4.000	4.038	MS	20,000	60,000	02/14/2017	03/01/2027
231021-AR-7	Cummins Inc Bd			2	1FE	998,827	105.7310	1,057,310	1,000,000	999,521	0	117	0	0	3.650	3.664	AO	9,125	36,500	12/16/2013	10/01/2023
23248Q-AA-4	CVC Credit Partners U.S. Direc Senior Note - 144A				2PL	490,909	100.0000	490,909	490,909	490,909	0	0	0	0	6.000	6.000	MJSD	82	29,394	09/24/2018	08/06/2026
23248Q-AB-2	CVC Credit Partners U.S. Direc Junior Note- 144A				2PL	38,182	100.0000	38,182	38,182	38,182	0	0	0	0	6.000	6.000	MJSD	45	2,286	09/24/2018	08/06/2026
23311R-AD-8	DCP Midstream LLC 144A				3FE	817,635	100.6710	755,033	750,000	751,975	0	(9,435)	0	0	5.350	4.043	MS	11,815	40,125	01/06/2012	03/15/2020
23311R-AE-6	DCP Midstream LLC 144A			2	3FE	5,289,550	102.9750	5,148,750	5,000,000	5,059,606	0	(37,770)	0	0	4.750	3.925	MS	60,035	237,500	03/08/2013	09/30/2021
23355L-AA-4	DXC Technology Co Bd				2FE	3,035,873	104.9960	3,149,880	3,000,000	3,020,059	0	(6,840)	0	0	4.450	4.187	MS	39,308	133,500	08/07/2017	09/18/2022
233851-DF-8	Daimler Finance NA LLC 144A				1FE	2,015,807	106.1740	2,003,503	1,887,000	2,011,868	0	(3,939)	0	0	3.750	2.879	FA	25,357	0	09/05/2019	08/22/2028
233851-DW-1	Daimler Finance NA LLC 144A				1FE	5,073,574	101.1330	5,056,650	5,000,000	5,072,044	0	(1,530)	0	0	3.100	2.927	FA	58,556	0	09/30/2019	08/15/2029
237194-AL-9	Darden Restaurants Inc Bd			2	2FE	5,012,050	104.5150	5,225,750	5,000,000	5,009,425	0	(1,094)	0	0	3.850	3.820	MN	32,083	192,500	05/09/2017	05/01/2027
24422E-RT-8	John Deere Capital Corp Sr Nt				1FE	2,015,540	102.4520	2,049,040	2,000,000	2,005,242	0	(1,615)	0	0	2.800	2.710	JJ	23,956	56,000	02/19/2013	01/27/2023
249030-AC-1	DENTSPLY International Inc Nt				2FE	498,265	102.8360	514,180	500,000	499,666	0	195	0	0	4.125	4.168	FA	7,792	20,625	08/16/2011	08/15/2021
25389J-AK-2	Digital Realty Trust LP Sr Nt			2	2FE	5,090,280	103.3770	5,329,084	5,155,000	5,132,299	0	7,567	0	0	3.625	3.794	AO	46,717	186,869	10/10/2013	10/01/2022
254010-AA-9	Dignity Health Bd				2FE	3,011,970	101.8360	3,055,080	3,000,000	3,003,792	0	(1,262)	0	0	3.125	3.078	MN	15,625	93,750	11/01/2012	11/01/2022
254010-AD-3	Dignity Health Bd				2FE	6,195,137	105.5510	6,511,441	6,169,000	6,186,543	0	(3,261)	0	0	3.812	3.747	MN	39,194	235,162	03/24/2017	11/01/2024
254687-CK-0	Walt Disney Co (The) Bd			2	1FE	500,385	103.0530	515,265	500,000	500,363	0	(21)	0	0	4.500	4.430	FA	8,500	0	11/22/2019	02/15/2021
25470D-AL-3	Discovery Communications Bd			2	2FE	1,045,560	111.5890	1,115,890	1,000,000	1,033,028	0	(4,778)	0	0	4.900	4.266	MS	14,972	49,000	04/05/2017	03/11/2026
256677-AD-7	Dollar General Corp BD			2	2FE	2,505,410	108.8530	2,721,325	2,500,000	2,503,396	0	(525)	0	0	4.150	4.123	MN	17,292	103,750	10/29/2015	11/01/2025
257375-AE-5	Dominion Gas Hldgs LLC BD			2	2FE	491,105	103.6850	518,425	500,000	495,943	0	966	0	0	3.550	3.779	MN	2,958	17,750	08/06/2014	11/01/2023
257375-AH-8	Dominion Gas Hldgs LLC Bd			2	2FE	1,495,670	104.9770	1,574,655	1,500,000	1,497,401	0	460	0	0	3.600	3.638	JD	2,400	54,000	09/02/2015	12/15/2024
257867-AG-6	Donnelley RR & Sons Co Deb				4FE	2,735,964	98.0720	3,089,268	3,150,000	2,912,549	0	16,916	0	0	6.625	7.780	AO	44,056	208,688	01/09/2001	04/15/2029
26054L-E7-4	Dow Chemical Co Bd			2	2FE	2,000,000	100.0830	2,001,660	2,000,000	2,000,000	0	0	0	0	3.900	3.900	JD	3,467	78,000	06/22/2015	06/15/2025
26441Y-AX-5	Duke Realty Bd			2	2FE	975,410	103.9490	1,039,490	1,000,000	988,222	0	3,288	0	0	3.625	4.011	AO	7,653	36,250	11/09/2015	04/15/2023
26441Y-AY-3	Duke Realty Bd				2FE	1,973,960	106.2750	2,125,500	2,000,000	1,984,311	0	2,841	0	0	3.750	3.927	JD	6,250	75,000	03/04/2016	12/01/2024
268648-AN-2	EMC Corp Sr Nt				3FE	3,965,550	102.2490	4,089,960	4,000,000	3,984,893	0	4,088	0	0	3.375	3.493	JD	11,250	135,000	12/05/2014	06/01/2023
26875P-AG-6	EOG Resources Inc Bd				1FE	486,000	102.4280	512,140	500,000	498,149	0	1,633	0	0	4.100	4.454	FA	8,542	20,500	03/29/2011	02/01/2021
26875P-AK-7	EOG Resources Inc Sr Nt			2	1FE	1,960,860	101.8600	2,037,200	2,000,000	1,986,309	0	4,024	0	0	2.625	2.850	MS	15,458	52,500	03/08/2013	03/15/2023
26875P-AM-3	EOG Resources Inc Bd			2	1FE	458,950	104.9800	524,900	500,000	474,638	0	4,230	0	0	3.150	4.238	AO	3,938	15,750	01/20/2016	04/01/2025
26884A-BF-9	ERP Operating LP Bd			2	1FE	1,893,240	102.6100	2,052,200	2,000,000	1,920,874	0	10,084	0	0	2.850	3.506	MN	9,500	57,000	03/01/2017	11/01/2026
26884L-AB-5	EQT Corp Bd				2FE	3,802,345	103.2440	3,871,650	3,750,000	3,762,045	0	(6,033)	0	0	4.875	4.694	MN	23,359	182,813	07/30/2012	11/15/2021
26884L-AF-6	EQT Corp Bd			2	2FE	3,215,388	93.6160	3,042,520	3,250,000	3,221,682	0	3,057	0	0	3.900	4.032	AO	31,688	126,750	12/07/2017	10/01/2027
26884T-AA-0	ERAC USA Finance Company 144A				2FE	822,480	102.3970	767,978	750,000	757,390	0	(9,542)	0	0	5.250	3.902	AO	9,844	39,375	03/30/2012	10/01/2020
26884U-AA-7	EPR Properties Bd			2	2FE	2,101,140	107.2440	2,144,880	2,000,000	2,057,497	0	(15,908)	0	0	5.250	4.305	JJ	48,417	105,000	02/16/2017	07/15/2023
26884U-AB-5	EPR Properties Bd			2	2FE	2,019,800	106.2090	2,124,180	2,000,000	2,013,704	0	(2,384)	0	0	4.500	4.347	AO	22,500	90,000	04/25/2017	04/01/2025
26884U-AC-3	EPR Properties Bd			2	2FE	995,580	109.2790	1,092,790	1,000,000	996,719	0	389	0	0	4.750	4.806	JD	2,111	47,500	02/02/2017	12/15/2026
277432-AN-0	Eastman Chemical Co Sr Nt			2	2FE	1,991,380	103.4960	2,069,920	2,000,000	1,997,522	0	885	0	0	3.600	3.650	FA	27,200	72,000	05/30/2012	08/15/2022
278265-AD-5	Eaton Vance Corp Sr Nt			2	1FE	5,971,530	104.9980	6,299,880	6,000,000	5,988,631	0	3,003	0	0	3.625	3.683	JD	9,667	217,500	04/21/2014	06/15/2023
278265-AE-3	Eaton Vance Corp Bd			2	1FE	1,993,800	104.9440	2,098,880	2,000,000	1,995,288	0	560	0	0	3.500	3.537	AO	16,528	70,000	04/06/2017	04/06/2027
278642-AC-7	eBay Inc Bd			2	2FE	3,205,600	100.6480	3,522,680	3,500,000	3,470,848	0	35,623	0	0	3.250	4.337	AO	24,014	113,750	04/01/2011	10/15/2020
278642-AL-7	eBay Inc Bd			2	2FE	476,955	104.6090	523,045	500,000	486,894	0	2,551	0	0	3.450	4.083	FA	7,188	17,250	11/06/2015	08/01/2024
28932M-AA-3	Elm Rd Generating Station 144A			4	1FE	2,008,541	111.3290	2,236,089	2,008,541	2,008,541	0	0	0	0	5.209	5.209	FA	40,687	104,625	02/03/2010	02/11/2030
291011-BG-8	Emerson Electric Co Bd			2	1FE	2,002,700	104.7260	2,094,520	2,000,000	2,001,571	0	(265)	0	0	3.150	3.134	JD	5,250	63,000	05/22/2015	06/01/2025
29250R-AU-0	Enbridge Energy Partners LP Bd			2	2FE	502,500	103.0450	515,225	500,000	500,462	0	(285)	0	0	4.200	4.137	MS	6,183	21,500	09/16/2011	09/15/2021
29250R-AW-6	Enbridge Energy Partners LP Bd			2	2FE	2,779,125	116.6130	2,915,325	2,500,000	2,685,359	0	(28,978)	0	0	5.875	4.355	AO	31,007	149,375	07/29/2016	10/15/2025
29273R-BG-3	Energy Transfer Partners LP Bd			2	2FE	2,078,480	108.2650	2,165,300	2,000,000	2,057,869	0	(8,648)	0	0	4.750	4.183	JJ	43,806	95,000	07/12/2017	01/15/2026
29364W-AM-0	Entergy Louisiana LLC Bd			2	1FE	1,603,065	108.9710	1,634,565	1,500,000	1,563,728	0	(9,718)	0	0	4.440	3.621	JJ	30,710	66,600	09/09/2015	01/15/2026
29364W-AN-8	Entergy Louisiana LLC Bd				1FE	498,060	102.6370	513,185	500,000	499,693	0	218	0	0	4.800	4.848	MN	4,000	24,000	03/28/2011	05/01/2021
29364W-AS-7	Entergy Louisiana LLC Bd				1FE	501,210	106.3230	531,615	500,000	500,503	0	(125)	0	0	4.050	4.020	MS	6,750	20,250	08/22/2013	09/01/2023
29379V-BB-8	Enterprise Products Oper Bd			2	2FE	1,564,207	106.1780	1,666,995	1,570,000	1,566,924	0	675	0	0	3.900	3.952	FA	23,131	61,230	10/28/2015	02/15/2024
29379V-BE-2	Enterprise Products Oper Bd			2	2FE	2,890,860	106.7140	3,201,420	3,000,000	2,934,347	0	10,595	0	0	3.750	4.210	FA	42,500	112,500	10/11/2016	02/15/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
294429-AJ-4	Equifax Inc Bd			2	2FE	972,190	102.9380	1,029,380	1,000,000	989,312	0	3,363	0	0	3.300	3.685	JD	1,467	33,000	06/20/2014	12/15/2022
29717P-AL-1	Essex Portfolio LP Bd			2	2FE	1,866,380	102.8820	2,057,640	2,000,000	1,945,941	0	16,306	0	0	3.375	4.334	JJ	31,125	67,500	08/29/2014	01/15/2023
29717P-AN-7	Essex Portfolio LP Bd			2	2FE	3,066,510	105.7060	3,171,180	3,000,000	3,040,166	0	(8,968)	0	0	3.875	3.521	MN	19,375	116,250	12/07/2016	05/01/2024
29736R-AE-0	Estee Lauder Co Inc Sr Nt			2	1FE	985,949	101.0140	1,010,140	1,000,000	995,963	0	1,472	0	0	2.350	2.510	FA	8,878	23,500	08/22/2012	08/15/2022
30161M-AH-6	Exelon Generation Co Bd			2	2FE	1,506,229	100.9750	1,514,625	1,500,000	1,501,155	0	(3,177)	0	0	4.000	3.781	AO	15,000	60,000	01/06/2016	10/01/2020
30231G-AT-9	Exxon Mobil Bd			2	1FE	1,000,690	104.8930	1,048,930	1,000,000	1,000,500	0	(73)	0	0	3.043	3.034	MS	10,143	30,430	11/18/2016	03/01/2026
302491-AS-4	FMC Corp Bd			2	2FE	4,112,770	105.4160	4,216,640	4,000,000	4,050,364	0	(11,960)	0	0	4.100	3.745	FA	68,333	164,000	04/29/2014	02/01/2024
30251B-AA-6	FMR LLC 144A				1FE	323,259	105.8910	317,673	300,000	305,012	0	(2,515)	0	0	5.350	4.410	MN	2,051	16,050	06/27/2011	11/15/2021
313747-AS-6	Federal Realty Investments Tr Sr Nt			2	1FE	2,463,560	102.1320	2,553,300	2,500,000	2,488,724	0	4,123	0	0	3.000	3.183	FA	31,250	75,000	09/18/2014	08/01/2022
313747-AT-4	Federal Realty Investments Tr Bd			2	1FE	1,998,471	101.6190	2,229,521	2,194,000	2,117,439	0	20,589	0	0	2.750	3.850	JD	5,028	60,335	08/09/2013	06/01/2023
313747-AU-1	Federal Realty Investments Tr Bd			2	1FE	1,030,680	105.9000	1,059,000	1,000,000	1,014,895	0	(3,606)	0	0	3.950	3.527	JJ	18,214	39,500	11/16/2015	01/15/2024
313747-AY-3	Federal Realty Investments Tr Bd			2	1FE	4,252,743	103.6530	4,457,079	4,300,000	4,263,147	0	4,226	0	0	3.250	3.380	JJ	64,440	139,750	06/28/2017	07/15/2027
316773-CP-3	Fifth Third Bancorp Bd			2	2FE	932,157	107.4600	1,015,497	945,000	939,190	0	1,284	0	0	4.300	4.468	JJ	18,624	40,635	01/06/2014	01/16/2024
337915-AA-0	First Merit Corporation Sr Nt				2FE	1,825,348	105.4760	1,935,485	1,835,000	1,831,323	0	1,087	0	0	4.350	4.420	FA	32,594	79,823	12/16/2013	02/04/2023
33829T-AA-4	Five Corners Funding Trs 144A				1FE	4,727,480	108.5560	4,885,020	4,500,000	4,611,789	0	(26,531)	0	0	4.419	3.722	MN	25,409	198,855	07/11/2016	11/15/2023
340711-AT-7	Florida Gas Transmission 144A				2FE	330,729	101.6900	305,070	300,000	302,050	0	(3,688)	0	0	5.450	4.154	JJ	7,539	16,350	11/03/2010	07/15/2020
343412-AC-6	Fluor Corp Bd			2	2FE	2,993,163	102.0930	2,935,174	2,875,000	2,945,793	0	(13,902)	0	0	3.500	2.934	JD	4,472	100,625	09/09/2016	12/15/2024
343412-AF-9	Fluor Corp Bd			2	2FE	2,714,828	100.6640	2,768,260	2,750,000	2,718,677	0	2,941	0	0	4.250	4.409	MS	34,413	122,069	09/11/2018	09/15/2028
343498-AB-7	Flowers Foods Inc Bd			2	2FE	2,867,250	102.0350	3,061,050	3,000,000	2,903,806	0	12,168	0	0	3.500	4.048	AO	26,250	105,000	11/14/2016	10/01/2026
345370-CR-9	Ford Motor Co Bd			2	3FE	2,089,200	103.3120	2,066,240	2,000,000	2,069,631	0	(8,993)	0	0	4.346	3.753	JD	5,553	86,920	10/04/2017	12/08/2026
34540T-KP-6	Ford Motor Credit Co LLC Bd			2	3FE	1,500,000	97.8660	1,467,990	1,500,000	1,500,000	0	0	0	0	4.300	4.300	FA	23,471	64,500	02/08/2016	02/20/2026
34540T-LP-5	Ford Motor Credit Co LLC Bd			2	3FE	1,500,000	96.8200	1,452,300	1,500,000	1,500,000	0	0	0	0	3.700	3.700	AO	10,946	55,500	04/04/2016	04/20/2026
354613-AJ-0	Franklin Resources Inc Bd				1FE	3,997,380	102.1700	4,086,800	4,000,000	3,999,305	0	243	0	0	2.800	2.807	MS	32,978	112,000	05/21/2013	09/15/2022
354613-AK-7	Franklin Resources Inc Bd			2	1FE	976,520	103.2420	1,032,420	1,000,000	986,649	0	2,307	0	0	2.850	3.128	MS	7,204	28,500	05/11/2015	03/30/2025
35671D-AU-9	Freeport-McMoran Inc Sr Nt			2	3FE	750,765	101.4280	760,710	750,000	750,202	0	(88)	0	0	3.550	3.537	MS	8,875	26,625	07/11/2012	03/01/2022
361448-AL-7	GATX Corp Sr Nt				2FE	1,514,700	103.8150	1,557,225	1,500,000	1,502,621	0	(1,750)	0	0	4.850	4.721	JD	6,063	72,750	11/09/2011	06/01/2021
361448-AW-3	GATX Corp Bd			2	2FE	1,403,865	102.8630	1,542,945	1,500,000	1,440,985	0	9,895	0	0	3.250	4.091	MS	12,323	48,750	01/06/2016	03/30/2025
361448-AY-9	GATX Corp Bd			2	2FE	1,497,195	101.7750	1,526,625	1,500,000	1,498,028	0	259	0	0	3.250	3.272	MS	14,354	48,750	09/29/2016	09/15/2026
369550-AU-2	General Dynamics Corp Sr Nt			2	1FE	4,779,340	101.0770	5,053,850	5,000,000	4,928,633	0	23,550	0	0	2.250	2.770	MN	14,375	112,500	03/08/2013	11/15/2022
369622-SM-8	General Electric Capital Corp Bd				2FE	1,352,663	103.1480	1,289,350	1,250,000	1,265,054	0	(12,981)	0	0	5.300	4.179	FA	25,764	66,250	04/04/2012	02/11/2021
36962G-4Y-7	General Electric Capital Corp Bd				2FE	499,550	102.2500	511,250	500,000	499,947	0	50	0	0	4.625	4.636	JJ	11,177	23,125	02/28/2011	01/07/2021
36962G-5J-9	General Electric Capital Corp Sr Nt				2FE	3,110,400	104.2580	3,127,740	3,000,000	3,023,857	0	(12,555)	0	0	4.650	4.185	AO	28,675	139,500	01/18/2012	10/17/2021
36962G-6F-6	General Electric Capital Corp Sr Nt				2FE	997,560	102.2150	1,022,150	1,000,000	999,236	0	269	0	0	3.150	3.180	MS	9,975	31,500	03/07/2013	09/07/2022
36966T-JS-2	General Electric Cap Corp Bd				2FE	1,532,160	101.4860	1,522,290	1,500,000	1,527,332	0	(2,578)	0	0	4.250	4.000	MS	18,771	63,750	01/24/2018	09/15/2028
37045V-AH-3	General Motors Co Bd			1	2FE	4,154,560	103.3410	4,133,640	4,000,000	4,142,893	0	(6,310)	0	0	5.000	4.670	AO	50,000	200,000	01/24/2018	04/01/2035
37045V-AN-0	General Motors Co Bd			2	2FE	5,126,650	104.5540	5,227,700	5,000,000	5,102,462	0	(11,536)	0	0	4.200	3.883	AO	52,500	210,000	10/17/2017	10/01/2027
37331N-AD-3	Georgia Pacific LLC 144A				1FE	1,532,660	104.6890	1,570,335	1,500,000	1,516,547	0	(4,329)	0	0	3.734	3.400	JJ	25,827	56,010	01/29/2016	07/15/2023
37331N-AH-4	Georgia Pacific LLC 144A			2	1FE	5,130,090	105.6390	5,281,950	5,000,000	5,070,464	0	(12,978)	0	0	3.600	3.288	MS	60,000	180,000	02/11/2015	03/01/2025
375558-AQ-6	Gilead Sciences Inc Bd				1FE	3,536,600	102.5700	3,589,950	3,500,000	3,505,594	0	(4,272)	0	0	4.500	4.367	AO	39,375	157,500	06/14/2011	04/01/2021
375558-AW-3	Gilead Sciences Inc Bd			2	1FE	497,785	106.0370	530,185	500,000	498,969	0	220	0	0	3.700	3.753	AO	4,625	18,500	03/07/2014	04/01/2024
375558-AZ-6	Gilead Sciences Inc Bd			2	1FE	2,997,195	106.1190	3,127,327	2,947,000	2,974,130	0	(5,121)	0	0	3.500	3.292	FA	42,977	103,145	02/15/2017	02/01/2025
375558-BF-9	Gilead Sciences Inc Bd			1	1FE	501,625	107.6150	538,075	500,000	501,018	0	(149)	0	0	3.650	3.612	MS	6,083	18,250	09/11/2015	03/01/2026
375558-BM-4	Gilead Sciences Inc Bd			2	1FE	1,907,520	103.8650	2,077,300	2,000,000	1,929,446	0	8,520	0	0	2.950	3.511	MS	19,667	59,000	05/08/2017	03/01/2027
378272-AN-8	Glencore Funding LLC 144A			1	2FE	972,300	103.9150	1,039,150	1,000,000	977,037	0	2,644	0	0	4.000	4.374	MS	10,444	40,000	03/06/2018	03/27/2027
378272-AQ-1	Glencore Funding LLC 144a			2	2FE	4,978,250	104.1320	5,206,600	5,000,000	4,982,297	0	1,899	0	0	4.875	3.928	AO	34,444	193,750	10/30/2017	10/27/2027
38141E-A6-6	Goldman Sachs Group Inc Sr Nt				1FE	541,480	101.7720	508,860	500,000	502,539	0	(5,389)	0	0	6.000	4.858	JD	1,333	30,000	05/13/2011	06/15/2020
38141E-C2-3	Goldman Sachs Group Inc BD			2	1FE	505,940	105.7430	528,715	500,000	502,965	0	(625)	0	0	3.850	3.700	JJ	9,251	19,250	10/29/2014	07/08/2024
38141G-RD-8	Goldman Sachs Group Inc Bd				1FE	1,929,890	104.1630	2,083,260	2,000,000	1,974,496	0	7,678	0	0	3.625	4.073	JJ	32,021	72,500	07/23/2013	01/22/2023
38148T-N4-7	Goldman Sachs Group Inc Bd			2	1FE	2,000,000	99.3250	1,986,500	2,000,000	2,000,000	0	0	0	0	3.000	3.000	JD	1,833	60,000	06/15/2016	06/20/2031
38150A-D5-1	Goldman Sachs Group Inc. Step up			2	1FE	5,000,000	99.2080	4,960,400	5,000,000	5,000,000	0	0	0	0	3.000	3.000	JJ	62,917	0	07/29/2019	07/31/2029
402524-AE-2	Gulf South Pipeline Bd			2	2FE	755,373	103.1600	773,696	750,000	755,367	0	(6)	0	0	4.000	3.655	JD	1,333	0	12/31/2019	06/15/2022
402740-AD-6	Gulfstream Natural Gas 144A			2	2FE	3,477,340	108.1060	3,783,710	3,500,000	3,485,644	0	2,147	0	0	4.600	4.683	MS	47,406	161,000	12/07/2015	09/15/2025
40414L-AG-4	HCP Inc Sr Nt			2	2FE	988,880	102.3550	1,023,550	1,000,000	996,781	0	1,176	0	0	3.150	3.281	FA	13,125	31,500	07/18/2012	08/01/2022
40414L-AJ-8	HCP Inc Bd				2FE	2,339,415	106.7050	2,400,863	2,250,000	2,303,695	0	(12,711)	0	0	4.250	3.584	MN	12,219	95,625	01/30/2017	11/15/2023
406216-BD-2	Halliburton Co Bd			2	2FE	2,806,028	104.0120	2,995,546	2,880,000	2,849,805	0	7,723	0	0	3.500	3.816	FA	42,000	100,800	01/02/2014	08/01/2023
406216-BG-5	Halliburton Co Bd			2	2FE	4,786,247	106.7320	4,882,989	4,575,000	4,769,178	0	(11,594)	0	0	3.800	2.970	MN	22,214	117,705	09/04/2019	11/15/2025
40621P-AA-7	Halliburton Co Bd				2FE	614,520	122.2890	611,445	500,000	582,070	0	(9,818)	0	0	6.750	4.060	FA	14,063	33,750	07/06/2016	02/01/2027

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
CUSIP Identification	Description	3 Code	4 Foreign	5 Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization) Accretion	14 Current Year's Other-Than-Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
42217K-BC-9	Welltower Inc Bd			2	2FE	2,193,460	107.9760	2,159,520	2,000,000	2,109,329	0	(26,860)	0	0	4.500	2.965	JJ	41,500	90,000	09/30/2016	01/15/2024
42217K-BF-2	Welltower Inc Bd			2	2FE	1,963,240	107.6420	2,152,840	2,000,000	1,976,886	0	3,722	0	0	4.000	4.241	JD	6,667	80,000	02/17/2016	06/01/2025
428236-BF-9	Hewlett-Packard Co Bd				2FE	1,996,540	101.4520	2,029,040	2,000,000	1,999,626	0	394	0	0	3.750	3.771	JD	6,250	75,000	11/29/2010	12/01/2020
428236-BQ-5	Hewlett-Packard Co Sr Nt				2FE	760,583	103.7520	778,140	750,000	752,193	0	(1,219)	0	0	4.375	4.195	MS	9,661	32,813	03/29/2012	09/15/2021
42824C-AW-9	HP Enterprise Co Bd			2	2FE	10,270,189	111.1200	11,112,000	10,000,000	10,187,156	0	(30,756)	0	0	4.900	4.500	AO	103,444	490,000	06/08/2017	10/15/2025
431116-AB-8	Highmark Inc 144A				1FE	6,990,528	102.8850	7,119,642	6,920,000	6,938,649	0	(12,939)	0	0	4.750	4.545	MN	42,001	328,700	04/19/2016	05/15/2021
437076-AW-2	Home Depot (The) Inc Bd				1FE	500,000	102.6900	513,450	500,000	500,000	0	0	0	0	4.400	4.400	AO	5,500	22,000	03/29/2011	04/01/2021
44106M-AT-9	Hospitality Properties Trust Bd			2	2FE	5,045,870	102.8670	5,143,350	5,000,000	5,031,242	0	(6,718)	0	0	4.500	4.335	MS	66,250	225,000	06/09/2017	03/15/2025
448579-AE-2	Hyatt Hotels Corp Bd			2	2FE	1,085,686	102.4490	1,194,555	1,166,000	1,125,560	0	10,334	0	0	3.375	4.445	JJ	18,146	39,353	11/12/2015	07/15/2023
44891A-AK-3	Hyundai Capital America 144A			1	2FE	1,854,440	97.1340	1,942,680	2,000,000	1,891,384	0	13,972	0	0	2.750	3.667	MS	14,361	55,000	04/05/2017	09/27/2026
45167R-AF-1	Idex Corp Bd			2	2FE	3,499,650	102.8790	3,600,765	3,500,000	3,499,923	0	37	0	0	4.200	4.201	JD	6,533	147,000	12/09/2011	12/15/2021
452308-AT-6	Illinois Tool Works Inc Bd			2	1FE	2,007,490	106.0430	2,120,860	2,000,000	2,004,010	0	(987)	0	0	3.500	3.443	MS	23,333	70,000	06/10/2015	03/01/2024
458140-AM-2	Intel Corp Sr Nt				1FE	5,696,828	102.4840	5,892,830	5,750,000	5,732,469	0	5,606	0	0	2.700	2.808	JD	6,900	155,250	05/09/2013	12/15/2022
45822P-AA-3	Integrys Enery Group Inc Bd				2FE	303,051	101.5540	304,662	300,000	300,304	0	(352)	0	0	4.170	4.045	MN	2,085	12,510	11/12/2010	11/01/2020
45866F-AA-2	Intercontinentalexchange Bd				1FE	5,599,957	106.6470	5,854,944	5,490,000	5,544,903	0	(13,368)	0	0	4.000	3.713	AO	46,360	219,600	03/21/2016	10/15/2023
45866F-AD-6	Intercontinentalexchange Bd			2	1FE	1,551,555	107.7500	1,616,252	1,500,000	1,533,113	0	(5,220)	0	0	3.750	3.320	JD	4,688	56,250	04/14/2016	12/01/2025
459200-HP-9	Intl Business Machines Corp Bd				1FE	1,937,120	104.6860	2,093,720	2,000,000	1,974,478	0	6,537	0	0	3.375	3.759	FA	28,125	67,500	09/17/2013	08/01/2023
459200-HU-8	Intl Business Machines Corp Bd				1FE	2,069,710	105.9640	2,119,280	2,000,000	2,034,461	0	(7,729)	0	0	3.625	3.175	FA	27,993	72,500	05/04/2015	02/12/2024
459200-JG-7	Intl Business Machines Corp Bd				1FE	995,310	106.5860	1,065,860	1,000,000	996,933	0	441	0	0	3.450	3.506	FA	12,650	34,500	02/17/2016	02/19/2026
459902-AT-9	International Gaming Tech Bd			2	3FE	2,046,720	102.7500	2,055,000	2,000,000	2,019,811	0	(4,954)	0	0	5.350	5.043	AO	22,589	107,000	10/15/2013	10/15/2023
460146-CL-5	International Paper Co Bd			2	2FE	1,466,385	106.7060	1,600,590	1,500,000	1,478,035	0	3,148	0	0	3.800	4.076	JJ	26,283	57,000	01/29/2016	01/15/2026
465685-AP-0	ITC Holdings Corp 144A			2	2FE	5,339,378	104.3300	5,709,981	5,473,000	5,358,085	0	12,292	0	0	3.350	3.658	MN	23,427	183,346	06/18/2018	11/15/2027
46625H-KC-3	JP Morgan Chase & Co Bd			2	1FE	3,023,760	104.3920	3,131,760	3,000,000	3,014,585	0	(2,796)	0	0	3.125	3.015	JJ	41,146	93,750	07/14/2016	01/23/2025
46625H-MN-7	JP Morgan Chase & Co Bd			2	1FE	1,113,955	107.9900	1,166,292	1,080,000	1,100,886	0	(3,529)	0	0	3.900	3.497	JJ	19,422	42,120	02/03/2016	07/15/2025
46625H-RV-4	JP Morgan Chase & Co Bd			2	1FE	1,932,900	103.0490	2,060,980	2,000,000	1,949,312	0	6,584	0	0	2.950	3.373	AO	14,750	59,000	06/09/2017	10/01/2026
468502-AA-7	Jackson Natl Life Ins Co Bd				1	4,392,691	132.8230	4,509,354	3,395,000	4,321,551	0	(71,140)	0	0	8.150	3.785	MS	81,471	138,346	06/05/2019	03/15/2027
47233J-AB-4	Jefferies Group LLC Bd			2	2FE	1,000,000	99.2260	992,260	1,000,000	1,000,000	0	0	0	0	4.000	4.000	MN	3,889	40,000	05/16/2016	05/26/2026
47233J-AC-2	Jefferies Group LLC Bd			2	2FE	1,000,000	100.1610	1,001,610	1,000,000	1,000,000	0	0	0	0	4.250	4.250	JJ	18,889	42,500	07/18/2016	07/21/2031
47233J-AG-3	Jefferies Group LLC Bd				2FE	476,382	110.4270	524,528	475,000	476,038	0	(121)	0	0	4.850	4.813	JJ	10,623	23,038	01/11/2017	01/15/2027
47233J-AM-0	Jefferies Group LLC Bd			2	2FE	2,000,000	99.4050	1,988,100	2,000,000	2,000,000	0	0	0	0	4.000	4.000	AO	14,000	80,000	04/25/2017	04/28/2030
48127D-QC-5	JPMorgan Chase & Co BD			2	1FE	3,000,000	99.7940	2,993,820	3,000,000	3,000,000	0	0	0	0	2.500	2.500	FA	27,500	75,000	02/17/2015	02/19/2025
48128G-D9-8	JP Morgan Chase Bd			2	1FE	920,000	99.1140	911,849	920,000	920,000	0	0	0	0	2.500	2.500	FA	8,497	23,000	08/16/2016	08/18/2028
48203R-AJ-3	Juniper Networks Inc Bd			1	2FE	5,123,910	107.5820	5,379,100	5,000,000	5,083,902	0	(14,214)	0	0	4.350	3.990	JD	9,667	217,500	02/01/2018	06/15/2025
48203R-AM-6	Juniper Networks Inc BD			2	2FE	5,043,900	104.0750	5,203,750	5,000,000	5,042,649	0	(1,251)	0	0	3.750	3.642	FA	65,104	0	09/04/2019	08/15/2029
482480-AE-0	KLA Tencor Corp Bd			2	2FE	1,012,830	109.7340	1,097,340	1,000,000	1,007,517	0	(1,435)	0	0	4.650	4.468	MN	7,750	46,500	12/29/2015	11/01/2024
48249D-AA-9	KKR Group Fin Co 144A			2	1FE	9,019,870	122.2020	9,776,160	8,000,000	8,961,688	0	(23,736)	0	0	5.500	4.636	FA	183,333	440,000	06/06/2017	02/01/2043
487836-BD-9	Kellogg Co Bd				2FE	488,350	101.8510	509,255	500,000	498,639	0	1,367	0	0	4.000	4.294	JD	889	20,000	03/08/2011	12/15/2020
491674-BK-2	Kentucky Utilities Co Bd			2	1FE	1,999,140	105.0990	2,101,980	2,000,000	1,999,475	0	82	0	0	3.300	3.305	AO	16,500	66,000	09/21/2015	10/01/2025
49326E-ED-1	Keycorp Sr Nt				2FE	518,210	103.7910	518,955	500,000	502,777	0	(2,147)	0	0	5.100	4.630	MS	6,871	25,500	06/27/2011	03/24/2021
49327M-2K-9	Key Bank NA Bd				1FE	2,914,840	105.4460	3,163,380	3,000,000	2,949,463	0	8,286	0	0	3.300	3.645	JD	8,250	99,000	11/10/2015	06/01/2025
494550-BJ-4	Kinder Morgan Energy Partners Sr Nt				2FE	509,480	103.8660	519,330	500,000	502,344	0	(1,018)	0	0	4.150	3.922	MS	6,917	20,750	12/13/2011	03/01/2022
494550-BL-9	Kinder Morgan Energy Partners Sr Nt			2	2FE	259,538	104.2100	260,525	250,000	252,652	0	(1,030)	0	0	3.950	3.490	MS	3,292	9,875	07/11/2012	09/01/2022
497266-AC-0	Kirby Corporation Bd			1	2FE	4,963,150	105.7260	5,286,300	5,000,000	4,968,919	0	3,118	0	0	4.200	4.291	MS	70,000	210,000	03/20/2018	03/01/2028
500255-AU-8	Kohls Corp Bd			2	2FE	1,505,205	106.2720	1,594,080	1,500,000	1,503,245	0	(523)	0	0	4.250	4.205	JJ	29,042	63,750	10/28/2015	07/17/2025
501044-DJ-7	Kroger Co Bd			2	2FE	4,981,900	107.0550	5,352,750	5,000,000	4,985,578	0	1,617	0	0	3.700	3.744	FA	77,083	185,000	10/30/2017	08/01/2027
50540R-AJ-1	Laboratory Corp of Amer Hldgs Nt				2FE	3,529,930	101.5640	3,524,271	3,470,000	3,478,068	0	(9,027)	0	0	4.625	4.349	MN	20,507	160,488	03/06/2013	11/15/2020
512807-AN-8	Lam Research Corp Bd			2	2FE	4,358,700	106.7450	4,803,525	4,500,000	4,413,126	0	14,592	0	0	3.800	4.216	MS	50,350	171,000	04/11/2016	03/15/2025
52107Q-AG-0	Lazard Group LLC Bd			1	1FE	2,209,514	105.6030	2,344,387	2,220,000	2,210,829	0	1,316	0	0	3.750	3.840	MS	27,750	41,625	03/07/2019	02/13/2025
52107Q-AK-1	Lazard Group LLC Bd			1	1FE	2,041,480	108.9380	2,178,760	2,000,000	2,039,314	0	(2,166)	0	0	4.375	4.111	MS	26,736	43,750	05/10/2019	03/11/2029
521865-AY-1	Lear Corp Bd			2	2FE	4,961,670	100.9820	5,049,100	5,000,000	4,963,482	0	1,812	0	0	3.800	3.911	MS	55,944	76,000	10/11/2019	09/15/2027
521865-BA-2	Lear Corp Bd			1	2FE	5,902,440	103.3210	6,199,260	6,000,000	5,907,524	0	5,084	0	0	4.250	4.453	MN	32,583	137,417	05/16/2019	05/15/2029
524660-AW-7	Leggett & Platt Inc Sr Nt			2	2FE	1,830,888	101.9210	1,885,539	1,850,000	1,843,888	0	2,186	0	0	3.400	3.533	FA	23,762	62,900	07/18/2013	08/15/2022
524660-AZ-0	Leggett & Platt Inc Bd			1	2FE	4,979,900	109.3070	5,465,350	5,000,000	4,981,290	0	1,390	0	0	4.400	4.450	MS	64,778	114,889	03/08/2019	03/15/2029
524901-AV-7	Legg Mason Inc Bd				2FE	5,155,760	109.4540	5,472,700	5,000,000	5,112,350	0	(15,609)	0	0	4.750	4.331	MS	69,931	237,500	05/01/2017	03/15/2026
529043-AC-5	Lexington Realty Trust Bd			1	2FE	4,995,800	102.9940	5,149,700	5,000,000	4,997,334	0	702	0	0	4.250	4.267	JD	9,444	212,500	03/01/2018	06/15/2023
529043-AD-3	Lexington Realty Trust Bd			2	2FE	1,985,140	104.0030	2,080,060	2,000,000	1,990,334	0	1,918	0	0	4.400	4.521	JD	3,911	88,000	03/28/2017	06/15/2024
53079E-AW-4	Liberty Mutual Group 144A				2FE	756,473	106.0630	795,473	750,000	751,799	0	(712)	0	0	4.950	4.840	MN	6,188	37,125	05/02/2012	05/01/2022

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
CUSIP Identification	Description	3 Code	4 Foreign	5 Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization) Accretion	14 Current Year's Other-Than-Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
53117C-AN-2	Liberty Property LP Sr Nt			2	2FE	261,025	104.6380	261,595	250,000	252,875	0	(1,226)	0	0	4.125	3.580	JD	458	10,313	07/19/2012	06/15/2022
53227J-AA-2	Life Storage LP Bd			1	2FE	1,989,960	105.4500	2,109,000	2,000,000	1,991,733	0	869	0	0	3.875	3.936	JD	3,444	77,500	12/19/2017	12/15/2027
534187-AY-5	Lincoln National Corp Nt				2FE	515,000	100.4640	502,320	500,000	500,273	0	(2,188)	0	0	6.250	5.790	FA	11,806	31,250	10/05/2011	02/15/2020
534187-BC-2	Lincoln National Corp Sr Nt				2FE	5,836,905	104.2370	5,993,628	5,750,000	5,773,234	0	(9,903)	0	0	4.200	4.007	MS	71,108	241,500	08/09/2013	03/15/2022
534187-BE-8	Lincoln National Corp Bd				2FE	1,665,038	104.0860	1,821,505	1,750,000	1,697,597	0	8,923	0	0	3.350	3.995	MS	18,239	58,625	03/09/2016	03/09/2025
534187-BF-5	Lincoln National Corp Bd			2	2FE	3,498,996	105.6550	3,726,452	3,527,000	3,499,288	0	2,535	0	0	3.625	3.752	MS	38,711	127,854	02/28/2019	12/12/2026
539830-AR-0	Lockheed Martin Corp Bd				1FE	1,006,646	136.8710	1,368,710	1,000,000	1,005,036	0	(171)	0	0	6.150	6.101	MS	20,500	61,500	08/30/2006	09/01/2036
540424-AQ-1	Loews Corp Sr Nt			2	1FE	3,895,520	101.8990	4,075,960	4,000,000	3,956,834	0	11,990	0	0	2.625	2.964	MN	13,417	105,000	02/09/2015	05/15/2023
540424-AS-7	Loews Corp Bd			2	1FE	1,520,490	107.4020	1,611,030	1,500,000	1,513,463	0	(1,969)	0	0	3.750	3.583	AO	14,063	56,250	03/23/2016	04/01/2026
543859-AH-5	Loral Corp Deb				1FE	971,900	116.1340	1,161,340	1,000,000	992,641	0	1,677	0	0	7.000	7.230	MS	20,611	70,000	10/06/1993	09/15/2023
55279H-AK-6	Manuf & Traders Trust Co Bd			2	1FE	1,984,554	102.9330	2,058,660	2,000,000	1,991,544	0	1,514	0	0	2.900	2.990	FA	23,361	58,000	02/11/2015	02/06/2025
55279H-AQ-3	Manuf & Traders Trust Co Bd				1FE	4,353,772	105.8050	4,581,357	4,330,000	4,349,112	0	(2,167)	0	0	3.400	3.334	FA	54,799	147,220	09/28/2017	08/17/2027
55336V-AJ-9	MPLX LP Bd			2	2FE	3,116,815	109.2170	3,161,832	2,895,000	3,055,981	0	(27,777)	0	0	4.875	3.684	JD	11,761	141,131	09/29/2017	06/01/2025
55336V-AR-1	MPLX LP BD			1	2FE	3,104,100	103.5340	3,106,020	3,000,000	3,100,931	0	(3,169)	0	0	4.000	3.512	MS	35,333	0	09/13/2019	03/15/2028
55448Q-AQ-9	Mack-Cali Realty Sr Nt			2	3FE	652,933	101.6360	625,061	615,000	624,481	0	(4,366)	0	0	4.500	3.713	AO	5,843	27,675	09/10/2012	04/18/2022
55448Q-AS-5	Mack-Cali Realty Bd			2	3FE	2,924,765	98.2550	3,236,520	3,294,000	3,145,556	0	39,824	0	0	3.150	4.609	MN	13,258	103,761	10/15/2013	05/15/2023
55616X-AH-0	Macys Retail Holdings Bd			2	2FE	767,862	99.6940	850,390	853,000	811,695	0	12,051	0	0	2.875	4.557	FA	9,265	24,524	02/10/2016	02/15/2023
55907R-AA-6	Magellan Midstream Partn Bd				2FE	520,790	102.4350	512,175	500,000	502,786	0	(2,476)	0	0	4.250	3.720	FA	8,854	21,250	09/14/2011	02/01/2021
559222-AQ-7	Magna International Inc. Bd			2	1FE	3,090,150	105.2150	3,156,450	3,000,000	3,051,421	0	(11,231)	0	0	3.625	3.187	JD	4,833	108,750	05/24/2016	06/15/2024
565849-AK-2	Marathon Oil Corp Bd			2	2FE	1,123,154	101.6630	1,258,588	1,238,000	1,188,375	0	16,136	0	0	2.800	4.319	MN	5,777	34,664	08/28/2015	11/01/2022
565849-AL-0	Marathon Oil Corp Bd			2	2FE	1,787,360	105.8850	2,117,700	2,000,000	1,867,963	0	20,569	0	0	3.850	5.267	JD	6,417	77,000	09/28/2015	06/01/2025
56585A-AD-4	Marathon Petroleum Corp Sr Nt				2FE	3,169,750	103.5430	3,106,290	3,000,000	3,035,351	0	(29,174)	0	0	5.125	4.078	MS	51,250	153,750	05/26/2016	03/01/2021
56585A-AG-7	Marathon Petroleum Corp BD			2	2FE	2,747,060	105.0170	2,896,369	2,758,000	2,753,755	0	657	0	0	3.625	3.655	MS	29,438	99,978	08/26/2016	09/15/2024
56607V-AA-8	Marble PT LN FING LTD 144A				1PL	2,000,000	102.2500	2,045,000	2,000,000	2,000,000	0	0	0	0	7.500	7.500	MN	18,750	149,583	11/15/2018	11/16/2025
571748-AX-0	Marsh and McLennan Cos Bd			2	1FE	1,498,125	105.5400	1,583,100	1,500,000	1,498,868	0	195	0	0	3.500	3.516	MS	16,188	52,500	02/16/2016	03/10/2025
571748-AZ-5	Marsh and McLennan Cos Bd			2	1FE	3,049,200	107.4440	3,223,320	3,000,000	3,033,308	0	(4,934)	0	0	3.750	3.542	MS	33,438	112,500	03/03/2017	03/14/2026
57629W-BR-0	Massmutual Global Funding 144A				1FE	4,720,225	101.4360	4,929,790	4,860,000	4,815,238	0	15,161	0	0	2.500	2.845	AO	24,975	121,500	03/11/2013	10/17/2022
577778-AS-2	May Department Stores Co Deb			4	2FE	75,375	101.5400	76,155	75,000	75,010	0	(20)	0	0	9.750	9.690	FA	2,763	7,313	02/25/1991	02/15/2021
58155Q-AD-5	McKesson Corp Bd				2FE	507,665	102.4110	512,055	500,000	501,084	0	(885)	0	0	4.750	4.557	MS	7,917	23,750	03/03/2011	03/01/2021
585055-AZ-9	Medtronic Inc Sr Nt			2	1FE	998,013	102.3310	1,023,310	1,000,000	999,293	0	205	0	0	2.750	2.773	AO	6,875	27,500	05/20/2013	04/01/2023
585055-BC-9	Medtronic Inc Bd			2	1FE	1,002,360	106.0300	1,060,300	1,000,000	1,001,092	0	(243)	0	0	3.625	3.596	MS	10,674	36,250	03/07/2014	03/15/2024
58601V-AA-0	Memorial Health Services Sr Nt				1FE	5,001,465	101.8770	4,839,158	4,750,000	4,816,625	0	(27,235)	0	0	3.496	2.870	MN	27,677	166,060	07/23/2012	05/01/2022
58933Y-AF-2	Merck & Co Inc Bd				1FE	1,858,460	103.1030	2,062,060	2,000,000	1,944,387	0	15,182	0	0	2.800	3.683	MN	6,689	56,000	12/06/2013	05/18/2023
58933Y-AR-6	Merck & Co Inc Bd			2	1FE	979,520	103.5560	1,035,560	1,000,000	988,707	0	2,018	0	0	2.750	2.990	FA	10,771	27,500	03/06/2015	02/10/2025
589400-AB-6	Mercury General Corp Bd			1	2FE	5,041,918	105.1140	5,255,700	5,000,000	5,032,160	0	(3,863)	0	0	4.400	4.293	MS	64,778	220,000	04/24/2017	03/15/2027
59156R-BF-4	MetLife Inc Sr Nt				1FE	1,996,960	103.3260	2,066,520	2,000,000	1,998,984	0	323	0	0	3.048	3.078	MJSD	2,709	60,960	03/05/2013	12/15/2022
59156R-BQ-0	MetLife Inc Bd			2	1FE	2,553,775	107.4370	2,685,925	2,500,000	2,534,631	0	(5,531)	0	0	3.600	3.327	MN	12,000	90,000	05/20/2016	11/13/2025
592173-AE-8	Met Life 144A				1	2,613,060	126.3150	2,526,302	2,000,000	2,450,397	0	(68,483)	0	0	7.800	3.498	MN	26,000	156,000	07/19/2017	11/01/2025
59217G-AQ-2	Met Life Glob Funding 144A				1FE	252,103	104.1840	260,460	250,000	250,551	0	(228)	0	0	3.875	3.773	AO	2,153	9,688	04/11/2012	04/11/2022
59523U-AA-5	Mid-America Apt LP Bd			2	2FE	2,229,963	107.0070	2,295,300	2,145,000	2,181,704	0	(9,495)	0	0	4.300	3.780	AO	19,472	92,235	06/16/2014	10/15/2023
59523U-AM-9	Mid-America Apt LP BD			2	2FE	497,995	108.2200	541,100	500,000	498,731	0	188	0	0	4.000	4.049	MN	2,556	20,000	11/05/2015	11/15/2025
595620-AB-1	Midamerican Energy Hldgs Bd				1FE	3,043,691	139.7820	3,089,182	2,210,000	2,934,716	0	(48,199)	0	0	6.750	3.399	JD	414	149,175	09/22/2017	12/30/2031
617446-7P-8	Morgan Stanley Nt				1FE	516,325	102.0270	510,135	500,000	501,256	0	(2,145)	0	0	5.500	5.042	JJ	11,993	27,500	08/05/2011	07/24/2020
617446-8C-6	Morgan Stanley Bd				1FE	1,031,860	108.2080	1,082,080	1,000,000	1,019,591	0	(3,128)	0	0	4.000	3.608	JJ	17,556	40,000	10/29/2015	07/23/2025
61746B-DQ-6	Morgan Stanley Bd				1FE	1,978,420	106.3770	2,127,540	2,000,000	1,989,604	0	2,159	0	0	3.875	4.007	AO	13,347	77,500	04/29/2014	04/29/2024
61747Y-DU-6	Morgan Stanley MTN				2FE	2,845,560	105.6290	3,168,870	3,000,000	2,938,488	0	16,345	0	0	4.100	4.762	MN	13,325	123,000	07/24/2013	05/22/2023
61945C-AA-1	Mosaic Co Sr Nt				2FE	504,330	102.8360	514,180	500,000	500,932	0	(473)	0	0	3.750	3.646	MN	2,396	18,750	10/21/2011	11/15/2021
61945C-AC-7	Mosaic Co Bd				2FE	7,927,710	106.6680	8,000,100	7,500,000	7,742,719	0	(57,989)	0	0	4.250	3.350	MN	40,729	318,750	09/09/2016	11/15/2023
61980A-AD-5	Motiva Enterprises LLC 144A				2FE	5,997,480	120.8570	6,042,850	5,000,000	5,962,586	0	(20,990)	0	0	6.850	5.281	JJ	157,931	239,750	05/08/2019	01/15/2040
626717-AD-4	Murphy Oil Corp Sr Nt			2	3FE	753,000	102.2490	766,868	750,000	750,801	0	(332)	0	0	4.000	3.950	JD	2,500	30,000	05/24/2012	06/01/2022
626717-AF-9	Murphy Oil Corp Bd			2	3FE	1,992,060	103.2490	2,064,980	2,000,000	2,005,327	0	(1,678)	0	0	4.450	4.352	JD	7,417	86,500	05/29/2014	12/01/2022
628530-BK-2	Mylan Laboratories Inc Bd			1	2FE	4,318,080	107.6210	4,304,840	4,000,000	4,307,877	0	(10,203)	0	0	4.550	3.446	AO	38,422	91,000	09/05/2019	04/15/2028
631103-AF-5	NASDAQ OMX Group Bd			2	2FE	2,001,840	107.9770	2,159,540	2,000,000	2,001,521	0	(299)	0	0	4.250	4.231	JD	7,083	85,000	12/03/2018	06/01/2024
631103-AG-3	NASDAQ OMX Group Bd			1	2FE	1,974,040	107.1210	2,142,420	2,000,000	1,981,283	0	2,475	0	0	3.850	4.015	JD	214	77,000	12/14/2016	06/30/2026
636180-BK-6	National Fuel Gas Co Sr Nt				2FE	3,053,474	104.1000	3,123,000	3,000,000	3,014,340	0	(7,059)	0	0	4.900	4.635	JD	12,250	147,000	10/10/2013	12/01/2021
636180-BL-4	National Fuel Gas Co Sr Nt			2	2FE	1,869,920	103.2280	2,064,560	2,000,000	1,933,885	0	18,871	0	0	3.750	4.890	MS	25,000	75,000	05/23/2016	03/01/2023
636180-BM-2	National Fuel Gas Co Bd			1	2FE	1,010,480	110.6190	1,106,190	1,000,000	1,006,535	0	(1,040)	0	0	5.200	5.059	JJ	23,978	52,000	10/06/2015	07/15/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
637071-AJ-0	National Oilwell Varco Inc Sr Nt			2	2FE	2,066,583	100.9630	2,308,014	2,286,000	2,177,505	0	34,105	0	0	2.600	4.348	JD	4,953	59,436	12/05/2016	12/01/2022
637071-AM-3	National Oilwell Varco Inc Bd			1	2FE	2,940,690	100.3340	3,010,020	3,000,000	2,940,991	0	301	0	0	3.600	3.840	JD	14,100	0	12/06/2019	12/01/2029
637417-AE-6	National Retail Properties Sr Nt			2	2FE	748,680	104.0740	780,555	750,000	749,605	0	132	0	0	3.800	3.820	AO	6,017	28,500	08/08/2012	10/15/2022
637417-AF-3	National Retail Properties Bd			2	2FE	2,797,650	102.9420	3,088,260	3,000,000	2,922,339	0	21,616	0	0	3.300	4.150	AO	20,900	99,000	07/24/2013	04/15/2023
637417-AJ-5	National Retail Properties Bd			2	2FE	1,406,432	105.0210	1,496,549	1,425,000	1,411,158	0	1,711	0	0	3.600	3.760	JD	2,280	51,300	03/02/2017	12/15/2026
637417-AK-2	National Retail Properties Bd			2	2FE	4,169,350	104.4820	4,440,485	4,250,000	4,184,927	0	7,080	0	0	3.500	3.728	AO	31,403	148,750	10/25/2017	10/15/2027
63743F-YD-2	National Rural Util Coop Bd				1FE	3,500,000	103.1570	3,610,495	3,500,000	3,500,000	0	0	0	0	3.500	3.500	MON	5,444	122,500	11/16/2015	11/15/2025
63743F-ZC-3	National Rural Util Coop Bd				1FE	2,000,000	101.0780	2,021,560	2,000,000	2,000,000	0	0	0	0	3.250	3.250	MON	2,889	65,000	04/04/2016	04/15/2028
63743F-ZU-3	National Rural Util Coop Bd				1FE	1,500,000	100.5340	1,508,010	1,500,000	1,500,000	0	0	0	0	3.000	3.000	MON	2,000	45,000	06/13/2016	06/15/2028
63743F-ZZ-2	National Rural Util Coop Bd				1FE	1,000,000	99.6400	996,400	1,000,000	1,000,000	0	0	0	0	3.000	3.000	MON	1,333	30,000	07/05/2016	07/15/2029
638612-AK-7	Nationwide Financial Services 144A				2FE	767,393	103.8760	779,070	750,000	752,661	0	(2,047)	0	0	5.375	5.074	MS	10,750	40,313	01/05/2012	03/25/2021
63946B-AE-0	NBCUniversal Media LLC Nt				1FE	2,323,983	103.1390	2,372,197	2,300,000	2,303,353	0	(2,569)	0	0	4.375	4.254	AO	25,156	100,625	04/04/2011	04/01/2021
64110D-AE-4	Netapp Inc Bd			2	2FE	1,468,875	101.6690	1,525,035	1,500,000	1,491,057	0	5,867	0	0	3.375	3.800	JD	2,250	50,625	12/29/2015	06/15/2021
64128X-AG-5	Neuberger Berman Grp Fin 144A			1	2FE	5,048,700	106.6280	5,331,400	5,000,000	5,044,538	0	(4,162)	0	0	4.500	4.351	MS	66,250	112,500	03/18/2019	03/15/2027
64952G-AE-8	New York Life Insurance 144A			1	1	5,435,415	131.8050	5,931,239	4,500,000	5,365,543	0	(48,126)	0	0	5.875	4.003	MN	33,781	264,375	07/13/2018	05/15/2033
64952W-CH-4	New York Life Global FDG 144a			2	1FE	1,883,780	100.1520	2,003,040	2,000,000	1,917,609	0	11,229	0	0	2.350	3.050	JJ	21,803	47,000	11/18/2016	07/14/2026
651639-AN-6	Newmont Goldcorp Corp Sr Nt			2	2FE	5,462,283	102.6350	5,901,513	5,750,000	5,663,257	0	36,187	0	0	3.500	4.218	MS	59,257	201,250	10/11/2013	03/15/2022
651639-AT-3	Newmont Goldcorp Corp Bd				2FE	952,623	104.5810	1,045,810	1,000,000	957,454	0	4,830	0	0	3.700	5.158	MS	10,894	18,500	08/06/2019	03/15/2023
65339K-AA-8	Nextera Energy Capital Bd			2	2FE	501,180	102.8990	514,495	500,000	500,198	0	(137)	0	0	4.500	4.470	JD	1,875	22,500	06/28/2011	06/01/2021
65339K-AG-5	Nextera Energy Capital Bd			2	2FE	1,887,300	104.5500	2,091,000	2,000,000	1,954,568	0	11,940	0	0	3.625	4.340	JD	3,222	72,500	09/17/2013	06/15/2023
654894-AF-1	Noble Energy Inc Bd				2FE	1,295,580	126.6490	1,266,490	1,000,000	1,284,443	0	(11,137)	0	0	8.000	3.520	AO	20,000	40,000	08/30/2019	04/01/2027
655044-AH-8	Noble Energy Inc Bd			2	2FE	934,960	105.6860	1,056,860	1,000,000	961,783	0	6,814	0	0	3.900	4.789	MN	4,983	39,000	10/01/2015	11/15/2024
655664-AP-5	Nordstrom Inc Sr Nt				2FE	499,140	102.6360	513,180	500,000	499,821	0	95	0	0	4.000	4.021	AO	4,222	20,000	10/05/2011	10/15/2021
655855-FA-7	Norfolk Southern Corp Deb				1FE	591,738	103.4990	600,294	580,000	580,622	0	(1,280)	0	0	9.750	9.503	JD	2,513	56,550	08/27/2004	06/15/2020
665859-AN-4	Northern Trust Corp Sr Nt				1FE	747,878	101.2680	759,510	750,000	749,402	0	222	0	0	2.375	2.407	FA	7,372	17,813	07/30/2012	08/02/2022
665859-AP-9	Northern Trust Corp Bd				1FE	5,896,733	108.8280	6,257,610	5,750,000	5,835,580	0	(12,994)	0	0	3.950	3.663	AO	38,485	227,125	11/10/2015	10/30/2025
66706*-AA-6	NORTHSTAR				2PL	599,599	100.0000	599,599	599,599	599,599	0	0	0	0	8.000	8.000	JD	2,798	23,984	10/30/2019	06/10/2034
670346-AL-9	Nucor Corp Bd			2	1FE	1,523,822	104.9440	1,574,160	1,500,000	1,505,204	0	(2,343)	0	0	4.125	3.951	MS	18,219	61,875	04/05/2011	09/15/2022
670346-AM-7	Nucor Corp Sr Nt			2	1FE	4,956,532	105.7470	5,294,752	5,007,000	4,985,470	0	5,465	0	0	4.000	4.130	FA	83,450	200,280	01/12/2016	08/01/2023
674599-CC-7	Occidental Petroleum Corp Sr Nt				2FE	985,240	101.7730	1,017,730	1,000,000	995,834	0	1,865	0	0	3.125	3.330	FA	11,806	31,250	10/31/2013	02/15/2022
674599-CE-3	Occidental Petroleum Corp Sr Nt			2	2FE	1,981,300	100.7950	2,015,900	2,000,000	1,993,466	0	1,976	0	0	2.700	2.810	FA	20,400	54,000	05/13/2013	02/15/2023
674599-CG-8	Occidental Petroleum Corp Bd			2	2FE	2,495,410	103.3150	2,582,875	2,500,000	2,497,284	0	444	0	0	3.500	3.522	JD	3,889	87,500	09/17/2015	06/15/2025
674599-CH-6	Occidental Petroleum Corp Bd			2	2FE	1,269,891	102.6600	1,283,250	1,250,000	1,263,184	0	(1,952)	0	0	3.400	3.206	AO	8,972	42,500	11/30/2016	04/15/2026
674599-DA-0	Occidental Petroleum Corp Bd			2	2FE	1,313,926	102.4250	1,536,375	1,500,000	1,323,437	0	9,512	0	0	3.450	6.485	JJ	23,863	0	09/13/2019	07/15/2024
675232-AA-0	Oceaneering Int'l Inc Bd			2	3FE	2,010,000	98.2500	1,965,000	2,000,000	2,006,282	0	(1,170)	0	0	4.650	4.575	MN	11,883	93,000	07/12/2016	11/15/2024
680223-AJ-3	Old Republic Intl Corp Bd			2	2FE	6,505,466	109.6360	6,693,278	6,105,000	6,368,456	0	(50,653)	0	0	4.875	3.855	AO	74,405	297,619	07/19/2017	10/01/2024
680223-AK-0	Old Republic Intl Corp Bd			1	2FE	2,864,708	105.3850	3,024,550	2,870,000	2,865,773	0	535	0	0	3.875	3.900	FA	38,615	111,213	05/04/2017	08/26/2026
681936-BK-5	Omega Healthcare Invs Inc Bd			2	2FE	5,092,500	108.8820	5,444,100	5,000,000	5,076,428	0	(7,990)	0	0	4.750	4.516	JJ	109,514	237,500	10/18/2017	01/15/2028
682134-AC-5	Omnicom Group Inc Bd				2FE	2,164,827	101.3580	2,250,148	2,220,000	2,215,578	0	6,852	0	0	4.450	4.779	FA	37,321	98,790	04/08/2011	08/15/2020
68268O-AQ-6	Oneok Inc Sr Nt			2	2FE	4,745,703	103.7590	4,928,553	4,750,000	4,748,257	0	650	0	0	4.250	4.266	FA	84,115	201,875	07/24/2013	02/01/2022
68268N-AJ-2	Oneok Partners LP Sr Nt			2	2FE	2,959,710	102.9000	3,087,000	3,000,000	2,986,756	0	4,509	0	0	3.375	3.545	AO	25,313	101,250	05/30/2013	10/01/2022
68268N-AP-8	Oneok Partners LP Bd			1	2FE	2,079,940	110.1040	2,202,080	2,000,000	2,061,039	0	(10,841)	0	0	4.900	4.212	MS	28,856	98,000	03/21/2018	03/15/2025
68389X-BC-8	Oracle Corp Bd			2	1FE	1,971,260	104.0870	2,081,740	2,000,000	1,983,504	0	2,780	0	0	2.950	3.118	MN	7,539	59,000	05/04/2015	05/15/2025
693476-BL-6	PNC Funding Corp Bd				1FE	501,305	101.5030	507,515	500,000	500,104	0	(165)	0	0	4.375	4.340	FA	8,507	21,875	04/05/2011	08/11/2020
693476-BN-2	PNC Funding Corp Sr Nt			2	1FE	997,040	102.7320	1,027,320	1,000,000	999,267	0	318	0	0	3.300	3.335	MS	10,358	33,000	03/08/2012	03/08/2022
69349L-AQ-1	PNC Bank Bd			2	1FE	1,741,446	109.9730	1,732,075	1,575,000	1,687,095	0	(17,688)	0	0	4.200	2.850	MN	11,025	66,150	10/27/2016	11/01/2025
693506-BP-1	PPG Industries Inc Bd			1	1FE	5,055,050	108.7600	5,438,000	5,000,000	5,051,313	0	(3,737)	0	0	3.750	3.601	MS	55,208	93,750	04/16/2019	03/15/2028
69351U-AQ-6	PPL Electric Utilities Bd			2	1FE	996,650	101.0200	1,010,200	1,000,000	999,025	0	349	0	0	2.500	2.538	MS	8,333	25,000	08/21/2012	09/01/2022
69353R-EK-0	PNC Bank Na Bd			2	1FE	992,150	103.5150	1,035,150	1,000,000	994,897	0	903	0	0	2.950	3.058	FA	10,489	29,500	11/17/2016	02/23/2025
69362B-AW-2	PSEG Power Bd				2FE	533,230	100.8950	504,475	500,000	501,284	0	(4,347)	0	0	5.125	4.217	AO	5,410	25,625	06/02/2011	04/15/2020
69362B-BA-9	PSEG Power Bd			2	2FE	3,655,984	105.7730	3,839,560	3,630,000	3,643,294	0	(3,284)	0	0	4.300	4.191	MN	19,945	156,090	12/10/2015	11/15/2023
694476-AC-6	Pacific Lifecorp 144A				1FE	80,122	100.3500	72,252	72,000	72,115	0	(1,042)	0	0	6.000	4.497	FA	1,692	4,320	11/01/2010	02/10/2020
703481-AB-7	Patterson-Uti Energy Inc 144A			2	2FE	7,551,498	97.2160	7,558,544	7,775,000	7,569,565	0	15,660	0	0	3.950	4.340	FA	127,964	232,556	06/13/2019	02/01/2028
709599-AX-2	Penske Truck Leasing PTI 144A			2	2FE	7,050,460	107.2140	7,504,980	7,000,000	7,036,898	0	(4,824)	0	0	4.200	4.112	AO	73,500	210,000	04/02/2019	04/01/2027
712704-AA-3	People's United Financial Inc. Bd			2	2FE	2,350,941	103.8740	2,477,395	2,385,000	2,371,701	0	4,196	0	0	3.650	3.853	JD	6,045	87,053	09/11/2015	12/06/2022
713448-CT-3	PepsiCo Inc Bd			2	1FE	2,687,845	103.5910	2,881,902	2,782,000	2,727,879	0	9,182	0	0	2.750	3.149	AO	12,963	76,505	06/08/2015	04/30/2025
717081-DM-2	Pfizer Inc Bd				1FE	1,421,810	105.7820	1,480,948	1,400,000	1,411,022	0	(2,316)	0	0	3.400	3.205	MN	6,082	47,600	05/06/2015	05/15/2024

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
CUSIP Identification	Description	3 Code	4 Foreign	5 Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization) Accretion	14 Current Year's Other-Than-Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
718546-AC-8	Phillips 66 Sr Nt				1FE	2,849,055	104.9600	2,886,400	2,750,000	2,778,256	0	(11,820)	0	0	4.300	3.819	AO	29,563	118,250	07/12/2013	04/01/2022
718549-AB-4	Phillips 66 Partners LP Bd			2	2FE	921,920	104.7860	1,047,860	1,000,000	952,860	0	7,979	0	0	3.605	4.650	FA	13,619	36,050	11/02/2015	02/15/2025
718549-AD-0	Phillips 66 Partners LP Bd			1	2FE	1,200,663	104.7370	1,309,213	1,250,000	1,213,056	0	4,672	0	0	3.550	4.055	AO	11,094	44,375	03/29/2017	10/01/2026
720198-AC-4	Piedmont Operating Partn Bd			2	2FE	1,755,834	102.4390	1,910,487	1,865,000	1,808,156	0	15,112	0	0	3.400	4.370	JD	5,284	63,410	12/12/2016	06/01/2023
720198-AD-2	Piedmont Operating Partn Bd			2	2FE	6,399,478	106.6160	6,540,892	6,135,000	6,312,009	0	(40,941)	0	0	4.450	3.659	MS	80,386	273,008	11/06/2017	03/15/2024
72303#-AA-7	PineBridge Private				1PL	10,614,771	100.0000	10,614,771	10,614,771	10,614,771	0	0	0	0	6.000	6.000	MJSD	1,769	0	12/11/2019	12/31/2031
72650R-BB-7	Plains All Amer Pipeline Bd			2	2FE	2,666,595	101.0130	3,030,390	3,000,000	2,838,035	0	47,493	0	0	2.850	4.749	JJ	35,863	85,500	04/08/2016	01/31/2023
737415-AL-3	Post Apartment Homes LP Sr Nt			2	2FE	962,200	103.0490	1,030,490	1,000,000	983,741	0	5,160	0	0	3.375	3.971	JD	2,813	33,750	07/14/2015	12/01/2022
74005P-BA-1	Praxair Inc Sr Nt				1FE	4,321,149	101.2020	4,554,090	4,500,000	4,457,262	0	19,252	0	0	2.450	2.915	FA	41,650	110,250	03/19/2012	02/15/2022
74052B-AA-5	Premier Health Partners Bd			2	2FE	4,746,147	97.2610	4,863,050	5,000,000	4,804,694	0	24,661	0	0	2.911	3.556	MN	18,598	145,550	09/26/2017	11/15/2026
741503-AW-6	Booking Holdings Inc Bd			2	1FE	1,004,900	106.8850	1,068,850	1,000,000	1,003,234	0	(579)	0	0	3.650	3.579	MS	10,747	36,500	12/07/2016	03/15/2025
74164M-AA-6	Primerica Inc Sr Nt				1FE	8,441,651	105.8520	8,468,160	8,000,000	8,172,203	0	(64,105)	0	0	4.750	3.847	JJ	175,222	380,000	07/29/2016	07/15/2022
74251V-AE-2	Principal Financial Group Sr Nt				1FE	996,360	102.8290	1,028,290	1,000,000	998,896	0	384	0	0	3.300	3.343	MS	9,717	33,000	09/05/2012	09/15/2022
74256L-AU-3	Principal Life Global Fund II 144A				1FE	2,925,227	103.3920	3,031,453	2,932,000	2,927,498	0	640	0	0	3.000	3.027	AO	17,836	87,960	05/19/2016	04/18/2026
74348Y-R6-0	Prospect Capital Corp Step			2	2FE	3,000,000	99.9770	2,999,310	3,000,000	2,999,998	0	(2)	0	0	5.000	4.995	JJ	73,333	0	07/01/2019	07/15/2029
74348Y-U6-6	Prospect Capital Corp Step up			2	2FE	2,000,000	98.3670	1,967,340	2,000,000	2,000,000	0	0	0	0	4.000	4.000	FA	30,222	0	08/12/2019	08/15/2029
74348Y-V8-1	Prospect Capital Corp Bd			2	2FE	1,999,500	99.1920	1,983,840	2,000,000	1,999,505	0	5	0	0	4.000	4.004	MS	21,111	0	09/23/2019	09/15/2026
743674-BD-4	Protective Life Corp 144A			1	2FE	9,055,416	108.2050	9,415,999	8,702,000	9,048,040	0	(8,935)	0	0	4.300	3.733	MS	94,586	316,690	09/06/2019	09/30/2028
74432Q-BM-6	Prudential Financial Inc Bd				1FE	208,452	101.5760	203,152	200,000	200,530	0	(1,085)	0	0	5.375	4.800	JD	299	10,750	04/07/2011	06/21/2020
74432Q-BP-9	Prudential Financial Inc MTN				1FE	297,714	102.2550	306,765	300,000	299,757	0	268	0	0	4.500	4.596	MN	1,725	13,500	11/15/2010	11/15/2020
74432Q-BZ-7	Prudential Financial Inc Bd				1FE	2,021,260	106.3970	2,127,940	2,000,000	2,010,483	0	(2,190)	0	0	3.500	3.370	MN	8,944	70,000	09/03/2014	05/15/2024
747525-AF-0	QUALCOMM Inc Bd			2	1FE	7,911,275	105.7420	8,459,360	8,000,000	7,945,021	0	9,050	0	0	3.450	3.591	MN	31,433	276,000	12/20/2017	05/20/2025
747525-AU-7	QUALCOMM Inc Bd			1	1FE	1,946,880	105.2220	2,104,440	2,000,000	1,957,111	0	4,990	0	0	3.250	3.583	MN	7,403	65,000	11/28/2017	05/20/2027
74834L-AS-9	Quest Diagnostics Inc Bd				2FE	3,495,095	103.3260	3,616,410	3,500,000	3,499,266	0	558	0	0	4.700	4.717	AO	41,125	164,500	04/04/2011	04/01/2021
74834L-AV-2	Quest Diagnostics Inc Bd			2	2FE	2,525,625	107.6520	2,691,300	2,500,000	2,511,921	0	(2,672)	0	0	4.250	4.120	AO	26,563	106,250	04/23/2014	04/01/2024
749607-AC-1	RLI Corp Bd				2FE	3,271,399	107.1610	3,439,868	3,210,000	3,238,250	0	(6,860)	0	0	4.875	4.613	MS	46,077	156,488	06/26/2015	09/15/2023
751277-AR-5	Ralston Purina Group Deb				1FE	1,011,560	115.8730	1,158,730	1,000,000	1,002,817	0	(777)	0	0	8.125	8.020	FA	33,854	81,250	03/22/1994	02/01/2023
754730-AE-9	Raymond James Financial Bd			2	2FE	5,985,060	105.6840	6,341,040	6,000,000	5,987,357	0	1,095	0	0	3.625	3.652	MS	64,042	217,500	12/15/2016	09/15/2026
755111-BX-8	Raytheon Company Sr Nt				1FE	4,814,450	102.0360	5,101,800	5,000,000	4,938,165	0	19,745	0	0	2.500	2.940	JD	5,556	125,000	03/08/2013	12/15/2022
755763-AA-7	Readycap Holdings LLC 144A			2	1PL	4,114,340	102.2500	4,090,000	4,000,000	4,084,590	0	(29,750)	0	0	7.500	6.279	FA	113,333	225,000	04/12/2019	02/15/2022
756109-AN-4	Realty Income Corp Sr Nt			2	1FE	4,464,030	103.2530	4,646,385	4,500,000	4,485,564	0	4,851	0	0	3.250	3.371	AO	30,875	146,250	03/08/2016	10/15/2022
759351-AJ-8	Reinsurance Group of America Sr Nt				2FE	3,592,265	103.8710	3,635,485	3,500,000	3,516,454	0	(11,002)	0	0	5.000	4.653	JD	14,583	175,000	12/07/2011	06/01/2021
759351-AL-3	Reinsurance Group of America MTN				2FE	3,135,350	108.4720	3,254,160	3,000,000	3,060,291	0	(14,800)	0	0	4.700	4.109	MS	41,517	141,000	10/29/2015	09/15/2023
759351-AM-1	Reinsurance Group of America Bd			1	2FE	3,568,095	106.0760	3,712,660	3,500,000	3,550,651	0	(6,811)	0	0	3.950	3.696	MS	40,707	138,250	10/25/2017	09/15/2026
759351-AN-9	Reinsurance Group of America Bd			1	2FE	4,609,817	106.6470	4,809,780	4,510,000	4,605,167	0	(4,650)	0	0	3.900	3.626	MN	22,475	87,945	05/17/2019	05/15/2029
75972Y-AA-9	Renaissancere Finance Bd			2	1FE	3,048,047	105.7510	3,209,543	3,035,000	3,042,367	0	(1,298)	0	0	3.700	3.647	AO	28,074	112,295	04/10/2015	04/01/2025
75973Q-AA-5	Renaissancere Finance Bd			2	1FE	6,853,998	104.6090	7,286,017	6,965,000	6,877,302	0	9,999	0	0	3.450	3.643	JJ	120,146	240,293	10/05/2017	07/01/2027
774341-AC-5	Rockwell Collins Inc Sr Nt			2	2FE	3,030,750	101.7180	3,051,540	3,000,000	3,006,020	0	(3,521)	0	0	3.100	2.974	MN	11,883	93,000	03/21/2012	11/15/2021
778296-AA-1	Ross Stores Inc Bd			2	1FE	1,854,106	104.4170	1,928,582	1,847,000	1,850,690	0	(789)	0	0	3.375	3.325	MS	18,355	62,336	03/20/2015	09/15/2024
78390X-AA-9	Leidos Holdigs Inc Sr Nt			2	2FE	4,667,472	101.5840	4,535,726	4,465,000	4,483,737	0	(26,932)	0	0	4.450	3.814	JD	16,558	198,693	03/16/2012	12/01/2020
78409V-AD-6	S&P Global Inc Bd			2	1FE	1,467,607	108.7500	1,643,213	1,511,000	1,483,916	0	4,308	0	0	4.000	4.373	JD	2,686	60,440	12/02/2015	06/15/2025
784710-AA-3	SSM Health Care Bd			2	1FE	9,240,292	106.3640	9,625,942	9,050,000	9,196,934	0	(17,693)	0	0	3.823	3.564	JD	28,832	345,982	07/11/2017	06/01/2027
785592-AU-0	Sabine Pass Liquefaction Bd			2	2FE	5,063,830	105.9640	5,298,200	5,000,000	5,051,562	0	(5,601)	0	0	4.200	4.043	MS	61,833	210,000	09/27/2017	03/15/2028
79588T-AC-4	Sammons Financial Group 144A			2	2FE	8,266,810	103.3770	8,270,160	8,000,000	8,235,502	0	(16,522)	0	0	4.450	3.970	MN	48,456	289,250	08/15/2019	05/12/2027
80282K-AP-1	Santander Holdings USA Bd			2	2FE	2,051,025	107.9550	2,159,100	2,000,000	2,042,548	0	(4,794)	0	0	4.400	4.066	JJ	41,067	88,000	03/01/2018	07/13/2027
803111-AS-2	Sara Lee Corp Bd				2FE	2,886,861	101.1390	2,855,154	2,823,000	2,829,427	0	(8,853)	0	0	4.100	3.769	MS	34,080	115,743	08/22/2012	09/15/2020
80589M-AD-4	SCANA Corp Bd			2	2FE	3,622,905	102.2460	3,578,610	3,500,000	3,517,650	0	(14,866)	0	0	4.750	4.290	MN	21,243	166,250	10/19/2011	05/15/2021
806851-AE-1	Schlumberger Hldgs Corp 144A			2	2FE	4,902,450	104.2960	5,214,800	5,000,000	4,954,583	0	14,132	0	0	3.625	3.952	JD	5,035	181,250	02/09/2016	12/21/2022
808513-AD-7	Schwab Charles Corp Sr Nt				1FE	3,195,780	101.5610	3,046,830	3,000,000	3,014,983	0	(26,133)	0	0	4.450	3.538	JJ	58,963	133,500	03/20/2012	07/22/2020
808513-AG-0	Schwab Charles Corp Bd				1FE	1,822,980	103.2360	1,806,630	1,750,000	1,772,834	0	(8,151)	0	0	3.225	2.714	MS	18,813	56,438	05/10/2013	09/01/2022
808513-AL-9	Schwab Charles Corp Bd			2	1FE	1,000,000	103.7960	1,037,960	1,000,000	1,000,000	0	0	0	0	3.000	3.000	MS	9,250	30,000	03/04/2015	03/10/2025
808513-AM-7	Schwab Charles Corp Bd			2	1FE	753,199	105.8510	793,883	750,000	752,001	0	(299)	0	0	3.450	3.400	FA	9,919	25,875	11/10/2015	02/13/2026
814120-AC-5	Security Benefit Life Bd				2	10,354,840	129.6550	10,372,368	8,000,000	10,300,576	0	(54,264)	0	0	7.450	4.602	AO	149,000	298,000	08/14/2019	10/01/2033
81721M-AK-5	Diversified Healthcare Bd				2FE	1,519,575	104.1710	1,562,565	1,500,000	1,512,222	0	(2,499)	0	0	4.750	4.541	MN	11,875	71,250	11/21/2016	05/01/2024
824348-AR-7	Sherwin Williams Co Bd			2	2FE	5,633,510	105.4320	5,798,760	5,500,000	5,586,545	0	(14,697)	0	0	3.450	3.127	FA	79,063	189,750	10/26/2016	08/01/2025
828807-CN-5	Simon Property Group Inc Sr Nt				1FE	1,953,520	101.9910	2,039,820	2,000,000	1,979,687	0	6,155	0	0	2.750	3.097	FA	22,917	55,000	09/16/2015	02/01/2023
828807-CV-7	Simon Property Group Inc Bd			2	1FE	1,255,180	106.3790	1,313,781	1,235,000	1,248,564	0	(2,246)	0	0	3.500	3.278	MS	14,408	43,225	12/05/2016	09/01/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
828807-CW-5	Simon Property Group Inc Bd			2	1FE	989,910	105.2450	1,052,450	1,000,000	992,691	0	1,072	0	0	3.300	3.435	JJ	15,217	33,000	05/09/2017	01/15/2026
828807-CY-1	Simon Property Group Inc Bd			2	1FE	1,968,300	105.2170	2,104,340	2,000,000	1,976,073	0	3,017	0	0	3.250	3.446	MN	5,597	65,000	05/08/2017	11/30/2026
835495-AK-8	Sonoco Products Co Nt				2FE	497,715	102.8890	514,445	500,000	499,504	0	254	0	0	4.375	4.432	MN	3,646	21,875	10/20/2011	11/01/2021
843646-AM-2	Southern Power Co Bd				2FE	3,501,975	108.4460	3,795,610	3,500,000	3,501,377	0	(202)	0	0	4.150	4.142	JD	12,104	145,250	12/23/2015	12/01/2025
84861T-AC-2	Spirit Realty LP Bd				2FE	4,843,750	107.4500	5,372,500	5,000,000	4,865,781	0	16,527	0	0	4.450	4.925	MS	65,514	222,500	08/28/2018	09/15/2026
854502-AD-3	Stanley Black & Decker Sr Nt				1FE	3,029,140	102.3020	3,069,060	3,000,000	3,009,098	0	(3,049)	0	0	2.900	2.788	MN	14,500	87,000	11/08/2012	11/01/2022
85590A-AP-9	Starwood Hotels & Resorts Inc Bd			2	2FE	2,833,380	102.3100	3,069,300	3,000,000	2,923,692	0	22,519	0	0	3.125	4.000	FA	35,417	93,750	09/21/2015	02/15/2023
857477-AG-8	State Street Corp Bd				1FE	3,476,005	102.9680	3,603,880	3,500,000	3,496,582	0	2,756	0	0	4.375	4.461	MS	48,490	153,125	03/08/2011	03/07/2021
857477-AL-7	State Street Corp Bd				1FE	2,388,430	103.1850	2,579,625	2,500,000	2,456,966	0	11,759	0	0	3.100	3.646	MN	9,903	77,500	07/24/2013	05/15/2023
858155-AE-4	Steelcase Inc Bd			1	2FE	7,711,210	113.0800	7,915,600	7,000,000	7,691,519	0	(19,691)	0	0	5.125	3.792	JJ	162,434	0	12/05/2019	01/18/2029
863667-AF-8	Stryker Corp Bd			2	1FE	1,982,680	105.0590	2,101,180	2,000,000	1,991,673	0	1,735	0	0	3.375	3.478	MN	8,625	67,500	06/10/2014	05/15/2024
863667-AH-4	Stryker Corp Bd			2	1FE	1,484,310	105.9740	1,589,610	1,500,000	1,490,181	0	1,493	0	0	3.375	3.500	MN	8,438	50,625	11/06/2015	11/01/2025
866762-AK-3	Sun Co Inc Deb				2FE	978,660	121.4510	1,214,510	1,000,000	991,955	0	1,271	0	0	9.000	9.210	MN	15,000	90,000	11/04/1994	11/01/2024
866930-AB-6	Sunamerica Inc Deb				2FE	993,750	116.5390	1,165,390	1,000,000	998,393	0	406	0	0	8.125	8.181	AO	14,219	81,250	04/21/1993	04/28/2023
86765B-AG-4	Sunoco Logistics Bd				2FE	538,150	100.3290	501,645	500,000	500,640	0	(5,155)	0	0	5.500	4.429	FA	10,389	27,500	06/13/2011	02/15/2020
86765B-AN-9	Sunoco Logistics Bd			2	2FE	906,040	105.1460	1,051,460	1,000,000	947,482	0	10,655	0	0	4.250	5.658	AO	10,625	42,500	09/29/2015	04/01/2024
86765B-AT-6	Sunoco Logistics Bd				2FE	1,502,445	103.8560	1,557,840	1,500,000	1,501,709	0	(226)	0	0	3.900	3.880	JJ	26,975	58,500	07/12/2016	07/15/2026
86787E-AN-7	SunTrust Bank Sr Nt				1FE	1,789,860	101.7660	2,035,320	2,000,000	1,917,721	0	22,606	0	0	2.750	4.083	MN	9,167	55,000	09/18/2013	05/01/2023
86802W-BC-1	Suntrust Banks Inc Bd			2	1FE	3,000,000	97.7600	2,932,800	3,000,000	3,000,000	0	0	0	0	2.500	2.508	JAJO	12,708	75,000	10/26/2016	11/02/2026
871503-AH-1	Symantec Corp Bd				3FE	4,758,459	101.1980	4,806,905	4,750,000	4,752,829	0	(3,960)	0	0	4.200	4.113	MS	58,742	199,500	04/28/2014	09/15/2020
871503-AK-4	Symantec Corp Sr Nt			2	3FE	986,990	102.5390	1,025,390	1,000,000	996,299	0	1,405	0	0	3.950	4.110	JD	1,756	39,500	06/13/2012	06/15/2022
871829-AZ-0	Sysco Corp Bd			2	2FE	1,004,090	107.5410	1,075,410	1,000,000	1,002,504	0	(397)	0	0	3.750	3.700	AO	9,375	37,500	09/25/2015	10/01/2025
871911-AS-2	System Energy Resources Bond			2	1FE	3,160,470	104.7670	3,143,010	3,000,000	3,065,077	0	(20,269)	0	0	4.100	3.335	AO	30,750	123,000	01/26/2015	04/01/2023
872287-AL-1	TCI Communications Inc Bd				1FE	5,952,330	132.6010	5,967,045	4,500,000	5,646,725	0	(120,174)	0	0	7.125	3.494	FA	121,125	320,625	05/16/2017	02/15/2028
87233Q-AA-6	TC Pipelines Nt				2FE	3,933,367	102.6230	3,848,363	3,750,000	3,783,446	0	(21,889)	0	0	4.650	4.013	JD	7,750	174,375	04/02/2012	06/15/2021
87236Y-AE-8	TD Ameritrade Holding Co Bd			2	1FE	2,998,050	102.2180	3,066,540	3,000,000	2,999,350	0	275	0	0	2.950	2.960	AO	22,125	88,500	03/06/2015	04/01/2022
872765C-AU-4	TRW Inc Sr Nt				2FE	1,021,910	107.7120	1,077,120	1,000,000	1,002,581	0	(1,811)	0	0	9.375	9.158	AO	19,792	93,750	11/19/1991	04/15/2021
87305Q-CE-9	TTX Co 144A				1FE	1,926,296	106.0330	2,014,627	1,900,000	1,911,149	0	(2,708)	0	0	4.125	3.955	AO	19,594	78,375	10/15/2013	10/01/2023
87305Q-CF-6	TTX Co 144a				1FE	1,593,970	106.4020	1,596,030	1,500,000	1,545,800	0	(10,438)	0	0	4.150	3.335	JJ	28,704	62,250	03/26/2015	01/15/2024
875484-AH-0	Tanger Properties LP Bd			2	2FE	2,016,060	102.4700	2,049,400	2,000,000	2,010,973	0	(2,106)	0	0	3.750	3.622	JD	6,250	75,000	06/27/2017	12/01/2024
875484-AJ-6	Tanger Properties LP Bd			1	2FE	2,836,374	99.1180	2,973,540	3,000,000	2,880,027	0	15,535	0	0	3.125	3.810	MS	31,250	93,750	03/06/2017	09/01/2026
87612E-AZ-9	Target Corp Sr Nt				1FE	992,950	102.3090	1,023,090	1,000,000	998,370	0	764	0	0	2.900	2.983	JJ	13,372	29,000	03/14/2012	01/15/2022
87612E-BD-7	Target Corp Bd				1FE	2,028,820	107.1630	2,143,260	2,000,000	2,014,602	0	(2,961)	0	0	3.500	3.324	JJ	35,000	70,000	10/29/2014	07/01/2024
878091-BD-8	Teachers Insur & Annuity 144A				1	7,678,536	122.6350	8,367,386	6,823,000	7,634,000	0	(18,618)	0	0	4.900	4.128	MS	98,441	334,327	06/30/2017	09/15/2044
879240-AQ-2	Tele Communications Inc Deb				1FE	2,063,800	117.3040	2,346,080	2,000,000	2,013,412	0	(5,006)	0	0	10.125	9.791	AO	42,750	202,500	06/18/1992	04/15/2022
882384-AB-6	Texas Eastern Transmission 144A			2	2FE	526,060	101.4170	507,085	500,000	502,268	0	(3,277)	0	0	4.125	3.437	JD	1,719	20,625	10/20/2011	12/01/2020
882384-AC-4	Texas Eastern Transmission 144A			2	2FE	2,902,350	100.9800	3,029,400	3,000,000	2,967,845	0	10,857	0	0	2.800	3.205	AO	17,733	84,000	05/31/2013	10/15/2022
88732J-BA-5	Time Warner Cable Inc Bd			2	2FE	3,742,920	102.3310	4,093,240	4,000,000	3,937,023	0	35,404	0	0	4.000	4.997	MS	53,333	160,000	10/15/2013	09/01/2021
891027-AQ-7	Globe Life Inc Bd				2FE	4,106,280	102.4890	4,099,560	4,000,000	4,042,046	0	(14,732)	0	0	3.800	3.388	MS	44,756	152,000	04/17/2015	09/15/2022
891027-AS-3	Globe Life Inc Bd			2	2FE	7,902,422	111.1150	8,363,626	7,527,000	7,885,668	0	(16,674)	0	0	4.550	3.871	MS	100,841	288,596	09/04/2019	09/15/2028
891906-AB-5	Total System Services Inc Bd				2FE	949,050	104.1380	1,041,380	1,000,000	978,568	0	5,690	0	0	3.750	4.433	JD	3,125	37,500	04/03/2014	06/01/2023
89417E-AG-4	Travelers Cos Inc./The Nt				1FE	3,326,840	101.5960	3,555,860	3,500,000	3,481,946	0	20,824	0	0	3.900	4.539	MN	22,750	136,500	03/07/2011	11/01/2020
905572-AD-5	Union Carbide Corp Deb				2FE	900,000	119.3760	1,193,760	1,000,000	969,651	0	7,733	0	0	7.875	8.829	AO	19,688	78,750	03/24/1993	04/01/2023
907818-DM-7	Union Pacific Corp Sr Nt			2	1FE	1,998,860	102.5000	2,050,000	2,000,000	2,010,719	0	(3,336)	0	0	2.950	2.765	JJ	27,206	59,000	06/06/2012	01/15/2023
91159H-HK-9	US Bancorp Bd			2	1FE	755,720	106.2700	797,025	750,000	753,104	0	(607)	0	0	3.600	3.502	MS	8,250	27,000	08/28/2015	09/11/2024
91159J-AA-4	US Bancorp MTN			2	1FE	4,829,076	102.3160	4,921,400	4,810,000	4,815,251	0	(2,054)	0	0	2.950	2.903	JJ	65,429	141,895	03/05/2013	07/15/2022
913017-BV-0	United Technologies Corp Sr Nt				2FE	1,998,460	102.5490	2,050,980	2,000,000	1,999,583	0	164	0	0	3.100	3.109	JD	5,167	62,000	05/24/2012	06/01/2022
91324P-BM-3	UnitedHealth Group Inc Nt			2	1FE	2,620,528	101.0210	2,682,108	2,655,000	2,651,587	0	4,168	0	0	3.875	4.042	AO	21,719	102,881	04/06/2011	10/15/2020
91324P-BV-3	UnitedHealth Group Inc Sr Nt			2	1FE	1,982,280	101.9410	2,038,820	2,000,000	1,995,636	0	1,888	0	0	2.875	2.978	MS	16,931	57,500	03/09/2012	03/15/2022
91529Y-AL-0	Unum Group Bd				2FE	4,854,810	105.4950	5,042,661	4,780,000	4,830,538	0	(7,624)	0	0	3.875	3.672	MN	28,813	185,225	10/06/2016	11/05/2025
91529Y-AN-6	Unum Group Bd			1	2FE	1,712,563	105.0920	1,786,564	1,700,000	1,711,999	0	(564)	0	0	4.000	3.908	JD	3,022	34,378	06/13/2019	06/15/2029
918204-AV-0	VF Corp Nt				1FE	3,612,945	102.1120	3,573,920	3,500,000	3,522,409	0	(12,919)	0	0	3.500	3.103	MS	40,833	122,500	04/02/2012	09/01/2021
91913Y-AS-9	Valero Energy Corp Bd				2FE	7,228,805	106.3000	7,972,500	7,500,000	7,332,151	0	27,949	0	0	3.650	4.128	MS	80,604	273,750	07/27/2016	03/15/2025
91913Y-AU-4	Valero Energy Corp Bd			2	2FE	1,881,860	104.7960	2,095,920	2,000,000	1,911,970	0	11,170	0	0	3.400	4.159	MS	20,022	68,000	03/10/2017	09/15/2026
919794-AB-3	Valley National Bancorp Bd				2FE	3,560,935	107.3250	3,724,178	3,470,000	3,516,789	0	(11,287)	0	0	5.125	4.725	MS	46,435	177,838	01/05/2016	09/27/2023
920253-AF-8	Valmont Industries Inc Bd				2FE	5,274,650	102.1980	5,109,900	5,000,000	5,273,164	0	(1,486)	0	0	5.000	4.627	AO	62,500	0	09/27/2019	10/01/2044
92276M-AZ-8	Ventas Realty LP Cap Crp Sr Nt			2	2FE	242,785	102.6210	256,553	250,000	247,780	0	793	0	0	3.250	3.608	FA	3,069	8,125	01/04/2013	08/15/2022

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
92277G-AD-9	Ventas Realty LP Bd			2	2FE	3,234,778	105.0200	3,413,150	3,250,000	3,242,615	0	1,540	0	0	3.750	3.807	MN	20,313	121,875	08/08/2014	05/01/2024
92277G-AE-7	Ventas Realty LP Bd			2	2FE	1,760,889	104.4020	1,908,469	1,828,000	1,788,988	0	6,800	0	0	3.500	3.968	FA	26,658	63,980	11/12/2015	02/01/2025
92277G-AJ-6	Ventas Realty LP Bd			2	2FE	637,842	102.3720	687,940	672,000	646,600	0	3,212	0	0	3.250	3.889	AO	4,611	21,840	03/03/2017	10/15/2026
92343V-EN-0	Verizon Communications Inc 144A				2FE	5,935,758	105.8870	6,332,043	5,980,000	5,946,905	0	5,800	0	0	3.376	3.495	FA	75,707	201,885	01/19/2018	02/15/2025
928670-AB-4	Volkswagen Intl Fin NV 144A				2FE	3,598,940	101.1880	3,531,461	3,490,000	3,498,943	0	(14,183)	0	0	4.000	3.574	FA	53,901	139,600	01/20/2012	08/12/2020
929089-AB-6	Voya Financial Inc Bd				2FE	5,009,260	105.6250	5,281,250	5,000,000	5,006,583	0	(896)	0	0	3.650	3.627	JD	8,111	182,500	08/30/2016	06/15/2026
92936U-AE-9	WP Carey Inc Bd			1	2FE	2,649,085	107.0550	2,806,982	2,622,000	2,646,890	0	(2,195)	0	0	4.250	4.083	AO	27,859	55,718	04/22/2019	10/01/2026
931427-AH-1	Walgreens Boots Alliance Inc Bd			2	2FE	3,438,185	104.0140	3,640,490	3,500,000	3,463,970	0	6,553	0	0	3.800	4.034	MN	15,886	133,000	11/10/2015	11/18/2024
939653-AL-5	Washington REIT Bd				2FE	409,859	100.6430	402,572	400,000	401,490	0	(1,915)	0	0	4.950	4.439	AO	4,950	19,800	05/31/2011	10/01/2020
939653-AM-3	Washington REIT Sr Nt			2	2FE	5,191,813	103.5290	5,538,802	5,350,000	5,293,010	0	18,794	0	0	3.950	4.359	AO	44,613	211,325	12/01/2016	10/15/2022
948741-AK-9	Weingarten Realty Investors Bd			2	2FE	1,554,255	105.9480	1,589,220	1,500,000	1,528,021	0	(6,715)	0	0	4.450	3.916	JJ	30,779	66,750	10/29/2015	01/15/2024
948741-AM-5	Weingarten Realty Investors Bd			2	2FE	3,568,913	100.4960	3,765,585	3,747,000	3,613,127	0	17,426	0	0	3.250	3.867	FA	46,005	121,778	07/05/2017	08/15/2026
94874R-AX-4	Weingarten Realty Investors Nt				2FE	1,918,380	119.3970	2,387,940	2,000,000	1,961,071	0	3,842	0	0	6.650	6.988	MS	39,161	133,000	08/17/2000	07/12/2027
94874R-AY-2	Weingarten Realty Investors Nt				2FE	1,115,144	119.0130	1,368,650	1,150,000	1,135,008	0	1,779	0	0	6.640	6.893	MS	22,484	76,360	10/13/2000	07/15/2026
94973V-AS-6	Anthem Inc Bd				2FE	530,900	101.4390	507,195	500,000	502,469	0	(3,861)	0	0	4.350	3.540	FA	8,217	21,750	08/23/2011	08/15/2020
94973V-AW-7	Anthem Inc Sr Nt			2	2FE	268,420	102.3180	255,795	250,000	253,443	0	(2,427)	0	0	3.700	2.673	FA	3,494	9,250	05/03/2013	08/15/2021
94973V-AX-5	Anthem Inc Sr Nt				2FE	995,650	102.4990	1,024,990	1,000,000	998,819	0	472	0	0	3.125	3.177	MN	3,993	31,250	08/30/2012	05/15/2022
94973V-BA-4	Anthem Inc Sr Nt				2FE	996,630	103.4730	1,034,730	1,000,000	998,940	0	326	0	0	3.300	3.337	JJ	15,217	33,000	09/05/2012	01/15/2023
94973V-BJ-5	Anthem Inc BD			2	2FE	2,817,520	104.8220	2,882,605	2,750,000	2,783,878	0	(7,179)	0	0	3.500	3.194	FA	36,361	96,250	12/03/2015	08/15/2024
949746-RE-3	Wells Fargo & Co Bd				1FE	1,037,300	108.0230	1,080,230	1,000,000	1,017,252	0	(3,858)	0	0	4.480	4.013	JJ	20,533	44,800	04/03/2014	01/16/2024
94974B-GA-2	Wells Fargo & Co BD				1FE	999,390	104.9020	1,049,020	1,000,000	999,700	0	58	0	0	3.300	3.307	MS	10,267	33,000	11/10/2014	09/09/2024
94974B-GL-8	Wells Fargo & Co Bd				1FE	4,236,240	109.5630	4,382,520	4,000,000	4,175,760	0	(19,880)	0	0	4.300	3.630	JJ	75,967	172,000	04/24/2017	07/22/2027
94986R-G7-0	Wells Fargo & Company Bd				1FE	3,000,000	98.8650	2,965,950	3,000,000	3,014,282	0	14,282	0	0	3.000	3.000	MS	25,000	90,000	03/16/2016	03/21/2028
94986R-P6-2	Wells Fargo & Company Bd			2	1FE	1,000,000	96.4180	964,180	1,000,000	1,000,000	0	0	0	0	2.500	2.500	JJ	12,153	25,000	06/30/2016	07/06/2028
960413-AT-9	Westlake Chemical Corp Bd			2	2FE	1,919,565	103.6480	2,072,960	2,000,000	1,939,774	0	7,762	0	0	3.600	4.124	FA	27,200	72,000	04/21/2017	08/15/2026
96332H-CE-7	Whirlpool Corp Sr Nt				2FE	3,011,160	105.7920	3,173,760	3,000,000	3,003,183	0	(1,218)	0	0	4.700	4.653	JD	11,750	141,000	05/29/2012	06/01/2022
96949L-AD-7	Williams Partners LP Bd			1	2FE	4,846,950	104.2740	5,213,700	5,000,000	4,872,889	0	14,277	0	0	3.750	4.150	JD	8,333	187,500	02/28/2018	06/15/2027
980236-AL-7	Woodside Finance 144A			2	2FE	499,605	102.3740	511,870	500,000	499,935	0	45	0	0	4.600	4.610	MN	3,258	23,000	05/03/2011	05/10/2021
984121-CJ-0	Xerox Corp Bd				3FE	3,363,960	101.5410	3,553,935	3,500,000	3,426,037	0	15,053	0	0	3.800	4.336	MN	16,994	133,000	09/28/2015	05/15/2024
98419M-AB-6	Xylem Inc Sr Nt				2FE	1,045,790	104.8080	1,048,080	1,000,000	1,011,267	0	(6,084)	0	0	4.875	4.200	AO	12,188	48,750	08/29/2013	10/01/2021
98956P-AC-6	Zimmer Holdings Inc Sr Nt				2FE	3,970,360	102.0830	4,083,320	4,000,000	3,993,551	0	3,202	0	0	3.375	3.463	MN	11,625	135,000	03/14/2012	11/30/2021
06366R-JJ-5	Bank of Montreal MTN		A	2	1FE	3,745,388	101.9990	3,824,963	3,750,000	3,748,565	0	480	0	0	2.550	2.564	MN	14,609	95,625	11/01/2012	11/06/2022
06367W-KG-7	Bank of Montreal Step		A	2	1FE	5,000,000	100.1490	5,007,450	5,000,000	5,000,000	0	0	0	0	3.100	3.112	JAJO	31,861	77,500	04/15/2019	04/17/2031
064159-PB-6	Bank of Nova Scotia Bd		A	2	1FE	10,000,000	97.8100	9,781,000	10,000,000	10,000,000	0	0	0	0	3.000	3.000	MN	25,833	150,000	05/29/2019	05/30/2031
112585-AH-7	Brookfield Asset Man Inc Bd		A	2	1FE	6,003,717	107.5380	6,470,561	6,017,000	6,007,529	0	1,556	0	0	4.000	4.035	JJ	110,980	220,340	02/22/2019	01/15/2025
11271L-AA-0	Brookfield Finance Inc Bd		A	2	1FE	4,982,820	108.6660	5,433,300	5,000,000	4,979,332	0	2,067	0	0	4.250	4.321	JD	17,118	212,500	02/22/2019	06/02/2026
11271L-AC-6	Brookfield Finance Inc Bd		A	1	1FE	1,398,108	107.5870	1,414,769	1,315,000	1,396,103	0	(2,005)	0	0	3.900	3.009	JJ	22,224	0	10/09/2019	01/25/2028
11271L-AD-4	Brookfield Finance Inc Bd		A	1	1FE	3,148,236	114.4530	3,347,750	2,925,000	3,137,871	0	(10,365)	0	0	4.850	3.884	MS	36,254	94,575	06/11/2019	03/29/2029
136385-AV-3	Canadian Natural Resources Ltd Bd		A	2	2FE	2,020,100	106.6180	2,132,360	2,000,000	2,011,234	0	(2,065)	0	0	3.900	3.773	FA	32,500	78,000	03/26/2015	02/01/2025
29250N-AF-2	Enbridge Inc Bd		A	2	2FE	2,878,407	105.6500	3,169,500	3,000,000	2,934,494	0	15,166	0	0	4.000	4.629	AO	30,000	120,000	07/21/2016	10/01/2023
448055-AK-9	Husky Energy Inc Bd		A	2	2FE	4,946,770	105.5640	5,278,200	5,000,000	4,971,474	0	5,913	0	0	4.000	4.146	AO	42,222	200,000	09/03/2015	04/15/2024
448055-AP-8	Husky Energy Inc Bd		A	1	2FE	5,060,453	107.6470	5,382,350	5,000,000	5,057,319	0	(3,134)	0	0	4.400	4.246	AO	46,444	128,333	04/17/2019	04/15/2029
56501R-AB-2	Manulife Financial Corp Bd		A		1FE	3,492,580	102.0020	3,570,070	3,500,000	3,499,324	0	914	0	0	4.900	4.928	MS	49,544	171,500	03/08/2011	09/17/2020
56501R-AC-0	Manulife Financial Corp Bd		A	1	1FE	3,068,290	110.0570	3,301,710	3,000,000	3,000,000	0	(54,277)	0	0	4.150	3.396	MS	40,463	124,500	01/26/2017	03/04/2026
631005-BB-0	Narragansett Electric Co 144A		A		1FE	506,205	100.4150	502,075	500,000	500,169	0	(806)	0	0	4.534	4.366	MS	6,675	22,670	03/01/2011	03/15/2020
67077M-AD-0	Nutrien LTD Bd		A	2	2FE	3,335,601	102.0220	3,570,770	3,500,000	3,372,360	0	21,603	0	0	3.000	3.772	AO	26,250	105,000	04/06/2018	04/01/2025
67077M-AL-2	Nutrien LTD Bd		A	2	2FE	1,415,971	103.5930	1,553,895	1,500,000	1,434,594	0	10,986	0	0	3.375	4.320	MS	14,906	50,625	04/06/2018	03/15/2025
775109-BE-0	Rogers Communications Inc Bd		A	2	2FE	2,969,640	106.6300	3,198,900	3,000,000	2,980,618	0	2,855	0	0	3.625	3.747	JD	4,833	108,750	12/08/2015	12/15/2025
780082-AD-5	Royal Bank of Canada Bd		A		1FE	7,160,420	110.9090	7,763,630	7,000,000	7,131,922	0	(18,623)	0	0	4.650	4.294	JJ	139,242	325,500	09/21/2018	01/27/2026
78012K-CY-1	Royal Bank of Canada Bd		A	2	1FE	3,000,000	99.6000	2,988,000	3,000,000	3,000,000	0	0	0	0	2.100	2.100	AO	12,250	63,000	04/16/2015	04/21/2025
78014R-AX-8	Royal Bank of Canada Step up		A	2	1FE	3,000,000	100.0500	3,001,500	3,000,000	3,000,000	0	0	0	0	3.000	3.000	JJ	41,500	0	07/11/2019	07/15/2031
867224-AA-5	Suncor Energy Inc Bd		A	2	1FE	6,519,435	106.1030	6,896,695	6,500,000	6,510,222	0	(2,147)	0	0	3.600	3.561	JD	19,500	234,000	09/30/2015	12/01/2024
891160-MJ-9	Toronto Dominion Bank Bd			2	1FE	4,912,350	104.5560	5,227,800	5,000,000	4,925,242	0	4,994	0	0	3.625	3.784	MS	53,368	181,250	05/15/2017	09/15/2031
893526-DK-6	TC Energy Corp Bd		A		2FE	953,390	101.3120	1,013,120	1,000,000	995,628	0	5,622	0	0	3.800	4.400	AO	9,500	38,000	02/22/2011	10/01/2020
89352H-AK-5	TransCanada Pipelines Ltd Bd		A		2FE	5,363,687	105.5460	5,704,761	5,405,000	5,382,901	0	5,229	0	0	3.750	3.866	AO	42,227	202,688	04/06/2016	10/16/2023
00080Q-AF-2	ABN Amro Bank NV 144A		D		2FE	3,061,860	109.1710	3,275,130	3,000,000	3,048,811	0	(7,567)	0	0	4.750	4.418	JJ	60,563	142,500	03/20/2018	07/28/2025
00185A-AF-1	Aon PLC Bd		D	1	2FE	2,020,920	104.8620	2,097,240	2,000,000	2,014,859	0	(3,228)	0	0	3.500	3.310	JD	3,306	70,000	01/25/2018	06/14/2024

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
CUSIP Identification	Description	3 Code	4 Foreign	5 Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	8 Rate Used to Obtain Fair Value	9 Fair Value	Par Value	Book/ Adjusted Carrying Value	12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization) Accretion	14 Current Year's Other-Than-Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
00185A-AK-0	Aon PLC Bd		D	1	2FE	3,066,090	107.6010	3,228,030	3,000,000	3,046,796	0	(7,253)	0	0	3.875	3.571	JD	5,167	116,250	03/22/2017	12/15/2025
00203Q-AD-9	AP Moeller Maersk 144A		D	2	2FE	2,457,770	103.6690	2,591,725	2,500,000	2,473,541	0	4,006	0	0	3.875	4.083	MS	25,026	96,875	11/09/2015	09/28/2025
00203Q-AE-7	AP Moeller Maersk 144A		D	1	2FE	2,220,066	106.7090	2,320,921	2,175,000	2,218,213	0	(1,853)	0	0	4.500	4.238	JD	2,991	48,938	06/28/2019	06/20/2029
01273P-AA-0	Albemarle Wod Pty Ltd 144A		C	1	2FE	3,010,710	100.1020	3,003,060	3,000,000	3,010,616	0	(94)	0	0	3.450	3.407	MN	10,350	0	11/22/2019	11/15/2029
01609W-AT-9	Alibaba Group Holding Bd		D	1	1FE	4,534,403	104.1530	4,749,377	4,560,000	4,538,982	0	2,266	0	0	3.400	3.467	JD	10,767	155,040	12/21/2017	12/06/2027
01959E-AC-2	Allied World Assurance Bd		D	2	2FE	2,510,870	105.5620	2,639,050	2,500,000	2,507,093	0	(1,082)	0	0	4.350	4.293	AO	18,729	108,750	05/24/2016	10/29/2025
034863-AG-5	Anglo American Capital 144A		D		2FE	2,836,290	104.0080	3,120,240	3,000,000	2,943,368	0	18,897	0	0	4.125	4.870	MS	32,313	123,750	07/25/2013	09/27/2022
03522A-AG-5	Anheuser-Busch Co Bd		D	2	2FE	8,132,554	106.6050	8,528,400	8,000,000	8,120,874	0	(11,681)	0	0	3.650	3.357	FA	121,667	146,000	05/13/2019	02/01/2026
04530D-AD-4	Aspen Insurance Holdings Bd		C		2FE	5,140,851	107.6370	5,325,879	4,948,000	5,049,710	0	(23,794)	0	0	4.650	4.071	MN	29,399	230,082	04/20/2016	11/15/2023
052528-AK-2	Australia & New Zealand 144A		D		2FE	2,492,976	106.5740	2,557,776	2,400,000	2,470,090	0	(9,506)	0	0	4.400	3.879	MN	12,320	105,600	06/30/2017	05/19/2026
05463H-AA-9	Axis Specialty Finance Bd		D		2FE	1,948,703	101.5810	1,867,059	1,838,000	1,844,538	0	(15,184)	0	0	5.875	5.000	JD	8,999	107,983	11/08/2011	06/01/2020
05464H-AC-4	Axis Specialty Finance PLC Bd		D	1	2FE	3,408,484	106.0020	3,604,068	3,400,000	3,406,990	0	(755)	0	0	4.000	3.969	JD	9,444	136,000	12/20/2017	12/06/2027
05541V-AA-4	BG Energy Capital PLC 144A		D		1FE	2,295,804	101.8430	2,138,703	2,100,000	2,124,155	0	(25,065)	0	0	4.000	2.750	JD	5,133	84,000	07/09/2012	12/09/2020
055451-AU-2	BHP Finance USA Bd		D		1FE	1,984,580	106.7700	2,135,400	2,000,000	1,992,071	0	1,927	0	0	3.850	3.965	MS	19,464	77,000	11/30/2015	09/30/2023
05565Q-CJ-5	BP Capital Markets PLC Bd		D		1FE	1,559,250	106.8880	1,603,320	1,500,000	1,529,707	0	(7,335)	0	0	3.994	3.425	MS	15,810	59,910	09/25/2015	09/26/2023
05565Q-DH-8	BP Capital Markets PLC Bd		D	2	1FE	1,003,802	109.0480	1,063,218	975,000	998,328	0	(2,278)	0	0	3.723	3.402	MN	3,327	36,299	07/05/2017	11/28/2028
06739G-AR-0	Barclays Bank PLC Bd		D		1FE	511,680	100.0380	500,190	500,000	500,031	0	(1,596)	0	0	5.125	4.794	JJ	12,314	25,625	04/04/2011	01/08/2020
06747M-MW-2	Barclays Bank Plc Bd		D	2	1FE	5,000,000	99.5970	4,979,850	5,000,000	5,000,000	0	0	0	0	3.500	3.500	AO	31,597	87,500	04/23/2019	04/26/2029
06747N-7J-7	Barclays Bank PLC Step up		D	2	1FE	5,000,000	99.4090	4,970,450	5,000,000	5,000,000	0	0	0	0	3.000	3.000	FA	62,500	0	07/29/2019	08/01/2031
09659W-2C-7	BNP Paribas 144A		D	1	1FE	4,852,760	104.4950	5,224,750	5,000,000	4,877,265	0	13,102	0	0	3.500	3.865	MN	21,875	175,000	02/09/2018	11/16/2027
09659W-2F-0	BNP Paribas 144A		D		1FE	4,982,400	111.0760	5,553,800	5,000,000	4,984,396	0	1,457	0	0	4.400	4.444	FA	83,722	220,000	08/09/2018	08/14/2028
10373Q-AV-2	BP CAP MARKETS AMERICA Bd		D		1FE	4,375,784	103.5840	4,687,176	4,525,000	4,392,777	0	16,332	0	0	3.017	3.488	JJ	62,571	136,519	12/12/2018	01/16/2027
15639K-AA-0	Centrica PLC 144A		D		2FE	3,771,690	103.8650	3,946,870	3,800,000	3,787,698	0	2,943	0	0	4.000	4.093	AO	31,667	152,000	01/23/2014	10/16/2023
22533A-BA-4	Credit Agricole CIB Bd		D	2	1FE	5,000,000	99.3300	4,966,500	5,000,000	5,000,000	0	0	0	0	3.500	3.515	JAJO	32,569	87,500	04/22/2019	04/24/2031
22533A-BG-1	Credit Agricole CIB Step		D		1FE	5,000,000	100.0210	5,001,050	5,000,000	5,009,744	0	9,744	0	0	3.000	3.406	JJ	72,083	0	07/02/2019	07/08/2031
22546Q-AP-2	Credit Suisse Bd		D		1FE	1,935,473	106.3590	2,020,821	1,900,000	1,923,398	0	(4,538)	0	0	3.625	3.339	MS	21,428	68,875	03/29/2017	09/09/2024
251526-BY-4	Deutsche Bank NY Bd		D		2FE	2,989,525	101.4800	3,044,400	3,000,000	2,992,070	0	1,622	0	0	3.700	3.766	MN	9,558	111,000	05/30/2018	05/30/2024
25152R-3B-7	Deutsche Bank AG London Bd		D		2FE	3,000,000	99.8290	2,994,870	3,000,000	3,000,000	0	0	0	0	3.250	3.246	MN	13,271	97,500	05/09/2016	08/15/2023
25243Y-AP-4	Diageo Cap PLC Bd		D		1FE	518,455	101.5240	507,620	500,000	501,285	0	(2,308)	0	0	4.828	4.340	JJ	11,131	24,140	03/29/2011	07/15/2020
268317-AU-8	Electricite De France SA 144A		D	1	1FE	5,085,950	111.1850	5,559,250	5,000,000	5,079,834	0	(6,116)	0	0	4.500	4.274	MS	62,500	225,000	03/08/2019	09/21/2028
29359U-AB-5	Enstar Group LTd Bd		D	1	2FE	5,160,840	107.2090	5,360,450	5,000,000	5,155,877	0	(4,963)	0	0	4.950	4.529	JD	20,625	125,813	08/20/2019	06/01/2029
30217A-AB-9	Experian Finance PLC 144A		D	1	2FE	2,304,610	109.9870	2,518,702	2,290,000	2,303,515	0	(1,095)	0	0	4.250	4.170	FA	40,552	48,933	02/04/2019	02/01/2029
404280-AW-9	HSBC Holdings PLC Bd		D		1FE	4,159,420	108.8830	4,355,320	4,000,000	4,106,530	0	(15,008)	0	0	4.300	3.813	MS	53,989	172,000	04/25/2016	03/08/2026
404280-BB-4	HSBC Holdings PLC Bd		D		1FE	2,097,860	106.6490	2,132,980	2,000,000	2,073,977	0	(10,241)	0	0	3.900	3.255	MN	7,800	78,000	08/07/2017	05/25/2026
46132F-AA-8	Invesco Finance PLC Sr Nt		D		1FE	2,847,761	102.8200	2,832,691	2,755,000	2,785,823	0	(10,032)	0	0	3.125	2.723	MN	7,414	86,094	04/30/2013	11/30/2022
46132F-AD-2	Invesco Finance PLC Bd		D		1FE	5,426,612	106.5930	5,590,803	5,245,000	5,370,099	0	(18,648)	0	0	3.750	3.308	JJ	90,695	196,688	12/08/2016	01/15/2026
478375-AE-8	Johnson Controls Intl PL Bd		D		2FE	1,785,232	102.8610	1,851,498	1,800,000	1,795,626	0	3,578	0	0	4.250	4.466	MS	25,500	76,500	12/23/2016	03/01/2021
552081-AK-7	LyondellBasell Ind NV BD		D	2	2FE	2,176,209	112.8230	2,216,972	1,965,000	2,081,715	0	(26,020)	0	0	5.750	4.139	AO	23,853	112,988	03/04/2016	04/15/2024
55608J-AC-2	Macquarie Group Ltd 144A		D		1FE	1,969,200	100.1210	2,002,420	2,000,000	1,999,853	0	3,992	0	0	6.000	6.209	JJ	55,667	120,000	02/09/2010	01/14/2020
55608P-AQ-7	Macquarie Bank LTD 144A		C		1FE	993,420	107.0460	1,070,460	1,000,000	995,986	0	629	0	0	4.000	4.081	JJ	16,889	40,000	09/15/2015	07/29/2025
55608X-AA-5	Macquarie Bank Ltd 144A		C		2FE	509,550	105.3030	526,515	500,000	501,563	0	(1,151)	0	0	6.625	6.364	AO	7,729	33,125	04/04/2011	04/07/2021
606822-AD-6	Mitisubishi UFJ Fin GRP Bd		D		1FE	5,211,350	107.4510	5,372,550	5,000,000	5,161,874	0	(23,339)	0	0	3.850	3.266	MS	64,167	192,500	10/30/2017	03/01/2026
60687Y-AD-1	Mizuho Financial Group 144A		D		1FE	3,028,800	104.8260	3,144,780	3,000,000	3,019,207	0	(2,704)	0	0	3.477	3.363	AO	22,890	104,310	04/12/2016	04/12/2026
629568-AV-8	Nabors Industries Ltd Sr Nt		D		3FE	3,109,890	100.5380	3,016,140	3,000,000	3,010,056	0	(13,748)	0	0	5.000	4.511	MS	44,167	150,000	06/06/2011	09/15/2020
629568-AX-4	Nabors Industries Ltd Sr Nt		D		3FE	2,094,400	99.6000	1,992,000	2,000,000	2,020,050	0	(11,147)	0	0	4.625	4.011	MS	27,236	92,500	05/29/2012	09/15/2021
63250D-BY-6	National Australia Bank 144A		D		1FE	485,250	102.3070	511,535	500,000	498,268	0	1,759	0	0	4.375	4.756	JD	1,276	21,880	03/07/2011	12/10/2020
63859W-AE-9	Nationwide Bldg Society 144A		D		2FE	5,048,350	105.2450	5,262,250	5,000,000	5,038,064	0	(4,887)	0	0	4.000	3.870	MS	59,444	200,000	10/20/2017	09/14/2026
63861V-AE-7	Nationwide Bldg Society 144A		D	2	2FE	2,421,877	107.4100	2,470,430	2,300,000	2,419,390	0	(2,487)	0	0	3.960	3.321	JJ	41,239	0	10/02/2019	07/18/2030
656531-AG-5	Norsk Hydro ASA Bd		D		1FE	4,530,855	129.0730	4,517,555	3,500,000	4,312,872	0	(86,310)	0	0	6.800	3.465	JJ	109,744	238,000	05/23/2017	01/15/2028
656531-AM-2	Norsk Hydro ASA Bd		D		1FE	1,448,761	132.4500	1,445,030	1,091,000	1,373,562	0	(31,467)	0	0	7.250	3.409	MS	21,532	79,098	07/14/2017	09/23/2027
686330-AJ-0	Orix Corp Bd		D		1FE	5,003,300	106.1470	5,307,350	5,000,000	5,002,599	0	(294)	0	0	3.700	3.692	JJ	83,764	185,000	07/11/2017	07/18/2027
756250-AE-9	Reckitt Benckiser TSY 144A		D	1	1FE	1,713,803	102.4530	1,895,380	1,850,000	1,736,709	0	12,773	0	0	3.000	3.953	JD	771	55,500	03/05/2018	06/26/2027
75968N-AD-3	Renaissancere Holdings Bd		D	2	1FE	1,963,840	105.2350	2,104,700	2,000,000	1,966,021	0	2,181	0	0	3.600	3.819	AO	15,200	38,600	04/15/2019	04/15/2029
767201-AS-5	Rio Tinto Finance USA Ltd Bd		D	2	1FE	1,152,034	107.4830	1,289,796	1,200,000	1,170,201	0	4,757	0	0	3.750	4.265	JD	2,000	45,000	12/02/2015	06/15/2025
77578J-AB-4	Rolls-Royce PLC 144a		D	2	2FE	1,515,150	103.2230	1,548,340	1,500,000	1,509,569	0	(1,532)	0	0	3.625	3.498	AO	11,630	54,354	02/17/2016	10/14/2025
80414L-2D-6	Saudi Arabian Oil Co		D		1FE	4,900,940	103.6220	5,181,100	5,000,000	4,906,789	0	5,849	0	0	3.500	3.739	AO	36,458	87,500	04/16/2019	04/16/2029
806213-AB-0	Scentre Group Trust 1/2 144A		D	1	1FE	4,009,231	103.7700	4,140,420	3,990,000	4,003,401	0	(2,464)	0	0	3.500	3.425	FA	53,920	139,650	07/13/2017	02/12/2025

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
806213-AD-6	Scentre Group Trust 1/2 144A		D	2	1FE	1,000,360	102.2970	1,033,200	1,010,000	1,003,748	0	956	0	0	3.250	3.368	AO	5,744	32,825	04/19/2016	10/28/2025
85771P-AK-8	Statoil ASA Bd		D		1FE	1,388,200	102.5610	1,538,410	1,500,000	1,438,964	0	13,753	0	0	2.650	3.745	JJ	18,329	39,750	02/08/2016	01/15/2024
87854X-AD-3	Technipfmc PLC 144A		D	2	2FE	2,997,450	102.0460	3,061,380	3,000,000	2,998,405	0	544	0	0	3.450	3.470	AO	25,875	103,500	05/22/2018	10/01/2022
87969N-AD-7	Telstra Corp Ltd 144A		D	2	1FE	1,446,060	102.8970	1,543,450	1,500,000	1,467,578	0	5,502	0	0	3.125	3.579	AO	10,938	46,875	11/12/2015	04/07/2025
88032W-AN-6	Tencent Holdings Ltd 144A		D	1	1FE	5,016,650	108.0540	5,402,700	5,000,000	5,015,635	0	(1,015)	0	0	3.975	3.934	AO	44,167	99,375	04/04/2019	04/11/2029
88165F-AG-7	Teva Pharmaceut Fin Bv Bd		D	1	3FE	1,831,660	95.6780	1,913,560	2,000,000	1,937,129	0	19,570	0	0	2.950	4.087	JD	2,131	59,000	01/17/2014	12/18/2022
88166H-AD-9	Teva Pharma Fin IV LLC Sr Nt		D		3FE	1,004,510	100.1780	1,001,780	1,000,000	1,000,158	0	(666)	0	0	2.250	2.182	MS	6,420	22,500	01/04/2013	03/18/2020
89153V-AG-4	Total Capital Intl SA Bd		D		1FE	1,024,450	106.3180	1,063,180	1,000,000	1,012,665	0	(2,881)	0	0	3.700	3.362	JJ	17,061	37,000	08/28/2015	01/15/2024
89236T-EE-1	Toyota Motor Credit Corp Step up		D	2	1FE	4,956,750	98.4630	4,923,150	5,000,000	5,004,829	0	48,079	0	0	2.750	4.041	MN	12,222	137,500	03/26/2019	11/29/2032
902120-AD-6	Tyco International Ltd Deb		D		5	2,097,260	111.1610	2,223,214	2,000,000	2,019,574	0	(7,239)	0	0	9.500	9.025	MN	31,667	190,000	06/24/1992	05/01/2022
90351D-AB-3	UBS Group Funding Switzerland 144A		D		1FE	5,003,550	108.7690	5,438,450	5,000,000	5,003,021	0	(435)	0	0	4.125	4.113	MS	55,573	206,250	09/10/2018	09/24/2025
980888-AF-8	Woolworths Ltd 144A		D		2FE	501,075	102.6900	513,450	500,000	500,166	0	(123)	0	0	4.550	4.523	AO	4,992	22,750	04/07/2011	04/12/2021
98420E-AC-9	Xlit Ltd Bd		D	1	2FE	993,770	108.9210	1,089,210	1,000,000	995,012	0	808	0	0	4.450	4.558	MS	11,249	44,500	07/18/2018	03/31/2025
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						1,869,044,320	XXX	1,950,188,373	1,850,671,304	1,866,505,096	0	(1,161,123)	0	0	XXX	XXX	XXX	18,486,321	65,598,021	XXX	XXX
03215P-DP-1	Amresco Res Secs Mtg Ln Tr 1998-1 M1F			4	1FM	64,872	101.3860	65,774	64,874	64,678	0	(12)	0	0	7.000	7.059	MON	378	4,157	01/29/1998	01/25/2028
393505-XH-0	Green Tree Financial Corp 1997-6 M1			4	6FE	1,879,674	101.5710	2,455,488	2,417,509	1,931,853	0	0	0	0	7.210	10.036	MON	7,747	175,607	03/26/1999	01/15/2029
449670-DG-0	IMC HE Ln Tr 1997-5 M1			4	1FM	160,505	99.4960	159,711	160,520	160,142	0	(23)	0	0	7.080	7.151	MON	947	10,482	09/16/1997	11/20/2028
79548K-ZL-5	Salomon Bros Mtg Secs VII 1998-AQ1 B1			4	3FM	482,256	73.6530	376,865	511,678	376,865	(119,571)	1,130	0	0	7.000	7.863	MON	2,985	35,818	08/11/2000	06/25/2028
3399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities						2,587,307	XXX	3,057,838	3,154,581	2,533,538	(119,571)	1,095	0	0	XXX	XXX	XXX	12,057	226,064	XXX	XXX
123260-AA-2	Business Jet Securities, LLC BJETS 2019-1 A			4	1FE	2,902,952	102.7220	2,895,410	2,818,685	2,902,451	0	(501)	0	0	4.212	3.935	MON	5,277	9,894	12/06/2019	07/15/2034
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities						2,902,952	XXX	2,895,410	2,818,685	2,902,451	0	(501)	0	0	XXX	XXX	XXX	5,277	9,894	XXX	XXX
12563L-AL-1	CLI Funding LLC 19-1A			4	1FE	3,329,107	100.3760	3,306,493	3,294,107	3,328,479	0	(628)	0	0	3.710	3.638	MON	4,413	70,950	06/10/2019	05/18/2044
210805-CV-7	Continental Airlines Inc ABS			4	1FE	368	100.0700	360	360	360	0	0	0	0	7.566	6.436	MS	0	27	06/04/1999	03/15/2020
31331F-BB-6	Federal Express Corp ABS			4	2FE	39,926	100.0710	39,955	39,926	39,926	0	0	0	0	7.900	7.899	JJ	1,454	3,154	06/24/1999	01/15/2020
501673-AA-5	La Arena Funding LLC 144A			4	2FE	153,678	105.6080	171,261	162,167	160,881	0	502	0	0	7.656	8.349	JD	552	12,415	08/22/2000	12/15/2026
54319D-AB-8	Long Train Leasing III LLC 2015 15-1A			4	1FE	5,116,406	102.9810	5,149,050	5,000,000	5,108,563	0	(3,328)	0	0	4.060	3.885	MON	9,022	203,000	09/27/2017	01/15/2045
62946A-AB-0	NPRL 2017 1A A2 2016-1A			4	1FE	2,133,594	107.2630	2,145,260	2,000,000	2,128,032	0	(3,631)	0	0	5.438	4.877	MON	3,323	108,760	06/20/2018	04/20/2046
874074-AA-5	TAL Advantage LLC 2017 1A A			4	1FE	3,735,392	101.5080	3,696,986	3,642,064	3,733,068	0	(2,324)	0	0	4.500	4.274	MON	5,918	109,262	04/22/2019	04/20/2042
88315L-AA-6	Textainer Marine Containers Li 18-1A			4	1FE	9,082,428	100.4220	9,017,896	8,980,000	9,079,515	0	(2,914)	0	0	4.110	4.009	MON	11,277	292,187	05/02/2019	07/20/2043
89690E-AG-2	TRIP Rail Master Funding LLC 2017-1A			4	1FE	4,071,875	101.2710	4,050,840	4,000,000	4,071,384	0	(491)	0	0	3.736	3.615	MON	6,642	24,907	10/15/2019	08/15/2047
37956A-AA-1	Global SC Finance SRL 2017 1A A		D	4	1FE	3,722,601	101.5930	3,795,301	3,735,789	3,723,109	0	507	0	0	3.850	3.926	MON	5,593	119,856	03/05/2019	04/15/2037
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						31,385,375	XXX	31,373,402	30,854,413	31,373,317	0	(12,307)	0	0	XXX	XXX	XXX	48,194	944,518	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						1,905,919,954	XXX	1,987,515,023	1,887,498,983	1,903,314,402	(119,571)	(1,172,836)	0	0	XXX	XXX	XXX	18,551,849	66,778,497	XXX	XXX
4899999. Total - Hybrid Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6099999. Subtotal - SVO Identified Funds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6599999. Subtotal - Unaffiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7699999. Total - Issuer Obligations						2,041,866,841	XXX	2,129,131,050	2,011,046,304	2,038,313,571	0	(2,078,951)	0	0	XXX	XXX	XXX	21,125,775	71,394,631	XXX	XXX
7799999. Total - Residential Mortgage-Backed Securities						8,776,854	XXX	9,337,811	9,238,933	8,705,143	(119,571)	(2,107)	0	0	XXX	XXX	XXX	29,075	465,139	XXX	XXX
7899999. Total - Commercial Mortgage-Backed Securities						2,902,952	XXX	2,895,410	2,818,685	2,902,451	0	(501)	0	0	XXX	XXX	XXX	5,277	9,894	XXX	XXX
7999999. Total - Other Loan-Backed and Structured Securities						31,385,375	XXX	31,373,402	30,854,413	31,373,317	0	(12,307)	0	0	XXX	XXX	XXX	48,194	944,518	XXX	XXX
8099999. Total - SVO Identified Funds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8199999. Total - Affiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8299999. Total - Unaffiliated Bank Loans						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8399999 - Total Bonds						2,084,932,022	XXX	2,172,737,673	2,053,958,335	2,081,294,482	(119,571)	(2,093,866)	0	0	XXX	XXX	XXX	21,208,321	72,814,182	XXX	XXX

SCHEDULE D - PART 2 - SECTION 1

Showing All PREFERRED STOCKS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Fair Value		11	Dividends			Change in Book/Adjusted Carrying Value					20	21
		3	4					9	10		12	13	14	15	16	17	18	19		
CUSIP Identi-fication	Description	Code	For-eign	Number of Shares	Par Value Per Share	Rate Per Share	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (15 + 16 - 17)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	NAIC Desig-nation and Admini-strative Symbol	Date Acquired
25525P-30-5	Diversified Healthcare PS 6.25%			60,000.000	25.00		1,500,000	25.870	1,552,200	1,500,000	0	93,749	0	0	0	0	0	0	3FE	02/10/2016
263534-30-7	du Pont El de Nemours & Co PS 4.50%			1,500.000	100.00		101,988	110.760	166,140	101,988	0	6,750	0	0	0	0	0	0	2FE	09/01/1995
416518-60-3	Hartford Finl Svcs Group PS 6%			40,000.000	1.00		1,000,000	27.630	1,105,200	1,000,000	0	61,500	0	0	0	0	0	0	2FE	10/30/2018
48251W-20-3	KKR & Co Inc PS 6.75%			60,000.000	1.00		1,500,000	26.590	1,595,400	1,500,000	0	101,251	0	0	0	0	0	0	2FE	03/10/2016
8499999. Subtotal - Preferred Stock - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred							4,101,988	XXX	4,418,940	4,101,988	0	263,250	0	0	0	0	0	0	XXX	XXX
8999999 - Total Preferred Stocks							4,101,988	XXX	4,418,940	4,101,988	0	263,250	0	0	0	0	0	0	XXX	XXX

SCHEDULE D - PART 2 - SECTION 2

Showing All COMMON STOCKS Owned December 31 of Current Year

1	2	Codes		5	6	Fair Value		9	Dividends			Change in Book/Adjusted Carrying Value				17	18
		3	4			7	8		10	11	12	13	14	15	16		
CUSIP Identi-fication	Description	Code	For-eign	Number of Shares	Book/ Adjusted Carrying Value	Rate Per Share Used to Obtain Fair Value	Fair Value	Actual Cost	Declared but Unpaid	Amount Received During Year	Nonadmitted Declared But Unpaid	Unrealized Valuation Increase/ (Decrease)	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Date Acquired	NAIC Desig-nation
00142C-70-6	Invesco High Yield Fund Cl A CS			10,414.000	42,697	4.100	42,697	29,680	0	2,366	0	2,604	0	2,604	0	08/01/1996	
10922N-10-3	Brighthouse Financial Inc CS			1.000	36	39.231	36	36	0	0	0	13	5	8	0	08/07/2017	
110122-15-7	Bristol Myers Squibb Co RTS			6,125.000	18,436	3.010	18,436	13,046	0	0	0	5,390	0	5,390	0	11/21/2019	
26441C-20-4	Duke Energy Corp CS			1.000	91	91.210	91	45	0	6	0	5	0	5	0	01/27/2009	
9099999. Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					61,260	XXX	61,260	42,807	0	2,372	0	8,012	5	8,007	0	XXX	XXX
9799999 - Total Common Stocks					61,260	XXX	61,260	42,807	0	2,372	0	8,012	5	8,007	0	XXX	XXX
9899999 - Total Preferred and Common Stocks					4,163,248	XXX	4,480,200	4,144,795	0	265,622	0	8,012	5	8,007	0	XXX	XXX

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
010411-CK-0	Alabama ST GO 5.000% 11/01/38		04/05/2019	Citigroup Global Markets Inc		4,071,216	3,395,000	54,697
546417-CL-8	Louisiana St GO 5.000% 03/01/38		04/11/2019	Various		11,971,700	10,000,000	54,167
93974D-MW-5	Washington ST GO 5.000% 02/01/36		03/29/2019	J.P. Morgan		5,720,750	5,000,000	42,361
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						21,763,666	18,395,000	151,225
220147-4G-4	Corpus Christi TX ISD GO 4.000% 08/15/37		04/05/2019	Morgan Stanley Dean Witter		2,440,980	2,250,000	13,500
25476F-XQ-6	District of Columbia GO 5.000% 10/15/37		04/02/2019	Oppenheimer & Co., Inc.		3,832,322	3,150,000	18,813
25476F-XR-4	District of Columbia GO 5.000% 10/15/38		03/28/2019	J.P. Morgan		1,722,190	1,420,000	7,889
30382A-HL-9	County of Fairfax VA GO 5.000% 10/01/37		04/10/2019	Oppenheimer & Co., Inc.		6,136,550	5,000,000	41,667
717095-7E-7	Pflugerville TX ISD GO 5.000% 02/15/38		03/28/2019	Citigroup Global Markets Inc		5,182,205	4,470,000	0
89453P-J3-4	Travis Cnty TX GO 5.000% 03/01/39		04/03/2019	First Tennessee		6,026,550	5,000,000	0
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						25,340,797	21,290,000	81,869
45506D-W9-2	Indiana ST Fin Auith Rev 5.000% 02/01/37		04/11/2019	Citigroup Global Markets Inc		3,095,751	2,550,000	28,688
45506D-X2-6	Indiana ST Fin Auith Rev 5.000% 02/01/38		04/04/2019	Citigroup Global Markets Inc		3,677,062	3,040,000	31,244
59334P-HM-2	Miami Dade Cnty Fl Transit Rev 4.000% 07/01/39		04/01/2019	Hilltop Securities Inc.		5,440,550	5,000,000	0
68607V-Z2-4	Oregon ST Dept of Admin Svcs Rev 5.000% 04/01/39		04/03/2019	Hilltop Securities Inc.		2,330,405	1,925,000	0
91802R-CH-0	Utility Debt Securitization Au Rev 5.000% 12/15/35		04/09/2019	Oppenheimer & Co., Inc.		2,868,220	2,440,000	39,311
928172-4R-3	Virginia St Pub Bldg Auth Rev 4.000% 08/01/37		04/02/2019	Hilltop Securities Inc.		4,477,902	4,115,000	914
977123-W8-7	Wisconsin St Transportation Rev 5.000% 07/01/36		04/03/2019	Stifel, Nicolaus & Co		6,045,950	5,000,000	694
977123-W9-5	Wisconsin St Transportation Rev 5.000% 07/01/37		03/28/2019	J.P. Morgan		6,053,100	5,000,000	0
3199999. Subtotal - Bonds - U.S. Special Revenues						33,988,940	29,070,000	100,851
00206R-HL-9	A T & T Inc Sr Nt 4.000% 01/15/22		05/31/2019	Taxable Exchange		516,920	500,000	7,778
00912X-AY-0	Air Lease Corp Bd 3.625% 12/01/27		05/14/2019	J.P. Morgan		3,310,473	3,445,000	57,237
02209S-BD-4	Altria Group Inc Bd 4.800% 02/14/29		06/10/2019	FTN Financial		3,166,080	3,000,000	47,200
036752-AG-8	Anthem Inc Bd 4.101% 03/01/28		09/16/2019	Various		7,547,799	6,975,000	8,381
037411-BF-1	Apache Corp Bd 4.250% 01/15/30		06/17/2019	Oppenheimer & Co., Inc.		1,993,860	2,000,000	0
04621W-AC-4	Assured Guaranty US Hldg Bd 5.000% 07/01/24		09/23/2019	Stifel, Nicolaus & Co		1,101,760	1,000,000	11,667
04621X-AM-0	Assurant Inc Bd 3.700% 02/22/30		09/09/2019	Morgan Stanley Dean Witter		5,020,350	5,000,000	9,764
04686J-AA-9	Athene Holding Bd 4.125% 01/12/28		06/13/2019	Cantor Fitzgerald & Co.		1,983,560	2,000,000	35,521
05526D-BB-0	Bat Capital Corp Bd 3.557% 08/15/27		05/29/2019	J.P. Morgan		4,777,550	5,000,000	52,367
05526D-BH-7	Bat Capital Corp Bd 3.462% 09/06/29		09/26/2019	Various		6,664,454	6,760,000	6,466
05541T-AM-3	BGC Partners Inc Bd 3.750% 10/01/24		12/09/2019	Tax Free Exchange		2,987,308	3,000,000	22,500
10112R-BA-1	Boston Properties LP Bd 4.500% 12/01/28		04/17/2019	Wells Fargo Advisors		4,260,640	4,000,000	72,000
110122-BG-2	Bristol Myers Squibb Co Bd 3.250% 08/15/22		11/20/2019	Tax Free Exchange		1,244,357	1,250,000	10,720
12326Q-AA-2	Business Jet Securities, LLC BJETS 2019-1 A 4.212% 07/15/34		12/06/2019	Various		2,902,952	2,818,685	4,067
125523-AN-0	Cigna Corp 144A 4.000% 02/15/22		10/09/2019	Tax Free Exchange		2,994,947	3,000,000	18,667
125523-AU-4	Cigna Corp Bd 3.250% 04/15/25		10/09/2019	Tax Free Exchange		3,557,484	3,500,000	55,611
125523-AY-6	Cigna Corp 3.050% 10/15/27		10/09/2019	Tax Free Exchange		3,431,100	3,500,000	52,189
125523-BW-9	Cigna Corp 144A 3.500% 06/15/24		10/09/2019	Tax Free Exchange		1,465,168	1,500,000	16,917
125523-BY-5	Cigna Corp 144A 4.500% 02/25/26		10/09/2019	Tax Free Exchange		5,589,597	5,500,000	31,625
125523-CA-6	Cigna Corp Bd 3.400% 03/01/27		10/09/2019	Tax Free Exchange		957,860	1,000,000	4,156
12563L-AL-1	CLI Funding LLC 19-1A 3.710% 05/18/44		06/10/2019	Oppenheimer & Co., Inc.		3,329,107	3,294,107	7,468
126650-CX-6	CVS Health Corp Bd 4.300% 03/25/28		04/16/2019	Various		6,559,840	6,500,000	108,635
17288X-AB-0	Citadel Lp 144A 4.875% 01/15/27		09/12/2019	Raymond James & Assoc.		4,999,000	5,000,000	0
17326Y-L7-9	Citigroup Global Markets Bd 4.000% 02/08/29		02/06/2019	BOSC Inc.		5,000,000	5,000,000	0
22576L-AA-9	Crescent Private Partners Note 5.000% 04/01/29		12/31/2019	Crescent Priv Credit Partners		7,614,905	7,614,905	0
233851-DF-8	Daimler Finance NA LLC 144A 3.750% 08/22/28		09/05/2019	Citigroup Global Markets Inc		2,015,807	1,887,000	3,342
233851-DW-1	Daimler Finance NA LLC 144A 3.100% 08/15/29		09/30/2019	First Tennessee		5,073,574	5,000,000	20,236
254687-CX-0	Walt Disney Co (The) Bd 4.500% 02/15/21		11/22/2019	Tax Free Exchange		500,385	500,000	6,063
38150A-D5-1	Goldman Sachs Group Inc. Step up 3.000% 07/31/29		07/29/2019	Hilltop Securities Inc.		5,000,000	5,000,000	0
402524-AE-2	Gulf South Pipeline Bd 4.000% 06/15/22		12/31/2019	Tax Free Exchange		755,373	750,000	1,333
406216-BG-5	Halliburton Co Bd 3.800% 11/15/25		09/04/2019	Cantor Fitzgerald & Co.		3,144,681	2,955,000	34,623
468502-AA-7	Jackson Natl Life Ins Co Bd 8.150% 03/15/27		06/05/2019	Hilltop Securities Inc.		4,392,691	3,395,000	40,060
48203R-AM-6	Juniper Networks Inc BD 3.750% 08/15/29		09/04/2019	Various		5,043,900	5,000,000	2,083
52107Q-AG-0	Lazard Group LLC Bd 3.750% 02/13/25		03/07/2019	Raymond James & Assoc.		2,209,514	2,220,000	2,313
52107Q-AK-1	Lazard Group LLC Bd 4.375% 03/11/29		05/10/2019	Citigroup Global Markets Inc		2,041,480	2,000,000	15,313
521865-AY-1	Lear Corp Bd 3.800% 09/15/27		10/11/2019	Various		4,961,670	5,000,000	55,628
521865-BA-2	Lear Corp Bd 4.250% 05/15/29		05/16/2019	Cantor Fitzgerald & Co.		5,902,440	6,000,000	13,458
524660-AZ-0	Leggett & Platt Inc Bd 4.400% 03/15/29		03/08/2019	J.P. Morgan		4,979,900	5,000,000	3,056
534187-BF-5	Lincoln National Corp Bd 3.625% 12/12/26		02/28/2019	J.P. Morgan		1,991,245	2,034,000	35,228
55336V-AR-1	MPLX LP BD 4.000% 03/15/28		09/13/2019	Morgan Stanley Dean Witter		3,104,100	3,000,000	667
61980A-AD-5	Motiva Enterprises LLC 144A 6.850% 01/15/40		05/08/2019	Hilltop Securities Inc.		3,556,020	3,000,000	65,646
628530-BK-2	Mylan Laboratories Inc Bd 4.550% 04/15/28		09/05/2019	FTN Financial		4,318,080	4,000,000	72,800
637071-AM-3	National Oilwell Varco Inc Bd 3.600% 12/01/29		12/06/2019	Stifel, Nicolaus & Co		2,940,690	3,000,000	7,800
64128X-AG-5	Neuberger Berman Grp Fin 144A 4.500% 03/15/27		03/18/2019	J.P. Morgan		5,048,700	5,000,000	3,125

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
651639-AT-3	Newmont Goldcorp Corp Bd 3.700% 03/15/23		08/06/2019	Tax Free Exchange		952,623	1,000,000	14,800
654894-AF-1	Noble Energy Inc Bd 8.000% 04/01/27		08/30/2019	FTN Financial		1,295,580	1,000,000	34,000
66706*-AA-6	NORTHSTAR 8.000% 06/10/34		10/30/2019	Northstar Mezzanine Parterners		599,599	599,599	0
674599-DA-0	Occidental Petroleum Corp Bd 3.450% 07/15/24		09/13/2019	Tax Free Exchange		1,313,926	1,500,000	9,200
693506-BP-1	PPG Industries Inc Bd 3.750% 03/15/28		04/16/2019	J.P. Morgan		5,055,050	5,000,000	17,188
703481-AB-7	Patterson-Uti Energy Inc 144A 3.950% 02/01/28		06/13/2019	Various		3,638,895	3,775,000	51,654
709599-AX-2	Penske Truck Leasing PTI 144A 4.200% 04/01/27		04/02/2019	J.P. Morgan		3,988,960	4,000,000	1,400
72303#-AA-7	PineBridge Private 6.000% 12/31/31		12/11/2019	PineBridge Priv Credit Rated		10,614,771	10,614,771	0
74348Y-R6-0	Prospect Capital Corp Step 5.000% 07/15/29		07/01/2019	Cantor Fitzgerald & Co.		3,000,000	3,000,000	1,667
74348Y-U6-6	Prospect Capital Corp Step up 4.000% 08/15/29		08/12/2019	BOSC Inc.		2,000,000	2,000,000	0
74348Y-V8-1	Prospect Capital Corp Bd 4.000% 09/15/26		09/23/2019	BOSC Inc.		1,999,500	2,000,000	0
743674-BD-4	Protective Life Corp 144A 4.300% 09/30/28		09/06/2019	Wells Fargo Advisors		4,089,266	3,702,000	70,749
755763-AA-7	Readycap Holdings LLC 144A 7.500% 02/15/22		04/12/2019	Stifel, Nicolaus & Co		2,114,340	2,000,000	25,417
759351-AN-9	Reinsurance Group of America Bd 3.900% 05/15/29		07/17/2019	Various		4,609,817	4,510,000	13,056
79588T-AC-4	Sammons Financial Group 144A 4.450% 05/12/27		08/15/2019	Oppenheimer & Co., Inc.		3,159,060	3,000,000	35,971
814120-AC-5	Security Benefit Life Bd 7.450% 10/01/33		08/14/2019	Various		10,354,840	8,000,000	168,246
858155-AE-4	Steelcase Inc Bd 5.125% 01/18/29		12/05/2019	Oppenheimer & Co., Inc.		7,711,210	7,000,000	40,146
874074-AA-5	TAL Advantage LLC 2017 1A A 4.500% 04/20/42		04/22/2019	Oppenheimer & Co., Inc.		3,735,392	3,642,064	1,821
88315L-AA-6	Textainer Marine Containers Li 18-1A 4.110% 07/20/43		05/02/2019	Oppenheimer & Co., Inc.		9,082,428	8,980,000	23,067
891027-AS-3	Globe Life Inc Bd 4.550% 09/15/28		09/04/2019	Various		5,898,522	5,527,000	113,211
89690E-AG-2	TRIP Rail Master Funding LLC 2017-1A 3.736% 08/15/47		10/15/2019	Oppenheimer & Co., Inc.		4,071,875	4,000,000	830
91529Y-AN-6	Unum Group Bd 4.000% 06/15/29		06/13/2019	Raymond James & Assoc.		1,712,563	1,700,000	756
920253-AF-8	Valmont Industries Inc Bd 5.000% 10/01/44		09/27/2019	Hilltop Securities Inc.		5,274,650	5,000,000	0
92936U-AE-9	WP Carey Inc Bd 4.250% 10/01/26		04/22/2019	Wells Fargo Advisors		2,649,085	2,622,000	7,119
06367W-KG-7	Bank of Montreal Step 3.100% 04/17/31	A	04/15/2019	Hilltop Securities Inc.		5,000,000	5,000,000	0
064159-PB-6	Bank of Nova Scotia Bd 3.000% 05/30/31	A	05/29/2019	Hilltop Securities Inc.		10,000,000	10,000,000	0
112585-AH-7	Brookfield Asset Man Inc Bd 4.000% 01/15/25	A	02/22/2019	Stifel, Nicolaus & Co		1,010,857	1,017,000	4,633
11271L-AA-0	Brookfield Finance Inc Bd 4.250% 06/02/26	A	02/22/2019	Stifel, Nicolaus & Co		3,957,400	4,000,000	39,667
11271L-AC-6	Brookfield Finance Inc Bd 3.900% 01/25/28	A	10/09/2019	RBC Capital Markets		1,398,108	1,315,000	10,827
11271L-AD-4	Brookfield Finance Inc Bd 4.850% 03/29/29	A	06/11/2019	Morgan Stanley Dean Witter		3,148,236	2,925,000	52,804
448055-AP-8	Husky Energy Inc Bd 4.400% 04/15/29	A	04/17/2019	Hilltop Securities Inc.		5,060,453	5,000,000	22,611
78014R-AX-8	Royal Bank of Canada Step up 3.000% 07/15/31	A	07/11/2019	RBC Capital Markets		3,000,000	3,000,000	0
00203Q-AE-7	AP Moeller Maersk 144A 4.500% 06/20/29	D	06/28/2019	Oppenheimer & Co., Inc.		2,220,066	2,175,000	3,263
01273P-AA-0	Albemarle Wod Pty Ltd 144A 3.450% 11/15/29	C	11/22/2019	Cantor Fitzgerald & Co.		3,010,710	3,000,000	288
03522A-AG-5	Anheuser-Busch Co Bd 3.650% 02/01/26	D	05/13/2019	Tax Free Exchange		8,132,554	8,000,000	84,356
06747M-NW-2	Barclays Bank Plc Bd 3.500% 04/26/29	D	04/23/2019	BOSC Inc.		5,000,000	5,000,000	0
06747N-7J-7	Barclays Bank PLC Step up 3.000% 08/01/31	D	07/29/2019	Cantor Fitzgerald & Co.		5,000,000	5,000,000	0
22533A-BA-4	Credit Agricole CIB Bd 3.500% 04/24/31	D	04/22/2019	Hilltop Securities Inc.		5,000,000	5,000,000	0
22533A-BG-1	Credit Agricole CIB Step 3.000% 07/08/31	D	07/02/2019	Hilltop Securities Inc.		5,000,000	5,000,000	0
268317-AU-8	Electricite De France SA 144A 4.500% 09/21/28	D	03/08/2019	Citigroup Global Markets Inc		5,085,950	5,000,000	106,870
29359U-AB-5	Enstar Group LTd Bd 4.950% 06/01/29	D	08/20/2019	Stifel, Nicolaus & Co		5,160,840	5,000,000	43,313
30217A-AB-9	Experian Finance PLC 144A 4.250% 02/01/29	D	02/04/2019	Oppenheimer & Co., Inc.		2,304,610	2,290,000	1,622
37956A-AA-1	Global SC Finance SRL 2017 1A A 3.850% 04/15/37	D	03/05/2019	Oppenheimer & Co., Inc.		3,722,601	3,735,789	7,990
63861V-AE-7	Nationwide Bldg Society 144A 3.960% 07/18/30	D	10/02/2019	Bank of America Merrill Lynch		2,421,877	2,300,000	19,228
75968N-AD-3	Renaissancere Holdings Bd 3.600% 04/15/29	D	04/15/2019	Citigroup Global Markets Inc		1,963,840	2,000,000	3,000
80414L-2D-6	Saudi Arabian Oil Co 3.500% 04/16/29	D	04/16/2019	Morgan Stanley Dean Witter		4,900,940	5,000,000	972
88032W-AN-6	Tencent Holdings Ltd 144A 3.975% 04/11/29	D	04/04/2019	RBC Capital Markets		5,016,650	5,000,000	0
89236T-EE-1	Toyota Motor Credit Corp Step up 2.750% 11/29/32	D	03/26/2019	Hilltop Securities Inc.		4,956,750	5,000,000	45,451
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						360,351,715	351,828,920	2,100,903
8399997. Total - Bonds - Part 3						441,445,118	420,583,920	2,434,848
8399998. Total - Bonds - Part 5						11,429,422	11,423,597	20,074
8399999. Total - Bonds						452,874,540	432,007,517	2,454,922
8999997. Total - Preferred Stocks - Part 3						0	XXX	0
8999998. Total - Preferred Stocks - Part 5							XXX	
8999999. Total - Preferred Stocks						0	XXX	0
110122-15-7	Bristol Myers Squibb Co RTS		11/21/2019	Taxable Exchange	6,125.000	13,046		0
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						13,046	XXX	0
9799997. Total - Common Stocks - Part 3						13,046	XXX	0
9799998. Total - Common Stocks - Part 5						586,369	XXX	0
9799999. Total - Common Stocks						599,415	XXX	0

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
9899999. Total - Preferred and Common Stocks						599,415	XXX	0
9999999 - Totals						453,473,955	XXX	2,454,922

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
594610-3R-6	Michigan ST Ser A GO 6.850% 11/01/19		11/01/2019	Maturity		105,000	105,000	117,527	106,364	0	(1,364)	0	(1,364)	0	105,000	0	0	0	7,193	11/01/2019
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						105,000	105,000	117,527	106,364	0	(1,364)	0	(1,364)	0	105,000	0	0	0	7,193	XXX
364195-CJ-4	Galveston Cnty TX Build Americ GO 6.205% 02/01/29		02/01/2019	Call 100.0000		2,715,000	2,715,000	2,715,000	2,715,000	0	0	0	0	0	2,715,000	0	0	0	84,233	02/01/2029
412036-NX-9	Hardin Cnty TN Build America B GO 4.625% 06/01/23		10/18/2019	Redemption 100.0000		400,000	400,000	385,640	394,137	0	967	0	967	0	395,103	0	4,897	4,897	16,290	06/01/2023
602245-XG-2	Milwaukee Cnty WI GO 4.900% 10/01/23		10/14/2019	Call 100.0000		500,000	500,000	507,705	500,818	0	(818)	0	(818)	0	500,000	0	0	0	25,385	10/01/2023
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						3,615,000	3,615,000	3,608,345	3,609,955	0	149	0	149	0	3,610,103	0	4,897	4,897	125,908	XXX
276318-AM-4	Eastern CT Res Recovery Auth Rev 7.500% 01/01/20		01/01/2019	Call 100.0000		405,000	405,000	380,700	402,822	0	0	0	0	0	402,822	0	2,178	2,178	15,188	01/01/2020
31292G-6L-5	FHLMC C00875 7.500% 10/01/29		12/01/2019	Paydown		2,269	2,269	2,274	2,268	0	1	0	1	0	2,269	0	0	0	89	10/01/2029
31293X-W6-1	FHLMC C32469 7.500% 11/01/29		12/01/2019	Paydown		808	808	810	808	0	0	0	0	0	808	0	0	0	33	11/01/2029
313401-K6-7	FHLMC 360061 (45) 10.500% 05/01/19		05/01/2019	Paydown		97	97	97	96	0	0	0	0	0	97	0	0	0	2	05/01/2019
31347R-CU-9	FHLMC 533683 (45) 10.500% 05/01/19		05/01/2019	Paydown		1,253	2,755	2,751	2,744	0	11	0	11	0	2,755	0	(1,502)	(1,502)	34	05/01/2019
31371J-6Z-2	FNMA 253888 (15) 6.000% 07/01/31		12/01/2019	Paydown		1,370	1,370	1,331	1,347	0	23	0	23	0	1,370	0	0	0	45	07/01/2031
3137A3-AF-6	FHR 3754 MB (15) 4.000% 01/15/39		12/01/2019	Paydown		473,360	473,360	455,461	457,718	0	15,642	0	15,642	0	473,360	0	0	0	11,867	01/15/2039
31387V-U8-0	FNMA 595607 6.500% 07/01/31		12/01/2019	Paydown		1,847	1,847	1,824	1,831	0	16	0	16	0	1,847	0	0	0	66	07/01/2031
31392F-XU-6	FNR 2002 88 AL (25) 5.500% 12/25/22		12/01/2019	Paydown		161,596	161,596	162,623	161,561	0	35	0	35	0	161,596	0	0	0	4,523	12/25/2022
31393N-QT-9	FHR 2599 VB (15) 5.500% 02/15/23		12/01/2019	Paydown		23,420	23,420	23,630	23,470	0	(50)	0	(50)	0	23,420	0	0	0	688	02/15/2023
31393V-F9-7	FHR 2629 DC 5.000% 06/15/23		12/01/2019	Paydown		326,483	326,483	330,564	327,402	0	(919)	0	(919)	0	326,483	0	0	0	7,935	06/15/2023
38377F-MF-5	GNMA 2010 56 MD (20) 5.000% 03/20/39		12/01/2019	Paydown		275,532	275,532	288,362	286,351	0	(10,819)	0	(10,819)	0	275,532	0	0	0	7,782	03/20/2039
38378D-AH-8	GNR 2012-17 KX (20) 3.500% 07/20/39		12/20/2019	Paydown		427,618	427,618	461,159	457,785	0	(30,167)	0	(30,167)	0	427,618	0	0	0	11,819	07/20/2039
491189-FA-9	Kentucky Asset Liability Commn Rev 4.104% 04/01/19		04/01/2019	Maturity		2,000,000	2,000,000	1,993,480	1,999,778	0	222	0	222	0	2,000,000	0	0	0	41,040	04/01/2019
60534R-YW-3	Mississippi Dev Bk Spl Oblig Rev 4.370% 03/01/24		03/01/2019	Call 100.0000		30,000	30,000	30,225	30,005	0	(5)	0	(5)	0	30,000	0	0	0	656	03/01/2024
64988V-CB-7	New York St Mun Bd Bk Agy Rev 5.411% 02/15/19		02/15/2019	Various		405,000	405,000	407,025	405,034	0	(34)	0	(34)	0	405,000	0	0	0	10,957	02/15/2019
770047-AG-3	Roanoke TX Economic & Indl De Rev 5.230% 08/15/21		08/15/2019	Call 100.0000		245,000	245,000	245,000	245,000	0	0	0	0	0	245,000	0	0	0	12,814	08/15/2021
914119-SE-3	University Cincinnati OH GenRc Rev 4.716% 06/01/20		06/01/2019	Call 100.0000		100,000	100,000	100,000	100,000	0	0	0	0	0	100,000	0	0	0	2,358	06/01/2020
928172-VY-8	Virginia St Pub Bldg Auth Rev 3.800% 08/01/19		08/01/2019	Maturity		2,000,000	2,000,000	1,975,660	1,998,105	0	1,895	0	1,895	0	2,000,000	0	0	0	76,000	08/01/2019
3199999. Subtotal - Bonds - U.S. Special Revenues						6,880,653	6,882,155	6,862,976	6,904,125	0	(24,149)	0	(24,149)	0	6,879,977	0	676	676	203,896	XXX
00846U-AG-6	Agilent Technologies Inc Bd 5.000% 07/15/20		09/17/2019	Call 102.3154		1,023,154	1,000,000	1,078,650	1,019,719	0	(8,986)	0	(8,986)	0	1,010,733	0	(10,733)	(10,733)	81,765	07/15/2020
009158-AP-1	Air Products & Chemicals Inc Bd 4.375% 08/21/19		08/21/2019	Maturity		200,000	200,000	194,510	199,547	0	453	0	453	0	200,000	0	0	0	8,750	08/21/2019
012725-AA-5	Albemarle Corp Bd 4.500% 12/15/20		12/23/2019	Call 102.5221		512,610	500,000	493,240	498,393	0	785	0	785	0	499,178	0	822	822	35,610	12/15/2020
03215P-DP-1	Amresco Res Secs Mtg Ln Tr 1998-1 M1F 7.000% 01/25/28		09/01/2019	Paydown		44,851	44,739	44,737	44,612	0	(2)	0	(2)	0	44,610	0	240	240	793	01/25/2028
032511-BJ-5	Anadarko Petroleum Corp Bd 3.450% 07/15/24		09/13/2019	Tax Free Exchange		1,313,926	1,500,000	1,206,525	1,290,793	0	23,133	0	23,133	0	1,313,926	0	0	0	62,450	07/15/2024
06048W-SR-2	Bank of America Corp Bd 3.000% 05/26/31		11/26/2019	Various		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	60,000	05/26/2031
06048W-SU-5	Bank of America Corp Bd 3.125% 06/16/31		12/16/2019	Call 100.0000		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	15,625	06/16/2031
06048W-VB-3	Bank of America Corp Step 2.500% 11/28/25		11/29/2019	Call 100.0000		2,000,000	2,000,000	2,000,000	2,001,978	0	19,854	0	19,854	0	2,021,832	0	(21,832)	(21,832)	50,000	11/28/2025
06048W-VK-3	Bank of America Corp Bd 3.000% 12/27/27		12/27/2019	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	30,000	12/27/2027
075896-AA-8	Bed Bath & Beyond Inc Bd 3.749% 08/01/24		11/01/2019	Various		5,636,925	5,682,000	5,676,644	5,673,175	0	1,091	0	1,091	0	5,674,267	0	(37,342)	(37,342)	260,095	08/01/2024
075896-AC-4	Bed Bath & Beyond Inc Bd 5.165% 08/01/44		12/04/2019	Various		2,963,228	4,318,000	3,926,745	3,936,839	0	6,251	0	6,251	0	3,943,090	0	(979,862)	(979,862)	300,464	08/01/2044
09256B-AB-3	Blackstone Holdings Fina 144A 5.875% 03/15/21		10/10/2019	Call 105.4280		790,710	750,000	784,755	760,042	0	(3,398)	0	(3,398)	0	756,644	0	(6,644)	(6,644)	87,832	03/15/2021
097014-AL-8	Boeing Capital Corp Bd 4.700% 10/27/19		10/27/2019	Maturity		200,000	200,000	200,206	200,022	0	(22)	0	(22)	0	200,000	0	0	0	9,400	10/27/2019
10112R-AR-5	Boston Properties LP Bd 5.625% 11/15/20		09/18/2019	Call 103.8947		1,298,684	1,250,000	1,347,550	1,272,364	0	(8,310)	0	(8,310)	0	1,264,054	0	(14,054)	(14,054)	107,863	11/15/2020

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
125509-BS-7	Cigna Corp Nt 4.000% 02/15/22		10/09/2019	Tax Free Exchange		2,994,947	3,000,000	2,981,160	2,993,372	0	1,575	0	1,575	0	2,994,947	0	0	0	141,667	02/15/2022
125509-BU-2	Cigna Corp Bd 3.250% 04/15/25		10/09/2019	Various		3,557,484	3,500,000	3,592,210	3,565,252	0	(7,768)	0	(7,768)	0	3,557,484	0	0	0	115,986	04/15/2025
125509-BV-0	Cigna Corp Bd 3.050% 10/15/27		10/09/2019	Tax Free Exchange		3,431,100	3,500,000	3,416,945	3,425,338	0	5,762	0	5,762	0	3,431,100	0	0	0	109,064	10/15/2027
12665U-AA-2	CVS Pass-Through Trust 144A 4.704% 01/10/36		12/10/2019	Redemption 100.0000		163,496	163,496	174,132	173,477	0	(265)	0	(265)	0	173,213	0	(9,716)	(9,716)	4,196	01/10/2036
133131-AR-3	Camden Property Trust Nt 4.625% 06/15/21		10/23/2019	Call 104.2380		521,190	500,000	506,050	501,764	0	(562)	0	(562)	0	501,202	0	(1,202)	(1,202)	40,975	06/15/2021
13342B-AE-5	Cameron Intl Corp Nt 4.500% 06/01/21		11/21/2019	Various		3,896,962	3,750,000	3,794,490	3,763,049	0	(4,663)	0	(4,663)	0	3,758,386	0	(8,386)	(8,386)	311,025	06/01/2021
151020-AE-4	Celgene Corp Bd 3.950% 10/15/20		11/20/2019	Various		3,479,943	3,500,000	3,323,474	3,460,802	0	19,141	0	19,141	0	3,479,943	0	0	0	155,191	10/15/2020
151020-AH-7	Celgene Corp Sr Nt 3.250% 08/15/22		11/20/2019	Various		1,244,357	1,250,000	1,231,693	1,242,625	0	1,732	0	1,732	0	1,244,357	0	0	0	52,595	08/15/2022
219350-AU-9	Corning Inc Bd 4.250% 08/15/20		12/18/2019	Call 101.5568		507,784	500,000	529,770	506,255	0	(3,677)	0	(3,677)	0	502,578	0	(2,578)	(2,578)	36,294	08/15/2020
233851-AR-5	Daimler Finance NA LLC 144A 2.250% 07/31/19		07/31/2019	Various		1,750,000	1,750,000	1,739,885	1,749,098	0	902	0	902	0	1,750,000	0	0	0	39,375	07/31/2019
244199-BC-8	Deere & Co MTN 4.375% 10/16/19		10/16/2019	Maturity		3,000,000	3,000,000	2,983,680	2,998,433	0	1,567	0	1,567	0	3,000,000	0	0	0	131,250	10/16/2019
25179M-AP-8	Devon Energy Corp BD 3.250% 05/15/22		07/27/2019	Various		4,647,919	4,500,000	4,334,760	4,409,092	0	14,614	0	14,614	0	4,423,706	0	76,294	76,294	250,294	05/15/2022
25389J-AH-9	Digital Realty Trust LP Sr Nt 5.875% 02/01/20		02/19/2019	Call 102.0310		204,062	200,000	214,848	202,088	0	(251)	0	(251)	0	201,838	0	(1,838)	(1,838)	10,525	02/01/2020
25389J-AJ-5	Digital Realty Trust LP Bd 5.250% 03/15/21		07/17/2019	Call 104.0626		312,188	300,000	304,245	301,151	0	(272)	0	(272)	0	300,878	0	(878)	(878)	25,400	03/15/2021
25460C-AA-1	Directv Holdings Bd 3.950% 01/15/25		12/16/2019	Tender Offer		798,495	750,000	741,083	743,639	0	916	0	916	0	744,556	0	53,939	53,939	42,298	01/15/2025
263534-CE-7	du Pont El de Nemours & Co Nt 4.250% 04/01/21		04/22/2019	Call 103.1968		515,984	500,000	497,175	499,258	0	97	0	97	0	499,355	0	645	645	27,848	04/01/2021
26483E-AG-5	Dun & Bradstreet Corp Bd 4.375% 12/01/22		03/10/2019	Call 105.8897		3,275,168	3,093,000	3,066,679	3,080,683	0	556	0	556	0	3,081,239	0	11,761	11,761	221,508	12/01/2022
278642-AE-3	eBay Inc Bd 2.600% 07/15/22		11/14/2019	Various		4,037,520	4,000,000	3,788,791	3,887,040	0	26,858	0	26,858	0	3,913,897	0	123,623	123,623	139,533	07/15/2022
28932M-AA-3	Elm Rd Generating Station 144A 5.209% 02/11/30		08/11/2019	Redemption 100.0000		140,624	140,624	140,624	140,624	0	0	0	0	0	140,624	0	0	0	5,517	02/11/2030
30219G-AK-4	Express Scripts Holding Bd 3.500% 06/15/24		10/09/2019	Tax Free Exchange		1,465,168	1,500,000	1,441,905	1,459,977	0	5,190	0	5,190	0	1,465,168	0	0	0	44,667	06/15/2024
30219G-AM-0	Express Scripts Holding Bd 4.500% 02/25/26		10/09/2019	Various		5,589,597	5,500,000	5,630,335	5,599,420	0	(9,823)	0	(9,823)	0	5,589,597	0	0	0	284,625	02/25/2026
30219G-AN-8	Express Scripts Holding Bd 3.400% 03/01/27		10/09/2019	Tax Free Exchange		958,237	1,000,000	946,610	954,521	0	3,717	0	3,717	0	958,237	0	0	0	38,778	03/01/2027
302508-AM-8	FMR Corp 144A 7.490% 06/15/19		06/15/2019	Maturity		200,000	200,000	225,940	201,593	0	(1,593)	0	(1,593)	0	200,000	0	0	0	7,490	06/15/2019
31331F-BB-6	Federal Express Corp ABS 7.900% 01/15/20		01/15/2019	Redemption 100.0000		552,583	552,583	552,583	552,583	0	0	0	0	0	552,583	0	0	0	21,827	01/15/2020
38150A-3V-5	Goldman Sachs Group Inc. Bd 3.000% 08/14/25		11/14/2019	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	75,000	08/14/2025
393505-XH-0	Green Tree Financial Corp 1997-6 M1 7.210% 01/15/29		12/15/2019	Paydown		448,168	538,976	419,067	430,700	0	0	0	0	0	430,700	0	17,468	17,468	23,322	01/15/2029
402524-AD-4	Gulf South Pipeline Bd 4.000% 06/15/22		12/31/2019	Tax Free Exchange		755,373	750,000	755,993	752,248	0	3,125	0	3,125	0	755,373	0	0	0	31,333	06/15/2022
452308-AJ-8	Illinois Tool Works Inc Nt 6.250% 04/01/19		04/01/2019	Maturity		3,836,000	3,836,000	4,323,862	3,852,319	0	(16,319)	0	(16,319)	0	3,836,000	0	0	0	119,875	04/01/2019
459200-AG-6	Intl Business Machines Corp Deb 8.375% 11/01/19		11/01/2019	Maturity		2,000,000	2,000,000	2,031,740	2,002,363	0	(2,363)	0	(2,363)	0	2,000,000	0	0	0	167,500	11/01/2019
48203R-AF-1	Juniper Networks Inc Sr Nt 4.600% 03/15/21		09/25/2019	Call 103.7780		5,188,900	5,000,000	5,325,540	5,096,127	0	(31,086)	0	(31,086)	0	5,065,041	0	(65,041)	(65,041)	425,289	03/15/2021
501673-AA-5	La Arena Funding LLC 144A 7.656% 12/15/26		12/15/2019	Paydown		69,905	69,905	66,246	69,134	0	770	0	770	0	69,905	0	0	0	5,352	12/15/2026
53117C-AM-4	Liberty Property LP Bd 4.750% 10/01/20		10/12/2019	Various		3,589,668	3,500,000	3,522,282	3,504,962	0	(2,165)	0	(2,165)	0	3,502,798	0	(2,798)	(2,798)	260,998	10/01/2020
55616X-AH-0	Macys Retail Holdings Bd 2.875% 02/15/23		12/16/2019	Tender Offer		624,226	647,000	582,423	606,530	0	8,754	0	8,754	0	615,283	0	8,942	8,942	44,367	02/15/2023
571903-AJ-2	Marriott International Sr Nt 3.000% 03/01/19		03/01/2019	Maturity		2,600,000	2,600,000	2,611,864	2,599,884	0	116	0	116	0	2,600,000	0	0	0	39,000	03/01/2019
577081-AT-9	Mattel Inc Bd 4.350% 10/01/20		12/20/2019	Call 101.9704		509,852	500,000	510,108	502,158	0	(1,177)	0	(1,177)	0	500,980	0	(980)	(980)	36,375	10/01/2020
577778-AS-2	May Department Stores Co Deb 9.750% 02/15/21		08/15/2019	Redemption 100.0000		50,000	50,000	50,250	50,020	0	4	0	4	0	50,024	0	(24)	(24)	3,656	02/15/2021
585055-AX-4	Medtronic Inc Sr Nt 3.125% 03/15/22		08/09/2019	Call 103.7040		2,074,080	2,000,000	2,004,320	2,001,532	0	(278)	0	(278)	0	2,001,254	0	1,254	1,254	130,330	03/15/2022
59156R-AX-6	MetLife Inc Bd 4.750% 02/08/21		06/24/2019	Call 104.2630		312,789	300,000	310,851	302,806	0	(620)	0	(620)	0	302,186	0	(2,186)	(2,186)	25,297	02/08/2021

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
629400-AE-2	NP FERRUM LLC 2016-1A 5.047% 03/19/46		11/19/2019	Redemption 100.9061		5,045,307	5,000,000	5,170,313	5,170,171	0	(4,335)	0	(4,335)	0	5,165,836	0	(120,529)	(120,529)	231,321	03/19/2046
637071-AJ-0	National Oilwell Varco Inc Sr Nt 2.600% 12/01/22		12/04/2019	Various		5,846,222	5,714,000	5,511,274	5,604,129	0	24,399	0	24,399	0	5,628,528	0	85,472	85,472	282,024	12/01/2022
65364U-AA-4	Niagara Mohawk Power 144A 4.881% 08/15/19		08/15/2019	Maturity		2,000,000	2,000,000	2,042,100	2,003,304	0	(3,304)	0	(3,304)	0	2,000,000	0	0	0	97,620	08/15/2019
677400-AF-3	Ohio National Financial Svcs 144A 6.375% 04/30/20		05/10/2019	Redemption 103.4210		517,105	500,000	547,115	508,318	0	(2,182)	0	(2,182)	0	506,136	0	10,969	10,969	16,823	04/30/2020
682134-AC-5	Omnicom Group Inc Bd 4.450% 08/15/20		08/01/2019	Call 102.2470		1,513,256	1,480,000	1,441,402	1,472,125	0	2,761	0	2,761	0	1,474,886	0	5,114	5,114	96,554	08/15/2020
68268N-AE-3	Oneok Partners LP Bd 8.625% 03/01/19		03/01/2019	Maturity		2,000,000	2,000,000	2,200,300	2,004,669	0	(4,669)	0	(4,669)	0	2,000,000	0	0	0	86,250	03/01/2019
69352J-AN-7	Talen Energy Supply LLC Sr Nt 4.600% 12/15/21		01/15/2019	Tender Offer		39,060	42,000	41,987	41,995	0	0	0	0	0	41,995	0	(2,935)	(2,935)	177	12/15/2021
695156-AP-4	Packaging Corp of America Sr Nt 3.900% 06/15/22		12/23/2019	Call 104.5960		784,470	750,000	773,805	758,824	0	(2,579)	0	(2,579)	0	756,245	0	(6,245)	(6,245)	64,370	06/15/2022
696429-AC-3	Pall Corp Nt 5.000% 06/15/20		10/24/2019	Call 101.9440		1,019,440	1,000,000	1,012,550	1,002,222	0	(1,225)	0	(1,225)	0	1,000,997	0	(997)	(997)	62,357	06/15/2020
74348Y-RQ-6	Prospect Capital Corp Bd 5.250% 02/15/22		08/15/2019	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	52,500	02/15/2022
74348Y-RU-7	Prospect Capital Corp Bd 5.250% 03/15/22		09/16/2019	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	105,000	03/15/2022
75884R-AS-2	Regency Centers Bd 4.800% 04/15/21		03/30/2019	Call 103.8480		778,860	750,000	753,921	751,149	0	(119)	0	(119)	0	751,029	0	(1,029)	(1,029)	45,360	04/15/2021
784864-AC-5	SVB Financial Group Bd 5.375% 09/15/20		12/20/2019	Call 102.3986		204,797	200,000	205,816	201,214	0	(678)	0	(678)	0	200,536	0	(536)	(536)	18,384	09/15/2020
79548K-ZL-5	Salomon Bros Mtg Secs VII 1998-AQ1 B1 7.000% 06/25/28		12/01/2019	Paydown		11,801	11,801	11,122	11,423	0	13	0	13	0	11,437	0	364	364	497	06/25/2028
828807-CF-2	Simon Property Group Inc Nt 4.375% 03/01/21		10/07/2019	Call 103.3984		3,101,953	3,000,000	2,991,600	2,997,852	0	737	0	737	0	2,998,589	0	1,411	1,411	246,328	03/01/2021
883556-AX-0	Thermo Fisher Scientific Inc Bd 4.500% 03/01/21		10/15/2019	Call 103.7220		518,610	500,000	511,400	502,912	0	(1,030)	0	(1,030)	0	501,882	0	(1,882)	(1,882)	43,860	03/01/2021
883556-BC-5	Thermo Fisher Scientific Inc Sr Nt 3.150% 01/15/23		09/27/2019	Call 104.3180		260,795	250,000	257,193	253,013	0	(562)	0	(562)	0	252,451	0	(2,451)	(2,451)	20,245	01/15/2023
887317-AN-5	Time Warner Inc Sr Nt 4.000% 01/15/22		05/31/2019	Taxable Exchange		517,420	500,000	498,775	499,575	0	57	0	57	0	499,632	0	17,788	17,788	17,778	01/15/2022
90131H-AQ-8	21st Century Fox America Bd 4.500% 02/15/21		03/15/2019	Tax Free Exchange		498,414	500,000	495,504	498,240	0	174	0	174	0	498,414	0	0	0	16,125	02/15/2021
90265E-AG-5	UDR Inc Sr Nt 4.625% 01/10/22		11/01/2019	Call 105.4884		263,721	250,000	255,163	251,804	0	(474)	0	(474)	0	251,330	0	(1,330)	(1,330)	28,849	01/10/2022
91913Y-AR-1	Valero Energy Corp Bd 6.125% 02/01/20		04/25/2019	Call 102.4790		512,395	500,000	553,375	508,280	0	(2,385)	0	(2,385)	0	505,895	0	(5,895)	(5,895)	34,853	02/01/2020
92346M-AF-8	Verizon Communication Bd 3.750% 06/15/29		08/15/2019	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	50,000	06/15/2029
929043-AG-2	Vornado Realty LP Sr Nt 5.000% 01/15/22		03/31/2019	Call 105.5144		791,358	750,000	765,908	755,670	0	(439)	0	(439)	0	755,232	0	(5,232)	(5,232)	68,025	01/15/2022
959802-AL-3	Western Union Company Bd 5.253% 04/01/20		12/27/2019	Call 100.9133		504,567	500,000	536,690	506,097	0	(4,802)	0	(4,802)	0	501,295	0	(1,295)	(1,295)	37,106	04/01/2020
98372P-AK-4	XL Capital Ltd Bd 6.250% 05/15/27		06/14/2019	Call 128.3015		2,566,031	2,000,000	2,386,460	2,344,006	0	(15,936)	0	(15,936)	0	2,328,070	0	(328,070)	(328,070)	638,600	05/15/2027
125094-AD-4	CDP Financial 144A 4.400% 11/25/19	A	11/25/2019	Maturity		200,000	200,000	193,182	199,239	0	761	0	761	0	200,000	0	0	0	8,800	11/25/2019
380956-AD-4	Goldcorp Inc Bd 3.700% 03/15/23	A	04/18/2019	Tax Free Exchange		949,049	1,000,000	913,100	945,438	0	3,611	0	3,611	0	949,049	0	0	0	23,303	03/15/2023
00440E-AM-9	Ace Ina Holdings Bd 5.900% 06/15/19	D	06/15/2019	Various		3,200,000	3,200,000	3,488,032	3,217,091	0	(17,091)	0	(17,091)	0	3,200,000	0	0	0	94,400	06/15/2019
03522A-AD-2	Anheuser-Busch Co Bd 3.650% 02/01/26	D	05/13/2019	Tax Free Exchange		8,132,554	8,000,000	8,141,738	8,139,436	0	(6,881)	0	(6,881)	0	8,132,554	0	0	0	230,356	02/01/2026
06739F-FS-5	Barclays Bank PLC Bd 6.750% 05/22/19	D	05/22/2019	Maturity		3,000,000	3,000,000	2,965,020	2,998,145	0	1,857	0	1,857	0	3,000,000	0	0	0	101,250	05/22/2019
2027A0-DJ-5	Commonwealth Bank Australia 144A 5.000% 10/15/19	D	10/15/2019	Maturity		200,000	200,000	201,676	200,168	0	(168)	0	(168)	0	200,000	0	0	0	10,000	10/15/2019
29358Q-AG-4	Ensco PLC Bd 8.000% 01/31/24	D	07/09/2019	Various		1,442,450	1,697,000	1,438,208	1,748,662	0	(3,180)	305,919	(309,099)	0	1,439,564	0	2,886	2,886	128,972	01/31/2024
654902-AB-1	Nokia Corp Nt 5.375% 05/15/19	D	05/15/2019	Various		2,500,000	2,500,000	2,614,255	2,505,665	0	(5,665)	0	(5,665)	0	2,500,000	0	0	0	67,188	05/15/2019
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						144,707,492	144,381,124	145,046,159	144,180,237	0	29	305,919	(305,890)	0	143,874,348	0	(1,228,036)	(1,228,036)	7,781,544	XXX
8399997. Total - Bonds - Part 4						155,308,145	154,983,279	155,635,007	154,800,681	0	(25,335)	305,919	(331,254)	0	154,469,428	0	(1,222,463)	(1,222,463)	8,118,541	XXX
8399998. Total - Bonds - Part 5						11,387,613	11,423,597	11,429,422		0	(41,153)	0	(41,153)	0	11,388,266	0	(653)	(653)	142,998	XXX
8399999. Total - Bonds						166,695,758	166,406,876	167,064,429	154,800,681	0	(66,488)	305,919	(372,407)	0	165,857,694	0	(1,223,116)	(1,223,116)	8,261,539	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999998. Total - Preferred Stocks - Part 5							XXX													XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
00206R-10-2	AT&T Inc CS		12/03/2019	Barclays Capital, Inc	51,187.000	1,909,333		1,299,293	1,460,877	(161,584)	0	0	(161,584)	0	1,299,293	0	610,040	610,040	104,421	
002824-10-0	Abbott Laboratories CS		12/03/2019	Barclays Capital, Inc	11,000.000	924,249		270,071	795,630	(525,559)	0	0	(525,559)	0	270,071	0	654,178	654,178	14,080	
00287Y-10-9	Abbvie Inc CS		12/03/2019	Barclays Capital, Inc	11,000.000	944,484		292,869	1,014,090	(721,221)	0	0	(721,221)	0	292,869	0	651,616	651,616	47,080	
00507V-10-9	Activision Blizzard Inc CS		12/03/2019	Barclays Capital, Inc	7,682.000	415,885		135,936	357,751	(221,815)	0	0	(221,815)	0	135,936	0	279,949	279,949	2,842	

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
00724F-10-1	Adobe Inc CS		12/03/2019	Barclays Capital, Inc	5,832.000	1,761,296		163,090	1,319,432	(1,156,342)	0	0	(1,156,342)	0	163,090	0	1,598,206	1,598,206	0	
009158-10-6	Air Products & Chemicals Inc CS		12/03/2019	Barclays Capital, Inc	2,750.000	631,838		222,326	440,138	(217,811)	0	0	(217,811)	0	222,326	0	409,512	409,512	12,595	
02079K-10-7	Alphabet Inc CS		12/05/2019	Barclays Capital, Inc	2,456.000	3,177,159		538,146	2,543,458	(2,005,312)	0	0	(2,005,312)	0	538,146	0	2,639,013	2,639,013	0	
02079K-30-5	Alphabet Inc CS Cl A		12/03/2019	Barclays Capital, Inc	2,450.000	3,165,573		536,900	2,560,152	(2,023,252)	0	0	(2,023,252)	0	536,900	0	2,628,674	2,628,674	0	
02209S-10-3	Altria Group Inc CS		12/03/2019	Barclays Capital, Inc	28,800.000	1,348,323		649,289	1,422,432	(773,143)	0	0	(773,143)	0	649,289	0	699,034	699,034	45,366	
025816-10-9	American Express Co CS		12/03/2019	Barclays Capital, Inc	13,000.000	1,504,264		505,638	1,239,160	(733,522)	0	0	(733,522)	0	505,638	0	998,626	998,626	20,800	
032511-10-7	Anadarko Petroleum Corp CS		08/09/2019	Taxable Exchange	8,700.000	631,484		268,724	381,408	(112,684)	0	0	(112,684)	0	268,724	0	362,761	362,761	5,220	
036752-10-3	Anthem Inc CS		12/03/2019	Barclays Capital, Inc	6,000.000	1,619,368		238,130	1,575,780	(1,337,650)	0	0	(1,337,650)	0	238,130	0	1,381,239	1,381,239	8,808	
037833-10-0	Apple Inc CS		12/03/2019	Barclays Capital, Inc	41,125.000	9,688,552		571,608	6,491,581	(5,919,973)	0	0	(5,919,973)	0	571,608	0	9,116,944	9,116,944	82,401	
05722G-10-0	Baker Hughes Co CS		12/03/2019	Barclays Capital, Inc	3,543.000	76,531		87,264	76,175	43,685	0	32,596	11,089	0	87,264	0	(10,733)	(10,733)	2,551	
060505-10-4	Bank of America Corp CS		12/03/2019	Barclays Capital, Inc	21,700.000	706,307		100,204	534,688	(434,484)	0	0	(434,484)	0	100,204	0	606,104	606,104	10,416	
064058-10-0	Bank of New York Mellon Corp CS		12/03/2019	Barclays Capital, Inc	36,807.000	1,823,364		807,914	1,732,505	(924,592)	0	0	(924,592)	0	807,914	0	1,015,450	1,015,450	26,004	
075887-10-9	Becton Dickinson CS		12/03/2019	Barclays Capital, Inc	777.000	198,609		110,000	175,074	(65,074)	0	0	(65,074)	0	110,000	0	88,609	88,609	1,795	
09260D-10-7	The Blackstone Group INC NPV CS		12/05/2019	Barclays Capital, Inc	1.000	53		9	30	(20)	0	0	(20)	0	9	0	44	44	2	
097023-10-5	Boeing Co CS		12/03/2019	Barclays Capital, Inc	14,000.000	5,184,497		522,459	4,515,000	(3,992,541)	0	0	(3,992,541)	0	522,459	0	4,662,038	4,662,038	51,056	
10922N-10-3	Brighthouse Financial Inc CS		08/08/2019	Barclays Capital, Inc	1,340.000	47,785		60,414	40,843	19,570	0	0	19,570	0	60,414	0	(12,628)	(12,628)	0	
11135F-10-1	Broadcom Inc CS		12/03/2019	Barclays Capital, Inc	2,357.000	715,743		181,970	599,338	(417,368)	0	0	(417,368)	0	181,970	0	533,773	533,773	18,738	
125523-10-0	Cigna Corp CS		12/03/2019	Various	1,339.000	260,910		243,891	254,246	(10,355)	0	0	(10,355)	0	243,891	0	17,019	17,019	54	
125896-10-0	CMS Energy Corp CS		12/03/2019	Barclays Capital, Inc	11,600.000	707,015		282,351	575,940	(293,589)	0	0	(293,589)	0	282,351	0	424,664	424,664	17,748	
126650-10-0	CVS Health Corp CS		12/03/2019	Barclays Capital, Inc	15,513.000	1,152,518		482,097	1,016,412	(534,314)	0	0	(534,314)	0	482,097	0	670,420	670,420	31,026	
13057Q-20-6	California Resources Corp CS		12/03/2019	Barclays Capital, Inc	53.000	358		682	903	(222)	0	0	(222)	0	682	0	(323)	(323)	0	
14040H-10-5	Capital One Financial Corp CS		12/03/2019	Barclays Capital, Inc	9,000.000	877,183		441,824	680,310	(238,486)	0	0	(238,486)	0	441,824	0	435,359	435,359	14,400	
149123-10-1	Caterpillar Inc CS		12/03/2019	Barclays Capital, Inc	4,675.000	651,377		357,825	594,052	(236,228)	0	0	(236,228)	0	357,825	0	293,552	293,552	17,672	
151020-10-4	Celgene Corp CS		11/21/2019	Taxable Exchange	6,125.000	661,684		120,017	392,551	(272,534)	0	0	(272,534)	0	120,017	0	541,667	541,667	0	
16411R-20-8	Cheniere Energy Inc CS		12/03/2019	Barclays Capital, Inc	49,000.000	2,928,111		3,175,871	2,900,310	275,561	0	0	275,561	0	3,175,871	0	(247,761)	(247,761)	0	
166764-10-0	Chevron Corp CS		12/03/2019	Barclays Capital, Inc	12,000.000	1,398,017		347,449	1,305,480	(958,031)	0	0	(958,031)	0	347,449	0	1,050,568	1,050,568	57,120	
171340-10-2	Church & Dwight Co Inc CS		12/03/2019	Barclays Capital, Inc	18,700.000	1,290,245		318,120	1,229,712	(911,592)	0	0	(911,592)	0	318,120	0	972,126	972,126	17,017	
17275R-10-2	Cisco Systems Inc CS		12/03/2019	Barclays Capital, Inc	32,460.000	1,428,817		612,861	1,406,492	(793,631)	0	0	(793,631)	0	612,861	0	815,956	815,956	44,795	
192446-10-2	Cognizant Technology Solutions CS Cl A		12/03/2019	Barclays Capital, Inc	6,406.000	401,335		248,391	406,653	(158,262)	0	0	(158,262)	0	248,391	0	152,945	152,945	5,125	
21036P-10-8	Constellation Brands Inc CS Cl A		12/03/2019	Barclays Capital, Inc	7,250.000	1,327,917		259,754	1,165,945	(906,191)	0	0	(906,191)	0	259,754	0	1,068,163	1,068,163	21,678	
212015-10-1	Continental Resources Inc CS		12/03/2019	Barclays Capital, Inc	8,750.000	270,443		353,567	351,663	1,904	0	0	1,904	0	353,567	0	(83,124)	(83,124)	438	
219350-10-5	Corning Inc CS		12/03/2019	Barclays Capital, Inc	15,700.000	438,343		206,455	474,297	(267,842)	0	0	(267,842)	0	206,455	0	231,888	231,888	12,560	
22160K-10-5	Costco Wholesale Corp CS		12/03/2019	Barclays Capital, Inc	4,500.000	1,327,851		453,398	916,695	(463,298)	0	0	(463,298)	0	453,398	0	874,454	874,454	11,340	
231021-10-6	Cummins Inc CS		12/03/2019	Barclays Capital, Inc	3,850.000	682,949		335,283	514,514	(179,231)	0	0	(179,231)	0	335,283	0	347,666	347,666	18,873	
235851-10-2	Danaher Corp CS		12/03/2019	Barclays Capital, Inc	6,400.000	939,475		127,060	659,968	(532,908)	0	0	(532,908)	0	127,060	0	812,415	812,415	4,288	
237194-10-5	Darden Restaurants Inc CS		12/03/2019	Barclays Capital, Inc	9,400.000	1,130,565		383,742	938,684	(554,942)	0	0	(554,942)	0	383,742	0	746,823	746,823	24,902	
23918K-10-8	Davita Inc CS		12/03/2019	Barclays Capital, Inc	6,400.000	462,324		171,384	329,344	(157,960)	0	0	(157,960)	0	171,384	0	290,940	290,940	0	
247361-70-2	Delta Air Lines CS		12/03/2019	Barclays Capital, Inc	2,389.000	132,111		0	119,211	(119,211)	0	0	(119,211)	0	0	0	132,111	132,111	3,595	
254687-10-6	Walt Disney Co (The) CS		12/03/2019	Barclays Capital, Inc	1,850.000	275,037		39,219	202,853	(163,633)	0	0	(163,633)	0	39,219	0	235,818	235,818	3,256	
256677-10-5	Dollar General Corp CS		12/03/2019	Barclays Capital, Inc	6,625.000	1,013,844		284,201	716,030	(431,829)	0	0	(431,829)	0	284,201	0	729,643	729,643	8,281	
25746U-10-9	Dominion Energy Inc CS		12/03/2019	Barclays Capital, Inc	4,350.000	354,902		229,827	310,851	(81,024)	0	0	(81,024)	0	229,827	0	125,075	125,075	11,973	
26078J-10-0	Dowdupont Inc CS		06/03/2019	Spin Off	0.000	48,744		48,744	231,587	(182,843)	0	0	(182,843)	0	48,744	0	0	0	0	
26078J-10-0	Dowdupont Inc CS		06/04/2019	Tax Free Exchange	2,861.000	47,880		47,880	227,486	(179,605)	0	0	(179,605)	0	47,880	0	0	0	1,488	
26078J-10-0	Dowdupont Inc CS		06/04/2019	No Broker	5,723.000	0		0	0	0	0	0	0	0	0	0	0	0	2,976	
26441C-20-4	Duke Energy Corp CS		12/03/2019	Barclays Capital, Inc	7,565.000	662,680		354,453	652,860	(298,407)	0	0	(298,407)	0	354,453	0	308,227	308,227	28,331	
26875P-10-1	EOG Resources Inc CS		12/03/2019	Barclays Capital, Inc	8,600.000	599,633		419,477	750,006	(330,529)	0	0	(330,529)	0	419,477	0	180,155	180,155	8,729	
293792-10-7	Enterprise Products Partner LP CS		12/03/2019	Barclays Capital, Inc	11,000.000	283,803		99,055	270,490	(171,435)	0	0	(171,435)	0	99,055	0	184,748	184,748	19,305	
29476L-10-7	Equity Residential CS		12/03/2019	Barclays Capital, Inc	3,600.000	301,771		99,997	237,636	(137,639)	0	0	(137,639)	0	99,997	0	201,774	201,774	8,073	
31428X-10-6	Fedex Corp CS		12/03/2019	Barclays Capital, Inc	3,000.000	455,848		228,542	483,990	(255,448)	0	0	(255,448)	0	228,542	0	227,307	227,307	7,800	
316773-10-0	Fifth Third Bancorp CS		12/03/2019	Barclays Capital, Inc	17,400.000	511,120		318,340	409,422	(91,082)	0	0	(91,082)	0	318,340	0	192,780	192,780	16,008	
34354P-10-5	Flowserve Corp CS		12/03/2019	Barclays Capital, Inc	12,000.000	561,500		342,399	456,240	(113,841)	0	0	(113,841)	0	342,399	0	219,101	219,101	9,120	
34959J-10-8	Fortive Corp CS		12/03/2019	Barclays Capital, Inc	3,200.000	227,299		41,376	216,512	(175,136)	0	0	(175,136)	0	41,376	0	185,922	185,922	896	
35086T-10-9	Four Corners Property Trust CS		12/05/2019	Barclays Capital, Inc	4,282.000	119,351		65,386	112,188	(46,802)	0	0	(46,802)	0	65,386	0	53,965	53,965	4,924	
366505-10-5	Garrett Motion Inc CS		05/02/2019	Barclays Capital, Inc	800.000	14,030		2,254	9,872	(7,618)	0	0	(7,618)	0	2,254	0	11,776	11,776	0	
369604-10-3	General Electric Co CS		12/03/2019	Barclays Capital, Inc	79,000.000	865,940		587,538	583,810	73,765	0	70,037	3,728	0	587,538	0	278,402	278,402	3,160	
369604-10-3	General Electric Co CS		02/26/2019	Spin Off	0.000	16,016		16,016	14,220	1,797	0	0	1,797	0	16,016	0	0	0	0	
375558-10-3	Gilead Sciences Inc CS		12/03/2019	Barclays Capital, Inc	7,175.000	472,933		92,063	448,796	(356,733)	0	0	(356,733)	0	92,063	0	380,870	380,870	13,561	
38141G-10-4	Goldman Sachs Group Inc CS		12/03/2019	Barclays Capital, Inc	5,900.000	1,244,310		733,783	985,595	(251,812)	0	0	(251,812)	0	733,783	0	510,527	510,527	24,485	

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
406216-10-1	Halliburton Co CS		12/03/2019	Barclays Capital, Inc	13,900.000	289,031		339,863	369,462	(29,599)	0	0	(29,599)	0	339,863	0	(50,833)	(50,833)	7,506	
42250P-10-3	Healthpeak Properties Inc CS		12/03/2019	Barclays Capital, Inc	5,200.000	178,735		98,904	145,236	(46,332)	0	0	(46,332)	0	98,904	0	79,831	79,831	7,696	
437076-10-2	Home Depot (The) Inc CS		12/03/2019	Barclays Capital, Inc	18,275.000	3,539,810		526,583	3,140,011	(2,613,427)	0	0	(2,613,427)	0	526,583	0	3,013,227	3,013,227	33,191	
438516-10-6	Honeywell International Inc CS		12/03/2019	Barclays Capital, Inc	8,000.000	1,376,701		205,102	1,056,960	(851,858)	0	0	(851,858)	0	205,102	0	1,171,599	1,171,599	26,880	
46625H-10-0	JP Morgan Chase & Co CS		12/03/2019	Barclays Capital, Inc	15,201.000	1,961,311		563,370	1,483,922	(920,552)	0	0	(920,552)	0	563,370	0	1,397,941	1,397,941	50,163	
478160-10-4	Johnson & Johnson CS		12/03/2019	Barclays Capital, Inc	18,475.000	2,522,833		1,110,841	2,384,199	(1,273,358)	0	0	(1,273,358)	0	1,110,841	0	1,411,992	1,411,992	69,281	
49271V-10-0	Keurig Dr Pepper Inc CS		12/05/2019	Barclays Capital, Inc	528.000	15,552		14,408	13,538	870	0	0	870	0	14,408	0	1,144	1,144	317	
49446R-10-9	Kimco Realty Corp CS		12/03/2019	Barclays Capital, Inc	6,000.000	127,040		45,720	87,900	(42,180)	0	0	(42,180)	0	45,720	0	81,320	81,320	6,720	
49456B-10-1	Kinder Morgan Inc CS		12/03/2019	Barclays Capital, Inc	6,845.000	130,964		102,127	105,276	(3,149)	0	0	(3,149)	0	102,127	0	28,837	28,837	6,503	
529043-10-1	Lexington Realty Trust CS		12/03/2019	Barclays Capital, Inc	1.000	11		5	8	(3)	0	0	(3)	0	5	0	6	6	0	
531172-10-4	Liberty Property Trust CS		12/03/2019	Barclays Capital, Inc	3,500.000	213,563		100,587	146,580	(45,993)	0	0	(45,993)	0	100,587	0	112,976	112,976	5,705	
532457-10-8	Lilly Eli and Co CS		12/03/2019	Barclays Capital, Inc	16,000.000	1,865,054		551,254	1,851,520	(1,300,266)	0	0	(1,300,266)	0	551,254	0	1,313,800	1,313,800	41,280	
548661-10-7	Lowes Companies Inc CS		12/03/2019	Barclays Capital, Inc	22,000.000	2,162,577		485,175	2,031,920	(1,546,745)	0	0	(1,546,745)	0	485,175	0	1,677,401	1,677,401	21,694	
554382-10-1	Macerich Co (The) CS		12/03/2019	Barclays Capital, Inc	3,775.000	100,406		29,566	163,382	(133,816)	0	0	(133,816)	0	29,566	0	70,841	70,841	11,325	
57164Y-10-7	Marriott Vacations World CS		12/03/2019	Barclays Capital, Inc	710.000	85,281		11,865	50,062	(38,197)	0	0	(38,197)	0	11,865	0	73,416	73,416	1,278	
571748-10-2	Marsh and McLennan Cos CS		12/03/2019	Barclays Capital, Inc	18,000.000	1,905,050		363,528	1,435,500	(1,071,972)	0	0	(1,071,972)	0	363,528	0	1,541,522	1,541,522	31,320	
571903-20-2	Marriott International CS		12/03/2019	Barclays Capital, Inc	8,000.000	1,057,102		127,920	868,480	(740,560)	0	0	(740,560)	0	127,920	0	929,182	929,182	8,992	
580135-10-1	McDonalds Corp CS		12/03/2019	Barclays Capital, Inc	8,800.000	1,697,587		259,198	1,562,616	(1,303,418)	0	0	(1,303,418)	0	259,198	0	1,438,389	1,438,389	41,624	
58933Y-10-5	Merck & Co Inc CS		12/03/2019	Barclays Capital, Inc	16,000.000	1,393,874		421,481	1,222,560	(801,079)	0	0	(801,079)	0	421,481	0	972,393	972,393	35,200	
59156R-10-8	MetLife Inc CS		12/03/2019	Barclays Capital, Inc	14,750.000	712,431		491,617	605,635	(114,018)	0	0	(114,018)	0	491,617	0	220,814	220,814	25,665	
594918-10-4	Microsoft Corp CS		12/03/2019	Barclays Capital, Inc	40,950.000	6,077,784		758,714	4,159,292	(3,400,577)	0	0	(3,400,577)	0	758,714	0	5,319,070	5,319,070	77,396	
60871R-20-9	Molson Coors Brewing Co CS		08/08/2019	Barclays Capital, Inc	9,200.000	482,927		400,568	516,672	(116,104)	0	0	(116,104)	0	400,568	0	82,359	82,359	7,544	
65339F-10-1	NextEra Energy Inc CS		12/03/2019	Barclays Capital, Inc	4,021.000	933,854		194,335	698,930	(504,595)	0	0	(504,595)	0	194,335	0	739,519	739,519	20,105	
65473P-10-5	NiSource Inc CS		12/03/2019	Barclays Capital, Inc	15,100.000	396,794		106,820	382,785	(275,965)	0	0	(275,965)	0	106,820	0	289,974	289,974	12,080	
666807-10-2	Northrop Grumman Corp CS		12/03/2019	Barclays Capital, Inc	5,500.000	1,877,354		216,200	1,346,950	(1,130,750)	0	0	(1,130,750)	0	216,200	0	1,661,153	1,661,153	28,380	
67011P-10-0	Now Inc CS		12/05/2019	Barclays Capital, Inc	1.000	11		13	12	1	0	0	1	0	13	0	(2)	(2)	0	
68389X-10-5	Oracle Corp CS		12/03/2019	Barclays Capital, Inc	21,120.000	1,153,614		493,408	953,568	(460,160)	0	0	(460,160)	0	493,408	0	660,206	660,206	19,219	
69351T-10-6	PPL Corp CS		12/03/2019	Barclays Capital, Inc	4,800.000	163,497		127,133	135,984	(8,851)	0	0	(8,851)	0	127,133	0	36,364	36,364	7,908	
713448-10-8	PepsiCo Inc CS		12/03/2019	Barclays Capital, Inc	15,750.000	2,116,778		29,371	1,740,060	(1,710,689)	0	0	(1,710,689)	0	29,371	0	2,087,407	2,087,407	59,299	
717081-10-3	Pfizer Inc CS		12/03/2019	Barclays Capital, Inc	43,380.000	1,646,541		824,620	1,893,537	(1,068,917)	0	0	(1,068,917)	0	824,620	0	821,920	821,920	62,467	
718172-10-9	Philip Morris International In CS		12/03/2019	Barclays Capital, Inc	20,100.000	1,657,908		227,439	1,341,876	(1,114,437)	0	0	(1,114,437)	0	227,439	0	1,430,469	1,430,469	92,259	
74251V-10-2	Principal Financial Group CS		12/03/2019	Barclays Capital, Inc	9,300.000	486,532		76,074	410,781	(334,707)	0	0	(334,707)	0	76,074	0	410,458	410,458	20,274	
742718-10-9	Procter & Gamble Co CS		12/03/2019	Barclays Capital, Inc	21,500.000	2,619,076		1,444,572	1,976,280	(531,708)	0	0	(531,708)	0	1,444,572	0	1,174,504	1,174,504	63,530	
744320-10-2	Prudential Financial Inc CS		12/03/2019	Barclays Capital, Inc	8,625.000	752,263		291,374	703,369	(411,995)	0	0	(411,995)	0	291,374	0	460,889	460,889	22,440	
744573-10-6	Public Service Ent Group Inc CS		12/03/2019	Barclays Capital, Inc	20,000.000	1,172,520		279,250	1,041,000	(761,750)	0	0	(761,750)	0	279,250	0	893,270	893,270	28,200	
747525-10-3	QUALCOMM Inc CS		12/03/2019	Barclays Capital, Inc	7,158.000	577,261		229,796	407,362	(177,566)	0	0	(177,566)	0	229,796	0	347,466	347,466	13,314	
76118Y-10-4	Resideo Technologies Inc CS		05/02/2019	Barclays Capital, Inc	1,333.000	29,721		6,371	27,393	(21,022)	0	0	(21,022)	0	6,371	0	23,350	23,350	0	
806857-10-8	Schlumberger LTD CS		12/03/2019	Barclays Capital, Inc	17,115.000	597,621		498,673	617,509	37,077	0	155,913	(118,836)	0	498,673	0	98,948	98,948	34,230	
808513-10-5	Schwab Charles Corp CS		12/03/2019	Barclays Capital, Inc	20,800.000	986,565		234,208	863,824	(629,616)	0	0	(629,616)	0	234,208	0	752,357	752,357	9,924	
81211K-10-0	Sealed Air Corp CS		12/03/2019	Barclays Capital, Inc	7,950.000	295,191		145,231	276,978	(131,747)	0	0	(131,747)	0	145,231	0	149,960	149,960	3,816	
828806-10-9	Simon Property Group Inc CS		12/03/2019	Barclays Capital, Inc	1,986.000	293,539		99,362	333,628	(234,266)	0	0	(234,266)	0	99,362	0	194,177	194,177	16,484	
87612E-10-6	Target Corp CS		12/03/2019	Barclays Capital, Inc	16,000.000	1,982,189		547,045	1,057,440	(510,395)	0	0	(510,395)	0	547,045	0	1,435,144	1,435,144	41,600	
883556-10-2	Thermo Fisher Scientific Inc CS		12/03/2019	Barclays Capital, Inc	4,000.000	1,246,653		147,547	895,160	(747,613)	0	0	(747,613)	0	147,547	0	1,099,106	1,099,106	2,960	
89417E-10-9	Travelers Cos Inc./The CS		12/03/2019	Barclays Capital, Inc	3,810.000	511,925		168,151	456,248	(288,097)	0	0	(288,097)	0	168,151	0	343,774	343,774	9,182	
898320-10-9	Truist Financial Corp CS		12/03/2019	Barclays Capital, Inc	9,300.000	491,076		319,581	402,876	(83,295)	0	0	(83,295)	0	319,581	0	171,496	171,496	15,903	
899896-10-4	Tupperware Brands Corporation CS		12/03/2019	Barclays Capital, Inc	5,300.000	43,185		100,689	167,321	(66,632)	0	0	(66,632)	0	100,689	0	(57,504)	(57,504)	7,897	
911312-10-6	United Parcel Services CS Cl B		12/03/2019	Barclays Capital, Inc	8,500.000	939,400		466,584	829,005	(362,421)	0	0	(362,421)	0	466,584	0	472,816	472,816	19,550	
913017-10-9	United Technologies Corp CS		12/03/2019	Barclays Capital, Inc	19,002.000	2,727,641		451,571	2,023,333	(1,571,762)	0	0	(1,571,762)	0	451,571	0	2,276,070	2,276,070	55,866	
91324P-10-2	UnitedHealth Group Inc CS		12/03/2019	Barclays Capital, Inc	9,000.000	2,319,839		220,403	2,242,080	(2,021,677)	0	0	(2,021,677)	0	220,403	0	2,099,435	2,099,435	19,850	
92343V-10-4	Verizon Communications Inc CS		12/03/2019	Barclays Capital, Inc	22,025.000	1,319,151		743,086	1,238,246	(495,159)	0	0	(495,159)	0	743,086	0	576,065	576,065	53,356	
929160-10-9	Vulcan Materials CS		12/03/2019	Barclays Capital, Inc	2,650.000	375,642		142,821	261,820	(118,999)	0	0	(118,999)	0	142,821	0	232,821	232,821	3,286	
931142-10-3	Walmart Stores Inc CS		12/03/2019	Barclays Capital, Inc	15,000.000	1,770,638		813,063	1,397,250	(584,187)	0	0	(584,187)	0	813,063	0	957,575	957,575	31,650	
931427-10-8	Walgreens Boots Alliance Inc CS		12/03/2019	Barclays Capital, Inc	12,600.000	737,270		459,144	860,958	(401,814)	0	0	(401,814)	0	459,144	0	278,126	278,126	22,617	
93964W-10-8	Washington Prime Group Inc CS		06/24/2019	Barclays Capital, Inc	993.000	3,674		6,238	4,826	1,426	0	15	1,411	0	6,238	0	(2,564)	(2,564)	497	
949746-10-1	Wells Fargo & Co CS		12/03/2019	Barclays Capital, Inc	14,193.000	742,948		310,794	654,013	(343,220)	0	0	(343,220)	0	310,794	0	432,155	432,155	27,251	
950400-10-4	Welltower Inc CS		12/03/2019	Barclays Capital, Inc	2,275.000	191,168		100,320	157,908	(57,587)	0	0	(57,587)	0	100,320	0	90,848	90,848	7,917	
98978V-10-3	Zoetis Inc CS		12/03/2019	Barclays Capital, Inc	8,300.000	997,733		261,346	709,982	(448,636)	0	0	(448,636)	0	261,346	0	736,387	736,387	5,445	
29250N-10-5	Enbridge Inc CS	A	12/03/2019	Barclays Capital, Inc	7,872.000	298,354		118,005	244,662	(126,656)	0	0	(126,656)	0	118,005	0	180,348	180,348	16,308	

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
000375-20-4	ABB Ltd ADR	D	12/05/2019	Barclays Capital, Inc	3,075.000	68,643		35,228	58,456	(23,227)	0	0	(23,227)	0	35,228	0	33,415	33,415	2,395	
02319V-10-3	Ambev SA ADR	D	12/05/2019	Barclays Capital, Inc	11,250.000	48,093		17,957	44,100	(26,143)	0	0	(26,143)	0	17,957	0	30,136	30,136	917	
03524A-10-8	Anheuser Busch Companies Inc ADR	C	12/03/2019	Barclays Capital, Inc	8,000.000	630,387		460,575	526,480	(65,905)	0	0	(65,905)	0	460,575	0	169,812	169,812	16,058	
041232-10-9	Arkema ADR	D	12/05/2019	Barclays Capital, Inc	85.000	8,758		1,138	7,223	(6,085)	0	0	(6,085)	0	1,138	0	7,621	7,621	237	
046353-10-8	Astrazeneca PLC ADR	D	12/05/2019	Barclays Capital, Inc	2,500.000	118,963		48,574	94,950	(46,376)	0	0	(46,376)	0	48,574	0	70,388	70,388	3,500	
054536-10-7	AXA ADR	D	12/05/2019	Barclays Capital, Inc	2,500.000	68,153		32,275	53,475	(21,200)	0	0	(21,200)	0	32,275	0	35,878	35,878	3,748	
055262-50-5	BASF SE ADR	D	12/05/2019	Barclays Capital, Inc	5,600.000	104,886		52,421	98,504	(46,083)	0	0	(46,083)	0	52,421	0	52,464	52,464	5,014	
05946K-10-1	Banco Bilbao Vizcaya ADR	D	12/03/2019	Barclays Capital, Inc	7,503.000	38,077		39,016	39,691	11,104	0	11,780	(676)	0	39,016	0	(939)	(939)	2,178	
088606-10-8	BHP Billiton Ltd ADR	D	12/05/2019	Barclays Capital, Inc	2,250.000	114,599		81,135	108,653	(27,518)	0	0	(27,518)	0	81,135	0	33,464	33,464	10,575	
110448-10-7	British American Tobacco ADR	D	12/05/2019	Barclays Capital, Inc	2,300.000	89,365		82,591	73,278	9,313	0	0	9,313	0	82,591	0	6,773	6,773	5,888	
12562Y-10-0	CK Hutchison Holdings Limited ADR	D	12/05/2019	Barclays Capital, Inc	8,688.000	75,845		15,162	82,362	(67,200)	0	0	(67,200)	0	15,162	0	60,683	60,683	3,511	
23636T-10-0	Danone ADR	D	12/05/2019	Barclays Capital, Inc	3,600.000	58,355		21,296	50,328	(29,032)	0	0	(29,032)	0	21,296	0	37,059	37,059	1,565	
252430-20-5	Diageo PLC ADR	D	12/05/2019	Barclays Capital, Inc	1,075.000	172,919		72,376	152,435	(80,059)	0	0	(80,059)	0	72,376	0	100,543	100,543	3,712	
268353-10-9	EDP-Energias De Portugal SA ADR	D	12/05/2019	Barclays Capital, Inc	300.000	12,075		5,250	10,455	(5,205)	0	0	(5,205)	0	5,250	0	6,825	6,825	639	
344419-10-6	Fomento Economico Mexicana ADR	D	12/05/2019	Barclays Capital, Inc	675.000	61,423		19,025	58,084	(39,059)	0	0	(39,059)	0	19,025	0	42,398	42,398	1,021	
40049J-20-6	Grupo Televisa ADR	D	12/05/2019	Barclays Capital, Inc	975.000	10,260		12,266	12,266	0	0	0	0	0	12,266	0	(2,006)	(2,006)	86	
404280-40-6	HSBC Holdings PLC ADR	D	12/05/2019	Barclays Capital, Inc	1,500.000	54,463		59,205	61,665	(2,460)	0	0	(2,460)	0	59,205	0	(4,742)	(4,742)	3,818	
433578-50-7	Hitachi Ltd ADR	D	12/05/2019	Barclays Capital, Inc	900.000	70,244		32,796	47,664	(14,868)	0	0	(14,868)	0	32,796	0	37,448	37,448	1,565	
438128-30-8	Honda Motor Co Ltd ADR	D	12/05/2019	Barclays Capital, Inc	5,000.000	142,730		96,680	132,250	(35,570)	0	0	(35,570)	0	96,680	0	46,050	46,050	5,139	
456788-10-8	Infosys Ltd. ADR	D	12/05/2019	Barclays Capital, Inc	43,200.000	425,727		132,678	411,264	(278,586)	0	0	(278,586)	0	132,678	0	293,049	293,049	13,806	
497350-30-6	Kirin Holdings Co Ltd ADR	D	12/05/2019	Barclays Capital, Inc	2,600.000	57,719		18,203	54,028	(35,825)	0	0	(35,825)	0	18,203	0	39,516	39,516	1,386	
500467-50-1	Koninklijke Ahold Delhaize NV ADR	D	12/05/2019	Barclays Capital, Inc	950.000	24,519		3,648	23,969	(20,321)	0	0	(20,321)	0	3,648	0	20,871	20,871	1,062	
501173-20-7	Kubota Corp ADR	D	12/05/2019	Barclays Capital, Inc	425.000	33,128		11,807	29,941	(18,135)	0	0	(18,135)	0	11,807	0	21,322	21,322	687	
55068R-20-2	Luxottica Group SPA ADR	D	03/25/2019	Direct Purchase	600.000	33,529		9,794	35,448	(25,655)	0	0	(25,655)	0	9,794	0	23,736	23,736	0	
58733R-10-2	Mercadolibre Inc CS	D	12/05/2019	Barclays Capital, Inc	550.000	316,805		9,026	161,068	(152,042)	0	0	(152,042)	0	9,026	0	307,779	307,779	0	
606827-20-2	Mitsui & Co ADR	D	12/05/2019	Barclays Capital, Inc	150.000	54,395		35,486	46,575	(11,089)	0	0	(11,089)	0	35,486	0	18,909	18,909	2,217	
641069-40-6	Nestle SA ADR	D	12/05/2019	Barclays Capital, Inc	4,750.000	492,191		99,180	384,560	(285,380)	0	0	(285,380)	0	99,180	0	393,011	393,011	11,512	
654624-10-5	Nippon Telegraph & Teleph Corp ADR	D	12/05/2019	Barclays Capital, Inc	6,050.000	309,636		95,048	245,872	(150,824)	0	0	(150,824)	0	95,048	0	214,587	214,587	10,593	
656531-60-5	Norsk Hydro ASA ADR	D	12/05/2019	Barclays Capital, Inc	2,000.000	6,780		4,985	9,080	(4,095)	0	0	(4,095)	0	4,985	0	1,795	1,795	286	
66987V-10-9	Novartis AG ADR	D	12/05/2019	Barclays Capital, Inc	3,100.000	284,308		95,155	233,693	(138,538)	0	0	(138,538)	0	95,155	0	189,153	189,153	8,786	
66987V-10-9	Novartis AG ADR	D	04/09/2019	Spin Off	0.000	13,159		13,159	32,318	(19,159)	0	0	(19,159)	0	13,159	0	0	0	0	
670100-20-5	Novo Nordisk A/S ADR	D	12/05/2019	Barclays Capital, Inc	6,000.000	342,317		23,706	276,420	(252,714)	0	0	(252,714)	0	23,706	0	318,611	318,611	7,334	
76026T-20-5	Repsol SA ADR	D	12/05/2019	Barclays Capital, Inc	1,100.000	17,358		15,570	17,633	(2,063)	0	0	(2,063)	0	15,570	0	1,787	1,787	1,142	
771195-10-4	Roche Holding AG ADR	D	12/05/2019	Barclays Capital, Inc	2,800.000	107,434		55,383	87,024	(31,641)	0	0	(31,641)	0	55,383	0	52,051	52,051	3,009	
80105N-10-5	Sanofi ADR	D	12/05/2019	Barclays Capital, Inc	3,800.000	175,563		111,548	164,958	(53,410)	0	0	(53,410)	0	111,548	0	64,014	64,014	6,545	
803054-20-4	SAP SE ADR	D	12/05/2019	Barclays Capital, Inc	1,900.000	255,853		39,520	189,145	(149,625)	0	0	(149,625)	0	39,520	0	216,333	216,333	3,181	
82929R-30-4	Singapore Telecommunications ADR	D	12/05/2019	Barclays Capital, Inc	3,230.000	80,591		52,522	69,445	(16,923)	0	0	(16,923)	0	52,522	0	28,069	28,069	4,104	
84473L-10-5	South32 CS	D	12/05/2019	Barclays Capital, Inc	900.000	7,866		5,283	10,575	(5,292)	0	0	(5,292)	0	5,283	0	2,583	2,583	432	
871013-10-8	Swisscom AG ADR	D	12/05/2019	Barclays Capital, Inc	700.000	36,609		21,694	33,565	(11,871)	0	0	(11,871)	0	21,694	0	14,915	14,915	1,533	
872351-40-8	TDK Corp ADR	D	12/05/2019	Barclays Capital, Inc	400.000	43,680		13,792	28,184	(14,392)	0	0	(14,392)	0	13,792	0	29,888	29,888	628	
874039-10-0	Taiwan Semiconductor Mfg Co ADR	D	12/05/2019	Barclays Capital, Inc	6,115.000	331,029		53,926	225,705	(171,778)	0	0	(171,778)	0	53,926	0	277,102	277,102	8,208	
87944W-10-5	Telenor ASA ADR	D	12/05/2019	Barclays Capital, Inc	2,700.000	47,789		26,396	52,299	(25,903)	0	0	(25,903)	0	26,396	0	21,393	21,393	2,534	
88031M-10-9	Tenaris SA ADR	D	12/05/2019	Barclays Capital, Inc	525.000	11,276		12,495	11,193	1,302	0	0	1,302	0	12,495	0	(1,219)	(1,219)	431	
889094-10-8	Tokio Marine Holdings Inc ADR	D	12/05/2019	Barclays Capital, Inc	2,250.000	123,477		33,489	106,695	(73,206)	0	0	(73,206)	0	33,489	0	89,988	89,988	4,577	
89151E-10-9	Total SA ADR	D	12/05/2019	Barclays Capital, Inc	3,400.000	177,893		114,491	177,412	(62,921)	0	0	(62,921)	0	114,491	0	63,402	63,402	9,807	
892331-30-7	Toyota Motor Corp ADR	D	12/05/2019	Barclays Capital, Inc	3,025.000	431,929		145,523	351,142	(205,619)	0	0	(205,619)	0	145,523	0	286,405	286,405	12,139	
904767-70-4	Unilever PLC ADR	D	12/05/2019	Barclays Capital, Inc	2,700.000	159,701		57,147	141,075	(83,928)	0	0	(83,928)	0	57,147	0	102,554	102,554	4,904	
92937A-10-2	WPP PLC ADR	D	12/05/2019	Barclays Capital, Inc	500.000	31,233		14,715	27,400	(12,685)	0	0	(12,685)	0	14,715	0	16,518	16,518	1,876	
961214-30-1	Westpac Banking Corp ADR	D	12/05/2019	Barclays Capital, Inc	14,500.000	238,442		183,161	253,315	(70,154)	0	0	(70,154)	0	183,161	0	55,281	55,281	17,440	
D1668R-12-3	DaimlerChrysler AG ADR	D	12/05/2019	Barclays Capital, Inc	1,600.000	87,358		40,864	84,432	(43,568)	0	0	(43,568)	0	40,864	0	46,490	46,490	5,819	
G0177J-10-8	Allergan PLC CS	D	12/03/2019	Barclays Capital, Inc	1,767.000	327,174		295,849	236,177	100,402	0	40,728	59,674	0	295,849	0	31,326	31,326	5,230	
G16249-10-7	Brookfield Property Partners CS	D	12/03/2019	Barclays Capital, Inc	1,402.000	26,231		28,937	22,600	6,337	0	0	6,337	0	28,937	0	(2,706)	(2,706)	1,840	
G4705A-10-0	ICON PLC ADR	D	12/05/2019	Barclays Capital, Inc	700.000	113,015		15,106	90,440	(75,341)	0	0	(75,341)	0	15,103	0	97,909	97,909	0	
G5960L-10-3	Medtronic PLC CS	D	12/03/2019	Barclays Capital, Inc	4,110.000	455,711		308,723	373,846	(65,123)	0	0	(65,123)	0	308,723	0	146,989	146,989	8,549	
G8060N-10-2	Sensata Technologies Holding ADR	D	12/05/2019	Barclays Capital, Inc	1,300.000	65,987		37,883	58,292	(20,408)	0	0	(20,408)	0	37,884	0	28,102	28,102	0	
H50430-23-2	Logitech International SA ADR	D	12/05/2019	Barclays Capital, Inc	4,100.000	177,077		36,761	128,248	(91,487)	0	0	(91,487)	0	36,761	0	140,316	140,316	3,050	
N96617-11-8	Wright Medical Group NV CS	D	12/05/2019	Barclays Capital, Inc	2,000.000	59,183		36,850	54,440	(17,582)	0	0	(17,582)	0	36,858	0	22,331	22,331	0	

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi-fication	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						133,303,546	XXX	41,938,329	111,636,848	(69,387,443)	0	311,069	(69,698,512)	0	41,938,335	0	91,365,211	91,365,211	2,537,729	XXX
9799997. Total - Common Stocks - Part 4						133,303,546	XXX	41,938,329	111,636,848	(69,387,443)	0	311,069	(69,698,512)	0	41,938,335	0	91,365,211	91,365,211	2,537,729	XXX
9799998. Total - Common Stocks - Part 5						913,925	XXX	586,369		0	0	0	0	0	586,372	0	327,553	327,553	10,484	XXX
9799999. Total - Common Stocks						134,217,471	XXX	42,524,698	111,636,848	(69,387,443)	0	311,069	(69,698,512)	0	42,524,707	0	91,692,764	91,692,764	2,548,213	XXX
9899999. Total - Preferred and Common Stocks						134,217,471	XXX	42,524,698	111,636,848	(69,387,443)	0	311,069	(69,698,512)	0	42,524,707	0	91,692,764	91,692,764	2,548,213	XXX
9999999 - Totals						300,913,229	XXX	209,589,127	266,437,529	(69,387,443)	(66,488)	616,988	(70,070,919)	0	208,382,401	0	90,469,648	90,469,648	10,809,752	XXX

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identi-fication	Description	For-eign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consid-eration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort-ization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
05541T-AL-5	BGC Partners Inc 144A 3.750% 10/01/24		09/25/2019	Cantor Fitzgerald & Co.	12/09/2019	Tax Free Exchange	3,000,000	2,986,830	2,987,308	2,987,308	0	478	0	478	0	0	0	0	22,500	0
123260-AA-2	Business Jet Securities, LLC BJETS 2019-1 A 4.212% 07/15/34		12/06/2019	Various	12/15/2019	Paydown	32,899	33,883	32,899	32,899	0	(984)	0	(984)	0	0	0	0	115	47
12563L-AL-1	CLI Funding LLC 19-1A 3.710% 05/18/44		06/10/2019	Oppenheimer & Co., Inc.	12/18/2019	Paydown	205,893	208,081	205,893	205,893	0	(2,188)	0	(2,188)	0	0	0	0	2,400	467
254687-CJ-3	Walt Disney Co (The) 144A 4.500% 02/15/21		03/15/2019	Tax Free Exchange	11/22/2019	Tax Free Exchange	500,000	500,602	500,385	500,385	0	(217)	0	(217)	0	0	0	0	15,125	0
629400-AB-8	NP Ferrum LLC 15-1A 3.585% 02/19/45		10/09/2019	Oppenheimer & Co., Inc.	11/19/2019	Redemption 100.3178	4,630,000	4,657,491	4,644,715	4,630,000	0	(27,491)	0	(27,491)	0	0	14,715	14,715	27,664	10,144
629400-AF-9	NP Ferrum LLC ABS 5.927% 03/19/46		02/12/2019	Oppenheimer & Co., Inc.	11/19/2019	Redemption 101.1981	750,000	774,844	758,985	774,353	0	(491)	0	(491)	0	0	(15,368)	(15,368)	37,044	3,087
651639-AS-5	Newmont Goldcorp Corp 144A 3.700% 03/15/23		04/18/2019	Tax Free Exchange	08/06/2019	Tax Free Exchange	1,000,000	949,049	952,623	952,623	0	3,575	0	3,575	0	0	0	0	14,800	3,803
874074-AA-5	TAL Advantage LLC 2017 1A A 4.500% 04/20/42		04/22/2019	Oppenheimer & Co., Inc.	12/18/2019	Paydown	351,637	360,648	351,637	351,637	0	(9,011)	0	(9,011)	0	0	0	0	6,008	176
88315L-AA-6	Textainer Marine Containers Li 18-1A 4.110% 07/20/43		05/02/2019	Oppenheimer & Co., Inc.	12/20/2019	Paydown	570,000	576,178	570,000	570,000	0	(6,178)	0	(6,178)	0	0	0	0	10,481	1,530
37956A-AA-1	Global SC Finance SRL 2017 1A A 3.850% 04/15/37	D	03/05/2019	Oppenheimer & Co., Inc.	12/17/2019	Paydown	383,168	381,816	383,168	383,168	0	1,354	0	1,354	0	0	0	0	6,861	820
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							11,423,597	11,429,422	11,387,613	11,388,266	0	(41,153)	0	(41,153)	0	0	(653)	(653)	142,998	20,074
8399998. Total - Bonds							11,423,597	11,429,422	11,387,613	11,388,266	0	(41,153)	0	(41,153)	0	0	(653)	(653)	142,998	20,074
8999998. Total - Preferred Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0
110122-10-8	Bristol Myers Squibb Co CS		11/21/2019	Taxable Exchange	12/03/2019	Barclays Capital, Inc	6,125.000	342,388	353,316	342,388	0	0	0	0	0	0	10,928	10,928	0	0
22052L-10-4	Corteva Cs		06/03/2019	Spin Off	12/03/2019	Various	2,861.000	17,370	69,780	17,370	0	0	0	0	0	0	52,410	52,410	744	0
260557-10-3	Dowdupont Inc CS		04/02/2019	Spin Off	12/03/2019	Various	2,861.000	31,373	147,939	31,373	0	0	0	0	0	0	116,566	116,566	6,008	0
26614N-10-2	Dupont De Nemours CS		06/04/2019	Tax Free Exchange	12/03/2019	Various	2,861.000	47,880	180,305	47,880	0	0	0	0	0	0	132,425	132,425	1,716	0
674599-10-5	Occidental Petroleum Corp CS		08/09/2019	Taxable Exchange	12/03/2019	Various	2,553.000	118,184	96,664	118,184	0	0	0	0	0	0	(21,521)	(21,521)	2,016	0
929740-10-8	Wabtec Corp CS		02/26/2019	Spin Off	05/02/2019	Various	424.000	16,016	31,778	16,018	0	0	0	0	0	0	15,761	15,761	0	0
H01301-12-8	Alcon Inc CS	D	04/09/2019	Spin Off	12/05/2019	Barclays Capital, Inc	620.000	13,158	34,143	13,159	0	0	0	0	0	0	20,984	20,984	0	0
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded								586,369	913,925	586,372	0	0	0	0	0	0	327,553	327,553	10,484	0
9799998. Total - Common Stocks								586,369	913,925	586,372	0	0	0	0	0	0	327,553	327,553	10,484	0
9899999. Total - Preferred and Common Stocks								586,369	913,925	586,372	0	0	0	0	0	0	327,553	327,553	10,484	0
9999999 - Totals								12,015,791	12,301,538	11,974,638	0	(41,153)	0	(41,153)	0	0	326,900	326,900	153,482	20,074

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	Codes		4	5	6	7	Change in Book/Adjusted Carrying Value				12	13	Interest						20
	2	3					8	9	10	11			14	15	16	17	18	19	
Description	Code	For-eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bond Not in Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
0599999. Total - U.S. Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1099999. Total - All Other Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
Bristol Myers Squibb Co 144A			11/20/2019	Tax Free Exchange	10/15/2020	3,482,357	0	2,414	0	0	3,500,000	3,479,943	29,186	0	3.950	4.605	A0	0	13,441
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						3,482,357	0	2,414	0	0	3,500,000	3,479,943	29,186	0	XXX	XXX	XXX	0	13,441
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						3,482,357	0	2,414	0	0	3,500,000	3,479,943	29,186	0	XXX	XXX	XXX	0	13,441
4899999. Total - Hybrid Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7699999. Total - Issuer Obligations						3,482,357	0	2,414	0	0	3,500,000	3,479,943	29,186	0	XXX	XXX	XXX	0	13,441
7799999. Total - Residential Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8099999. Total - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8199999. Total - Affiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999. Total Bonds						3,482,357	0	2,414	0	0	3,500,000	3,479,943	29,186	0	XXX	XXX	XXX	0	13,441
8699999. Total - Parent, Subsidiaries and Affiliates						0	0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
9199999 - Totals						3,482,357	0	2,414	0	0	XXX	3,479,943	29,186	0	XXX	XXX	XXX	0	13,441

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P 500 INDEX CALLSPREAD_1YR 853SPA757	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/02/2019	01/02/2020		1,000,000	2535.130/2616.710		17,600		32,491		32,491	14,891						
S&P 500 INDEX DIGITAL_1YR 853SPA763	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/08/2019	01/08/2020		1,000,000	2,600.15		17,500		28,169		28,169	10,669						
S&P 500 INDEX CALLSPREAD_1YR 853SPA764	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/08/2019	01/08/2020		2,700,000	2625.900/2889.520		114,480		276,049		276,049	161,569						
S&P 500 INDEX CLIQUET_1YR 853SPA765	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/08/2019	01/08/2020		1,400,000	2,600.15		18,620		13,643		13,643	(4,977)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA772	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/16/2019	01/16/2020		2,300,000	2642.260/2733.040		44,160		79,567		79,567	35,407						
S&P 500 INDEX CALLSPREAD_1YR 853SPA773	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/16/2019	01/16/2020		3,200,000	2668.420/2938.140		136,640		327,916		327,916	191,276						
S&P 500 INDEX CALLSPREAD_1YR 853SPA778	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/24/2019	01/24/2020		1,800,000	2668.750/2757.010		33,840		59,787		59,787	25,947						
S&P 500 INDEX CALLSPREAD_1YR 853SPA779	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/24/2019	01/24/2020		4,300,000	2695.180/2967.870		184,040		437,251		437,251	253,211						
S&P 500 INDEX CLIQUET_1YR 853SPA780	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	01/24/2019	01/24/2020		1,000,000	2,668.75		13,500					(13,500)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA789	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/01/2019	01/31/2020		1,000,000	2733.600/2835.090		20,500		36,988		36,988	16,488						
S&P 500 INDEX CALLSPREAD_1YR 853SPA790	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/01/2019	01/31/2020		3,500,000	2760.660/3038.350		145,600		346,643		346,643	201,043						
S&P 500 INDEX CALLSPREAD_1YR 853SPA795	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/08/2019	02/07/2020		1,600,000	2734.960/2836.500		32,800		58,818		58,818	26,018						
S&P 500 INDEX CALLSPREAD_1YR 853SPA796	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/08/2019	02/07/2020		2,800,000	2762.040/3039.600		117,040		274,551		274,551	157,511						
S&P 500 INDEX CLIQUET_1YR 853SPA797	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	02/08/2019	02/07/2020		1,000,000	2,734.96		14,000		1,128		1,128	(12,872)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA803	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020		2,500,000	2803.360/2907.440		51,250		90,118		90,118	38,868						
S&P 500 INDEX CALLSPREAD_1YR 853SPA804	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020		3,000,000	2831.110/3120.880		123,300		288,922		288,922	165,622						
S&P 500 INDEX CLIQUET_1YR 853SPA805	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/15/2019	02/14/2020		1,000,000	2,803.36		13,800					(13,800)						
S&P 500 INDEX DIGITAL_1YR 853SPA810	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020		1,000,000	2,820.60		18,200		17,395		17,395	(805)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA811	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020		1,000,000	2820.600/2925.320		20,800		35,627		35,627	14,827						

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPA812	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	02/22/2019	02/21/2020		3,000,000	2848.520/3140.920		122,400		284,099		284,099	161,699						
S&P 500 INDEX CALLSPREAD_1YR 853SPA819	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/01/2019	02/28/2020		1,000,000	2831.730/2925.650		19,300		31,541		31,541	12,241						
S&P 500 INDEX CALLSPREAD_1YR 853SPA820	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/01/2019	02/28/2020		2,300,000	2859.760/3100.040		84,870		178,929		178,929	94,059						
S&P 500 INDEX CALLSPREAD_1YR 853SPA827	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	03/08/2019	03/06/2020		1,000,000	2770.500/2859.650		18,700		30,834		30,834	12,134						
S&P 500 INDEX CALLSPREAD_1YR 853SPA828	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	03/08/2019	03/06/2020		2,900,000	2797.930/3037.680		111,650		233,846		233,846	122,196						
S&P 500 INDEX CALLSPREAD_1YR 853SPA834	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	03/15/2019	03/13/2020		1,700,000	2850.700/2943.850		31,960		51,876		51,876	19,916						
S&P 500 INDEX CALLSPREAD_1YR 853SPA835	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/15/2019	03/13/2020		3,100,000	2878.930/3123.920		114,390		236,482		236,482	122,092						
S&P 500 INDEX CLIQUET_1YR 853SPA836	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/15/2019	03/13/2020		1,000,000	2,850.70		13,900					(13,900)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA842	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/22/2019	03/20/2020		1,500,000	2828.720/2922.260		28,500		46,328		46,328	17,828						
S&P 500 INDEX CALLSPREAD_1YR 853SPA843	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	03/22/2019	03/20/2020		3,800,000	2856.720/3107.390		145,920		299,802		299,802	153,882						
S&P 500 INDEX CALLSPREAD_1YR 853SPA852	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2019	04/01/2020		1,000,000	2895.860/2988.470		18,600		28,906		28,906	10,306						
S&P 500 INDEX CALLSPREAD_1YR 853SPA853	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/01/2019	04/01/2020		2,800,000	2924.530/3172.260		102,200		200,433		200,433	98,233						
S&P 500 INDEX CALLSPREAD_1YR 853SPA860	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/08/2019	04/08/2020		1,500,000	2924.730/3015.950		27,000		41,397		41,397	14,397						
S&P 500 INDEX CALLSPREAD_1YR 853SPA861	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/08/2019	04/08/2020		5,100,000	2953.690/3205.040		188,190		352,475		352,475	164,285						
S&P 500 INDEX CLIQUET_1YR 853SPA862	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/08/2019	04/08/2020		1,000,000	2,924.73		14,700		4,061		4,061	(10,639)						
S&P 500 INDEX DIGITAL_1YR 853SPA868	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	04/16/2019	04/16/2020		1,000,000	2,936.13		18,600		15,931		15,931	(2,669)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA869	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2019	04/16/2020		1,100,000	2936.130/3030.030		20,460		30,652		30,652	10,192						
S&P 500 INDEX CALLSPREAD_1YR 853SPA870	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	04/16/2019	04/16/2020		3,600,000	2965.200/3215.790		131,040		242,081		242,081	111,041						
S&P 500 INDEX CALLSPREAD_1YR 853SPA871	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2019	04/24/2020		1,000,000	2956.520/3048.730		18,400		26,630		26,630	8,230						

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPA872	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2019	04/24/2020		3,900,000	2985.800/3235.200		141,570		251,293		251,293	109,723						
S&P 500 INDEX CALLSPREAD_1YR 853SPA887	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020		1,600,000	2952.970/3045.360		29,280		42,582		42,582	13,302						
S&P 500 INDEX CALLSPREAD_1YR 853SPA888	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020		3,200,000	2982.200/3233.940		117,760		207,896		207,896	90,136						
S&P 500 INDEX CLIQUET_1YR 853SPA889	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2019	05/01/2020		1,000,000	2,952.97		15,100		376		376	(14,724)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA895	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2019	05/08/2020		1,400,000	2908.210/3001.220		26,320		38,883		38,883	12,563						
S&P 500 INDEX CALLSPREAD_1YR 853SPA896	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/08/2019	05/08/2020		3,900,000	2937.010/3186.940		151,710		267,361		267,361	115,651						
S&P 500 INDEX CALLSPREAD_1YR 853SPA904	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2019	05/15/2020		2,300,000	2905.080/2992.810		40,940		60,192		60,192	19,252						
S&P 500 INDEX CALLSPREAD_1YR 853SPA905	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2019	05/15/2020		5,400,000	2933.850/3182.070		203,580		367,711		367,711	164,131						
S&P 500 INDEX DIGITAL_1YR 853SPA909	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020		1,000,000	2,854.32		18,000		15,568		15,568	(2,432)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA910	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020		1,500,000	2854.320/2945.880		28,050		42,473		42,473	14,423						
S&P 500 INDEX CALLSPREAD_1YR 853SPA911	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/24/2019	05/22/2020		4,800,000	2882.580/3129.300		183,840		343,486		343,486	159,646						
S&P 500 INDEX CLIQUET_1YR 853SPA912	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	05/24/2019	05/22/2020		1,000,000	2,854.32		13,900		5,595		5,595	(8,305)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA921	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/31/2019	05/29/2020		1,000,000	2779.580/2866.820		17,700		28,338		28,338	10,638						
S&P 500 INDEX CALLSPREAD_1YR 853SPA922	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	05/31/2019	05/29/2020		3,400,000	2807.100/3017.630		118,320		224,428		224,428	106,108						
S&P 500 INDEX CALLSPREAD_1YR 853SPA928	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	06/07/2019	06/05/2020		1,000,000	2902.070/2990.000		17,300		25,928		25,928	8,628						
S&P 500 INDEX CALLSPREAD_1YR 853SPA929	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/07/2019	06/05/2020		2,700,000	2930.810/3150.330		91,260		163,444		163,444	72,184						
S&P 500 INDEX CALLSPREAD_1YR 853SPA935	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/14/2019	06/12/2020		1,000,000	2915.850/3004.770		17,400		25,780		25,780	8,380						
S&P 500 INDEX CALLSPREAD_1YR 853SPA936	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/14/2019	06/12/2020		3,600,000	2944.720/3170.190		122,400		218,680		218,680	96,280						
S&P 500 INDEX DIGITAL_1YR 853SPA943	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/24/2019	06/24/2020		1,000,000	2,974.80		17,400		22,765		22,765	5,365						

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S&P 500 INDEX CALLSPREAD_1YR 853SPA944	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	06/24/2019	06/24/2020		1,800,000	2974.800/3067.290		31,680		45,205		45,205	13,525						
S&P 500 INDEX CALLSPREAD_1YR 853SPA945	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	06/24/2019	06/24/2020		3,300,000	3004.260/3228.990		110,550		183,517		183,517	72,967						
S&P 500 INDEX CLIQUET_1YR 853SPA946	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	06/24/2019	06/24/2020		1,000,000	2,974.80		15,600		4,833		4,833	(10,767)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA954	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/01/2019	07/01/2020		2,400,000	2993.970/3087.940		43,440		59,753		59,753	16,313						
S&P 500 INDEX CALLSPREAD_1YR 853SPA955	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/01/2019	07/01/2020		4,000,000	3023.620/3254.240		136,400		220,084		220,084	83,684						
S&P 500 INDEX CLIQUET_1YR 853SPA956	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	07/01/2019	07/01/2020		1,000,000	2,993.97		15,400		10,608		10,608	(4,792)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA962	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2020		1,300,000	3005.710/3092.010		21,840		29,309		29,309	7,469						
S&P 500 INDEX CALLSPREAD_1YR 853SPA963	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2020		2,100,000	3035.470/3262.830		70,560		111,667		111,667	41,107						
S&P 500 INDEX CALLSPREAD_1YR 853SPA968	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/16/2019	07/16/2020		1,600,000	3034.080/3129.610		29,120		38,229		38,229	9,109						
S&P 500 INDEX CALLSPREAD_1YR 853SPA969	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	07/16/2019	07/16/2020		2,300,000	3064.120/3293.030		77,050		116,845		116,845	39,795						
S&P 500 INDEX CALLSPREAD_1YR 853SPA975	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020		1,500,000	3049.760/3143.660		27,300		34,420		34,420	7,120						
S&P 500 INDEX CALLSPREAD_1YR 853SPA976	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020		3,200,000	3079.950/3299.780		106,240		152,526		152,526	46,286						
S&P 500 INDEX CLIQUET_1YR 853SPA977	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	07/24/2019	07/24/2020		1,000,000	3,049.76		14,600		846		846	(13,754)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA987	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/05/2019	08/05/2020		1,300,000	2901.630/2952.560		13,650		19,222		19,222	5,572						
S&P 500 INDEX CALLSPREAD_1YR 853SPA988	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/05/2019	08/05/2020		2,600,000	2930.080/3120.960		79,040		135,538		135,538	56,498						
S&P 500 INDEX DIGITAL_1YR 853SPA993	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020		1,000,000	2,967.47		17,200		14,137		14,137	(3,063)						
S&P 500 INDEX CALLSPREAD_1YR 853SPA994	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020		1,600,000	2967.470/3059.730		28,640		39,444		39,444	10,804						
S&P 500 INDEX CALLSPREAD_1YR 853SPA995	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/08/2019	08/07/2020		2,400,000	2996.850/3214.270		81,360		128,532		128,532	47,172						
S&P 500 INDEX CALLSPREAD_1YR 853SPB002	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/16/2019	08/14/2020		1,500,000	2917.570/3008.270		27,000		37,994		37,994	10,994						

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB003	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/16/2019	08/14/2020		2,700,000	2946.450/3160.790		93,150		151,547		151,547	58,397						
S&P 500 INDEX CLIQUET_1YR 853SPB004	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/16/2019	08/14/2020		1,000,000	2,917.57		14,600		10,517		10,517	(4,083)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB010	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020		1,200,000	2875.580/2963.840		21,360		30,578		30,578	9,218						
S&P 500 INDEX CALLSPREAD_1YR 853SPB011	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020		2,900,000	2904.050/3120.150		104,690		171,152		171,152	66,462						
S&P 500 INDEX CLIQUET_1YR 853SPB012	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/23/2019	08/21/2020		1,000,000	2,875.58		12,500		5,142		5,142	(7,358)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB021	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	08/30/2019	08/28/2020		1,200,000	2955.720/3050.830		22,080		30,537		30,537	8,457						
S&P 500 INDEX CALLSPREAD_1YR 853SPB022	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	08/30/2019	08/28/2020		3,000,000	2984.990/3202.430		103,500		161,917		161,917	58,417						
S&P 500 INDEX CALLSPREAD_1YR 853SPB027	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	09/06/2019	09/04/2020		1,100,000	3008.500/3104.710		20,570		26,693		26,693	6,123						
S&P 500 INDEX CALLSPREAD_1YR 853SPB028	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	09/06/2019	09/04/2020		3,100,000	3038.280/3243.220		101,060		147,420		147,420	46,360						
S&P 500 INDEX CALLSPREAD_1YR 853SPB034	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	09/16/2019	09/16/2020		1,000,000	3027.940/3121.180		18,200		22,909		22,909	4,709						
S&P 500 INDEX CALLSPREAD_1YR 853SPB035	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	09/16/2019	09/16/2020		3,000,000	3057.920/3276.770		103,500		146,273		146,273	42,773						
S&P 500 INDEX CALLSPREAD_1YR 853SPB041	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/24/2019	09/24/2020		1,700,000	2996.270/3076.660		27,200		34,908		34,908	7,708						
S&P 500 INDEX CALLSPREAD_1YR 853SPB042	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	09/24/2019	09/24/2020		2,400,000	3025.930/3243.380		83,520		121,755		121,755	38,235						
S&P 500 INDEX CLIQUET_1YR 853SPB043	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	09/24/2019	09/24/2020		1,000,000	2,996.27		12,300		12,413		12,413	113						
S&P 500 INDEX CALLSPREAD_1YR 853SPB050	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020		1,400,000	2969.650/3047.860		22,400		28,691		28,691	6,291						
S&P 500 INDEX CALLSPREAD_1YR 853SPB051	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	10/01/2019	10/01/2020		2,200,000	2999.060/3188.410		70,180		101,921		101,921	31,741						
S&P 500 INDEX DIGITAL_1YR 853SPB057	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020		1,000,000	2,921.99		14,400		10,274		10,274	(4,126)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB058	Multiple	N/A	EQ/IDX	Credit Suisse ... E58DKGMJYYYJLN8C3868	10/08/2019	10/08/2020		2,300,000	2921.990/2988.241		31,280		41,618		41,618	10,338						
S&P 500 INDEX CALLSPREAD_1YR 853SPB059	Multiple	N/A	EQ/IDX	Wells Fargo ... KB1H1DSPRFMYMCUFXT09	10/08/2019	10/08/2020		4,400,000	2950.920/3086.605		108,680		157,020		157,020	48,340						

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CLIQUET_1YR 853SPB060	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/08/2019	10/08/2020		1,000,000	2,921.99		14,600		14,890		14,890	290						
S&P 500 INDEX CALLSPREAD_1YR 853SPB065	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020		1,700,000	3019.590/3078.480		20,400		24,866		24,866	4,466						
S&P 500 INDEX CALLSPREAD_1YR 853SPB066	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/16/2019	10/16/2020		2,200,000	3049.480/3196.280		56,100		75,154		75,154	19,054						
S&P 500 INDEX CALLSPREAD_1YR 853SPB072	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020		2,200,000	3040.390/3098.490		26,400		30,895		30,895	4,495						
S&P 500 INDEX CALLSPREAD_1YR 853SPB073	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/24/2019	10/23/2020		3,500,000	3070.500/3218.600		89,250		116,919		116,919	27,669						
S&P 500 INDEX CALLSPREAD_1YR 853SPB083	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/01/2019	10/30/2020		1,900,000	3097.579/3169.651		26,980		30,617		30,617	3,637						
S&P 500 INDEX CALLSPREAD_1YR 853SPB084	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWIY9T8XKCSX06	11/01/2019	10/30/2020		2,500,000	3128.248/3280.060		63,000		78,324		78,324	15,324						
S&P 500 INDEX CALLSPREAD_1YR 853SPB089	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020		1,000,000	3124.010/3189.890		12,900		14,230		14,230	1,330						
S&P 500 INDEX CALLSPREAD_1YR 853SPB090	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/08/2019	11/06/2020		3,900,000	3154.940/3308.670		99,450		118,038		118,038	18,588						
S&P 500 INDEX CALLSPREAD_1YR 853SPB096	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/15/2019	11/13/2020		1,300,000	3151.660/3223.120		17,940		19,194		19,194	1,254						
S&P 500 INDEX CALLSPREAD_1YR 853SPB097	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020		2,100,000	3182.870/3333.900		52,290		59,487		59,487	7,197						
S&P 500 INDEX CLIQUET_1YR 853SPB098	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/15/2019	11/13/2020		1,000,000	3,151.66		13,000		5,708		5,708	(7,292)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB104	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020		2,500,000	3141.390/3213.860		35,250		37,881		37,881	2,631						
S&P 500 INDEX CALLSPREAD_1YR 853SPB105	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020		3,800,000	3172.500/3323.970		96,140		109,848		109,848	13,708						
S&P 500 INDEX CLIQUET_1YR 853SPB106	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	11/22/2019	11/20/2020		1,300,000	3,141.39		9,490		10,484		10,484	994						
S&P 500 INDEX DIGITAL_1YR 853SPB115	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/06/2019	12/04/2020		1,000,000	3,177.37		12,200		5,894		5,894	(6,306)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB116	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020		4,300,000	3177.370/3248.470		58,050		60,421		60,421	2,371						
S&P 500 INDEX CALLSPREAD_1YR 853SPB117	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/06/2019	12/04/2020		4,600,000	3208.830/3366.120		117,300		128,609		128,609	11,310						
S&P 500 INDEX CALLSPREAD_1YR 853SPB123	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020		2,200,000	3223.360/3284.320		25,960		24,791		24,791	(1,169)						

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P 500 INDEX CALLSPREAD_1YR 853SPB124	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/16/2019	12/16/2020		3,200,000	3255.280/3414.530		80,640		82,272		82,272	1,632						
S&P 500 INDEX CLIQUET_1YR 853SPB125	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/16/2019	12/16/2020		1,000,000	3,223.36		16,500		6,650		6,650	(9,850)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB131	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020		1,300,000	3256.250/3322.987		16,380		15,057		15,057	(1,323)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB132	Multiple	N/A	EQ/IDX	Credit Suisse E58DKGMJYYYJLN8C3868	12/23/2019	12/23/2020		2,300,000	3288.490/3445.500		57,730		54,199		54,199	(3,531)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB140	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	12/30/2019	12/30/2020		1,000,000	3253.500/3325.980		13,700		12,590		12,590	(1,110)						
S&P 500 INDEX CALLSPREAD_1YR 853SPB141	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	12/30/2019	12/30/2020		3,100,000	3285.720/3446.780		79,050		75,351		75,351	(3,699)						
S&P 500 INDEX CLIQUET_1YR 853SPB142	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/30/2019	12/30/2020		1,000,000	3,253.50		16,500		6,240		6,240	(10,261)						
0089999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108 - Call Options and Warrants										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0429999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX
0449999999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0499999999. Total Purchased Options										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Year-end (b)
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										0	6,799,320	0	11,108,748	XXX	11,108,748	4,309,428	0	0	0	0	XXX	XXX

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART A - SECTION 2

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira-tion	8 Termina-tion Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	14 Current Year Initial Cost of Un-discounted Premium (Received) Paid	15 Considera-tion Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortiza-tion)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
S&P 500 INDEX CALLSPREAD_1YR 853SPA380	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/08/2018	01/08/2019	01/08/2019	EXPIRATION		1,000,000	2,775.19	17,600				17,600		17,586			(17,600)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA381	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/08/2018	01/08/2019	01/08/2019	EXPIRATION		3,100,000	2,775.19	101,680				101,680		101,634			(101,680)			
S&P 500 INDEX CLIQUET_1YR 853SPA382	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/08/2018	01/08/2019	01/08/2019	EXPIRATION		1,000,000	2,775.19	20,600				20,600		20,600			(20,600)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA388	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/16/2018	01/16/2019	01/16/2019	EXPIRATION		1,000,000	2,804.18	17,300				17,300		17,234			(17,300)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA389	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/16/2018	01/16/2019	01/16/2019	EXPIRATION		2,600,000	2,804.18	86,580				86,580		86,392			(86,580)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA394	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/24/2018	01/24/2019	01/24/2019	EXPIRATION		1,200,000	2,865.92	20,760				20,760		20,669			(20,760)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA395	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	01/24/2018	01/24/2019	01/24/2019	EXPIRATION		3,600,000	2,865.92	119,880				119,880		119,564			(119,880)			
S&P 500 INDEX CLIQUET_1YR 853SPA396	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	01/24/2018	01/24/2019	01/24/2019	EXPIRATION		1,000,000	2,865.92	20,900				20,900		20,657			(20,900)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA405	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/01/2018	02/01/2019	02/01/2019	EXPIRATION		3,000,000	2,850.20	104,700				104,700		103,887			(104,700)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA410	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/08/2018	02/08/2019	02/08/2019	EXERCISE		1,900,000	2,606.81	34,770		60,040		34,770		16,158			25,270			
S&P 500 INDEX CALLSPREAD_1YR 853SPA411	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/08/2018	02/08/2019	02/08/2019	EXERCISE		2,400,000	2,606.81	87,840		93,982		87,840		58,162			6,142			
S&P 500 INDEX CLIQUET_1YR 853SPA412	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/08/2018	02/08/2019	02/08/2019	EXPIRATION		1,000,000	2,606.81	19,100				19,100		2,661			(19,100)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA418	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/16/2018	02/15/2019	02/15/2019	EXERCISE		1,400,000	2,759.54	25,060		8,229		25,060		22,647			(16,831)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA419	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/16/2018	02/15/2019	02/15/2019	EXERCISE		2,600,000	2,759.54	91,260		15,283		91,260		85,857			(75,977)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA425	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/23/2018	02/22/2019	02/22/2019	EXERCISE		1,000,000	2,774.77	18,100		6,515		18,100		16,368			(11,585)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA426	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	02/23/2018	02/22/2019	02/22/2019	EXERCISE		2,700,000	2,774.77	96,660		17,592		96,660		90,909			(79,068)			
S&P 500 INDEX CLIQUET_1YR 853SPA427	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	02/23/2018	02/22/2019	02/22/2019	EXPIRATION		1,000,000	2,774.77	20,200				20,200		16,165			(20,200)			
S&P 500 INDEX DIGITAL_1YR 853SPA432	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/01/2018	03/01/2019	03/01/2019	EXERCISE		1,000,000	2,704.45	18,800		27,600		18,800		13,208			8,800			
S&P 500 INDEX CALLSPREAD_1YR 853SPA433	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/01/2018	03/01/2019	03/01/2019	EXERCISE		2,200,000	2677.670/2895.360	80,080		81,537		80,080		66,403			1,457			
S&P 500 INDEX CALLSPREAD_1YR 853SPA439	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/08/2018	03/08/2019	03/08/2019	EXPIRATION		1,400,000	2766.360/2853.190	25,620				25,620		21,636			(25,620)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA440	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/08/2018	03/08/2019	03/08/2019	EXPIRATION		2,300,000	2766.360/2964.940	83,490				83,490		75,302			(83,490)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA449	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/16/2018	03/15/2019	03/15/2019	EXERCISE		1,000,000	2779.530/2867.040	18,300		15,607		18,300		16,472			(2,693)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA450	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/16/2018	03/15/2019	03/15/2019	EXERCISE		3,100,000	2807.050/3205.270	155,930		17,382		155,930		149,386			(138,548)			
S&P 500 INDEX CLIQUET_1YR 853SPA451	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/16/2018	03/15/2019	03/15/2019	EXPIRATION		1,000,000	2,779.53	20,500				20,500		15,169			(20,500)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA457	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/23/2018	03/22/2019	03/22/2019	EXERCISE		1,100,000	2614.140/2696.970	19,910		35,200		19,910		5,740			15,290			
S&P 500 INDEX CALLSPREAD_1YR 853SPA458	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/23/2018	03/22/2019	03/22/2019	SALE		3,400,000	2640.030/3021.280	185,640		211,079		185,640		137,861			25,439			
S&P 500 INDEX CALLSPREAD_1YR 853SPA467	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	03/29/2018	03/29/2019	03/29/2019	EXERCISE		1,000,000	2667.280/2752.050	18,100		32,100		18,100		11,405			14,000			
S&P 500 INDEX CALLSPREAD_1YR 853SPA468	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	03/29/2018	03/29/2019	03/29/2019	SALE		2,200,000	2693.690/3077.140	117,260		117,220		117,260		84,284			(40)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA475	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/06/2018	04/05/2019	04/05/2019	EXERCISE		1,300,000	2630.510/2712.560	23,530		40,955		23,530		12,199			17,425			
S&P 500 INDEX CALLSPREAD_1YR 853SPA476	Multiple	N/A	EQ/IDX	SunTrust Capital ... IYDOJBGJWY9T8XKCSX06	04/06/2018	04/05/2019	04/05/2019	SALE		4,800,000	2656.560/3028.480	258,240		435,276		258,240		190,240			177,036			

SCHEDULE DB - PART A - SECTION 2

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira-tion	8 Termina-tion Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	14 Current Year Initial Cost of Un-discounted Premium (Received) Paid	15 Considera-tion Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortiza-tion)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
S&P 500 INDEX DIGITAL_1YR 853SPA482	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	04/16/2018	04/16/2019	04/16/2019	EXERCISE		1,000,000	2,704.62	18,600		28,400		18,600		11,819			9,800			
S&P 500 INDEX CALLSPREAD_1YR 853SPA483	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	04/16/2018	04/16/2019	04/16/2019	EXERCISE		1,000,000	2704.620/2790.040	18,400		31,899		18,400		12,690			13,499			
S&P 500 INDEX CALLSPREAD_1YR 853SPA484	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2018	04/16/2019	04/16/2019	SALE		2,900,000	2731.400/3087.010	143,260		190,237		143,260		119,220			46,977			
S&P 500 INDEX CLIQUET_1YR 853SPA485	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/16/2018	04/16/2019	04/16/2019	EXPIRATION		1,000,000	2,704.62	16,500				16,500		16,500			(16,500)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA491	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	04/24/2018	04/24/2019	04/24/2019	EXERCISE		1,000,000	2660.910/2743.630	18,100		31,400		18,100		10,199			13,300			
S&P 500 INDEX CALLSPREAD_1YR 853SPA492	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	04/24/2018	04/24/2019	04/24/2019	SALE		3,900,000	2687.250/3044.500	195,390		355,278		195,390		144,492			159,888			
S&P 500 INDEX CALLSPREAD_1YR 853SPA499	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/01/2018	05/01/2019	05/01/2019	EXERCISE		1,100,000	2681.350/2765.240	19,910		34,760		19,910		11,922			14,850			
S&P 500 INDEX CALLSPREAD_1YR 853SPA500	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	05/01/2018	05/01/2019	05/01/2019	SALE		2,200,000	2707.900/3000.190	96,580		178,856		96,580		71,676			82,276			
S&P 500 INDEX CALLSPREAD_1YR 853SPA507	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2018	05/08/2019	05/08/2019	EXERCISE		1,100,000	2698.640/2784.140	20,020		35,200		20,020		12,557			15,180			
S&P 500 INDEX CALLSPREAD_1YR 853SPA508	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/08/2018	05/08/2019	05/08/2019	EXERCISE		3,600,000	2725.360/3031.830	158,400		207,574		158,400		120,354			49,174			
S&P 500 INDEX CALLSPREAD_1YR 853SPA513	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	05/16/2018	05/16/2019	05/16/2019	EXERCISE		1,800,000	2749.680/2836.800	32,400		57,601		32,400		23,288			25,201			
S&P 500 INDEX CALLSPREAD_1YR 853SPA514	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2018	05/16/2019	05/16/2019	EXERCISE		4,800,000	2776.910/3070.660	196,800		175,272		196,800		161,297			(21,528)			
S&P 500 INDEX CLIQUET_1YR 853SPA515	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/16/2018	05/16/2019	05/16/2019	EXPIRATION		1,000,000	2,749.68	19,000				19,000		4,601			(19,000)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA522	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/24/2018	05/24/2019	05/24/2019	EXERCISE		1,000,000	2755.040/2843.140	18,700		26,037		18,700		13,550			7,337			
S&P 500 INDEX CALLSPREAD_1YR 853SPA523	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	05/24/2018	05/24/2019	05/24/2019	EXERCISE		4,300,000	2782.320/3071.460	178,450		68,959		178,450		145,840			(109,491)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA531	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/01/2018	05/31/2019	05/31/2019	EXPIRATION		3,100,000	2789.310/3068.240	126,170				126,170		102,779			(126,170)			
S&P 500 INDEX DIGITAL_1YR 853SPA537	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/08/2018	06/07/2019	06/07/2019	EXERCISE		1,000,000	2,806.82	19,200		28,200		19,200		14,559			9,000			
S&P 500 INDEX CALLSPREAD_1YR 853SPA538	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/08/2018	06/07/2019	06/07/2019	EXERCISE		1,100,000	2806.820/2895.190	20,020		26,330		20,020		15,750			6,310			
S&P 500 INDEX CALLSPREAD_1YR 853SPA539	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/08/2018	06/07/2019	06/07/2019	EXERCISE		2,600,000	2834.610/3121.130	104,260		36,235		104,260		89,688			(68,025)			
S&P 500 INDEX CLIQUET_1YR 853SPA540	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/08/2018	06/07/2019	06/07/2019	EXPIRATION		1,000,000	2,806.82	19,300				19,300		7,578			(19,300)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA548	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/15/2018	06/14/2019	06/14/2019	EXERCISE		1,000,000	2807.460/2895.850	18,400		28,609		18,400		14,354			10,209			
S&P 500 INDEX CALLSPREAD_1YR 853SPA549	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/15/2018	06/14/2019	06/14/2019	EXERCISE		3,600,000	2835.250/3127.950	147,960		66,993		147,960		126,484			(80,967)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA555	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/22/2018	06/21/2019	06/21/2019	EXERCISE		1,500,000	2782.430/2869.760	27,900		47,550		27,900		20,390			19,650			
S&P 500 INDEX CALLSPREAD_1YR 853SPA556	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/22/2018	06/21/2019	06/21/2019	EXERCISE		3,100,000	2809.980/3098.960	129,580		158,079		129,580		105,898			28,499			
S&P 500 INDEX CALLSPREAD_1YR 853SPA565	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/29/2018	06/28/2019	06/28/2019	EXERCISE		2,200,000	2745.550/2831.450	40,260		69,520		40,260		26,190			29,260			
S&P 500 INDEX CALLSPREAD_1YR 853SPA566	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	06/29/2018	06/28/2019	06/28/2019	EXERCISE		3,800,000	2772.740/3056.810	162,640		236,272		162,640		122,837			73,632			

SCHEDULE DB - PART A - SECTION 2

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1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Termination Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	14 Current Year Initial Cost of Undiscounted Premium (Received) Paid	15 Consideration Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortization)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
S&P 500 INDEX CLIQUET_1YR 853SPA567	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	06/29/2018	06/28/2019	06/28/2019	SALE		1,100,000	2,745.55	20,680				20,680		20,680			(20,680)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA573	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	07/06/2018	07/05/2019	07/05/2019	EXERCISE		1,000,000	2787.420/2874.350	18,700		31,498		18,700		13,529			12,798			
S&P 500 INDEX CALLSPREAD_1YR 853SPA574	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	07/06/2018	07/05/2019	07/05/2019	EXERCISE		1,900,000	2815.020/3043.810	71,060		120,747		71,060		56,760			49,687			
S&P 500 INDEX CALLSPREAD_1YR 853SPA581	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	07/16/2018	07/16/2019	07/16/2019	EXERCISE		1,100,000	2826.410/2916.240	20,570		35,310		20,570		15,883			14,740			
S&P 500 INDEX CALLSPREAD_1YR 853SPA582	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	07/16/2018	07/16/2019	07/16/2019	EXERCISE		2,300,000	2854.400/3086.110	83,950		122,988		83,950		69,796			39,038			
S&P 500 INDEX CLIQUET_1YR 853SPA583	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/16/2018	07/16/2019	07/16/2019	EXPIRATION		1,000,000	2,826.41	15,700				15,700		2,506			(15,700)			
S&P 500 INDEX DIGITAL_1YR 853SPA588	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	07/24/2018	07/24/2019	07/24/2019	EXERCISE		1,000,000	2,848.60	18,600		27,200		18,600		17,009			8,600			
S&P 500 INDEX CALLSPREAD_1YR 853SPA589	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2018	07/24/2019	07/24/2019	EXERCISE		1,300,000	2848.600/2938.860	23,920		41,600		23,920		18,918			17,680			
S&P 500 INDEX CALLSPREAD_1YR 853SPA590	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	07/24/2018	07/24/2019	07/24/2019	EXERCISE		2,500,000	2876.810/3105.820	88,750		126,535		88,750		74,951			37,785			
S&P 500 INDEX CALLSPREAD_1YR 853SPA598	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	08/01/2018	08/01/2019	08/01/2019	EXERCISE		1,000,000	2841.490/2931.520	18,700		32,001		18,700		14,503			13,301			
S&P 500 INDEX CALLSPREAD_1YR 853SPA599	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	08/01/2018	08/01/2019	08/01/2019	EXERCISE		2,600,000	2869.630/3109.330	95,680		77,565		95,680		79,357			(18,115)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA605	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/08/2018	08/08/2019	08/08/2019	EXERCISE		1,700,000	2886.280/2977.150	31,280		30,823		31,280		25,686			(457)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA606	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/08/2018	08/08/2019	08/08/2019	EXERCISE		2,300,000	2914.850/3187.190	86,710		18,701		86,710		74,980			(68,009)			
S&P 500 INDEX CLIQUET_1YR 853SPA607	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/08/2018	08/08/2019	08/08/2019	SALE		1,000,000	2,886.28	16,000				16,000		5,259			(16,000)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA613	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	08/16/2018	08/16/2019	08/16/2019	EXERCISE		1,200,000	2869.100/2958.580	22,080		8,271		22,080		17,534			(13,809)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA614	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	08/16/2018	08/16/2019	08/16/2019	EXPIRATION		2,400,000	2897.500/3172.770	94,800				94,800		80,203			(94,800)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA620	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/24/2018	08/23/2019	08/23/2019	EXPIRATION		1,100,000	2903.440/2995.710	20,460				20,460		16,815			(20,460)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA621	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/24/2018	08/23/2019	08/23/2019	EXPIRATION		2,500,000	2932.180/3223.680	99,500				99,500		86,546			(99,500)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA629	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/31/2018	08/30/2019	08/30/2019	EXPIRATION		1,200,000	2930.540/3023.960	22,200				22,200		18,665			(22,200)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA630	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/31/2018	08/30/2019	08/30/2019	EXPIRATION		2,800,000	2959.550/3261.310	114,520				114,520		101,707			(114,520)			
S&P 500 INDEX CLIQUET_1YR 853SPA631	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	08/31/2018	08/30/2019	08/30/2019	EXPIRATION		1,000,000	2,930.54	16,700				16,700		16,700			(16,700)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA636	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	09/07/2018	09/06/2019	09/06/2019	EXERCISE		1,000,000	2900.400/2992.000	18,800		27,270		18,800		15,190			8,470			
S&P 500 INDEX CALLSPREAD_1YR 853SPA637	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	09/07/2018	09/06/2019	09/06/2019	EXERCISE		2,500,000	2929.110/3208.820	103,000		43,180		103,000		88,693			(59,820)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA643	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/14/2018	09/13/2019	09/13/2019	EXERCISE		1,000,000	2934.030/3027.570	18,800		25,253		18,800		15,683			6,453			
S&P 500 INDEX CALLSPREAD_1YR 853SPA644	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	09/14/2018	09/13/2019	09/13/2019	EXERCISE		2,700,000	2963.080/3259.390	110,700		41,184		110,700		97,516			(69,516)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA651	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	09/24/2018	09/24/2019	09/24/2019	EXPIRATION		2,400,000	2977.760/3271.450	97,440				97,440		86,104			(97,440)			

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S&P 500 INDEX DIGITAL_1YR 853SPA658	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/01/2018	10/01/2019	10/01/2019	EXPIRATION		1,000,000	2,953.84	19,200				19,200		15,843			(19,200)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA659	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/01/2018	10/01/2019	10/01/2019	EXPIRATION		1,000,000	2953.840/3048.89 0	19,000				19,000		19,000			(19,000)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA660	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/01/2018	10/01/2019	10/01/2019	EXPIRATION		1,400,000	2983.080/3281.97 0	57,400				57,400		57,400			(57,400)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA667	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/08/2018	10/08/2019	10/08/2019	EXPIRATION		2,300,000	2913.270/3007.02 0	44,390				44,390		35,251			(44,390)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA668	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/08/2018	10/08/2019	10/08/2019	EXPIRATION		3,900,000	2942.120/3226.81 0	166,920				166,920		141,977			(166,920)			
S&P 500 INDEX CLIQUET_1YR 853SPA669	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	10/08/2018	10/08/2019	10/08/2019	EXPIRATION		1,000,000	2,913.27	15,700				15,700		15,700			(15,700)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA675	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/16/2018	10/16/2019	10/16/2019	EXERCISE		1,600,000	2838.020/2929.34 0	30,400		51,999		30,400		21,285			21,599			
S&P 500 INDEX CALLSPREAD_1YR 853SPA676	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/16/2018	10/16/2019	10/16/2019	EXERCISE		2,200,000	2866.120/3147.39 0	93,940		96,748		93,940		71,363			2,808			
S&P 500 INDEX CALLSPREAD_1YR 853SPA677	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/22/2018	09/24/2019	09/24/2019	EXERCISE		943,998	2948.560/3041.69 0	12,845		6,179		12,845		9,851			(6,666)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA683	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/24/2018	10/24/2019	10/24/2019	EXERCISE		2,100,000	2682.660/2768.98 0	39,060		68,247		39,060		16,776			29,187			
S&P 500 INDEX CALLSPREAD_1YR 853SPA684	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	10/24/2018	10/24/2019	10/24/2019	EXERCISE		3,400,000	2709.220/2981.74 0	153,000		348,845		153,000		77,812			195,845			
S&P 500 INDEX CALLSPREAD_1YR 853SPA691	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/01/2018	11/01/2019	11/01/2019	EXERCISE		1,600,000	2767.770/2856.84 0	29,600		52,005		29,600		17,073			22,405			
S&P 500 INDEX CALLSPREAD_1YR 853SPA692	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/01/2018	11/01/2019	11/01/2019	EXERCISE		2,400,000	2795.180/3074.42 0	104,400		237,980		104,400		67,474			133,580			
S&P 500 INDEX CLIQUET_1YR 853SPA693	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/01/2018	11/01/2019	11/01/2019	EXPIRATION		1,000,000	2,767.77	13,600				13,600		13,600			(13,600)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA698	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/08/2018	11/08/2019	11/08/2019	EXERCISE		1,000,000	2834.900/2926.12 0	19,000		32,500		19,000		12,967			13,500			
S&P 500 INDEX CALLSPREAD_1YR 853SPA699	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/08/2018	11/08/2019	11/08/2019	EXERCISE		3,800,000	2862.970/3152.35 0	165,680		311,537		165,680		122,240			145,857			
S&P 500 INDEX DIGITAL_1YR 853SPA705	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/16/2018	11/15/2019	11/15/2019	EXERCISE		1,000,000	2,763.63	19,000		28,300		19,000		13,663			9,300			
S&P 500 INDEX CALLSPREAD_1YR 853SPA706	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/16/2018	11/15/2019	11/15/2019	EXERCISE		1,100,000	2763.630/2852.56 0	20,460		35,750		20,460		11,572			15,290			
S&P 500 INDEX CALLSPREAD_1YR 853SPA707	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/16/2018	11/15/2019	11/15/2019	EXERCISE		2,000,000	2791.000/3070.92 0	88,800		204,600		88,800		56,370			115,800			
S&P 500 INDEX CALLSPREAD_1YR 853SPA713	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/26/2018	11/26/2019	11/26/2019	EXERCISE		2,300,000	2700.180/2787.07 0	41,860		74,752		41,860		18,301			32,892			
S&P 500 INDEX CALLSPREAD_1YR 853SPA714	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/26/2018	11/26/2019	11/26/2019	EXERCISE		3,700,000	2726.920/2997.47 0	162,060		374,436		162,060		82,523			212,376			
S&P 500 INDEX CLIQUET_1YR 853SPA715	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	11/26/2018	11/26/2019	11/26/2019	SALE		1,300,000	2,700.18	17,550				17,550		17,540			(17,550)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA723	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/30/2018	11/29/2019	11/29/2019	EXERCISE		1,800,000	2787.770/2877.48 0	32,940		58,503		32,940		19,393			25,563			
S&P 500 INDEX CALLSPREAD_1YR 853SPA724	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	11/30/2018	11/29/2019	11/29/2019	EXERCISE		2,900,000	2815.370/3092.22 0	123,540		290,875		123,540		80,418			167,335			
S&P 500 INDEX CALLSPREAD_1YR 853SPA731	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/07/2018	12/06/2019	12/06/2019	EXERCISE		1,700,000	2659.410/2744.99 0	31,450		55,250		31,450		11,513			23,800			
S&P 500 INDEX CALLSPREAD_1YR 853SPA732	Multiple	N/A	EQ/IDX	SunTrust Capital IYDCJBGJWY9T8XKCSX06	12/07/2018	12/06/2019	12/06/2019	EXERCISE		1,800,000	2685.740/2952.21 0	79,740		182,160		79,740		34,068			102,420			

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S&P 500 INDEX CALLSPREAD_1YR 853SPA738	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	12/14/2018	12/13/2019	12/13/2019	EXERCISE		1,000,000	2625.950/2710.450	17,800		32,501		17,800		4,870			14,701			
S&P 500 INDEX CALLSPREAD_1YR 853SPA739	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/14/2018	12/13/2019	12/13/2019	EXERCISE		3,800,000	2651.950/2918.960	167,580		390,260		167,580		57,430			222,680			
S&P 500 INDEX CLIQUET_1YR 853SPA740	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/14/2018	12/13/2019	12/13/2019	EXPIRATION		1,000,000	2,625.95	12,500				12,500		10,322			(12,500)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA746	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/21/2018	12/20/2019	12/20/2019	EXERCISE		1,000,000	2440.790/2519.330	17,700		32,500		17,700		(1,058)			14,800			
S&P 500 INDEX CALLSPREAD_1YR 853SPA747	Multiple	N/A	EQ/IDX	SunTrust Capital IYDOJBGJWY9T8XKCSX06	12/21/2018	12/20/2019	12/20/2019	EXERCISE		2,200,000	2464.950/2698.160	91,520		212,306		91,520		(11,173)			120,786			
S&P 500 INDEX CLIQUET_1YR 853SPA752	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/28/2018	12/27/2019	12/27/2019	SALE		1,000,000	2,510.60	13,100				13,100		8,226			(13,100)			
S&P 500 INDEX CALLSPREAD_1YR 853SPA753	Multiple	N/A	EQ/IDX	Wells Fargo KB1H1DSPRFMYMCUFXT09	12/28/2018	12/27/2019	12/27/2019	EXERCISE		2,900,000	2535.450/2796.710	124,700		304,790		124,700		2,428			180,090			
0089999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108 - Call Options and Warrants												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX
0219999999. Subtotal - Purchased Options - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0289999999. Subtotal - Purchased Options - Replications												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0359999999. Subtotal - Purchased Options - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0429999999. Subtotal - Purchased Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0439999999. Total Purchased Options - Call Options and Warrants												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX
0449999999. Total Purchased Options - Put Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0459999999. Total Purchased Options - Caps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0469999999. Total Purchased Options - Floors												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0479999999. Total Purchased Options - Collars												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0489999999. Total Purchased Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0499999999. Total Purchased Options												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0709999999. Subtotal - Written Options - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0779999999. Subtotal - Written Options - Replications												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0849999999. Subtotal - Written Options - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0919999999. Subtotal - Written Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0929999999. Total Written Options - Call Options and Warrants												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0939999999. Total Written Options - Put Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0949999999. Total Written Options - Caps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0959999999. Total Written Options - Floors												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0969999999. Total Written Options - Collars												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0979999999. Total Written Options - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
0989999999. Total Written Options												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1169999999. Subtotal - Swaps - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1229999999. Subtotal - Swaps - Replication												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1289999999. Subtotal - Swaps - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1349999999. Subtotal - Swaps - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1359999999. Total Swaps - Interest Rate												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1369999999. Total Swaps - Credit Default												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1379999999. Total Swaps - Foreign Exchange												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1389999999. Total Swaps - Total Return												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1399999999. Total Swaps - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1409999999. Total Swaps												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1479999999. Subtotal - Forwards												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX

SCHEDULE DB - PART A - SECTION 2

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira-tion	8 Termina-tion Date	9 Indicate Exercise, Expiration, Maturity or Sale	10 Number of Contracts	11 Notional Amount	12 Strike Price, Rate or Index Received (Paid)	13 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	14 Current Year Initial Cost of Un-discounted Premium (Received) Paid	15 Considera-tion Received (Paid) on Termination	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Code	19 Unrealized Valuation Increase/ (Decrease)	20 Total Foreign Exchange Change in B./A.C.V.	21 Current Year's (Amortiza-tion)/ Accretion	22 Gain (Loss) on Termination Recognized	23 Adjustment to Carrying Value of Hedged Item	24 Gain (Loss) on Termination Deferred	25 Hedge Effectiveness at Inception and at Termination (b)
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX
1709999999. Subtotal - Hedging Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1719999999. Subtotal - Replication												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1729999999. Subtotal - Income Generation												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1739999999. Subtotal - Other												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives												0	0	0	0	0	XXX	0	0	0	0	0	0	XXX
1759999999 - Totals												7,290,325	0	7,628,007	0	7,290,325	XXX	5,406,158	0	0	337,682	0	0	XXX

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open December 31 of Current Year

1	2	3	4	Book/Adjusted Carrying Value			Fair Value			11	12
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	Fair Value of Acceptable Collateral	5 Contracts With Book/Adjusted Carrying Value >0	6 Contracts With Book/Adjusted Carrying Value <0	7 Exposure Net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Fair Value <0	10 Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX			0			0		
CREDIT SUISSE E58DKGMJYYYJLN8C3868	Y	Y	2,950,000	3,058,012		108,012	3,058,012		108,012		0
SUNTRUST CAPITAL IYDOJBGJWY9T8XKCSX06	Y	Y	4,590,000	4,515,858		0	4,515,858		0		0
WELLS FARGO KB1H1DSPRFMYMCUFXT09	Y	Y	3,670,000	3,534,878		0	3,534,878		0		0
0299999999. Total NAIC 1 Designation			11,210,000	11,108,748	0	108,012	11,108,748	0	108,012	0	0
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)						0			0		
0999999999 - Gross Totals			11,210,000	11,108,748	0	108,012	11,108,748	0	108,012	0	0
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64				11,108,748	0						

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open December 31 of Current Year

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
NONE								
0199999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
CREDIT SUISSE E58DKGMJYYYJLN8C3868	Other		Money Market Fund	2,950,000	2,950,000	XXX	01/01/2020	V
SUNTRUST CAPITAL IYDOJBGJIIY9T8XKCSX06	Other		Money Market Fund	4,590,000	4,590,000	XXX	01/01/2020	V
WELLS FARGO KB1H1DSPRFMYMCUFXT09	Other		Money Market Fund	3,670,000	3,670,000	XXX	01/01/2020	V
0299999999 - Total				11,210,000	11,210,000	XXX	XXX	XXX

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees as of December 31 of Current Year

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
Key Bank, NA ..... Albany, NY					514,029	XXX
Wells Fargo ..... Houston, TX					(1,850,317)	XXX
Moody National Bank ..... Galveston, TX					1,023,306	XXX
0199998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX				XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(312,982)	XXX
0299998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(312,982)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
0599999 Total - Cash	XXX	XXX	0	0	(312,982)	XXX

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January	9,972,295	4. April	72,832	7. July	(2,047,633)	10. October	(2,327,570)
2. February	(2,796,962)	5. May	(1,969,065)	8. August	(4,789,603)	11. November	(2,308,392)
3. March	(1,987,233)	6. June	471,209	9. September	(4,067,952)	12. December	(312,982)

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
	Consolidated Edison CP		12/20/2019	2.060	01/07/2020	11,995,877	0	8,237
	DuPont De Nemours CP CP		12/10/2019	1.920	01/08/2020	7,997,010	0	9,383
	Entergy Corp CP		12/18/2019	2.000	01/02/2020	3,599,800	0	2,800
	Entergy Corp CP		12/17/2019	2.000	01/02/2020	9,999,444	0	8,333
	Exelon Corp CP		12/30/2019	1.800	01/06/2020	19,995,000	0	2,000
	FMC Technologies CP		12/13/2019	2.150	01/03/2020	5,018,400	0	5,694
	FMC Technologies CP		12/20/2019	2.150	01/06/2020	1,679,498	0	1,204
	Nissan Motor CP		12/20/2019	2.070	01/08/2020	2,671,923	0	1,844
	Nutrien Ltd CP CP		12/11/2019	1.960	01/10/2020	2,418,813	0	2,766
	Schlumberger Holdings Corp CP		12/23/2019	2.050	01/09/2020	6,612,985	0	3,389
	Southern Calif Gas Co CP		12/10/2019	1.840	01/06/2020	5,298,644	0	5,958
	Southern Company CP		12/20/2019	2.080	01/10/2020	9,994,796	0	6,930
	WEC Energy Group Inc CP		12/17/2019	2.100	01/07/2020	9,106,809	0	7,968
	WEC Energy Group Inc CP		12/11/2019	1.930	01/08/2020	3,204,794	0	3,607
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						99,593,793	0	70,113
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						99,593,793	0	70,113
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						99,593,793	0	70,113
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						99,593,793	0	70,113
61747C-70-7	Morgan Stanley Liq MM		12/31/2019	0.000		20,718,758	0	5,569
	Morgan Stanley Institutional MM		12/31/2019	0.000		11,210,000		
	WF MM		12/31/2019	0.000		4,629,433		
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						36,558,191	0	5,569
99C001-55-1	BONY Cash Reserve Fund MM		12/31/2019	0.000		1,000,128	0	200
8699999. Subtotal - All Other Money Market Mutual Funds						1,000,128	0	200
8899999 - Total Cash Equivalents						137,152,112	0	75,882

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama AL						
2. Alaska AK						
3. Arizona AZ						
4. Arkansas AR						
5. California CA						
6. Colorado CO						
7. Connecticut CT						
8. Delaware DE						
9. District of Columbia DC						
10. Florida FL						
11. Georgia GA						
12. Hawaii HI						
13. Idaho ID						
14. Illinois IL						
15. Indiana IN						
16. Iowa IA						
17. Kansas KS						
18. Kentucky KY						
19. Louisiana LA						
20. Maine ME						
21. Maryland MD						
22. Massachusetts MA						
23. Michigan MI						
24. Minnesota MN						
25. Mississippi MS						
26. Missouri MO						
27. Montana MT						
28. Nebraska NE						
29. Nevada NV						
30. New Hampshire NH						
31. New Jersey NJ						
32. New Mexico NM						
33. New York NY	B	Ny ins law section 1314	895,876	989,283	0	0
34. North Carolina NC						
35. North Dakota ND						
36. Ohio OH						
37. Oklahoma OK						
38. Oregon OR						
39. Pennsylvania PA						
40. Rhode Island RI						
41. South Carolina SC						
42. South Dakota SD						
43. Tennessee TN						
44. Texas TX						
45. Utah UT						
46. Vermont VT						
47. Virginia VA	B	Va stat sec 38.2-1045 & 38.2-104	397,868	457,900	0	0
48. Washington WA						
49. West Virginia WV						
50. Wisconsin WI						
51. Wyoming WY						
52. American Samoa AS						
53. Guam GU						
54. Puerto Rico PR						
55. U.S. Virgin Islands VI						
56. Northern Mariana Islands MP						
57. Canada CAN						
58. Aggregate Alien and Other OT	XXX	XXX	0	0	0	0
59. Subtotal	XXX	XXX	1,293,744	1,447,183	0	0
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0

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